

# Request for Proposals for Industry Review



Interstate 77 Panther
Interchange
Design-Build Project
Project ID P038652
York County
September 24, 2020

## **Interstate 77 Panther Interchange**

# York County, South Carolina

# A Design-Build Project

# Project ID P038652

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#### 1. PURPOSE OF REQUEST FOR PROPOSALS

The purpose of this Request for Proposals (RFP) is to select a Proposer to perform the Project services and to design and construct the Project, as further described in this RFP. SCDOT desires that this Project be designed and constructed in a very efficient and timely manner. The proposed Project services are hereinafter referred to as the "Project". "Proposer," as used here, includes a firm or firms, consortia, partnerships, limited liability corporation, sole proprietorship, joint ventures, and other legal entities that has been short-listed and provided the opportunity by South Carolina Department of Transportation (SCDOT) to submit a Proposal in response to this RFP. Partnerships, corporations, limited liability corporations (LLC), joint ventures, or other joint entities are collectively referred to herein as joint ventures. The Proposer shall become the Contractor if awarded the Contract.

It is not the intention of SCDOT to receive complete detailed Project analysis and design prior to the selection of a Proposer and the later execution of the Contract. Rather, the response to this RFP shall provide sufficient information to be evaluated by SCDOT to determine if the Proposal is in accordance with the specified process and criteria. The Proposal shall be specific enough on assumptions used in its preparation so as to provide the basis for finalizing the Contract.

#### 2. PROJECT OVERVIEW

#### 2.1 Project Description

SCDOT proposes to construct a new full access interstate interchange on I-77 in York County, near mile marker 81. The proposed interchange will provide access from I-77 to the new One Carolina Drive connecting Paragon Way to the east and the proposed Carolina Panthers development to the west. Construction of the Carolina Panthers development, including road tie-ins with this project, is ongoing and coordination between the projects will be required. The project consists of construction of the interchange ramps, portions of the crossing route, and the accompanying bridge over I-77.

SCDOT intends to enter into a contract for services as detailed in the Contract and Contract's Exhibits. The Proposer shall be responsible for meeting all Project requirements, specifications, and other applicable criteria as set forth in "Attachments A and B". Attachment B - Supplemental Project Design Criteria is located on the SCDOT Design-Build website at <a href="https://www.scdot.org/business/i-77-panther-interchange.aspx">https://www.scdot.org/business/i-77-panther-interchange.aspx</a>.

#### 2.2 Project Information

Project Information, containing electronic files applicable to the Project, will be posted on the SCDOT Design-Build website. The Project Information Package will include information describing the work performed or obtained by SCDOT prior to entering into the Contract. The Project Information Package may contain additional information not provided at the RFQ stage. The Project Information Package, which is posted on the

SCDOT Design-Build website, is for information only and is not part of the Contract. SCDOT makes no representations or warranties regarding the reliability or accuracy of the information contained therein. Any available existing roadway plans can be obtained from the SCDOT Design-Build website at <a href="https://www.scdot.org/business/design-build.aspx">https://www.scdot.org/business/design-build.aspx</a>. Any available existing bridge plans will be provided to the short-listed Proposers via upload to a secured ProjectWise folder.

Proposers are encouraged to review all available information in the Project Information Package, visit the Project site, and make any additional subsurface explorations or soil tests that the Proposer may desire for purposes of preparing the Proposal. Any information contained in Project Information Package is for information only, is not part of the contract and SCDOT makes no representation or warranties regarding such information. The Proposer shall obtain any permits or permissions required prior to any additional subsurface exploration. The Proposer shall obtain permission from any landowner prior to entering private property. The Proposer shall obtain encroachment permits for any investigations within the right of way.

#### 2.3 SCDOT Point of Contact

Ms. Carmen Wright is the Primary point of contact (POC) and addressee for receiving all communications about the Project with copies to Ms. Barbara Wessinger, Alternate #1 POC, and Mr. Jae Mattox, Alternate #2 POC. The Alternate POCs have been identified in the event of the unavailability of the Primary POC but are not intended to be substitutes for the Primary POC. No contact is allowed with any SCDOT personnel concerning this Project except for questions of an administrative nature or as expressly permitted under this RFP, in either case that shall be submitted in writing to the attention of the Primary SCDOT POC (email is acceptable) with a copy to the Alternate POCs. This restriction is in effect until the Contract award, termination of this procurement, or such other time as identified by SCDOT to the short-listed Proposers in writing. Any Proposer engaging in prohibited communications may be disqualified at the sole discretion of SCDOT. Written inquiries from the Proposer's POC (as identified in the Proposer's SOQ) shall be sent to:

Mail Delivery: Ms. Carmen Wright

(Ms. Barbara Wessinger, Mr. Jae Mattox)

Office of Project Delivery

(Office of Chief Counsel, Preconstruction Design-Build

Group)

South Carolina Department of Transportation

955 Park Street, Room Room 101 (Room 302, 421)

Columbia, South Carolina 29202-0191

E-mail: WrightCL@scdot.org

(WessingeBM@scdot.org, MattoxJH@scdot.org)

#### 2.4 RFP Committal

The submittal of a Proposal in response to this RFP shall constitute the Proposer's agreement to enter into the Contract with SCDOT for the completion of the Project under the terms set forth in the Contract, Contract Exhibits, attached hereto as "Attachment A" and Supplemental Project Design Criteria, located on the SCDOT Design-Build website as Attachment B.

#### 2.5 NEPA Document/Permit

A USACE wetland permit has been obtained for the project (PERMIT #SAC-2019-00924) and is included in Attachment B. All necessary mitigation associated with the activities in the permit has been obtained.

A Finding of No Significant Impact (FONSI) will be obtained for the project and will be included in Attachment B.

If a Proposer's design requires revisions to these documents, the Proposer is fully responsible for justifying these changes and obtaining appropriate approval. Proposer shall coordinate any proposed permit modifications with the SCDOT who will coordinate with the Department of Commerce.

#### 2.6 Interchange Justification Report

An approved Interchange Justification Report (IJR) for Exit 81 will be included in Attachment B. If a Proposer's design, through an approved ATC only, requires a revised IJR, the Proposer is fully responsible for justifying these changes and obtaining SCDOT and FHWA approval.

#### 2.7 Schedule

Contract time requirements are included in Agreement Article IV.

#### 3. GENERAL INSTRUCTIONS

#### 3.1 Design-Build Selection Method

For this Project, SCDOT chose the two-phased selection method. Phase 1, which identified a short-list of qualified Proposers, is complete.

In Phase 2, SCDOT invites each of the short-listed Proposers to submit their Proposals for completion of the Project. After evaluation of the Proposals, SCDOT plans to award and execute the Contract with a single Contractor. Phase 2 includes the following steps:

- 1. SCDOT releases RFP for Industry Review
- 2. SCDOT holds Open-Forum Meeting with Proposers to clarify/revise RFP

- 3. SCDOT releases Final RFP
- 4. Proposers submit Preliminary Alternative Technical Concepts (ATC) and Confidential Questions
- 5. SCDOT conducts Confidential One-on-One Meetings with Proposers to discuss Preliminary ATCs and Confidential Questions
- 6. SCDOT accepts Non-confidential Questions and conducts Open-Forum Meetings with all Proposers, if necessary
- 7. Proposers submit Formal ATCs and any additional Confidential Questions
- 8. SCDOT conducts Confidential One-on-One Meetings with Proposers to discuss Formal ATCs and additional Confidential Questions, if necessary
- 9. SCDOT accepts Non-confidential Questions and conducts Open-Forum Meetings with all Proposers, if necessary
- 10. SCDOT approves/disapproves ATCs
- 11. Proposers submit Proposals
- 12. SCDOT evaluates Proposals
- 13. SCDOT conducts Bid Opening, recommends, and selects a Contractor

These steps will follow the Milestone Schedule in Section 8. SCDOT reserves the right to make changes to the above steps and Milestone Schedule as appropriate to meet the needs of the procurement process. The following paragraphs provide information detailing various steps of the procurement.

#### 3.2 RFP for Industry Review

The intent of distributing the RFP for Industry Review to the short-listed Proposers is to identify and resolve conflicts, errors, mistakes, and/or ambiguities in the RFP, as well as review risk and commercial terms to ensure maximum competition, competitive pricing, and ultimately Project completion.

#### 3.3 Non-Confidential Questions, Clarifications, and Open-Forum Meeting

Once the RFP for Industry Review is issued, the Proposers may submit non-confidential questions and/or comments relating to the RFP. An Open-Forum Meeting with all Proposers present will be held on the date provided in the Milestone Schedule to discuss and edit the RFP for Industry Review. Non-confidential questions will be accepted via electronic upload to ProjectWise on the dates provided in the Milestone Schedule. Proposers shall submit their questions or comments using the Questions

Submittal Form which can be downloaded from the SCDOT Design-Build website under the SCDOT Design-Build Standard Forms Section.

All Question Submittal Forms shall be named in accordance with the Design-Build File Naming Conventions from the SCDOT Design-Build website under the Design-Build Resources Section.

#### https://www.scdot.org/business/design-build.aspx

SCDOT reserves the right to accept or reject non-confidential questions received after the milestone deadline. SCDOT will review all questions and/or requests for clarification and, in its sole discretion, may incorporate the responses in the Final RFP. Prior to the Open-Forum Meeting, SCDOT will post on the SCDOT Design-Build website written responses to the questions and request for clarifications received. SCDOT's written responses to Proposers' questions and request for clarifications are for general information only, are non-binding, do not constitute legal or other advice, and do not amend or form part of the Final RFP.

#### 3.4 Final RFP

After completion of the Questions, Clarifications, and Open-Forum Meeting stage, SCDOT may incorporate the Proposers' comments into the RFP, and a Final RFP will be issued. Items that are revised, inserted, or deleted will be highlighted in the Final RFP. In addition to releasing a highlighted version of the Final RFP, SCDOT will also concurrently release a clean version of the Final RFP where all revisions, insertions, and deletions are not highlighted. It is this clean version of the Final RFP that will be utilized if any future Addendums are required.

#### 3.5 Additional Non-confidential Questions, Clarifications and Open-Forum Meetings

Once the Final RFP is issued, SCDOT will allow Proposers to submit additional non-confidential questions or comments to point out mistakes or ambiguities in the RFP. SCDOT will review all non-confidential questions and/or requests for clarification and, in its sole discretion, may incorporate the responses in the RFP through an Addendum.

SCDOT may elect, in its sole discretion, to respond in writing to the non-confidential questions and requests for clarifications received. The written responses will be posted to the SCDOT Design-Build website prior to the Open-Forum Meeting. If responses are provided, SCDOT will hold an Open-Forum Meeting where all Proposers will be invited to attend. This meeting may be conducted via conference call or other electronic means. A Proposers' failure to attend this meeting will not relieve the Proposer of the responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to SCDOT. SCDOT's written responses to Proposers' questions and requests for clarifications are for general information only, are non-binding, do not constitute legal or other advice, and do not amend or form part of the Final RFP.

Non-confidential questions will be accepted via electronic upload to ProjectWise on the dates provided in the Milestone Schedule. Written questions submitted outside of these dates may not be accepted. If deemed necessary by SCDOT, Open-Forum Meetings will be held within 10 business days of the non-confidential questions Milestone Schedule deadline. Proposers must use the Question Submittal Form that is provided on the SCDOT Design-Build website under the SCDOT Design-Build Standard Forms Section.

https://www.scdot.org/business/design-build.aspx.

#### 3.6 Confidential Questions

Once the Final RFP is issued, SCDOT will allow Proposers to submit confidential questions to provide the Proposer an opportunity to confidentially discuss the contents of its Proposal with SCDOT personnel. Confidential questions will be accepted via electronic upload to ProjectWise on the dates identified in the Milestone Schedule. Written questions submitted outside of these dates may not be accepted.

SCDOT will determine, in its sole discretion, if confidential questions submitted are considered confidential. If submitted confidential questions are determined to be of non-confidential nature or identify an error or omission in the RFP, SCDOT, if it deems appropriate, in its sole discretion, may incorporate them in the RFP through an Addendum.

SCDOT will provide written responses to the submitted confidential questions prior to the Confidential Preliminary ATC Meeting and/or any Confidential One-on-One Formal ATC Meetings. SCDOT's written responses to Proposers' questions are for general information only, are non-binding, do not constitute legal or other advice, and do not amend or form part of the Final RFP. Written responses to confidential questions raised during the meeting may be provided if deemed necessary during discussions at the meeting; however, such questions and responses will be identified and narrowly tailored. No oral discussions during the Confidential Preliminary ATC Meeting and/or any Confidential One-on-One Formal ATC Meetings shall be binding on SCDOT.

Confidential questions received on the dates prior to the Confidential Preliminary ATC Meetings with Proposers may be discussed at that meeting. For confidential questions received on the allowable date(s) after the Confidential Preliminary ATC Meeting, written responses will be provided prior to a Confidential One-on-One Questions Meeting/conference call which may be held within 10 business days following receipt of the confidential questions or as determined necessary by the SCDOT POC. Proposers must use the Question Submittal Form that is provided on the SCDOT Design-Build website under the SCDOT Design-Build Standard Forms page.

https://www.scdot.org/business/design-build.aspx.

The intent of SCDOT is to maintain confidentiality of the one-on-one meetings. However, SCDOT reserves the right to disclose to all Proposers any issues raised during the one-on-one meetings, except to the extent that SCDOT determines, in its sole discretion, such disclosure would reveal confidential or proprietary information.

#### 3.7 Preliminary Alternative Technical Concepts

An ATC is a confidential request by a Proposer to modify a contract requirement, specifically for that Proposer, prior to the Proposal due date. Requests for contract modifications that may qualify as ATC's may include, but not be limited to, changes in design criteria, changes in alignments or location of facilities, changes to review and submittal processes, change in material or structure types, change in interchange type, etc. The ATC process provides an opportunity for Proposals to promote innovation, find the best solutions, and to maintain flexibility in the procurement process. ATCs are evaluated by SCDOT within the deadline set forth in the Milestone Schedule. In order to be approved, an ATC must be deemed, in SCDOT's sole discretion, to provide a Project that is equal or better in quality or effect on an overall basis than the Project would be without the proposed ATC. Concepts that simply delete or reduce scope, require or are premised upon an addition of a separate SCDOT project (or expand the Project's scope), lower performance requirements, lower standards, or conflict with environmental commitments are not eligible for consideration as ATCs. SCDOT reserves the right, in its sole discretion, to reject any ATC. No ATC shall be included in the Proposal unless approved by SCDOT in writing prior to the SCDOT's Final Determination for Formal ATCs date on the Milestone Schedule.

ATCs which make changes to the following items will not be considered by SCDOT:

- Acceptable wall types as identified in Exhibit 4b
- Retaining wall formliner finish as specified in Exhibit 4b and Attachment B
- Bridge rail type specified in Exhibit 4b and Attachment B
- Use of steel casing pipe as carrier pipe in Trenchless Pipe Installation as described in Exhibit 5

As the environmental determination has been made for this Project, the following shall be used during the development of proposals and ATCs:

- Concepts which violate environmental commitments require submittal and approval of an ATC to be eligible for implementation.
- If the environmental determination for the Project evaluated a study area without specifying a preferred alternative:
  - a. Designs which stay within the study area are permissible and do not require submission of an ATC, provided all other RFP requirements are met;
  - b. Any design which extends beyond the study area requires submittal and approval of an ATC to be eligible for implementation.

- If the environmental determination for the Project identified a preferred alternative:
  - a. Shifts in the preferred alternative alignment that stay within the proposed right of way limits presented in the document and do not increase the environmental impacts are permissible without the submission of an ATC, provided all other RFP requirements are met;
  - b. Shifts in the preferred alternative alignment that stay within the proposed right of way limits presented in the document but increase the environmental impacts require submittal and approval of an ATC to be eligible for implementation;
  - c. Any shift in the preferred alternative outside the proposed right of way limits presented in the document will require submittal and approval of an ATC to be eligible for implementation.

#### 3.7.1 Submittal of Preliminary ATCs

Preliminary concepts are intended to be an informal inquiry by the Proposer to explore a concept and a quick method by SCDOT to review and comment on potential development of ATCs prior to investment of time and resources by the Proposer. Preliminary concepts shall present a description, deviations, and a range of costs (savings, additional costs), as further described in Section 3.8.1. Other items identified in Section 3.8.1 can be provided, but are not required. The amount of information provided shall be constrained to the boxes provided in the Preliminary ATC Submittal Form, which may be downloaded from the SCDOT Design-Build website under the SCDOT Design-Build "Standard Forms" page. SCDOT will allow one single tabloid sized attachment (11" x 17") for detailed drawings or sketches. Submission of preliminary concepts does not change or extend the submission deadline of Formal ATCs.

Proposers shall be limited to one package of preliminary concepts and the total number of preliminary concepts shall not exceed 8. The Proposer shall rank their Preliminary ATCs in order of importance or priority on the Preliminary ATC Submittal Form.

All Preliminary ATCs shall be submitted electronically by uploading to the 01 ATC folder in ProjectWise. Each Preliminary ATC Submittal Form and supporting information shall be combined in one PDF file per ATC that will be uploaded to ProjectWise. When uploading to ProjectWise, the Proposer shall enter appropriate attributes for each document in the upload wizard. The document will not upload without entering the required attribute information.

#### https://www.scdot.org/business/design-build.aspx.

The Proposer shall submit Preliminary ATCs for review in accordance with the Milestone Schedule. All Preliminary ATC information being exchanged between Proposers and SCDOT shall occur only on the specific dates shown, unless otherwise directed by the SCDOT POC.

#### 3.7.2 Confidential Preliminary ATC Meeting

SCDOT will offer Confidential Preliminary ATC Meetings at the request of the Proposers. Proposers shall request a meeting in writing (email is acceptable) addressed to the SCDOT POC with a copy to the alternate POC by the date specified in the Milestones Schedule. SCDOT will reserve one and a half hours of meeting time for each Proposer that requests a meeting, and SCDOT will give time of day preference in the order that requests are received. The purpose of this meeting is to provide an opportunity to confidentially discuss the preliminary ATCs and questions. Prior to the meeting, SCDOT will provide a tentative response on each Preliminary ATC. SCDOT will identify those preliminary ATCs that require additional information, which will have priority in the meeting. SCDOT may answer questions at the meeting orally. Oral responses are for information only and are not binding. Nothing discussed at this meeting shall change the requirements in the RFP. Proposers may bring additional information to the confidential meeting to support their preliminary ATC.

#### 3.7.3 Responses to Preliminary ATCs

SCDOT will provide a response to the Proposer in accordance with the Milestone Schedule. SCDOT responses will be "Favorable," "Not Favorable," "Addendum," or "Not an ATC." If additional clarification is needed after the Preliminary ATC Meeting, Proposers are responsible for sending the clarification as soon as possible so that SCDOT has an opportunity to assess the benefits of the concepts before providing a response in accordance with the Milestone Schedule. A favorable response by SCDOT in no way guarantees that the concept will become an approved Formal ATC. The favorable response may be subject to conditions.

#### 3.8 Formal Alternative Technical Concepts

#### 3.8.1 Submittal of Formal ATCs

Each Formal ATC submittal shall include the following.

- **Sequential number:** Each ATC shall be numbered sequentially identifying the submitting Proposer. No multi-option submissions are allowed (i.e. each option is itself an ATC).
- **Description:** A detailed description and schematic drawings of the configuration of the ATC or other appropriate descriptive information (including, if appropriate, specifications, construction tolerances, special provisions, proposed bridge types, product details, and a traffic operational analysis). Drawings shall clearly indicate what is specifically proposed for this project. Samples/Examples from other projects may be used but specific commitments shall be identified for this project (i.e. member sizes, material requirements, etc. Specification and special provisions shall be supplied if

the ATC covers materials, products, etc. not commonly used by SCDOT and not covered in the RFP package or references. Traffic analysis shall provide adequate information to clearly demonstrate the impacts of the Proposal on the Project and shall contain summaries where appropriate to aid in review.

- **Usage:** Locations where and an explanation of how the ATC would be used on the Project.
- **Deviations:** Clearly identify the sections and page numbers in the RFP which is deviated and requires submission of an ATC. Include an explanation of the nature of the proposed deviation and a request for approval of such deviations or a determination that the ATC is consistent with the requirements of the RFP. If consistent with the RFP, please provide the sections and page numbers in the RFP which address the concept.
- **Justification:** Justify use of the ATC and why the deviations from the requirements of the RFP should be allowed.
- **Schedule:** Proposed changes to the Project schedule if applicable. If early delivery is proposed, Proposer shall clearly define any proposed liquidated damages or effect to the Contract if the early date is not met.
- **Impacts:** Identify potential impacts on vehicular traffic, safety, community, utilities, right of way, and the environment.
- **History:** A detailed description of other projects where the ATC has been used under comparable circumstances, the success of such usage, and names and telephone numbers of project owners that can confirm such statements.
- **Risks:** A description of added risks to SCDOT and other persons/entity associated with implementing the ATC.
- **Costs:** An estimate of the impact of the ATC on the Proposal Price and the ATC implementation costs to SCDOT, FHWA, Contractor, or other person during construction, maintenance and operations.
- Quality: A description of how the ATC is equal or better in quality and performance than the requirements of the RFP.
- **Operations & Maintenance:** Any changes in operation or maintenance requirements associated with the ATC.

The technical response to each item outlined above shall be provided in the Formal ATC Submittal Form on the SCDOT Design-Build website. Text submissions shall be limited to the form and shall not be provided as attachments. Only drawing details, plan sheets, charts, tables, graphs, specifications, special provisions, manufacturer data sheets, and supporting reports/analyses can be provided as an attachment to the Formal ATC Submittal Form.

A maximum number of 4 formal ATCs may be submitted to SCDOT by the Proposer for consideration.

All Formal ATCs shall be submitted electronically by uploading to the 01 ATC folder in ProjectWise. Each Formal ATC Submittal Form and supporting information shall be combined in one PDF file per ATC that will be uploaded to

York County, South Carolina

ProjectWise. The Formal ATC Submittal Form can be downloaded from the SCDOT Design-Build website under the SCDOT Design-Build Standard Forms Section. When uploading to ProjectWise, the Proposer shall enter appropriate attributes for each document in the upload wizard. The document will not upload without entering the required attribute information.

https://www.scdot.org/business/design-build.aspx.

The Proposer shall submit Formal ATCs in accordance with the Milestone schedule. All information being exchanged between Proposers and SCDOT shall occur only on the specific dates shown, unless otherwise directed by the SCDOT POC.

#### 3.8.2 Review of Formal ATCs

Review of formal ATCs shall be in accordance with the information and Milestone Schedule provided herein.

- a. Initial Review: Upon completion of the initial review, SCDOT will make a final determination in accordance with Section 3.8.3, request more information, or provide a conditional response.
- b. More Information Needed: SCDOT may submit written questions to the Proposer as outlined in the Milestone Schedule, and/or request a one-on-one meeting in order to better understand the details of the formal ATC. In addition, for questions related to minor clarifications, SCDOT may submit written questions to the Proposer anytime during the initial review or any subsequent review. Proposers will then have the opportunity to resubmit according to the Milestone Schedule.
- c. One-on-One Meetings: Confidential One-on-One Formal ATC meeting(s) may be scheduled to fully understand the details of any formal ATCs. These meetings will be restricted to those persons involved in the review of the formal ATC and limited to discussions of the Proposer's formal ATC approach and any outstanding confidential questions. The purpose of this meeting is to discuss proposed changes, answer questions, and other relevant issues. Oral responses are for information only and are not binding. Nothing stated at any formal ATC meeting(s) will modify the RFP or Contract Documents. SCDOT reserves the right to disclose to all Proposers any issues raised during the ATC meeting(s) in an addendum. However, SCDOT will not disclose any information pertaining to an individual Proposer's ATCs or other technical concepts to other Proposers.
- d. Conditional Response by SCDOT: If the SCDOT states a formal ATC is not approved in its present form, it may be reconsidered for approval upon satisfaction, in SCDOT's sole discretion, of certain identified conditions that must be met or certain clarifications or modifications that must be made by

Proposer. The Proposer shall not have the right to incorporate this formal ATC into the Proposal unless and until the formal ATC has been resubmitted in accordance with the Milestone Schedule, with the conditions, clarification and modifications satisfied, and SCDOT has made a final determination.

e. No Response from SCDOT: If the Proposer does not receive correspondence from SCDOT in accordance with the Milestone Schedule, the formal ATC is deemed rejected by SCDOT, unless written notification to extend this period is given by SCDOT.

#### 3.8.3 Final Determination of SCDOT

SCDOT will make one of the following written determinations with respect to each properly submitted ATC:

- a. The ATC is approved.
- b. The ATC is not approved.
- c. The submittal does not qualify as an ATC but appears eligible to be included in the Proposal without an ATC (i.e., the concept appears to conform to the RFP and to be consistent with other contract requirements).
- d. The ATC is deemed to take advantage of an error or omission in the RFP, or other documents incorporated into the contract by reference, the ATC will not be considered, and the RFP will be revised to correct the error or omission.
- e. More than one formal ATC has been received on the same topic and SCDOT has elected to exercise its right to issue an addendum to the RFP to include that topic.

Once an ATC has been approved, only the entire ATC is eligible for inclusion into the Proposal. The inclusion of partial ATCs into a Proposal is not allowed, unless the individual ATCs have received separate approval by SCDOT.

Each Proposer, by submittal of its Proposal, acknowledges that the opportunity to submit ATCs was offered to all Proposers, and waives any right to object to SCDOT's determinations regarding acceptability of ATCs.

#### 3.8.4 Incorporation into Proposal

A Proposer has the option to include any or all approved ATCs in its Proposal. If SCDOT responded to an ATC by identifying conditions for approval, Proposer may not incorporate such ATC into the Proposal unless all conditions have been met. Copies of SCDOT's ATC approvals, which shall include the ATC Summary Form for each incorporated ATC, shall be included in the

Technical Proposal appendices. Proposals with or without ATCs will be evaluated against the same technical evaluation factors set forth in the Evaluation of Proposals section, and the inclusion of an ATC, including an ATC that provides technical enhancements, may or may not receive a higher technical rating. SCDOT approval of an ATC shall not be considered a guaranty that the Proposal incorporating the ATC will be selected. SCDOT's rejection of an ATC will not entitle the Proposer to an extension of the Proposal submission deadline on the Milestone Schedule or claim for additional costs or delays, including development costs, loss of anticipated profits, or increased material or labor costs. The Total Cost to Complete shown in the Cost Proposal shall reflect any incorporated approved ATCs. Except for incorporating approved ATCs, the Proposal may not otherwise contain exceptions to or deviations from the requirements of the RFP.

#### 3.8.5 Value Engineering

Any ATC approved for incorporation, but that is not incorporated into the Proposal will not be considered a pre-approved value engineering change.

#### 3.8.6 Abandonment of ATC by Proposer

If the approved ATC is abandoned by the Proposer, is unable to obtain required approvals, is otherwise proved to be infeasible, or fails to be constructed for any reason, the successful Proposer is obligated and required to complete the Project utilizing the original RFP requirements at the awarded cost, and shall be responsible for any redesign costs.

Furthermore, if implementation of an ATC will require approval by a third party (including any governmental entity or other permitting authority), and if any such required third-party approval is not subsequently granted, then the Proposer must comply with the requirements of the original Contract.

#### 3.8.7 SCDOT's use of Concepts Contained in an ATC

SCDOT expressly reserves the right to adopt and use any ATC, approved or disapproved, by the successful Proposer on this contract or other contracts administered by SCDOT. By submitting a Proposal, all unsuccessful Proposers acknowledge that upon acceptance of the designated stipend, all approved or disapproved ATCs may be included in this contract or other contracts administered by SCDOT and shall become the property of SCDOT without restriction on use. Prior to contract execution, limited negotiations may be conducted as necessary to incorporate the ideas and concepts from unsuccessful Proposers, provided a stipend is accepted by the unsuccessful Proposer. After execution of the Contract, all ATCs from Proposers who have accepted a stipend will be subject to FOIA.

#### 3.8.8 Proposer Obligations

The successful Proposer, in addition to performing all other requirements of the Contract Documents, shall:

- a. Obtain and pay the cost of obtaining all required approvals including approvals required to implement any approved ATC(s) incorporated into the Contract Documents;
- b. Obtain and pay the cost of obtaining any third party approvals required to implement any approved ATC(s) incorporated into the Contract Documents;
- c. Unless otherwise noted in the Contract, be responsible for all costs and/or delays of any nature associated with the implementation of any approved ATC incorporated into the Contract Documents; and
- d. Be solely responsible for reviewing the RFP and determining if the ATC deviates from the revised requirements if SCDOT revises the RFP after a formal ATC has been approved. The Proposer must submit a request for approval of all additional variances required within five (5) business days of receipt of the RFP addendum.

#### 3.9 Confidentiality

Subject to the provisions of these instructions and applicable public disclosure laws applicable to the SCDOT, all Preliminary ATCs and formal ATC submittals, and related communications will remain confidential until the earlier of execution of the Agreement or cancellation of this procurement, provided, however, that prior to execution of the Agreement, Preliminary ATCs and formal ATC submittals of unsuccessful Proposers that have agreed to and executed a stipend agreement will be subject to disclosure to the selected Proposer in accordance with Section 3.8.7.

#### 3.10 Stipends

By submitting a Proposal in response to the RFP, the Proposer acknowledges the following:

- 1. It is the intent of SCDOT to award a stipend of \$115,000.00 to each responsible Proposer that submits a responsive Technical Proposal and Cost Proposal subject to the terms of the Stipend Agreement set forth in Section 13 of the RFP Instructions. Shortlisting of Proposers is evidence of Proposer's responsibility.
- 2. If Proposer elects to receive a stipend, the Stipend Acknowledgement form and Stipend Agreement shall be signed by Proposer and submitted as part of the unsealed Technical Proposal. The Stipend Agreement will not count against the specified page limit.

If Proposer accepts a stipend, all information obtained under this RFP from such Proposer will become the property of SCDOT without restriction or limitation on its use, including Alternative Technical Concepts (ATCs). If Proposer accepts a stipend,

SCDOT shall have unrestricted authority to publish, disclose, distribute, or otherwise use in whole or in part any reports, data, or other materials prepared under this RFP. SCDOT shall retain ownership of all plans, specifications, and related documents. If a Proposer elects not to accept the stipend, Proposer's ATCs may remain the property of Proposer.

#### 3.11 Changes in Proposer's Organization; Key Individuals

In order for a Proposer to remain qualified to submit a Proposal, unless otherwise approved in writing by SCDOT, the Proposer's organization as identified in the SOQ must remain intact for the duration of the procurement (i.e., until execution of the Contract). If a Proposer wishes to make changes in the Key Individuals identified in its SOQ including, without limitation, additions, deletions, reorganizations, changes in equity ownership interests and/or role changes in or of any of the foregoing, the Proposer shall submit to SCDOT a written request for its approval of the change as soon as possible but in no event later than 10 days prior to submission of the Technical Proposal as set forth in the Milestone Schedule. Any such request shall be addressed to SCDOT POC, accompanied by the information specified for such individual persons in the RFQ.

If a request is made to allow deletion of any member of its team (person or entity) identified in its RFP, the Proposer shall submit such information as may be required by SCDOT to demonstrate that the changed team or key individual meets the RFQ and RFP criteria including being equal or better. The Proposer shall submit its request by uploading the completed submittal online through ProjectWise in .pdf format through the accounts created in connection with submission of the SOQ. SCDOT is under no obligation to approve such requests and may approve or disapprove in writing a portion of the request or the entire request at its sole discretion.

Except as provided herein and in the Contract, a Proposer may not make any changes to its team (including additions, deletions, reorganizations, changes in equity ownership interests and/or role changes) after the deadline noted above. Between such deadline and execution of the Contract, SCDOT, in its sole discretion, will consider requests by Proposers to make changes in the Proposers' organization based only on unusual circumstances beyond the Proposer's control.

In no event shall the Technical and Cost Proposal Due Dates be extended or shall SCDOT incur any liability for any disapproval of a change in Proposer's organization (including specifically any change with respect to Key Individuals).

The Key Individual positions with respect to which approval must be obtained, including the responsibilities, requirements and restrictions (including those relating to individuals filling of multiple Key Individual roles) of those individuals, are the Key Individual positions listed in the RFQ.

# 3.12 Organizational Conflicts of Interest; Prohibited Communications; Restricted Persons

The Proposer, and all members of Proposer's team (including all Key Individuals) are bound by the organizational conflict of interest, prohibited communications, restricted persons/entities, and related provisions under the RFQ. For any conflicts of interest identified since the submittal of the Proposer's SOQ, Proposers shall submit the required Disclosure of Potential Conflict of Interest Certification (found at https://www.scdot.org/business/design-build.aspx). For avoidance of doubt, any change in Proposer's organization requires submission of such certification and a determination by SCDOT.

#### 4. PROPOSAL DEVELOPMENT AND SUBMITTAL

Proposals must be submitted separately in two parts, a Technical Proposal and a Cost Proposal. Required forms, confidentiality list, conceptual plans, and approved Formal ATCs used in preparing the Proposer's Cost Proposal shall also be incorporated in the Technical Proposal as Appendices. If a Proposer does not, at a minimum, submit a Technical Proposal Narrative and Technical Proposal Conceptual Plans, the submittal will be considered non-responsive and retained without further review/evaluation. Any concepts that conflict with the RFP discovered during the evaluations or after award of the Project, and which are not approved as an ATC, shall not control over the RFP and shall be resolved at no expense to SCDOT (i.e. time or cost). The determination of whether a concept conflicts with the RFP and the resolution of that conflict shall be at the sole discretion of the SCDOT.

#### 4.1 Technical Proposal

The Technical Proposal Narrative shall contain no more than 10 pages, excluding the required appendices. Charts, tables, and schedules used to explain or expand on the Technical Proposal are to be included within the page limit and shall not be inserted into the appendices. No additional information shall be accepted, including, but not limited to, links to external websites, video clips, or simulations/visualizations embedded within the Narrative.

The Technical Proposal Narrative shall be in English on single sided 8.5"x11" letter sized paper, with minimum twelve-point Times New Roman font and double line spacing for text. Any Conceptual Plans shall be provided in black and white on single sided 11"x17" paper unless otherwise noted herein.

The Technical Proposal Appendices shall only include:

Appendix A – Conceptual Plans

- A.1 Roadway Plans
- A.2 Maintenance of Traffic Plans and/or Documents

#### • A.3 – Bridge Plans

Appendix B – Required Forms, and Confidential and Proprietary Information Page List

Appendix C – Approved Formal ATCs being incorporated into the Proposer's Cost Proposal

<u>In the Technical Proposal Narrative</u>, Proposers shall include a discussion on its Project Delivery and Approach or the proposal will be considered non-responsive. The Proposer may choose to include a discussion on Innovation and Added Value; however, the decision to not discuss Innovation and Added Value will not result in a non-responsive proposal. The Technical Proposal Narrative shall be developed in the following sequence:

- 1. Describe the Project Delivery & Approach by discussing/providing the following. (25 points).
  - a. Identify the proposed schedule for implementing the Project. Include the sequence of construction and material and equipment staging plan. Describe methods that will allow a reduction in the overall construction schedule for the project. Provide Critical Path Method (CPM) Schedule, graphically, that shows the expected plan. Include the following items at a minimum:
    - o Design phases/breakdown
    - o Start and finish milestones for all segments, sections, or phases
    - o Details of traffic control plans
    - o Traffic shifts
    - o Utility windows
    - o Right of way acquisitions/right of entry
    - Special contract requirements
    - o Known or expected risks
    - Other activities or relationships that are critical to the Proposer's Project design or construction
  - b. Describe Team's approach for maintaining interstate traffic while avoiding and minimizing impacts to the traveling public, especially during bridge girder erection.
  - c. Describe the proposed approach for coordinating with the ongoing construction of the Carolina Panthers' facility during project construction.
- 2. Describe the Proposer's Innovation and Added Value to the Project that clearly provides additional benefit to SCDOT or the public. The Proposer has an opportunity to earn up to 100 Quality Credit points. An innovative feature of a Proposal means proposing practices that are not standard or customary to the industry, nonetheless safely, efficiently, and effectively accomplish the same goal. Innovation may include the Proposer's means and methods, roadway alignments, approach to Project, etc. If the Proposer wishes SCDOT to consider items, including Formal ATCs previously authorized for inclusion in the Proposal, to be innovative or to provide additional quality, these items must be described in this

section to be eligible for any of these points. However, SCDOT reserves the right to assign points for all items deemed innovative and favorable to SCDOT or the public that have not been identified in this section. In addition, the Proposer should consider, but not be limited to, the following items, which SCDOT considers high risk to the Project.

- a. Minimizing and avoiding impacts to traffic during all construction activities including, but not limited to, the following:
  - Temporary lane closures
  - Traffic shifts
  - Construction stages

Ability to meet project schedule goals including any milestone schedule dates, while coordinating with adjacent construction activities

<u>In the Technical Proposal Appendices</u>, Proposers shall provide the following items.

- 3. Provide Conceptual Roadway Plans (25 points). The intent scoring Proposer's conceptual roadway plans is for SCDOT to understand that the Proposer clearly demonstrates its understanding of requirements of the RFP and the Team's approach to meet those requirements. The quality of the plans will be reviewed and scored for compliance with RFP requirements, including Formal ATCs authorized for inclusion in the proposal, if any, rather than plan development/preparation conformance. The following shall be provided.
  - a. 1"=400' scale Project layout superimposed over aerial imagery showing:
    - Horizontal geometry
    - Existing right of way
    - Proposed right of way
    - Proposed concrete barrier
    - Proposed retaining walls
    - Construction limits
    - Environmentally sensitive areas including wetlands, streams, etc.
  - b. Typical sections for all roadways shall include as a minimum (11"x17" plan sheets):
    - Design speed
    - Functional classification
    - Lane configuration and widths
    - Shoulder and median widths
    - Cross slopes
    - Point of grade
    - Notes and details as necessary

c. Plan and profile for the entire project limits including interchange layout (11"x17" plan sheets).

#### Plan view shall include as a minimum:

- Geometric layout with reference data
- Superelevation data
- Taper lengths
- Deceleration/acceleration lengths
- Construction limits
- Control of Access Limits (mainline and interchange)
- Access Points (mainline and interchange)
- Existing and proposed Right of Way
- Lane alignment
- Clear zone limits
- Horizontal clearance at obstructions (any critical locations)
- Roadside barriers (location and type)
- Bridge and box culverts
- Limits of retaining walls
- Limits of pedestrian and bicycle facilities
- Indicate any design exceptions approved in the RFP

#### Profile view shall indicate:

- Grades & elevations
- Vertical curvature (PI station & elevation, length & K value, stopping site distance design speed met)
- Bridge clearance envelopes
- d. Cross sections only where necessary to indicate a significant difference from the conceptual plans in the Project Information Package. These should be limited to only those showing a significant change and may be segmented for only the areas where changes occur (11"x17" plan sheets).
- e. Special emphasis details (where needed to clearly demonstrate understanding and approach isolated locations such as ramp ties, wall types, etc.) (11"x17" plan sheets).
- 4. Provide Conceptual Maintenance of Traffic Plans (30 points). The plans shall depict the number of construction stages and a staging narrative within those plans to include duration of each stage. The plans may be color coded and can be provided on roll plots at 1" = 200' on 36" width x 8' length sheets fort the entire projects limits including interchanges, as applicable. Plan scale and detail for critical areas shall be appropriate for demonstrating transitions, directional flow, and all items below.
  - a. Plan for areas deemed critical by the design team for staging concerns. These areas may require cross sections for more detail.

- b. Plan for maintaining interstate traffic.
- c. Plan for maintaining positive temporary drainage during stages.
- d. Plan for placing girders over the interstate.
- e. Plan for notifying the traveling public of upcoming stages.
- 5. Provide Conceptual Bridge Plans which shall consist of the following (20 points).
  - a. Plan and profile of bridge showing horizontal and vertical clearances and expansion joint locations and types of joint materials.
  - b. Superstructure cross sections and substructure elevations showing pertinent structural elements, dimensions, and types of bearings.
  - c. Bridge construction access plan showing areas used to access the bridge work and showing proposed equipment and material handling locations and staging.
  - d. Retaining wall envelopes at the bridge ends showing top of wall, ground lines, and bottom of wall (required only if retaining walls are proposed).
- 6. Required Forms, and Confidential and Proprietary Information include.
  - a. Stipend Acknowledgement form
  - b. Stipend Agreement
  - c. EEO Certificate
  - d. Non-Collusion Certificate
  - e. Notice of Receipt of Addendum
  - f. Updated Organization Chart and Notarized Statement of Availability of Key Individuals
    - If SCDOT has approved the replacement of Key Individuals since shortlisting, provide an updated organizational chart from the Proposer's Statement of Qualifications incorporating the approved changes by the SCDOT.
    - The Proposer shall include notarized written statement from the direct employer, cosigned by Proposer's Project Manager with respect to each Key Individual indicating that each such Key Individual identified on the original organizational chart submitted with the SOQ or the updated organizational chart reflecting SCDOT approvals will be available at the earliest of the times and durations identified in the RFQ and RFP, until expiration of the Warranty Period, or such earlier date as the Contract is terminated or SCDOT releases, in writing, such Key Individual from this requirement.
  - g. Confidential and Proprietary Information Page List (See Section 4.4)
  - h. Prequalification certificate for Proposer (lead contracting entity) identified in the organization chart. If the Proposer is a Joint Venture, prequalification certificates for each individual member and/or partner, as well as for the Joint Venture.
  - i. A copy of the joint venture organizational agreement, if not already submitted with the SOQ. Regardless if previously submitted, if a joint venture, consortium, limited liability company, or corporation, Proposer shall

demonstrate clearly the joint and several liability to SCDOT of its joint venture, consortium, or limited liability company members or corporation's shareholders, which may be evidenced in organizational documents or by separate certificate signed by Proposer and Proposer's members or shareholders.

The Technical Proposal Narrative and Conceptual Plans submitted as a part of the Technical Proposal will be considered a commitment and shall become part of the Contract. If awarded the Project, the Proposer commits to deliver this Project as set forth in their Technical Proposal and further agrees to correct all non-conforming aspects, omitted items, and deficiencies to the satisfaction of SCDOT and at no additional cost to SCDOT. After award, if subsequent revisions to the Technical Proposal are desired by the Proposer, even if within the parameters of the RFP requirements, SCDOT approval will be required. SCDOT reserves the right to utilize the Contract Change Request process to approve any desired revision.

#### 4.2 Cost Proposal

The Cost Proposal shall include the completed Cost Proposal Bid Form and Bid Bond Form provided at the end of this document. The Cost Proposal Bid Form and Bid Bond shall be sealed in a separate envelope and delivered as part of the Cost Proposal per the Milestone Schedule.

#### 4.2.1 Bid Bond

Bid Bonds must be issued by an Eligible Bid Bond Surety (as defined below) registered and authorized to do business in the State of South Carolina. Any person signing a bid bond as an attorney-in-fact shall include with the bid bond evidence of authority to bind the surety. An original, or a photocopy or facsimile of an original, power of attorney is sufficient evidence of such authority. Electronic, mechanically-applied and printed signatures, seals and dates on the power of attorney shall be considered original signatures, seals and dates, without regard to the order in which they were affixed. Proposers shall ensure that the bid bond/proposal is written by a corporate surety company licensed for surety authority by the Chief Insurance Commissioner of the South Carolina Department of Insurance and has a rating of "A" or better assigned by A.M. Best Company on its most recent Best's Key Rating Guide (collectively such qualifications constituting an "Eligible Bid Bond Surety"); otherwise, the bond will not be accepted. Proposers shall ensure that the bid bond/proposal guaranty is fully executed and indicates the name of the Proposer, the name of the surety, the project for which the bond is issued, the penal amount of the bond, and that the bond guaranties and names the South Carolina Department of Transportation as the oblige. Proposal guarantees must be included in the Proposer's response to the RFP on the required form and submitted as part of the sealed Cost Proposal. Failure to furnish a bid bond in the proper form and amount with the response to the RFP may be cause for rejection of the Proposal. Bid bonds shall be payable to SCDOT, shall be for at least five percent (5%) of the total amount of the Cost Proposal, and shall serve as a guarantee deposit that the offer will be carried out to Contract execution.

Failure to execute the Contract, or failure to meet and submit insurance and bond requirements within 20 days of receipt of the Contract, shall result in its bid security being forfeited, and the Notice of Award and Contract will be rescinded and awarded to another Proposer. Withdrawal or attempted withdrawal of a Proposal after the receipt of the Cost Proposal may also result in forfeiture of bid security.

A Proposal submitted without the Bid Bond Form may be deemed non-responsive.

#### 4.3 Proposal Submittal

Proposals must be submitted separately in two parts, a Technical Proposal and a Cost Proposal, Proposers are required to upload the Technical Proposal, signed forms, and appendices, online through ProjectWise in fully-searchable PDF format. Two completed submittals per team will be accepted, one original and one redacted (if the Proposer elects to waive payment of the Stipend), and shall be uploaded by either the lead contracting entity or lead design firm. The original proposal documents that are uploaded to ProjectWise shall be named in accordance with the Design-Build File Naming Conventions. If the Proposer elects to waive payment of the Stipend, redacted Proposal documents shall be uploaded to ProjectWise using the format outlined in the Design-Build File Naming Conventions. The naming conventions requirements can be found at https://www.scdot.org/business/design-build.aspx. Proposers are advised of the time required to set up new account. All requests for new accounts must be received 72 hours prior to the Proposal deadline indicated in the Milestone Schedule. More information is available at https://www.scdot.org/business/design-buildprojectwise.aspx.

Proposers are to physically deliver one sealed, printed copy of the Cost Proposal. Deliver to:

Ms. Carmen Wright
Office of Project Delivery
South Carolina Department of Transportation
955 Park Street, Room 101
Columbia, South Carolina 29202-0191

Proposers are responsible for affecting delivery by the date in the Milestone Schedule. Late submissions will be rejected without opening. SCDOT accepts no responsibility for misdirected or lost Proposals.

#### 4.4 Confidentiality of Proposals

Proposer shall specifically mark as "Confidential" any elements of its Technical Proposal and Cost Proposal, as well as any pre-proposal exchanges of information, that they consider to contain confidential or proprietary information, and the release of which would constitute an unreasonable invasion of privacy. All markings must be conspicuous; use color, bold, underlining, or some other method in order to conspicuously distinguish the mark from the other text. Do not mark the entire Proposal as confidential or proprietary. In the Technical Proposal appendix, Proposer shall include a list of page numbers that contain confidential and/or proprietary information. Failure to include this list in the Technical Proposal appendix waives the confidentiality protection and subjects the information to disclosure in accordance with the law. In determining whether to release documents, the SCDOT will rely on the Proposer's marking of each page or portions of pages of documents, as required by these instructions, as being either "Confidential" or "Trade Secret". Proposer shall be prepared upon request to provide justification of why such materials shall not be disclosed under the South Carolina Freedom of Information Act, Section 30-4-10, et seq., South Carolina Code of Laws (1976) as amended. Proposals will be kept confidential and will not be disclosed, except as may be required by law. Blanket designations of confidentiality (i.e. that do not identify the specific information believed to be confidential) may be disregarded.

The Proposer must submit one complete copy of its Proposal from which it concealed such "Confidential" information, i.e. the redacted copy. Even in the absence of "Confidential" information, the Proposer must submit a redacted copy of their Proposal. The redacted copy should (i) reflect the same pagination as the original, (ii) show the empty space from which information was redacted, and (iii) be submitted electronically. Except for the information concealed, the redacted copy must be identical to its original Proposal, and the SCDOT POC must be able to view, search, copy and print the redacted copy without a password.

#### 4.5 Non-collusion and Equal Employment Opportunity Certification

Proposers shall certify that they have not participated in any collusion or otherwise taken any action in restraint of free competitive bidding in connection with the submission of this Proposal on this Project. A Proposal submitted without the non-collusion certification may be deemed non-responsive. The non-collusion certification form provided as part of this document shall be submitted as part of the Technical Proposal and will not count against the specified page limit. Each joint venture team member shall submit a separate non-collusion certification.

Proposers shall complete the Equal Employment Opportunity (EEO) Performance Certification form provided as part of this document. A Proposal submitted without the EEO certification may be deemed non-responsive. The EEO certification form shall be submitted as part of the Technical Proposal and will not count against the specified page limit. Each joint venture team member shall submit a separate EEO certification.

#### 5. EVALUATION OF PROPOSALS

#### **5.1** Evaluation Committee

An Evaluation Committee ("Committee") will be appointed by SCDOT to review the Proposals. The voting committee members will be comprised of SCDOT employees. The committee may consult with SCDOT employees, Project stakeholders, and/or outside consultants having expertise in the various disciplines required by the Project, including FHWA.

#### **5.2** Proposal Review

The Committee will review the Technical Proposals and determine responsiveness based on the Proposal Development criteria in Section 4. Cost Proposals will be accepted from those Proposers deemed to have responsive Technical Proposals. Proposers that submit a non-responsive Technical Proposal will be sent a letter with an explanation as to the reasons for determining non-responsiveness. All original copies of the non-responsive Technical Proposal(s) will be retained by SCDOT. Reasons for determining a Technical and/or Cost Proposal to be non-responsive may result from, but are not limited to, the following: failure to provide all information requested in the Proposal (including specifically correct completion of the Cost Proposal Bid Form), failure to conform to the material requirements of the RFP, conflict of interests, conditional Proposals. Technical and/or Cost Proposals which impose conditions that modify material requirements of the RFP may be rejected as non-responsive. Proposers will not be given an opportunity to correct any material finding of non-responsiveness. SCDOT reserves the right, in its sole discretion, to disregard, seek clarification on, or waive any minor informality, irregularity, nonconformity, discrepancy, omission, or apparent clerical mistake that, in each case, is unrelated to the substantive content of the Proposal.

#### **5.3** Technical Proposal Evaluation

**Technical Score** 

All Technical Proposals will be evaluated and scored on a scale of 0 to 100 points prior to opening the Cost Proposals. A Technical Proposal Narrative outlining the Proposer's Project Approach and Delivery which meets the minimum expectations of SCDOT as described in the RFP will be scored at 25 points. Conceptual Plans providing a quality design through sound engineering principles and practices will be scored at 75 points. Sound engineering principles and practices are those design concepts consistent with the RFP criteria and industry standards that are reliable, safe, and work efficiently as determined by SCDOT. Points will be deducted from the Project Approach and Delivery Technical Narrative and Conceptual Plans for aspects that do not conform to the RFP requirements, have omitted items, and contain deficiencies. At SCDOT's discretion, points will also be deducted for aspects of a Proposal that lack sound engineering judgement, as determined by SCDOT, in accomplishing the scope of work, incorporate minimal design values in areas where higher values are more

commensurate with the design context, or provide unsuitable quality. A Proposer will be deemed non-responsive if the evaluation of their Technical Proposal results in a score less than 70. The following criteria will be used in determining the Technical Score.

Project Delivery and Approach
Conceptual Roadway Plans
Conceptual MOT Plans
Conceptual Bridge Plans
Required Forms
Total Available

25 points
20 points
20 points
Pass/Fail
100 points

Each member of the Committee will examine each Proposal in detail to measure its contents against the evaluation factors and assign a score to each factor. The Committee will then meet and formulate its collective conclusions. The Committee must discuss significant variations in evaluator's scores or assessments of technical merit and resolve discrepancies or fully explain them. The Committee will assign the final score for each Technical Proposal by consensus.

The Proposer may be deemed non-responsive if all fully-completed required forms are not included in the Technical Proposal Appendices. SCDOT may, but is not required, to seek omitted required forms prior to evaluation. If the Proposer does not provide the omitted forms in the time allotted by SCDOT, the Proposer shall be deemed non-responsive.

#### **Quality Credit Score**

The Technical Proposal Narrative outlining the Proposer's Innovation and Added Value that, in the opinion of the SCDOT, provide additional benefits and added value to the Project beyond that specified in the RFP requirements will be awarded Quality Credits up to 100 points.

#### 5.4 Presentations

Proposers who have submitted responsive Technical Proposals will be invited by the Committee to make a presentation on the date identified in the Milestone Schedule. The Committee may prepare Clarification and/or Communication questions and these questions may be sent to the Proposers by the SCDOT POC prior to the presentation. The purpose is to highlight the key elements of its Proposal and to provide an opportunity to orally answer questions through an open dialogue. Proposers will be permitted to ask questions during the presentation. The presentation will be scheduled for 1 hour 20 minutes. SCDOT will terminate the presentation promptly at the end of the allotted time. The format for the Proposer's presentations is:

- Introduction of Key Individuals (Proposer)
- Highlight of Key Elements (maximum of 20 minutes) (Proposer)

- Clarification and Communication Q&A, Open Dialogue (SCDOT and Proposer)
- Wrap-up (Proposer)

The Proposer's attendees may consist of the Proposer's POC, Key Individuals, and other personnel shown on the Proposer's organization chart. However, the number of attendees shall not exceed 12 individuals.

The presentation will not constitute Discussions or negotiations. The Technical Proposal submitted electronically to the SCDOT will be made available to the Proposer via a computer with large monitors suitable for display to the Committee and the Proposer's attendees. The Proposers will be able to navigate through their Proposal and plans via the SCDOT provided computer access.

The Proposers shall not bring additional information, including additional copies of the proposal, additional plan sheets, design calculations or handouts to the presentation and shall limit their presentation to the material provided as a part of their Technical Proposal, and any questions posed by SCDOT.

#### 5.5 Clarifications

SCDOT, at its sole discretion, shall have the right to seek clarifications from any Proposer to fully understand information contained in their responses to the RFP. Clarifications mean a written or oral exchange of information which takes place after the receipt of Proposals when award without discussions is contemplated. For this Project, proposals are intended to be evaluated and award made without discussion unless discussions are determined to be necessary by the SCDOT POC. Therefore, Proposer's initial offer should contain the Proposer's best terms from a cost and technical standpoint. At its discretion, SCDOT may elect to hold discussions, despite conducting clarifications, when circumstances dictate. Clarifications do not have to be held with any specific number of Proposers and do not have to address specific issues. The purpose of clarifications is to address minor or clerical revisions in a Proposal. Examples include, but are not limited to, transposing numbers, incomplete sentences, and contradictions. The SCDOT POC may submit written questions to any Proposer to clarify a specific section of the Proposal and the Proposer shall respond in writing. SCDOT will acknowledge in writing receipt of the response. Responses will be used by the Evaluation Committee in scoring the Proposal. Clarifications will be incorporated into the Contract and will not alter the Contract requirements. SCDOT POC shall have exclusive discretion regarding whether clarification is needed. Clarification can be used by the SCDOT POC at any point in the procurement process.

#### 5.6 Communications

SCDOT, at its sole discretion, shall have the right to seek communications from any Proposer to fully understand information contained in their responses to the RFP. Communications do not have to be held with any specific number of Proposers and do not have to address specific issues. Communications are written exchanges, between

SCDOT and Proposers, after receipt of Proposals. The purpose of Communications is to

- enhance the Evaluation Committee's understanding of Proposals; allow reasonable interpretation of the Proposal; or facilitate the evaluation process;
- address ambiguities in the Proposal or other concerns (e.g., perceived deficiencies, weaknesses, errors, omissions, or mistakes); and
- address adverse past performance information to which the offeror has not previously had an opportunity to comment.

Communications shall not be used to cure Proposal deficiencies or material omissions, materially alter the technical or cost elements of the Proposal, and/or otherwise revise the Proposal. The SCDOT POC may submit written questions to any Proposer to seek Communication exchanges on perceived deficiencies, weaknesses, errors, omissions, mistakes, or lack of sound engineering judgement in the Proposal. The Communication process can include a oral exchange. However, the Proposer shall respond in writing to conclude the Communication process. The written responses shall become part of the Contract documents.

SCDOT reserves the right to conduct Discussions if clarifications, presentation or communication exchanges reveal the need to amend the RFP.

#### 5.7 Technical and Cost Proposal Analysis

Upon delivery of the Proposer's Cost Proposal at the time and date outlined in the Milestone Schedule, the Statement of Qualifications (SOQ) Score, the Technical Score, and the Quality Credit Score will be provided confidentially in a sealed envelope to each Proposer. After distribution of the scores, SCDOT will convene a closed session meeting; at which time, the Cost Proposals of Proposers with responsive Technical Proposals will be opened so that the analysis may be conducted.

The Total Cost to Complete and all other weighted criteria factors for each respective Proposer will be entered into the weighted criteria formula to determine a total weighted criteria score. Each Total Cost to Complete will be analyzed relative to the other weighted criteria factors and compared against the confidential SCDOT Engineer's Estimate. SCDOT will analyze the Proposals to determine if an award is justified. Upon completion of the analysis, the closed session will be adjourned and a public announcement will be made at the time and date outlined in the Milestone Schedule.

If upon analysis, there are no apparent concerns with the Proposals, the total weighted criteria score and the Cost Proposal information along with the SOQ Score, Technical Score, and Quality Credit Score for each Proposer will be read aloud during the public announcement. SCDOT intends to award the Contract to the Proposer with the highest Weighted Criteria Score. However, the Project may be cancelled after opening, but prior to the issuance of an award, when such action is determined in writing pursuant to

the Design-Build Procurement Manual to be in the best interest of the SCDOT. If the RFP is cancelled, Cost Proposals may be returned to the Proposers and a new solicitation may be conducted for the Project.

If upon analysis, there are concerns with the Proposals, the Cost Proposal information, SOQ Scores, Technical Scores, and Quality Credit Scores will not be read aloud and the Proposers will be advised whether SCDOT will hold discussions or cancel the procurement.

#### 5.8 Weighted Criteria Determination

Award of the Contract, if made, will be made to the responsible and qualified Proposer whose submittals generate the highest Weighted Criteria Score. To determine the Weighted Criteria Score, the Proposer's Total Cost to Complete will have a weight of 50, the Proposer's SOQ Score will be brought forward from Phase 1 of the procurement and have a weight of 10, the Proposer's Technical Score will have a weight of 20 and the Proposer's Quality Credit Score will have a weight of 20 for a Total Weight of 100.

The Proposer submitting the lowest Total Cost to Complete will be awarded the maximum number of points, 50. The next-lowest Total Cost to Complete will be awarded points based on the product of: (a) the ratio of the lowest Total Cost to Complete divided by the next-lowest Total Cost to Complete; and (b) 50 points (i.e., the points awarded for the lowest Total Cost to Complete), with such product rounded to the nearest one hundredth of a point. The process will continue for each of the remaining Proposer's Total Cost to Complete, with points being awarded based on the product of: (a) the ratio of the lowest Total Cost to Complete divided by the respective Proposer's Total Cost to Complete; and (b) 50 points (i.e., the points awarded for the lowest Total Cost to Complete), with such product rounded to the nearest one hundredth of a point.

The SOQ Score, Technical Score, and Quality Credit Score for each Proposer is converted to a percentage and multiplied by the point value assigned to each category, with such product rounded to the nearest one hundredth of a point.

The following formula will be used to determine the Weighted Criteria Scores.

Weighted Criteria Score = 
$$\left(\frac{A_{low}}{A_n} \times x_A\right) + \left(\frac{C}{100} \times x_C\right) + \left(\frac{D}{100} \times x_D\right) + \left(\frac{E}{100} \times x_E\right)$$

- low the lowest value from the Proposals being scored
- n the Proposal that is being scored
- x weight expressed as point values define above in this section
- A Total Cost to Complete all work to be performed under the Contract
- C SOQ Score from RFQ phase

- D Technical Score
- E Quality Credit Score

**Example for Determining the Weighted Criteria Score** 

	Total Cost to Complete	Weighted Cost	SOQ Score	Weighted SOQ	Technical Score	Weighted Technical	Quality Credit	Weighted Quality	Total Weighted
Proposal		Score		Score		Score	Score	Credit	Criteria
	(A)	(x=50)	(C)	(x=10)	(D)	(x=20)	(E)	Score	Score
								(x=20)	
A	\$30,000,000	50.00	62.00	6.20	95.00	19.00	70.00	14.00	89.20
В	\$35,000,000	42.86	60.00	N/A	69.00	N/A	N/A	N/A	N/A
C	\$38,000,000	39.47	56.00	5.60	89.00	17.80	85.00	17.00	79.87
D	\$31,000,000	48.39	58.00	5.80	97.00	19.40	90.00	18.00	91.59

Note: In this example, Proposal B was determined to be non-responsive and Proposal D was determined to be the highest Weighted Criteria Score.

In the event that two or more Proposers are determined to have the same Total Weighted Criteria Score, the award, if made, will be made to the Proposer with the highest weighted score for the criterion with the highest weight.

#### 5.9 Discussions

If necessary, after the Technical and Cost Proposal analyses, SCDOT may hold confidential discussions with each responsive Proposer relating to aspects of its respective Proposal. "Discussions" are written or oral exchanges with the intent of allowing the Proposers to revise their Proposals. However, after Discussions are concluded, SCDOT reserves the right to proceed with award without revisions to the Proposals.

Discussions are tailored to each Proposer's Proposal. The Discussion process is intended to assure that Proposers fully understand the requirements of the RFP and that the evaluation team fully understands each qualified Proposer's Technical Proposal and the Proposer's ability to perform as needed. Discussions involve only a limited exchange of information. Discussions are not negotiations. The SCDOT POC may discuss with each Proposer deficiencies, significant weaknesses, and other aspects of a Proposal that could be altered or explained in its Proposal. However, the SCDOT POC is not required to discuss every area where the Proposal could be improved. The scope and extent of Discussions are a matter of the SCDOT POC's judgment. If SCDOT determines that Discussions are necessary, SCDOT will forward a written invitation to the responsive Proposers.

SCDOT reserves the right to hold multiple Discussions at any length of time with all of Proposers. All Discussions shall be controlled by the SCDOT POC. Proposers shall not communicate with any other SCDOT employees regarding these Discussions except at the appropriate Discussion meetings.

At the conclusion of Discussions, SCDOT may either, 1) issue a Request for Best and Final Offers or 2) cancel the procurement.

#### 5.10 Best and Final Offer

At the conclusion of Discussions, if SCDOT determines that Proposal revisions are warranted, SCDOT may issue a Request for Best and Final Offer (BAFO). Regardless of the length or number of discussions, there will be only one request for a BAFO. If necessary, SCDOT may also issue an addendum to revise the RFP to allow revisions to clarify and document understandings reached during discussions. The Request for BAFO will include instructions for preparing and submitting the BAFO and will include a new Milestone Schedule. Proposers submitting a BAFO will not be requested to re-submit any documents which are unchanged from their initial Proposals. Proposers should provide necessary changes to individual paragraphs, as briefly as possible, together with a table of contents, which clarifies where within the initial Proposal the additional information or changed documents would be placed. Proposal revisions shall include a BAFO Acknowledgement Form that acknowledges receiving all RFP amendments, if applicable. If only Cost Proposal revisions are requested, Proposers will revise and resubmit the Cost Proposal, and SCDOT will analyze the Cost Proposals as outlined in Section 5.6. If Technical Proposal revisions are warranted, Proposers will revise and resubmit, and the procurement process will return to Section 5. A new bid bond shall be submitted only if the final Proposal revisions to the Proposer's Cost Proposal are greater than its initial Cost Proposal.

#### 5.11 Protest

#### 5.11.1 Grounds for Protest

Protest of Contents of Solicitation (Invitation For Bids or RFPs or other solicitation documents, whichever is applicable, or any amendment to it, if the amendment is at issue): Any Proposer who is aggrieved in connection with a solicitation document shall file a written protest to SCDOT's Chief Procurement Officer (CPO), PO Box 191, Columbia, SC 29202, within five business days of the date of posting of the solicitation, RFQ, RFP, or other solicitation document or any addendums to it on the SCDOT design-build website.

Protest of Short-listing: Any Protestant who is aggrieved in connection with the selection of short-listed Proposers shall file a written protest with the CPO within five business days of the date the short-list is posted on SCDOT design-build website. Any matter that could have been raised pursuant to the Protest of Contents of Solicitation, section above, may not be raised as a protest of the selection of the short-list. The number of Proposers short-listed is not grounds for a protest.

Protest of Award: Any Protestant who is aggrieved in connection with the award of the Contract shall file a written protest with the CPO within five business days of the date the Request to Award memorandum is posted on

SCDOT design-build website. Any matter that could have been raised pursuant to the protest of contents of solicitation or short-listing, section above, may not be raised as a protest of award.

Exclusive remedy: The rights and remedies granted in this section to Proposers, either actual or prospective, are to the exclusion of all other rights and remedies of Proposers against the SCDOT.

Failure to file a timely protest: If protestant fails to request a protest within the five business days, the short-list and award shall be final.

#### 5.11.2 SCDOT Procedures for Protest

Protest: A protest must be in writing, filed with the CPO, and set forth the grounds of the protest and the relief requested with enough specificity to give notice of the issues to be decided. The protest must be received by the CPO within the time provided.

Burden of Proof: The protestant bears the burden of proving the validity of the protest or claim against the SCDOT.

Duty and Authority to Attempt to Settle Protests: Before commencement of an administrative review, the CPO, or a designee of the CPO, may attempt to settle by mutual agreement a protest of an aggrieved Protestant, actual or prospective, concerning the solicitation, short-listing, or award of the Contract. Any settlement reached by mutual agreement shall be approved by the CPO.

Administrative Review and Decision: If, after reasonable attempt, a protest cannot be settled by mutual agreement, the CPO, or a designee, shall promptly conduct an administrative review. The CPO shall commence the administrative review no later than five business days after a reasonable settlement attempt and shall issue a decision in writing within five business days of completion of the review. The decision must state the reasons for the action taken. The decision shall include findings of fact and conclusions of law, separately stated. A copy of the decision along with a statement of appeal rights set forth below must be mailed or otherwise furnished immediately to the protestant.

Finality of Decision and Appeal: The SCDOT's decision pursuant to the above paragraph is final and conclusive. A person adversely affected by the final decision can appeal to circuit court and hereby waives a trial by jury regarding any protest arising out of this procurement and any such trial will be a non-jury trial before the South Carolina Circuit Court in Richland County.

Stay of Award: The Contract award is stayed until issuance of a final decision by the SCDOT. Once a final decision is issued, the filing of a petition to appeal that decision does not stay enforcement of SCDOT's decision to award the Contract.

All Freedom of Information (FOIA) requests will be sent to the FOIA Officer in the SCDOT Office of Chief Counsel.

#### 6. SELECTION OF CONTRACTOR

The Chair of the Committee will present a report regarding the review of the Proposals along with the Technical and Cost Proposal Analysis results to SCDOT Director of Construction's Office and recommend selection of the Proposer with the highest Weighted Criteria Score. Prior to Contract execution, the Director of Construction's Office may conduct limited negotiations on any issues regarding scope, schedule, financing, inclusion of ATCs, inclusion of any concepts submitted by another Proposer (provided a stipend is accepted by the unsuccessful Proposer), or any information provided by the selected Proposer. If, however, no limited negotiations are initiated, then in submitting its Proposal, the recommended Proposer agrees to execute and deliver the Contract in the form as existed, as in the Final RFP (or last addendum, if applicable). The Director of Construction's Office will prepare a Secretary of Transportation Record of Approval Form requesting authorization to award and execute a Contract. Upon approval by the SCDOT Secretary of Transportation, SCDOT will offer a Contract to the selected Proposer. However, if the selected Proposer refuses to execute and deliver the Contract (absent limited negotiations-driven revisions) or if the revised Contract terms are not accepted by the selected Proposer or if the selected Proposer is unable to fulfill the Contract requirements, then Proposer agrees that this constitutes a withdrawal and SCDOT may offer a contract to the Proposer with the next highest Weighted Criteria Score and SCDOT may elect to call upon Proposer's bid bond/proposal guaranty pursuant to the terms thereof.

#### 7. GENERAL INFORMATION

#### 7.1 Reserved Rights

SCDOT reserves the right to terminate the evaluation of one or more of the Proposals if it is determined to be in the best interest of the state to do so.

SCDOT reserves the right, at its sole discretion, to either cancel this solicitation or to re-advertise in another public solicitation when it is in the best interest of the state to do

SCDOT reserves the right to reject any and all Proposals, or parts thereof, and/or to discontinue Contract execution with any party at any time prior to final Contract execution.

Except as to stipends under, and subject to the terms and conditions of executed stipend agreement, SCDOT assumes no liability and will not reimburse costs incurred by firms, whether selected or not, in developing Proposals or in Contract execution.

SCDOT reserves the right to request or obtain additional information about any and all Proposals. SCDOT may also issue addendums to the RFP, which will be posted on the Design-Build website and emailed to all Proposers' Points of Contact.

SCDOT reserves the right to modify all dates indicated or projected in this RFP.

SCDOT reserves the right to appoint subcommittees, composed as it sees fit, and to engage outside experts and consultants, in each case to assist the Committee in evaluation of Proposals

SCDOT reserves the right to make independent calculations with respect to numbers and calculations submitted in a Proposal for purposes of evaluation.

SCDOT reserves the right to require confirmation of information furnished by a Proposer, and to require additional information from a Proposer.

SCDOT reserves the right to seek or obtain information from any source that, in SCDOT's view, has the potential to improve SCDOT's understanding and evaluation of Proposals.

SCDOT reserves the right to disqualify any Proposer from the solicitation for violating any rules or requirements hereof, specified in this RFP or under applicable law.

SCDOT reserves the right to add to the short-list any Proposer that submitted an SOQ, in order to replace a short-listed Proposer that withdraws or is disqualified from further participation in this solicitation.

SCDOT reserves the right to disclose information submitted or afforded to SCDOT under this RFP or pursuant to applicable law.

SCDOT reserves the right to exercise any other right reserved or afforded to SCDOT under this RFP and applicable law.

SCDOT reserves the right to exercise its discretion in relation to the matters that are the subject of this RFP as it considers necessary or expedient in light of all circumstances prevailing at the time that SCDOT considers to be relevant.

SCDOT reserves the right to revise or amend the RFP (or any processes hereunder), specifications and/or drawings, including changes to the date the Proposal is due. Such changes, if any, will be announced by an addendum(s) to this RFP. All information relating to this RFP, including pertinent changes/addendums and other applicable information will posted SCDOT's Design-Build be on website https://www.scdot.org/business/design-build.aspx. If changes are made to the RFP within 10 days of the due date, the dates identified in the Milestone Schedule may be adjusted accordingly. Proposers are advised to check this site frequently to ensure they have the latest information.

#### 7.2 Other General Terms:

Receipt of an addendum by the Proposer must be acknowledged in the space provided on the Addendum Notice to Proposer Transmittal Form posted on the SCDOT Design-Build website for this Project. Proposers shall submit the signed Notice with its

York County, South Carolina

Technical Proposal response to this RFP. Failure to acknowledge an addendum may result in rejection of the Proposal. Explanations or instructions given in a form other than an addendum or ATC response letter shall not be binding.

After award, if an unsuccessful Proposer would like to schedule a debriefing, Proposer shall submit a request within three business days from the date the award notification is posted on the SCDOT Design-Build website for this Project. Only written requests (emails are acceptable) for a debriefing will be scheduled. Failure to request a debriefing within the three business day period waives the opportunity for a debriefing.

Proposer shall be held responsible for the validity of all information supplied in its Proposal, including that provided by potential subcontractors. Should SCDOT subsequently learn that the facts and conditions were not as stated, the Proposal may be rejected or contract terminated for default if after award, in addition to any other remedy available under the contract or by law.

Proposer, by submitting a Proposal, represents that it has read and understands the RFP, its exhibits, attachments and addendums, and that its Proposal is made in compliance with the criteria of the RFP. Proposers are expected to examine the RFP, its exhibits, attachments and addendums thoroughly and should request an explanation of any ambiguities, discrepancies, errors, omissions, or conflicting statements therein. Failure to do so will be at the Proposer's risk. Proposer assumes responsibility for any patent ambiguity in the RFP, its exhibits, attachments and addendums that Proposer does not bring to SCDOT's attention.

Proposal Acceptance Period - By submitting a Proposal, Proposer agrees to hold the Proposal offer available for acceptance a minimum of 90 calendar days after the submission of its Cost Proposal. If a BAFO is requested, Proposer agrees to hold the BAFO available for acceptance a minimum of 90 calendar days after the submission of its BAFO Cost Proposal.

Submission of a Proposer's bid is not considered complete until both the Technical and Cost Proposals are received by SCDOT.

If a Proposer withdraws any time during the procurement, the stipend shall be forfeited and, if the Proposer is the selected Proposer, following evaluation, SCDOT may call upon the bid bond/proposal security pursuant to the terms thereof.

This RFP does not commit SCDOT to enter into the Contract, or proceed with the solicitation. SCDOT and the State of South Carolina assume no obligations, responsibilities or liabilities, fiscal or otherwise, to reimburse all or part of the costs incurred or alleged to have been incurred by parties considering a response to or responding to this RFP. All such costs shall be borne solely by each responding Proposers.

In no event will SCDOT be bound by, or liable for, any obligations with respect to the Project until such time (if at all) as the Contract has been executed by SCDOT and, then, only to the extent provided in the Contract.

A business day is hereby defined as a day in which SCDOT Headquarters is open for business.

ET is the current Eastern Time as observed in the Eastern Time Zone for this Project.

#### 8. MILESTONE SCHEDULE

Milestone Schedule	Date/Time
Provide RFP for Industry Review to Short-list Proposers	Thursday, September 24, 2020
Deadline for Proposers to submit Non-Confidential Questions on the RFP for Industry Review	Thursday, October 01, 2020 by 7:30am ET
Open-Forum Meeting with Proposers for RFP for Industry Review Non-Confidential Questions/Clarifications	Thursday, October 08, 2020 at 10:00am ET
Issue Final RFP	Thursday, October 15, 2020
Submittal of Preliminary ATC Package and Confidential Questions	Thursday, October 22, 2020 by 7:30am ET
Confidential Preliminary ATC and Confidential Questions One-on-One Meetings with Proposers	Thursday, October 29, 2020
SCDOT Responds to Preliminary ATCs	Tuesday, November 03, 2020
Submittal of Non-Confidential Questions	Wednesday, November 04, 2020 by 2:00pm ET
SCDOT Responds to Non-Confidential Questions	Friday, November 06, 2020
Submittal of Formal ATCs for Initial Review and Submittal of Confidential Questions	Tuesday, November 10, 2020 by 7:30am ET
SCDOT Responds to Formal ATCs with final determination, asks questions/requests information, or provides conditional responses	Monday, November 16, 2020
Confidential Formal ATC One-on-One Meetings	Tuesday, November 17, 2020
Submittal of Non-Confidential Questions	Wednesday, November 18, 2020 by 2:00pm ET
Resubmittal of Formal ATCs in its final form (no revisions allowed after this submittal, unless requested by SCDOT	Monday, November 23, 2020 by 2:00pm ET
SCDOT's Final Determination for Formal ATCs	Wednesday, November 25, 2020
Submittal of Non-Confidential and Confidential Questions	Wednesday, November 25, 2020 by 7:30am ET

Submittal of Technical Proposals	Tuesday, December 01, 2020 by 2:00pm ET
Technical Proposal Presentations	December 7, 2020
Submittal of Cost Proposals	Tuesday, December 15, 2020 from 9:00am to 10:00am ET
Public Announcement of the Technical and Cost Proposal Analysis (with team representatives present)	Tuesday, December 15, 2020 at 1:30pm EST

#### 9. COST PROPOSAL BID FORM

## Interstate 77 Panther Interchange York County

CONTRACTOR:		
ADDRESS:		
Provide full Project scope as describe	ed in Attachment A.	
TOTAL COST TO COMPLETE (A)	=	
	ll be accepted, nor Bids with reservations,	
that are premised upon changes to rejected.	to the terms of the Contract, and all suc	ch Bids will be
Signature	Date	
Printed Name		

#### 10. NON-COLLUSION CERTIFICATION

#### **NON-COLLUSION CERTIFICATION**

**Project ID: P038652** 

IN ACCORDANCE WITH THE PROVISIONS OF S.C. CODE ANN. §§ 39-3-10 ET.SEQ., 39-5-10 ET. SEQ., 15 U.S.C. §45; 23 C.F.R. §635.112(F); AND 28 U.S.C. §1746, I HEREBY ACKNOWLEDGE THAT I AM AN OFFICER OF THE PROPOSER FIRM AND, UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES AND SOUTH CAROLINA, DECLARE, BY MY CERTIFICATION BELOW, THAT THE FOLLOWING IS TRUE AND CORRECT, AND FURTHER, THAT THIS JOINT-VENTURE, FIRM, PARTNERSHIP, ASSOCIATION OR CORPORATION, OR ANY OTHER LEGAL ENTITY HAS NOT, EITHER DIRECTLY OR INDIRECTLY, ENTERED INTO ANY AGREEMENT, PARTICIPATED IN ANY COLLUSION, OR OTHERWISE TAKEN ANY ACTION IN RESTRAINT OF FREE COMPETITIVE BIDDING IN CONNECTION WITH THE SUBMISSION OF A BID PROPOSAL ON THE ABOVE REFERENCED PROJECT.

	ERTIFY THAT I HAVE READ, UNDERSTAND, ACCEPT, AND OGE ALL OF THE ABOVE STATEMENTS.
Executed on	Signed:
(Date)	(Officer/Proposer)
	(Title)
	(Address)

#### 11. EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATION

## (COMPLETE THIS SECTION FOR FEDERAL PROJECTS ONLY) EQUAL EMPLOYMENT OPPORTUNITY PERFORMANCE

	Select the	Certification	that	applies	to	the	PROP	OSER:
--	------------	---------------	------	---------	----	-----	------	-------

	Certification (1)	or	Certification (2)
Select th	ne appropriate responses in the appli	cable Cei	rtification:
Certification (1):			us Equal Employment Opportunity Performance ractor, I HEREBY CERTIFY THAT I:
	(a) ( <b>HAVE / HAVE NOT</b> ) deve 41C.F.R. §60-2 and/or 60-4;	eloped ar	nd filed an Affirmative Action Program pursuant to
	(b) (HAVE / HAVE NOT) particit opportunity clause;	pated in	a previous contract or subcontract subject to the equal
OR		ne Equal	Joint Reporting Committee, the Director of Office of Employment Opportunity Commission, all reports due
Certification (2):	(CLAIM / DO NOT CLAIM) ex	emption total of l	pective Prime Contractor submitting this Proposal, from the submission of the Standard Form 100 (EEOess than fifty (50) employees under C.F.R. §60-1.7, or F.R. §60-1.5.
	ERTIFY that the above Certification and with this project.	n will be	e made part of any Subcontract Agreement, or other
Executed on		Signed: Title:	(Officer/PROPOSER)
		Compan	y:
		Address	:

Note: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)), and must be submitted by PROPOSERS only in connection with contracts which are subject to the equal opportunity clause. Contracts that are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally, only contracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by Executive Orders or their implementing regulations.

Proposers, Primary Members, or proposed Subcontractors (any tier) and Consultants who have participated in a previous contract subject to the Executive Orders and have not filed the required reports shall note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

#### 12. STIPEND ACKNOWLEDGEMENT FORM

### **Stipend Acknowledgement Form**

## Interstate 77 Panther Interchange York County

Proposer:
ADDRESS:
The undersigned Proposer, hereby:
Waives the stipend for this Project.
Accepts the stipend for this Project.
By accepting the stipend for this Project, Proposer agrees:
1) to execute and include the Stipend Agreement in Article XIII of the RFP with its RFP response;
2) to submit an invoice with FEIN number for the stipend amount to the SCDOT POC after SCDOT's posting of the Notice of Award on SCDOT's Design-Build Website.;
3) to transfer all rights to its Work Product used to develop the Proposal as of the date of this acknowledgement. "Work Product" "means all submittals, including ATCs, ideas, innovations, solutions, methods, processes, design concepts, materials, electronic files, marked up drawings, cross sections, quantity lists and intellectual property, made by Proposer during the RFP process, including the Proposal, exchange of information during the pre-Proposal and post-Proposal period.
SCDOT will pay the stipend to each eligible unsuccessful Proposer, who has signed a Stipend Agreement, within ninety (90) days after execution of the Contract or the decision to not award a contract.
Date Proposer
Print Name

#### 13. STIPEND AGREEMENT

## STIPEND AGREEMENT Project ID: P038652 Interstate 77 Panther Interchange York County

THIS STI	PEND	AGREEMEN'	$\Gamma$ (the	"Agreement	") is	made	and	entered	into	as	of the	day	of
, 20_, by	and be	tween the SOU	TH CA	AROLINA DI	EPAI	RTME	O TN	F TRAI	NSPO	RTA	ATION	(hereinaft	ter
"SCDOT"), and				("	Prop	oser"),	with	referenc	e to th	he fo	ollowin	g facts:	

SCDOT issued a Request for Proposal ("RFP") for design and construction of the above-referenced Design-Build Project ("Project"), pursuant to procurement authority granted in Section 57-5-1625 of the S.C. Code of Laws, 1976, as amended. The RFP provided for payment of stipends as provided herein. Capitalized terms used, but not defined, have the meanings ascribed in the RFP.

**NOW, THEREFORE,** Proposer hereby agrees as follows:

#### 1. Work Product.

- 1.1 Proposer shall prepare and submit a responsible and responsive Technical Proposal and Cost Proposal that conforms in all material respects to the requirements and provisions of the RFP, as determined by SCDOT, and are timely received by SCDOT in accordance with the RFP Milestone Schedule.
- 1.2 By signing this Stipend Agreement, Proposer agrees to transfer full and complete ownership to SCDOT of all Work Product. The Work Product (as defined below) shall become the property of SCDOT without restriction or limitation on its use, without further compensation or consideration, and can be used in connection with this Project or any future projects by SCDOT. Neither Proposer nor any of its team members shall copyright any of the material developed under this Agreement.
- 1.3 The term "Work Product" shall mean the Proposal and all material, electronic files, marked up drawings, cross sections, quantity lists, submittals, alternative technical concepts (ATC), ideas, innovations, solutions, methods, processes, design concepts, Trade Secrets or confidential information, and intellectual property, made by or produced for Proposer in the development and submission of the Technical and Cost Proposal, including exchanges of information during the pre-Proposal and post-Proposal period.

#### 2. Compensation and Payment.

- **2.1** A stipend to Proposer for the Work Product described herein shall be \$115,000.00 and is payable to Proposer that was determined to be responsible and (1) submitted a responsive Technical Proposal and responsive Cost Proposal to the RFP which is not selected for award of this Project, or (2) was awarded the Contract but the Contract was terminated by SCDOT for convenience after the Submittal of Proposal Due Date (See Final RFP Milestone schedule) but prior to the Notice to Proceed #1. Responsibility of Proposers and responsiveness of the Technical Proposal and Cost Proposal will be determined by SCDOT as a condition of payment.
- 2.2 SCDOT will pay the stipend to Proposer as follows, subject (as applicable) to the following conditions:
  - (a) Proposer has submitted this signed Stipend Agreement, unchanged with its response to the RFP.
- (b) After posting of the Notice of Award on SCDOT's Design-Build Website, Proposer has submitted to SCDOT an invoice, with FEIN Number, for the Stipend amount.
- (c) After execution of the Contract or the decision not to award a contract, SCDOT will pay the invoice for the stipend amount to the unsuccessful Proposer meeting the criteria of Section 2.1 within 90 calendar days of receipt of the invoice from Proposer.
- (d) If the procurement is suspended or cancelled prior to the Proposal Due Date (see FINAL RFP Milestone schedule), no stipend will be paid to Proposer.
- (e) After the submittal of Proposals, but prior to award, if the procurement is cancelled, all Proposers that provide a responsive Technical Proposal and Cost Proposal to the final RFP and submitted a signed Stipend Agreement with their RFP shall receive the stipend
- (f) In the event of a Best and Final Offer, only one stipend will be paid to each Proposer that executed a Stipend Agreement and met the other criteria and conditions herein.
  - (g) No stipends will be paid for submitting RFQ responses.
  - (h) No stipends will be paid to a Proposer who withdraws at any time from this procurement.

- **2.3** Acceptance by the Proposer of payment of the stipend amount from SCDOT shall constitute a waiver by Proposer of any and all right, equitable or otherwise, to bring any claim in connection with this procurement, procurement process, award of the Contract, or cancellation of this procurement.
  - 2.4 The Proposer awarded the contract shall be not eligible to receive a stipend.
- **2.5** If Proposer elects to waive payment of the stipend, SCDOT will not use the ideas or information contained in that Proposer's Proposal for this Project. However, the Proposer's Proposal will be subject to the South Carolina Freedom of Information Act.

#### 3. Indemnities.

- **3.1** Subject to the limitations contained in Section 3.2, Proposer shall indemnify, protect and hold harmless SCDOT and its directors, officers, employees and contractors from, and Proposer shall defend at its own expense, all claims, costs, expenses, liabilities, demands, or suits at law or equity arising, in whole or in part, from the negligence or willful misconduct of Proposer or any of its agents, officers, employees, representatives or subcontractors or breach of any of Proposer's obligations under this Agreement.
- **3.2** This indemnity shall not apply with respect to any claims, demands or suits arising from use of the Work Product by SCDOT.

#### 4. Compliance With Laws.

- **4.1** Proposer shall comply with all federal, state, and local laws, ordinances, rules, and regulations applicable to the work performed or paid for under this Agreement and covenants and agrees that it and its employees shall be bound by the standards of conduct provided in applicable laws, ordinances, rules, and regulations as they relate to work performed under this Agreement. Proposer agrees to incorporate the provisions of this paragraph in any subcontract into which it might enter with reference to the work performed pursuant to this Agreement.
- **4.2** The Proposer agrees (a) not to discriminate in any manner against an employee or applicant for employment because of race, color, religion, creed, age, sex, marital status, national origin, ancestry or disability of a qualified individual with a disability; (b) to include a provision similar to that contained in subsection (a) in any subcontract; and (c) to post and to cause subcontractors to post in conspicuous places available to employees and applicants for employment, notices setting forth the substance of this clause.

#### 5. Assignment.

Proposer shall not assign this Agreement without SCDOT's prior written consent. Any assignment of this Agreement without such consent shall be null and void.

#### 6. Miscellaneous.

- **6.1** Proposer and SCDOT agree that Proposer, its team members, and their respective employees are not agents of SCDOT as a result of this Agreement.
- 6.2 This Agreement, together with the RFP, as amended from time to time, the provisions of which are incorporated herein by reference, embodies the entire agreement of the parties. There are no promises, terms, conditions, or obligations other than those contained herein or in the RFP, and this Agreement shall supersede all previous communications, representation, or agreements, either oral or written, between the parties hereto.
- **6.3** It is understood and agreed by the parties hereto that if any part, term, or provision of this Agreement is by the courts held to be illegal or in conflict with any law of the State of South Carolina, the validity of the remaining portions or provisions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if the Agreement did not contain the particular part, term, or provisions to be invalid.
- **6.4** This Agreement shall be governed by and construed in accordance with the laws of the State of South Carolina.

#### **IN WITNESS WHEREOF,** the parties have executed this Agreement as of the date first written above.

Witness:	SOUTH CAROLINA DEPARTMENT OF TRANSPORATION
Recommended:	By: Chris Gaskins Design-Build Engineer Proposer
Jae Mattox Design-Build Program Manager	Name of Proposer
Witness:	By:
	Its:

#### 14. BID BOND FORM

Note: This Bid Bond form is the only means of bid security that will be accepted by the S. C. Department of Transportation.

South Carolina Department of Transportation		Date Bond Execut	red
of Humsportation			
I	BID BOND		
Principal		<u> </u>	
Surety			
Amount of Bond 5% OF Total Cost to Complete as shown	on the Cost Proposal Bid	form	Date of Bid
Project			
KNOW ALL MEN BY THESE PRESENTS, Tha above named are held and firmly bound unto the South Card Department, in the sum of the amount stated above, for the pour heirs, executors, administrators, and successors, jointly a The condition of this obligation is such as to operate execute a contract and cause to be executed bonds acceptable the Project identified above and PROPOSER's Response to (20) days after the receipt by the PROPOSER of Contract of Contract included in the RFP on the basis of the terms and categories with and accompanied by a Performance and Indem website under "Standard Forms", in the total amount of said conforming to the bond forms located on the Design-Build of Contract Price, and that failure to perform shall be just and understood that in the event of the annulment of the award, the Department, not as penalty, but as an agreed liquidated or reasonable under the circumstances existing as of the Proposor for losses its will incur as a result of Proposer's failure to end be fulfilled and Performance and Indemnity and Payment be satisfactory to the Department, this obligation shall be null a IN WITNESS THEREOF, the above-burden particular indicated above, the name and corporate seal of each corporundersigned representative, pursuant to authority of its gove	polina Department of Transpayment of which sum well and severally, firmly by the ate as a guarantee that the Bet to the Department, all as RFP, should the same be a forms from the Department conditions set forth in the Remity bond conforming to be Contract's Contract Price website under "Standard Folladequate cause for the annuther amount of this guaranted lamage and as difficult to call be Date (as defined in the interint the Contract. Shounds, as set forth in the Propard void; otherwise to remain the party being hereto affix atter party being hereto affix and severally served this instructed that the contract of the contract.	portation, hereinafter and truly to be marked and truly to be marked by the presents. PROPOSER will further set forth in Request accepted, and that not proposer will executed (as defined therein) forms, in the amount aulment of the award the shall immediately determine, agreed be at the RFP) to compete the RFP) to compete shall in full force and all in full force and all ment under their set.	r called the de, we bind ourselves, and promptly for Proposal (RFP) for the longer than twenty ute the form of R'S Response to RFP on the Design-Build and a Payment bond to f 100% of such des; and it is fully be at the disposal of etween the parties are insate the Department the foregoing conditions bonds being effect.
n Presence of: Witness (2 Required)	INDIVIDUAL O	R PARTNERSHIPF	
1	(Seal		(Seal)
2	(Seal)		
	Corporate Princip	al	
Secretary Secretary	Business Address		
Witness (2 Required)	By		Affix
2	Title		Corporate Seal
W. (25. i.i.)	Corporate Surety		
Witness (2 Required)	Address		Business
1	By		Affix Corporate

Note: All signatures and other information must be furnished.

Title

## **AGREEMENT**

## AGREEMENT FOR THE DESIGN & CONSTRUCTION of

Interstate 77 Panther Interchange

York County, South Carolina

A DESIGN-BUILD PROJECT

# BETWEEN SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION AND NAME OF CONTRACTOR

day of, INSERT YEA
--------------------

Project ID P038652

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#### LIST OF EXHIBITS

- 1. Cost Proposal Bid Form
- 2. Schedule of Values
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- 5. Special Provisions and Contract Requirements
- 6. Environmental Design Criteria

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WHEREAS, the South Carolina Department of Transportation, as an agency of the State of South Carolina, wishes to improve the safety and operation of the state highway system by constructing the proposed interchange adjacent to the future Carolina Panther's development in York County (hereinafter referred to as "the Project"); and

WHEREAS, the South Carolina Department of Transportation, as a servant of the people of the State of South Carolina, wishes to see this strategic project completed; and

WHEREAS, limitations imposed by traditional methods of designing, and constructing highways would mean that the Project could be completed only after an unacceptable delay; and

WHEREAS, the South Carolina Department of Transportation, working with the Federal Highway Administration (FHWA), has devised an innovative plan to allow the commencement and completion of the Project in a timely and cost-effective manner; and

WHEREAS, pursuant to Section 57-5-1625 SC Code of Law, the South Carolina Department of Transportation desires to award a highway construction contract using a Design / Build procedure; and

WHEREAS, after a competitive process, CONTRACTOR has been selected to participate in this venture by designing and building the Project; and

WHEREAS, the South Carolina Department of Transportation wishes to avail itself of and rely on CONTRACTOR's expertise and proven track record in designing and constructing such projects, on time and within budget; and

WHEREAS, CONTRACTOR wishes to provide that expertise and to participate in this venture for the good of the people of the State of South Carolina;

NOW THEREFORE, this Agreement is executed and made, effective as of the Effective Date as defined herein, between the SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION ("SCDOT") and \_\_\_\_\_\_\_ ("CONTRACTOR"). In consideration of the covenants hereinafter set forth, the parties hereto mutually agree as follows:

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#### I. CONTRACT DOCUMENTS

The Contract shall be composed of this Agreement and all exhibits, SCDOT's Request for Proposals and all attachments, Request for Qualifications and all attachments, CONTRACTOR's Proposal and all attachments, and CONTRACTOR'S Qualifications and all attachments. In case of conflict, the order of precedence of the Contract documents shall be: (1) this Agreement; (2) Agreement Exhibits; (3) SCDOT Request for Proposals (RFP) document and Attachment B; (4) CONTRACTOR's Proposal and attachments, clarifications, and communications; and (5) SCDOT Request for Qualifications (RFQ) and CONTRACTOR's Statement of Qualifications (SOQ). In the event of a conflict between the Project Design Criteria and Special Provisions identified in the Agreement Exhibits, the order of precedence shall be (1) the Project Design Criteria and (2) Special Provisions. The Project Information Package is provided for information only and is not a contract document. SCDOT makes no representations or warranties regarding the accuracy of the information contained therein.

#### II. PROJECT SCOPE

#### A. Scope of Work

CONTRACTOR shall furnish all services, labor, materials, equipment, supplies, tools, transportation, and coordination required to perform all design, preliminary engineering, surveying, geotechnical services, scheduling, permitting, right of way services, procurement, construction, utility coordination, demolition, material disposal and any other services necessary to perform the Project as defined in the Project Scope of Work made a part hereof as Exhibit 3, Project Design Criteria made a part hereof as Exhibit 4 and Exhibits 5 through 6, and Attachment B.

#### **B.** Design and Construction Responsibilities

- 1. CONTRACTOR, consistent with applicable state licensing laws, shall provide, through qualified South Carolina licensed design professionals employed by CONTRACTOR or procured from qualified, independent South Carolina licensed design consultants, the design work and quality control, including, but not limited to, surveys, right of way services, roadway design, maintenance of traffic, geotechnical exploration and design, hydraulic analyses, storm water management, erosion control, superstructure design, and foundation and substructure design including seismic analyses for the preparation of the required drawings, specifications and other design submittals to permit CONTRACTOR to complete the work in accordance with the Contract.
- CONTRACTOR may rely on geotechnical and survey information provided in Attachment B – Supplemental Design Criteria. The CONTRACTOR shall incorporate the information into the final project documents. CONTRACTOR shall supplement the geotechnical and survey information provided as required for its design.

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- 3. CONTRACTOR shall provide through itself or subcontractors the necessary supervision, labor, inspection, testing, material, equipment, machinery, temporary utilities and other temporary facilities to permit performance of all demolition, earthwork, drainage, foundation work, maintenance of traffic, roadway work, structural work, excavation, erosion and sediment control work, field layout work, construction management, engineering and inspection, utility coordination and relocation, railroad coordination, CONTRACTOR quality control, maintenance, and all other work necessary to complete construction of the Project in accordance with the Contract. CONTRACTOR shall perform all design and construction activities efficiently and with the requisite expertise, skill and competence to satisfy the requirements of the Contract. CONTRACTOR at all times shall exercise control over the means, methods, sequences and techniques of construction. CONTRACTOR's operations and construction methods shall comply with all applicable federal, state and local regulations with regard to worker safety, protection of health and protection of the environment and applicable permit requirements.
- 4. CONTRACTOR shall design and construct the project in accordance with the approved environmental document. Where new right of way is required to construct the Project, the CONTRACTOR shall design and construct the Project so as to minimize the additional rights of way needed while adhering to the design criteria herein. Right of way services shall be the responsibility of the CONTRACTOR and shall be done in accordance with Article VIII of this Agreement. CONTRACTOR shall furnish the SCDOT a copy of any agreements for the use of additional properties not acquired as right of way that are used in conjunction with the construction of this Project. CONTRACTOR shall abide by the provisions of all applicable environmental permits, any conditions of individual right of way agreements, and all environmental commitments. The CONTRACTOR shall sign the Contractor Certification Form and these terms will be made part of the contract.
- 5. It shall be the responsibility of CONTRACTOR to comply with all applicable federal, state, and local laws in connection with the services set forth in this Contract. CONTRACTOR shall remain in good standing with the State and promptly notify SCDOT in writing if it is determined to be disqualified, suspended, debarred, or otherwise excluded from bidding, proposing, or contracting with any federal or state department or agency. This obligation shall include, but not be limited to, procurement of all permits and licenses not obtained by SCDOT provided, however, that with respect to any permit or licenses that must be obtained in the name of SCDOT, CONTRACTOR shall perform all functions within its power to obtain the permit, including mitigation, and SCDOT will fully cooperate in this effort and perform any functions that must be performed by SCDOT. CONTRACTOR shall be responsible for payment of all charges, fees, and taxes, and for providing all notices necessary and incident to the performance of the Project as of the Effective Date of this Agreement. The Contract Price shall include all charges, fees and taxes related to the above obligations and if any charges, fees

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or taxes are waived by the regulatory or governmental entity, then the amount waived shall be deducted from the Contract Price.

#### C. Design Criteria

It shall be the responsibility of CONTRACTOR to design all aspects of the Project in accordance with the contract documents. For the Project, CONTRACTOR shall provide a completed set of construction plans signed and sealed by a licensed professional engineer in South Carolina. CONTRACTOR shall be fully responsible for the accuracy of the design and compliance with specifications, standards and Project Criteria.

#### D. Design Review

- 1. Prior to the Preconstruction Meeting, CONTRACTOR shall provide a Draft Design Review Submittal Schedule to SCDOT. The Design Review Submittal Schedule shall include a Gantt chart of the submittal packages and will serve as the basis for reviewing the design and construction plans. The Design Review Submittal Schedule shall be updated and included with each submittal package. CONTRACTOR, CONTRACTOR's design consultant, subcontractors, suppliers and SCDOT shall discuss the schedule and procedures for submitting design plans at the Preconstruction Meeting. CONTRACTOR, CONTRACTOR's design consultant, subcontractors and suppliers shall not provide any design deliverables until the Design Review Submittal Schedule is approved by SCDOT. The Design Review Submittal Schedule shall be included in Submittal 000.
- 2. A Design Quality Control (QC) Plan shall be submitted for review and approval prior to any design or plan production. The plan shall clearly detail the processes and steps utilized by the designer and contractor to consistently produce quality designs and plans. The Design QC Plan shall be the first submittal listed in the Design Review Submittal Schedule. CONTRACTOR shall not provide any design deliverables until the Design QC Plan is approved by SCDOT. The Design QC Plan shall be included in Submittal 000.
- 3. All submittal packages shall be uploaded electronically to ProjectWise and an email shall be sent to SCDOT that verifies the contents of the upload. A complete submittal package shall be limited to one phase (ex. Preliminary/Right Of Way (ROW)/Final/Release For Construction (RFC)) of one roadway segment or structure and include all design deliverables specified in Exhibit 4z. Prior to beginning any construction activities, permanent or temporary, the Traffic Management Plan and Conceptual Work Zone Traffic Control plans for the entire project shall be submitted by the CONTRACTOR and approved by SCDOT.
- 4. If approved by SCDOT, one Maintenance of Traffic submittal package, including but not limited to, an NPDES permit application and related plans, may be allowed to provide the opportunity to begin construction of non-permanent work items, such as clearing and grubbing, shoulder strengthening, minor demolition not adversely impacting traffic or operations.

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- 5. CONTRACTOR shall provide submittal packages as defined in Exhibit 4z. Prior to commencement of permanent construction activities on any defined segment or structure, SCDOT will have the right, but not the obligation, to review and comment upon all submittal packages pertaining to the said segment or structure. SCDOT reserves the right to provide comments on the design or plans at any time when an issue is identified that is not compliant with the Project Design Criteria, the RFP or is an error or omission.
- 6. All documents of a submittal package must be uploaded to ProjectWise by 11:59PM for the review period to begin the next business day. No more than one new submittal package shall be uploaded to ProjectWise within a five business day period. SCDOT reserves the right to utilize Bluebeam Studio to facilitate design reviews between SCDOT and the CONTRACTOR. The initial review period for each submittal package shall be 15 business days following the date SCDOT receives an accurate and complete submittal in conformity with the contract. SCDOT review comments will be sent to the CONTRACTOR, who shall respond within five business days and prior to subsequent phase submittals. SCDOT will then status CONTRACTOR'S responses and will provide additional comments, if any, within five business days. If any open comments remain after the initial 15 day review and subsequent 5 day review and comment periods, there will be no time constraint for the CONTRACTOR to respond. For all subsequent rounds of CONTRACTOR responses, SCDOT will status CONTRACTOR'S responses and will provide additional comments, if any, within five business days. Review comments for Preliminary, ROW, and Final phases of each segment or structure shall be closed before the associated RFC plans are authorized to be submitted and prior to commencement of construction, demolition or disposal activities.
- 7. CONTRACTOR shall revise design deliverables and upload to ProjectWise for verification to allow SCDOT to close review comments. Verification design deliverables are not required for preliminary phase submittal packages. Verification design deliverables are required to close SCDOT comments in order to approve ROW and authorize RFC phase submittal packages. CONTRACTOR shall clearly identify and describe any changes made to a verification design deliverable that are unrelated to SCDOT review comments. A complete verification package shall include revised contents for all design deliverables with open SCDOT review comments and be submitted along with CONTRACTOR responses. After comments are closed and before RFC submittal packages are uploaded to ProjectWise, any changes made to design deliverables may, at the sole discretion of SCDOT, require a new submittal package be provided and require adjustment to the CONTRACTOR's Design Review Submittal Schedule.
- 8. The review and comment process is fully discretionary; however, no review or comment nor any failure to review or comment shall operate to absolve CONTRACTOR of its responsibility to design and build the Project in accordance with the contract or to shift responsibility to SCDOT.

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9. SCDOT reserves the right to reject any submittal package that is deficient or incomplete. SCDOT will provide a written notice, including cause for rejection, for any submittal package that does not demonstrate the work can be completed in accordance with the Contract. Rejected submittal packages must be revised to comply with the Contract. Revised submittal packages will be considered a new submittal package and reviewed as described above. Rejected submittal packages shall not in any way serve to extend the Construction Time.

#### E. Maintenance of Traffic

- 1. The SCDOT work zone mobility requirements found within the documents known as *Rule on Work Zone Safety and Mobility: The Policy for South Carolina Department of Transportation and Rule on Work Zone Safety and Mobility: Implementation, Maintenance, and Safety Guidelines* (Policy) shall apply to this Project. These requirements apply to the CONTRACTOR, all subcontractors, and designated representatives acting on behalf of the CONTRACTOR performing duties with responsibilities relative to a work zone, including but not limited to planning, project development, design, construction, and maintenance.
- 2. The CONTRACTOR shall design, develop, implement and maintain a set of coordinated strategies to manage the work zone impacts of the Project designated as the Transportation Management Plan. These strategies will include a Temporary Traffic Control plan (TMP), a Transportation Operations component, and a Community and Public Relations Plan component. The Policy and the anticipated work zone impacts of the Project shall determine the level of detail, content, and scope of the TMP. The primary component, the Temporary Traffic Control plan shall address traffic control and safety throughout and adjacent to the Project site. A secondary component, the Transportation Operations plan, will address management of traffic operations in the Project site and all adjacent areas impacted by the Project. The final component, the Community and Public Relations Plan, addresses communications with the public and entities impacted by the Project. The CONTRACTOR's Transportation Management Plan and its components shall comply with the requirements of this Agreement and subsequent Exhibits, Part 6 of the Manual on Uniform Traffic Control Devices (MUTCD) latest edition, and SCDOT policies, standard specifications and all addendums to the standard specifications, the typical traffic control standard drawings for road construction, and procedures.

#### F. Ownership of Documents

- 1. The Project Documents are intended by the parties each to be a "work-made-for-hire" as used in 17 U.S.C. § 101, et seq., and SCDOT shall be the owner of the Project Documents and, except as expressly set forth otherwise in this clause F., all associated Intellectual Property.
- 2. Upon the Effective Date of this Agreement, CONTRACTOR grants SCDOT an irrevocable, transferable, perpetual, fully paid-up, worldwide, royalty-free,

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nonexclusive license, with right to grant sublicenses, to reproduce the Proprietary Intellectual Property and Project Documents for the purposes of, but not limited to, promoting, using, maintaining, upgrading, or adding to the Project. The foregoing license includes license to reproduce, modify, adapt, and disclose the Proprietary Intellectual Property in connection with the Project and any interstate or state highway, whether tolled, owned, or operated by SCDOT. The foregoing right to transfer is limited to any governmental entity that succeeds to SCDOT's ownership of the Project.

- 3. Upon completion of the Project, SCDOT step-in, or upon early termination of this Agreement, CONTRACTOR shall provide all Project Documents to SCDOT in the format designated by SCDOT.
- 4. All Proprietary Intellectual Property shall remain exclusively the property of CONTRACTOR (or its subcontractors, suppliers, or vendors).
- 5. To the extent permitted by applicable law, SCDOT will not disclose any Proprietary Intellectual Property other than to authorized transferees and sublicensees that, to the extent permitted by applicable law, agree to be bound by the foregoing nondisclosure obligation relating thereto. Notwithstanding any provision of this Agreement to the contrary, in no event shall SCDOT or any of its directors, officers, employees, consultants or agents be liable to CONTRACTOR, any of its subcontractors, suppliers, or other vendors, or any affiliate of any of the foregoing, for any losses caused by, arising out of, relating to, or resulting from any breach of the duty of confidentiality set forth in this clause 5 and in clause 2 if such breach is not the result of gross negligence or intentional misconduct, and CONTRACTOR hereby irrevocably waives, and shall cause all such subcontractors, suppliers, and other vendors, to waive, any and all claims against SCDOT or the State of South Carolina to any such losses.
- 6. With respect to any Proprietary Intellectual Property owned by a person or entity other than CONTRACTOR, CONTRACTOR shall obtain from such owner, concurrently with the execution of any contract with such owner or in connection with the first use or adaptation of the Proprietary Intellectual Property for the Project, both for CONTRACTOR and SCDOT, a license on the same terms as described in clause 2 above. The foregoing requirement shall not apply, however, to mass-marketed software products (sometimes referred to as "shrink wrap software") owned by such a person or entity, where such a license cannot be extended to SCDOT using commercially reasonable efforts. The limitations imposed upon SCDOT described in clauses 2 and 5 above shall also apply to SCDOT's licenses in such Proprietary Intellectual Property.
- 7. Definitions. For purposes of this Article II.F., the following terms have the meanings ascribed:
  - a. Intellectual Property means all current and future legal and/or equitable rights and interests in know-how, patents (including applications), copyrights

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(including moral rights), trademarks (registered and unregistered), service marks, trade names, trade secrets, trade secret rights, designs (registered and unregistered), design rights, utility models, circuit layouts, plant varieties, business and domain names, inventions, solutions embodied in technology, and other intellectual activity, and applications of or for any of the foregoing, subsisting in or relating to the Project, Project design data or Project traffic data. Intellectual Property includes traffic management algorithms, and software used in connection with the Project (including software used for management of traffic on the Project), and associated source code and source code documentation. Intellectual Property is distinguished from physical construction and equipment itself and from other Project Documents (i.e., documents that disclose Intellectual Property).

- b. Project Documents means any drawings, specifications, test data, inspection reports, QC documents, QA documents, daily diaries, other data, and any other documents (including plans, elevations, sections, details, diagrams, specifications, samples, drawings, sketches, charts, calculations, depictions, specifications, layouts, manuals, files, artwork, correspondence, and other submittals made under this Agreement), including those in electronic form, whether prepared by or on behalf of CONTRACTOR.
- c. Proprietary Intellectual Property means Intellectual Property created, used, applied or reduced to practice in connection with the Project or with CONTRACTOR's scope of the work that derives commercial value from its protection as a trade secret under applicable law or from its protection under patent law.

#### G. Construction Criteria

CONTRACTOR shall construct the Project in accordance with all applicable Federal, State, and local statutes and regulations. All construction shall be performed in accordance with the following criteria, which are incorporated herein by reference and made a part hereof. The construction criteria are intended to be complementary and to describe and provide for a complete work. Where the following construction criteria conflict, the order of precedence shall be as listed below:

- Approved Alternative Technical Concepts (ATCs) in CONTRACTOR's Response to RFP
- 2. Exhibit 4 Project Design Criteria
- 3. Exhibit 5 Special Provisions
- 4. Final Construction Plans provided by SCDOT
- 5. SCDOT Standard Drawings, effective as of the most recent Standard Highway Letting prior to the release of the Final RFP (see Design Build Website https://www.scdot.org/business/design-build.aspx)

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- SCDOT Supplemental Specifications and Supplemental Technical Specifications, effective as of the release of the Final RFP (see Design Build Website https://www.scdot.org/business/design-build.aspx)
- 7. SCDOT Standard Specifications for Highway Construction, effective as of the release of the Final RFP (see Design Build Website <a href="https://www.scdot.org/business/design-build.aspx">https://www.scdot.org/business/design-build.aspx</a>)
- 8. SCDOT Construction Manual, effective as of the release of the Final RFP
- 9. Qualified Products Policies and Qualified Products List are available on SCDOT internet website.

#### H. Project Management

- 1. CONTRACTOR shall be responsible for ensuring that the Project is constructed in conformance with the Contract, all referenced documents and specifications, and applicable laws and regulations.
- CONTRACTOR shall provide project management services sufficient to supervise
  the activities of his own personnel and subcontractors. CONTRACTOR shall
  provide a sufficient number of persons on site, to the satisfaction of SCDOT, to
  provide for the construction management of the Project.
- 3. SCDOT will provide representatives assigned to the Project to monitor the construction and provide necessary coordination between SCDOT and CONTRACTOR. All costs for salary and equipment to maintain SCDOT employees will be provided by SCDOT at no expense to CONTRACTOR. SCDOT and FHWA, if applicable, representatives will have unrestricted access to the Project, the work in progress, the "Daily Diaries", and to other technical documents and project records associated with design, construction, demolition, material disposal, materials, quality control, materials installation, and testing. SCDOT will receive reasonable notice of and have the opportunity to participate in any meetings that may be held concerning the Project or the relationship between CONTRACTOR and its consultants and subcontractors when such meetings are associated with technical matters, progress, or quality of the Project. As used in this paragraph, "notice" shall require actual written notice to SCDOT or SCDOT's Agent.

#### I. Control of the Work

- CONTRACTOR shall determine the appropriate means, methods and scheduling necessary to complete the work timely and in accordance with all construction requirements. SCDOT and FHWA, if applicable, will have the right to review and inspect the work at any time.
- 2. If, at any time, SCDOT observes or has actual notice of any fault or defect in CONTRACTOR's performance of this Agreement, SCDOT will comply with the

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provisions of Article XVII, Default, Suspension and Termination. SCDOT is not required to discover or to accept defective or faulty work. SCDOT's right to have defective or faulty work promptly corrected shall not be waived by any action of SCDOT.

- 3. SCDOT will have the authority to suspend the work, in accordance with Article XVIII of this Agreement.
- 4. No inspection, acceptance, payment, partial waiver, or any other action on the part of SCDOT will operate as a waiver of any portion of this Agreement or of any power reserved herein or any right to damages or other relief, including any warranty rights, except insofar as expressly waived by SCDOT in writing. SCDOT will not be precluded or estopped by anything contained herein from recovering from CONTRACTOR any overpayment as may be made to CONTRACTOR.

#### J. Contract Deliverables

CONTRACTOR shall submit deliverables including, but not limited to, the following as set forth in the CONTRACT. All deliverables shall contain proper references to both the Contract ID number and the appropriate Project ID number for that specific location. Deliverables noted below with an asterisk shall be included in the Design Review Submittal Schedule and follow Design Review procedures as outlined in Article II, Section D of the Agreement.

- 1. Contract Deliverable Matrix
- 2. All deliverables as specified in Exhibit 4z\*
- 3. CPM Schedule, as specified in Article IV
- 4. Design Review Submittal Schedule including Gantt Chart of Submittals\*
- 5. Schedule of Values, as specified in Exhibit 2
- 6. Design QC Plan\*
- 7. Construction QC Plan
- 8. Clearing and Grubbing Plan
- 9. CONTRACTOR's Erosion Control Plan
- 10. SCDHEC Notice of Intent (NOI) for Stormwater Discharges Covered Under SC NPDES Construction General Permit SCR160000) & Storm Water Pollutant Prevention Plan and signed Contractor Certification Form (SCDHEC 0437)
- 11. Wetland and Stream Mitigation

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- 12. Crane Operator Documents
- 13. Community and Public Relations Plan, as specified in Article X and Exhibit 5
- 14. EEO, DBE, and OJT Requirements, as specified in Article XVIII & Exhibit 5
- 15. Right-of-Way documents, as specified in Article VIII
- 16. Escrow Proposal Documents
- 17. CONTRACTOR's Materials Certification
- 18. Railroad Coordination Documents & Insurance Certificates
- 19. HAZMAT surveys for structures not already surveyed, SCDHEC Notice of Demolition for RCE Signature
- 20. Utility Coordination Reports, including Utility Agreements, and Supporting Documentation
- 21. Right of Way Plats and Monuments (per Preconstruction Advisory Memorandum #8)
- 22. Shop Plans and Working Drawings
- 23. As-Built Plans

#### K. Subcontracts

- 1. CONTRACTOR shall retain or cause to be retained only subcontractors that are qualified, experienced and capable in the performance of the portion of the work assigned. CONTRACTOR shall assure that each subcontractor has at the time of execution of the corresponding subcontract, supply agreement, or other vendor contract, and maintains at all times during performance of the assigned work, all licenses required by applicable laws. For purposes of this clause, "subcontract, supply agreement, or other vendor contract" shall be referred to as a "Subcontract."
- 2. The retention of subcontractors by CONTRACTOR will not relieve CONTRACTOR of its responsibility hereunder or for the quality of the work or materials provided by it. CONTRACTOR shall supervise and be fully responsible to SCDOT for the acts, omissions, negligence, intentional misconduct, or breach of applicable law, contract, governmental approval or permit by any subcontractor, vendor, supplier, or other contractor to CONTRACTOR (a "subcontractor", or by any member or employee of CONTRACTOR or any such subcontractor, vendor, supplier, or other contractor (each, a "Contractor-Related Entity"), as though CONTRACTOR directly employed all such individuals. No subcontract entered into by CONTRACTOR will impose any obligation or liability upon SCDOT to

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any such subcontractor or any of its employees. Nothing in this Agreement will create any contractual relationship between SCDOT and any subcontractor.

#### 3. Each Subcontract shall:

- a. Set forth a standard of professional responsibility or a standard for commercial practice equal to the requirements of this Agreement and prudent industry practices for work of similar scope and scale and shall set forth effective procedures for claims and change orders.
- b. Require the subcontractor to carry out its scope of work in accordance with this Agreement, all applicable governmental approvals and permits, and applicable law.
- c. Physically Include Form FHWA-1273.
- d. Incorporate the general wage decisions applicable to the Project.
- e. Without cost to CONTRACTOR or SCDOT, expressly permit assignment to SCDOT or its successor, assign or designee of all CONTRACTOR's rights under the Subcontract, contingent only upon delivery of request from SCDOT following termination of this Agreement, allowing SCDOT or its successor, assign or designee to assume the benefit of CONTRACTOR's rights with liability only for those remaining obligations of CONTRACTOR accruing after the date of assumption, such assignment to include the benefit of all subcontractor warranties, indemnities, guarantees and professional responsibility.
- f. Expressly state that any acceptance of assignment of the Subcontract to SCDOT or its successor, assign or designee shall not operate to make the assignee responsible or liable for any breach of the Subcontract by CONTRACTOR or for any amounts due and owing under the Subcontract for work or services rendered prior to assumption (but without restriction on the Subcontractor's rights to suspend work or demobilize due to CONTRACTOR's breach).
- g. Expressly include a covenant to recognize and attorn to SCDOT upon receipt of notice from SCDOT that it has exercised its rights under this Agreement (including specifically step-in rights in the context of Contractor Defaults), without necessity for consent or approval from CONTRACTOR or to determine whether SCDOT validly exercised its rights, and CONTRACTOR's covenant to waive and release any claim or cause of action against the subcontractor arising out of or relating to its recognition and attornment in reliance on any such notice.
- h. Not be assignable by the subcontractor to any person or entity other than SCDOT (or its assignee) without CONTRACTOR's prior consent.

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- i. Not be assignable by the CONTRACTOR to any person other than SCDOT (or its assignee) without SCDOT's prior consent.
- j. Expressly include requirements that the Subcontractor will: (i) maintain usual and customary books and records for the type and scope of business operations in which it is engaged (e.g., constructor, equipment supplier, designer, service provider); (ii) permit audit thereof with respect to the Project or work by each of CONTRACTOR, FHWA and SCDOT pursuant to this Agreement, (iii) provide progress reports to CONTRACTOR appropriate for the type of work it is performing sufficient to enable CONTRACTOR to provide the reports it is required to furnish SCDOT under this Agreement.
- k. Include the right of CONTRACTOR to terminate the Subcontract in whole or in part upon any termination by SCDOT of this Agreement for SCDOT's convenience without liability of CONTRACTOR or SCDOT for the subcontractor's lost profits, business opportunity, or any consequential, incidental, indirect, special, or punitive damage.
- 1. Expressly require the Subcontractor to participate in meetings between CONTRACTOR and SCDOT, upon SCDOT's request, concerning matters pertaining to such Subcontract or its work.
- m. Include an agreement by the subcontractor to give evidence in any dispute resolution proceeding pursuant to this Agreement, if such participation is requested by either SCDOT or CONTRACTOR.
- n. Expressly include a provision prohibiting cross-contract offset between the parties thereto, meaning that if a subcontractor is performing work on multiple contracts for the other party to the Subcontract or the other party's affiliates, the other party or its affiliate shall not withhold payment from the subcontractor on its Subcontract because of disputes or claims on another contract.
- o. Expressly require the subcontractor to make payments to sub-subcontractors, and be liable for interest payments to sub-subcontractors, under applicable law.
- p. Contain no waiver of the prompt payment protections for the subcontractor provided under applicable law.
- q. Expressly provide that all claims and charges of the subcontractor and its subcontractors at any time shall not attach to any interest of SCDOT in the Project or the Project ROW.
- r. Expressly include a covenant, expressly stated to survive termination of the Subcontract, to promptly execute and deliver to SCDOT a new contract between the subcontractor and SCDOT on the same terms and conditions as the Subcontract, in the event: (i) the Subcontract is rejected by CONTRACTOR in bankruptcy or otherwise wrongfully terminated by CONTRACTOR; and (ii)

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- SCDOT delivers request for such new contract following termination or expiration of this Agreement.
- s. Be consistent in all other respects with the terms and conditions of this Agreement to the extent such terms and conditions are applicable to the scope of work of such subcontractors, and include all provisions required by this Agreement.
- t. Expressly require the Subcontractor to notify CONTRACTOR and SCDOT, in writing, promptly following any determination by any federal or state department or agency that the subcontractor is disqualified, suspended, debarred, or otherwise excluded from bidding, proposing, or contracting with any such federal or state department or agency.
- 4. CONTRACTOR shall not amend any Subcontract with respect to any of the foregoing matters without the prior consent of SCDOT.
- 5. CONTRACTOR shall not enter into any Subcontracts with any person or entity then debarred or suspended from submitting bids by any agency of the State.
- 6. Additional Requirements Relating to Subcontracts
- 7. Prior to any subcontractor performing any work on the Project, CONTRACTOR shall submit the Subcontractor/Hauler Approval Request Form for approval. SCDOT reserves the right to request the subcontract at any time. If requested by SCDOT, then CONTRACTOR shall submit a true and complete copy of the proposed Subcontract to SCDOT for such review and approval. SCDOT may disapprove any proposed Subcontract for reasons to include but not limited to default or delinquency of subcontractor.

#### 8. Subcontracts with Affiliates

- a. For purposes of this clause, "Affiliate" means (i) any equity member of CONTRACTOR, (ii) any person or entity who directly or indirectly, through one or more intermediaries, controls or is controlled by, or is under common control with CONTRACTOR or any such equity member, or (iii) any person or entity for which ten percent or more of the equity interest in such person or entity is held directly or indirectly, beneficially, or of record, by CONTRACTOR, any such equity member, or any affiliate of any person or entity described under clause (ii). "Control" means the possession, directly or indirectly, of the power to cause the direction of the management of an entity, whether through voting rights, securities, by contract, family relationship, or otherwise.
- b. CONTRACTOR shall have the right to have work and services performed by Affiliates only under the following terms and conditions (in addition to all other general requirements for Subcontracts set forth in this Agreement):

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- (i.) CONTRACTOR shall execute a written Subcontract with the Affiliate;
- (ii.) The Subcontract shall comply with all applicable provisions of this clause, be consistent with prudent industry practices, and be in form and substance substantially similar to Subcontracts then being used by CONTRACTOR or Affiliates for similar work or services with unaffiliated subcontractors;
- (iii.) The Subcontract shall set forth the scope of work and services and all the pricing, terms and conditions respecting the scope of work and services;
- (iv.) The pricing, scheduling and other terms and conditions of the Subcontract shall be no less favorable to CONTRACTOR than those that CONTRACTOR could reasonably obtain in an arms' length, competitive transaction with an unaffiliated Subcontractor. CONTRACTOR shall bear the burden of proving that the same are no less favorable to CONTRACTOR; and
- (v.) No Affiliate shall be engaged to perform any work or services which this Agreement states are to be performed by an independent or unaffiliated party.
- (vi.) No Affiliate shall be engaged to perform any work or services that would be inconsistent with prudent industry practices.
- c. CONTRACTOR shall make no payments to Affiliates for work or services in advance of provision of such work or services, except for reasonable mobilization payments or other payments consistent with arm's length, competitive transactions of similar scope.

#### III. CONTRACT PRICE/CONTRACT PAYMENTS

#### A. Contract Price

The "Contract Price" shall be \$\_\_\_\_\_\_. In consideration for the Contract Price, CONTRACTOR shall perform all of its responsibilities under the Contract. The Contract Price shall include all work identified in the Agreement and subsequent Exhibits and as identified in the Cost Proposal Bid Form – **EXHIBIT 1**.

#### A. Contract Price Adjustments

1. Allowable adjustments

The Contract Price may be adjusted to reflect the direct costs, plus an additional amount not to exceed 10% of the direct costs for the combined total of reasonable overhead\* and profit, associated with any of the following:

- a. Amount added or deducted as the result of a "Change" or "Force Account Directive".
- b. Differing site condition as defined in Article XIII.

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- c. Intentional or bad faith acts or omissions by SCDOT that unreasonably interfere with CONTRACTOR's performance and cause delay of work on the critical path of the Project.
- d. Changes in legal requirements or regulations that are effective subsequent to the date of submission of CONTRACTOR's response to the RFP.
- e. Discovery of hazardous materials not previously identified in Exhibit 4 Project Design Criteria and Attachment B as set forth in Article XI
- f. Discovery of archeological or paleontological sites not previously identified as noted in Article X.
- g. Second appraisals as set forth in Article VIII. Only the actual second appraisal cost will be reimbursed. No additional amount for overhead, profit, bonds and insurance will be considered for this item. Choose an item.

\*Overhead: The operating expense of a business exclusive of direct cost labor and material.

Other than as provided above, the Contract Price shall not be increased for Contract Time extensions or delay damages. Contract Price adjustments shall be documented by change order through the Contract Change Request process signed by both parties and shall be reflected immediately in the Schedule of Values. No claim by the CONTRACTOR for an adjustment hereunder shall be allowed if notice is not given prior to final payment under this Agreement.

#### 2. Changes

- a. A "Change" shall be any deviation or variation from the Project Scope or the Project Criteria. No Change shall be implemented without the express written approval of SCDOT.
- b. SCDOT or the CONTRACTOR may initiate a "Contract Change Request" in writing via the Contract Requests process in Exhibit 5. If SCDOT approves the change, CONTRACTOR shall perform the services as changed.

#### 3. Force Account Directive

a. A Force Account Directive is a written order from SCDOT directing a Change prior to agreement with CONTRACTOR on adjustment, if any, to the Contract Price or Contract Time. If a price for the work cannot be agreed upon or a time constraint requires expedited work, CONTRACTOR shall perform the work under Force Account Procedures as outlined in Section 109.5 of SCDOT's Standard Specifications.

#### 4. Direct Costs

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For the purpose of a Contract Price Adjustment, "Direct Costs" shall be defined as:

- a. Costs of labor, including social security, old age and unemployment insurance, fringe benefits required by agreement or custom, and workers' compensation insurance;
- b. Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- c. Actual costs of machinery and equipment owned by CONTRACTOR or any affiliated or related entity exclusive of hand tools;
- d. Actual costs paid for rental of machinery and equipment exclusive of hand tools;
- e. Costs of premiums for all bonds and insurance, permit fees, and sales, use or similar taxes;
- f. Additional costs of supervision and field office personnel directly attributable to the change or event; and
- g. Costs incurred or fees paid for design work related to the change or event.

### **B.** Contract Payments

### 1. Schedule of Values

Prior to execution of this Agreement, CONTRACTOR shall provide a Schedule of Values acceptable to SCDOT and work may not start until the Schedule of Values is approved by SCDOT. The Schedule of Values will serve as the basis for cost loading of the CPM Schedule. The CPM schedule shall include sufficient information to provide for monetary and quantitative tracking of the work by SCDOT. Updates to the cost-loaded CPM schedule will serve as the basis for progress payments requested by and made to CONTRACTOR. If the Contract Price is adjusted, CONTRACTOR shall revise its Schedule of Values and the CPM Schedule to reflect the adjustment in the Contract Price. The revised Schedule of Values must be approved by SCDOT prior to the time for the subsequent request for a progress payment otherwise no progress payments will be made. The Schedule of Values shall be incorporated herein as **EXHIBIT 2**. The Schedule of Values should include Lump Sum items that will serve as measurement and payment for any item referred to in this Contract as a "contract unit bid price" item.

### 2. Mobilization

Mobilization shall not exceed 5% of the Total Contract Cost as shown in the Schedule of Values. Mobilization will be paid in two equal installments. The first will be paid in the progress payment immediately following Notice to Proceed, and the second will be paid at the start of construction.

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## 3. Periodic Progress Payment Applications

No application for payment of the Contract Price shall be submitted until SCDOT gives a notice to proceed. Applications for payment of the Contract Price may be submitted once a month. Each application for payment of the Contract Price shall set forth, in accordance with the Schedule of Values and the cost-loaded CPM schedule, the percentage of all items comprising the work completed since CONTRACTOR's immediately prior request for payment. The application for payment of the Contract Price may also request payment for equipment and materials not yet incorporated into the Project, provided that (i) SCDOT is satisfied that the equipment and materials are suitably stored at either the Project or another acceptable location, (ii) the equipment and materials are protected by suitable insurance and (iii) upon payment, SCDOT will receive title to the equipment and materials free and clear of all liens and encumbrances.

### 4. Periodic Progress Payments

SCDOT will review each application for payment and respond within seven calendar days. SCDOT will generate an "Estimate Summary to Contractor" and "Contractor Concurrence Form" for CONTRACTOR review. The "Contractor Concurrence Form", with the attached "Estimate Summary to Contractor", shall be the undisputed application for payment. SCDOT will make each payment within 21 calendar days of the receipt of the corresponding undisputed application for payment. In the event of a dispute over the quality of work or percentage of the Project completed, SCDOT's decision is controlling and final. Payment by SCDOT will not preclude or estop SCDOT from correcting any measurement, estimate, or certificate regarding the percentage completion of the Project, and future payments may be adjusted accordingly.

#### 5. Prompt Payment of Subcontractors

CONTRACTOR shall comply with the requirements of the SCDOT Prompt Payment Clause Supplemental Specification.

### 6. Withholding of Payment

SCDOT may withhold all or part of any payment under the Contract for any of the reasons listed below. Any funds withheld will be released upon CONTRACTOR fully remedying the defect, fault, or failure and will be included in the next regularly schedule pay estimate. Payment will be subject to retainage if applicable.

- a. Any CONTRACTOR default as set forth in Article XVII;
- b. Reasonable evidence that the Work will not be Substantially Complete within the Construction Time as adjusted and that the unpaid balance of the Contract Price will not be adequate to cover Liquidated Damages for the actual unexcused delay;

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- c. Any fines or other charges to SCDOT due to CONTRACTOR's failure to comply with permit requirements or other regulations;
- d. Notice of cancellation of insurance;
- e. Violation of QC plan requirements;

# C. Retainage

Provided the Project is proceeding satisfactorily, SCDOT will not withhold retainage. However, if at any time SCDOT determines that CONTRACTOR fails to meet contract terms or the Project is not proceeding satisfactorily, SCDOT may retain up to 10% of the Contract Price as retainage.

### IV. CONTRACT TIME

## A. Project Schedule

1. <u>Time for Completion of Project:</u> Time is of the essence. The Project shall be Substantially Complete by May 1, 2023. The Notice to Proceed shall be no later than 45 days from the effective date of the Agreement. Final Completion shall be reached as defined in paragraph 5 below.

The CONTRACTOR shall complete grading activities to the top of the subgrade elevation from (and including) the intersection of One Carolina Drive and Blue and Black Blvd. to the western project limits by May 5, 2022.

- a. Contract Time shall be the number of calendar days from effective date of agreement to Final Completion.
- b. Construction Time is defined as calendar days from Notice to Proceed to Substantial Work Completion on the Project.
- 2. Substantial Completion: When CONTRACTOR believes that it has reached Substantial Completion, it shall notify SCDOT in writing. Substantial Completion is the point in the Project when the work has been constructed to the typical section in the plans over the entire length of the Project, including tie ins to adjacent projects or existing roads, all travel lanes are open to the public, all safety features are installed and are being properly maintained, no lanes will have to be closed to complete any remaining work, and all work is completed except for "Project Closeout Activities". "Project Close-out Activities" are defined as punch list items, site clean-up, demobilization, and final Project documentation, including but not limited to as-built plans.
- 3. <u>Critical Path Method Schedule:</u> CONTRACTOR shall prepare and maintain a schedule for the Project using the Critical Path Method of scheduling (hereinafter called "CPM Schedule"). Prepare a Level II CPM Schedule in accordance with

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this agreement and the SCDOT Supplemental Specifications with the following exceptions:

- a. Submit to the SCDOT the initial baseline CPM schedule within 30 days from the Effective Date of this Agreement. No contract payment will be made to Contractor and no construction work may begin until a CPM baseline schedule is received and accepted by SCDOT. Update the baseline CPM schedule for monetary and quantitative tracking purposes as RFC plans are developed.
- b. Cost-load the CPM schedule using the expenses identified in the schedule of values. Use the schedule of values to establish Expense Categories and assign to the correct activities.
- c. Include submittal activities. Allow duration for these activities to include SCDOT review periods.
- d. Reuse of deleted activity ID's from schedule update to schedule update is not allowed.
- e. Failure to include any element of work or any activity including but not limited to utility relocation, right of way acquisition, and permitting will not relieve the CONTRACTOR from completing all work within the Construction Time at no additional time or cost to the SCDOT, notwithstanding the acceptance of the schedule by SCDOT.
- f. Develop project specific calendars reflecting all seasonal restrictions included in this Agreement and non-work days. Address durations for weather within activity duration, not within the calendar.
- g. Use only a Work Breakdown Structure (WBS) to organize schedule activities. At a minimum, breakout the design and construction phases. These two breakouts should have the same parent within the structure.
- h. Submit monthly updates no later than 15 days following the most recent estimate period end date, whether or not an estimate was generated. Set the data date the same as the most recent estimate period end date.
- i. If SCDOT determines any schedule submission is deficient, it will be returned to the CONTRACTOR. A corrected schedule shall be provided within 7 calendar days from the SCDOT's transmittal date.
- j. The CONTRACTOR may plan for early completion; however, the schedule shall never reflect a completion date earlier than the original Substantial Completion date. SCDOT will not be liable in any way for CONTRACTOR's failure to complete the Project prior to the original Substantial Completion date. Any additional costs, including extended overhead incurred between CONTRACTOR's scheduled early completion date and the original Substantial Completion date, shall be the responsibility of the CONTRACTOR.

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k. Include in each narrative a detailed listing of crews utilized on activities and their responsibilities. In lieu of this, the Contractor may request to submit a Resource Loaded CPM schedule.

## 4. Progress Review Meetings:

- a. Review Meetings shall be held between CONTRACTOR and SCDOT at least every 2 weeks. Periodic construction meetings shall be held by CONTRACTOR with its consultants and subcontractors to coordinate the work, update the schedule, provide information and resolve potential conflicts.
- b. SCDOT and CONTRACTOR will hold a regular CPM Progress Meeting at which all principal parties are expected to attend. These meetings will be held the week before the application for payment is due so that job progress will coincide with the payment application. At this meeting, CONTRACTOR shall provide the most recent schedule with notations showing actual start dates, actual finish dates, and activity progress. If the schedule provided indicates an actual or potential delay to the completion of the Contract, CONTRACTOR shall provide a narrative identifying the problems, causes, the activities affected and describing the means and methods available to complete the Project by the Contract Time.
- 5. Final Completion: Final Completion shall be achieved within 180 calendar days of Substantial Completion as defined in this Agreement. When CONTRACTOR believes that all elements of its work on the Project, including all of the requirements of the Contract, have been completed, it shall notify SCDOT in writing. Within 30 days thereafter, SCDOT will acknowledge project completion or will advise CONTRACTOR in writing of any aspect of the Contract or the Project Scope that is incomplete or unsatisfactory. CONTRACTOR shall complete all corrective action within thirty (30) days after written notification of incomplete or unsatisfactory items. CONTRACTOR will notify SCDOT in writing upon completion of necessary corrective action. SCDOT will verify satisfactory completion of the corrective action in writing to CONTRACTOR. The number of days referenced above to achieve Final Completion does not include SCDOT's review period and the CONTRACTOR's corrective action time. Upon verification, the Project shall be deemed to have achieved Final Completion.
- 6. <u>Long Stop:</u> Long Stop Dates shall be defined as 90 calendar days after Substantial completion and 90 calendar days after Final Completion.
- 7. <u>Inspection/Acceptance</u>; No Waiver: No inspection, acceptance, payment, partial waiver, or any other action on the part of SCDOT will operate as a waiver of any portion of this Agreement or of any power reserved herein or any right to damages or other relief, including any warranty rights, except insofar as expressly waived by SCDOT in writing. SCDOT will not be precluded or estopped by anything contained herein from recovering from CONTRACTOR any overpayment as may be made to CONTRACTOR.

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### **B.** Time Extensions

Time may be extended if there is a delay to the critical path of the Project caused by an event listed below. All requests for time extensions shall be made in writing to SCDOT within 20 days of the event causing the delay. All time extensions must be approved in writing by SCDOT. Time extensions for weather shall not be allowed, except as provided under Force Majeure. Time extensions may be allowed for the following events that affect the critical path:

- 1. Force Majeure as that term is defined in this Agreement in Article XIV;
- 2. Changes or Force Account Directives;
- 3. Differing site conditions as defined under Article XIII;
- 4. Injunctions, lawsuits, or other efforts by individuals or groups that hinder, delay, or halt the progress of the Project, provided that such efforts are not premised on alleged wrongs or violations by CONTRACTOR or its subcontractors;
- 5. Interference with or delay of work on the critical path of the Project by SCDOT; however, CONTRACTOR shall not be entitled to a time extension if SCDOT's actions are necessitated by CONTRACTOR's actions, omissions, failure to perform quality work, or failure to comply with contract requirements;
- 6. Changes in the legal requirements or regulations which are effective subsequent to the date of this Agreement;
- 7. Discovery of hazardous materials not previously identified as set forth in Article XI;
- 8. Discovery of archeological or paleontological remains not previously identified as set forth in Article X; or
- 9. Adverse utility relocation impacts meeting the requirements set forth in Article VII.
- 10. Adverse Railroad coordination impacts as set forth in Article VII.
- 11. Adverse permit acquisition impacts as set forth in Article IX.

# C. Owner's Right to Stop Work

SCDOT will have the authority to suspend the work, wholly or in part, for such periods, as SCDOT may deem necessary, due to CONTRACTOR's failure to meet the requirements of the Contract in the performance of the work. Such suspension of the work shall not constitute grounds for claims for damages, time extensions, or extra compensation.

### D. Liquidated Damages

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- 1. CONTRACTOR shall pay liquidated damages to SCDOT in the amount of \$Ten Thousand Dollars (\$10,000) for each day for which the project is not substantially complete, as defined in Article IV.
- 2. CONTRACTOR shall pay liquidated damages to SCDOT in the amount of \$One Thousand Five Hundred Dollars (\$1,500) for each day that Final Completion, as defined in Article IV, is not achieved.
- 3. The parties acknowledge, recognize and agree that because of the unique nature of the Project, it is difficult or impossible to determine with precision the amount of damages that would or might be incurred by SCDOT as a result of the CONTRACTOR's failure to complete the Project as specified in the Contract. Therefore, any sums payable under this provision are in the nature of liquidated damages, and not a penalty, and are fair and reasonable and such payment represent a reasonable estimate of fair compensation for the losses that may reasonably be anticipated from such failure. Liquidated damages are SCDOT's sole remedy for delayed completion; however, liquidated damages do not apply to CONTRACTOR's liability for other contractual breaches, duties, or obligations.

## V. CONSTRUCTION QUALITY ASSURANCE PROGRAM

# A. CONTRACTOR's Responsibilities

CONTRACTOR shall be responsible for the QUALITY CONTROL Portion of the Program to include the items listed below. Work shall not commence until CONTRACTOR has met these requirements.

- 1. Quality Control Plan: CONTRACTOR shall submit a Quality Control (QC) Plan that outlines how CONTRACTOR shall assure that the materials and work are in compliance with the contract documents. The initial plan shall be submitted to SCDOT for review and approval at least five business days prior to the beginning of any construction activity. The plan shall be updated as necessary prior to the start of any specific construction operation. The plan shall include a list of SCDOT certified personnel responsible for management and quality control of the Project, and define the authority of each individual. The plan shall also include how CONTRACTOR will monitor quality and deal with failing materials. The QC Plan shall include the QC testing and sampling frequencies and shall indicate the frequency at which the QC Manager will provide QC test results to SCDOT. CONTRACTOR shall include a summary of quantities to SCDOT for the purposes of meeting the minimum acceptance and independent assurance sampling and testing requirements for the Project.
- Personnel: CONTRACTOR shall provide a sufficient number of SCDOT certified
  personnel to adequately control the work of the Project. Any personnel required to
  obtain samples or conduct material testing shall be SCDOT certified.
  CONTRACTOR shall provide SCDOT with copies of each individual's

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certifications for review and approval by SCDOT. Approved CONTRACTOR QC personnel shall be on the job at all times that permanent work items and materials are being incorporated into the project. CONTRACTOR's QC personnel shall not have any other project responsibilities.

- 3. CONTRACTOR Testing: Notwithstanding any required sampling and testing stipulated in Article II.G, the CONTRACTOR should establish additional sampling and testing to ensure that all workmanship and materials are in compliance with the contract requirements. Although not used for acceptance, QC testing and inspection shall ensure that quality has been incorporated into all elements of work prior to requesting acceptance testing and inspection. The OC Program should be sufficient in scope to remedy repeated discoveries of non-compliant work by those performing acceptance inspection and testing. Repeated observations of QC quality shortfalls shall be considered a breakdown of the QC program and shall be cause for investigation and corrective action prior to commencement of work areas affected. Corrective action may include the addition of new QC procedures, revision to existing QC procedures, re-training of QC personnel, removal and replacement of QC personnel, or other such actions which will restore the effectiveness of the OC program. All OC testing shall be performed in accordance with existing AASHTO, ASTM, or test methods used by SCDOT. The cost of these activities will be borne by CONTRACTOR.
- 4. <u>Testing Laboratories</u>: Hot Mix Asphalt testing laboratories require SCDOT certification. All other testing laboratories used on the Project must be AASHTO certified and approved by SCDOT 30 days prior to beginning the portion of work for which the laboratory will be performing the testing.
- 5. Mix Designs: Copies of all initial hot-mix asphalt mix designs and Portland Cement Concrete mix designs, along with supporting data, shall be submitted to SCDOT for review at least five business days prior to use. All hot-mix asphalt mix designs will be prepared by personnel certified in Mix Design Methods. Portland Cement Concrete mix designs will be prepared by a certified concrete technician or a Professional Engineer. The Portland Cement Concrete mix proportions given in the specifications are to be followed. CONTRACTOR shall design the mix to obtain the strength and water/cement ratios given in the specifications, and shall provide workability, air content, gradation and suitable set times as set forth in the Standard Specifications. The SCDOT will be notified of any revisions to CONTRACTOR's mix design. Copies of such revisions will be sent to SCDOT for review at least 10 business days prior to use.
- 6. <u>Materials Certifications</u>: CONTRACTOR's QC Manager shall submit all material certifications to SCDOT prior to the CONTRACTOR incorporating the material into the project.

## **B. SCDOT Responsibilities**

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SCDOT will be responsible for the QUALITY ACCEPTANCE portion of the program to include: conducting inspections, acceptance testing, independent assurance testing and final project material certification.

- 1. Acceptance Testing: SCDOT personnel assigned to the Project, or qualified personnel retained by SCDOT, will conduct sampling and testing, separate from CONTRACTOR's testing, at the frequencies set forth in SCDOT's Quality Acceptance Sampling and Testing Guide. This testing will be used by SCDOT to determine the acceptability of the materials. All sampling and testing will be in accordance with existing AASHTO, ASTM, or SC test methods used by SCDOT. The cost of these activities will be borne by SCDOT. CONTRACTOR's QC Manager is required to coordinate all activities closely with SCDOT to allow the necessary acceptance testing to be conducted prior to proceeding to the next operation. The disposition of failing materials must be approved by SCDOT.
- 2. Independent Assurance Testing: SCDOT will be responsible for conducting Independent Assurance Testing. Personnel performing these tests will be SCDOT employees or qualified persons retained by SCDOT. Persons performing these tests will not be involved in Acceptance Testing. This testing will be used to ensure that proper sampling and testing procedures are being followed, and that testing equipment is functioning properly. This testing will consist of observing sampling and testing by both SCDOT personnel performing Acceptance Testing and CONTRACTOR personnel performing Quality Control Testing, as well as taking split samples for the purposes of comparison testing. Independent Assurance Testing will be at an approximate frequency of one-tenth of the Acceptance Testing frequency. Independent Assurance test results will not be used for acceptance. The cost of these activities will be borne by SCDOT.
- 3. <u>Materials Certification</u>: SCDOT will be responsible for preparing the Materials Certification as required by the FHWA on federally funded projects.

## C. CONTRACTOR's Obligation

SCDOT's testing in no way relieves CONTRACTOR of its obligation to comply with the Contract requirements. All materials incorporated into the Project must meet or exceed contract requirements and specifications. Further, any testing by SCDOT will not relieve CONTRACTOR of any of its warranty obligations.

### VI. INSURANCE AND BONDING

#### A. Insurance

 CONTRACTOR shall purchase and maintain insurance using a company or companies that maintain an A.M. Best rating of not less than A-VII with coverage forms acceptable to SCDOT. The insurance described below shall be maintained uninterrupted for the duration of the Project, including warranty periods, and shall protect CONTRACTOR from claims set forth below which may arise out of or

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result from CONTRACTOR's operations under the Contract, whether such operations be performed by CONTRACTOR or by any subcontractor or by anyone directly or indirectly employed by any of them or by anyone for whose acts any of them may be liable:

- a. Claims under workers' or workmen's compensation, disability benefit and other similar employee benefit acts;
- b. Claims for damages because of bodily injury, occupational sickness or disease, or death of CONTRACTOR's employees;
- c. Claims for damages because of bodily injury, sickness or disease, or death of any person other than CONTRACTOR's employees;
- d. Claims for damages insured by usual personal injury liability coverage which are sustained (1) by any person as a result of an offense directly or indirectly related to the employment of such person by CONTRACTOR, or (2) by any other person;
- e. Claims for damages, other than to the work itself, because of injury to or destruction of tangible property, including loss of use resulting therefrom;
- f. Claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance or use of any motor vehicle.
- g. Claims involving contractual liability insurance applicable to the Contractor's obligations under the indemnity provisions of this contract.
- h. Claims involving professional liability.
- 2. The minimum limits of liability for the following types of insurance are required, except where greater limits are required by statute:
  - a. Workers' Compensation, including: Worker's Compensation Insurance/Employer's Liability

State Statutory limits Employer's Liability \$100,000 per accident \$500,000 per disease

\$100,000 each employee

b. Commercial General Liability \$1,000,000 per occurrence

\$2,000,000 annual aggregate

Commercial General Liability insurance shall be written on ISO occurrence form CG 00 01 (or substitute for providing equivalent coverage) and shall cover liability arising from premises, operations, independent contractors, products-

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completed operations, contractual liability and personal injury and advertising injury. The policy shall contain the per project endorsement.

c. Business Automobile Liability \$1,000,000 per occurrence

This policy shall cover Any Auto, including Owned, Hired and Non-owned Automobiles. Business auto coverage shall be written on ISO form CA 00 01, CA 00 05, CA 00 12, CA 00 20, or a substitute form providing equivalent liability coverage.

d. Umbrella Liability Coverage \$10,000,000 per occurrence \$20,000,000 annual aggregate

The general aggregate limit shall apply separately to the Project.

e. Professional Liability Coverage: \$1,000,000 per claim

\$3,000,000 annual aggregate

This policy shall cover all claims arising from the performance of professional services on the Project (Professional Liability also known as Errors and Omissions Insurance). Evidence of such insurance shall be provided to SCDOT at the time of the execution of the Agreement. This policy is written on a claims-made basis and CONTRACTOR warrants that any retroactive date under the policy shall precede the effective date of this Contract; and that either continuous coverage will be maintained or an extended discovery period will be exercised for a period of eight (8) years beginning at the time worked under this Contract is completed. CONTRACTOR shall obtain, or require the Lead Designer to obtain, Professional Liability insurance for this Project.

3. Certificates of Insurance acceptable to SCDOT will be provided to SCDOT prior to execution of this Agreement. These certificates shall name SCDOT as an additional insured under the Commercial General Liability (CGL) arising out of both the on-going operations and completed operations of CONTRACTOR. Such additional insured coverage shall be endorsed to Contractor's CGL policy using ISO Additional Insured Endorsement form CG 2010 (10/01) and CG 2037 (10/01) or a substitute providing equivalent coverage, and included under the commercial umbrella. CONTRACTOR shall maintain continual additional insured status for SCDOT under the products-completed operations coverage for eight years after Substantial Completion. CONTRACTOR shall also name SCDOT as additional insured under Business Automobile and Umbrella policies and reference the Project to which the certificate applies. The policies must contain a provision that coverage afforded will not be canceled until at least 30 days prior written notice has been given to SCDOT and that the policies cannot be cancelled for non-payment of premiums until at least 10 days prior written notice has been provided to SCDOT. Send Notice of Cancellations to Director of Construction Room 330, PO Box 191, Columbia, SC 29202. Make certain that the policies are endorsed to reflect this requirement. Verification of additional insured status shall be furnished to SCDOT

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by including a copy of the endorsements with the Certificate of Insurance. This insurance, including insurance provided under the commercial umbrella shall apply as primary and noncontributory insurance with respect to any other insurance or self-insurance programs, including any deductibles, afforded to, or maintained by, SCDOT. CONTRACTOR'S deductibles shall not exceed \$250,000 without written consent of the SCDOT and that the certificates show the deductible amounts. CONTRACTOR shall provide a notarized letter from a Certified Public Accountant showing that they have the financial ability to cover the amount of the deductible at the time of the execution of the agreement and for every year thereafter until the insurance obligation ends.

- 4. Limits shown in this provision are minimum acceptable limits and in no way limit available coverage to the additional insured. CONTRACTOR's CGL and commercial umbrella policies shall contain no provision providing that the limits available to an additional insured are less than the limits available to the CONTRACTOR. SCDOT shall be given all the same rights and insurance coverage as CONTRACTOR.
- 5. There shall be no endorsements or modifications of the CGL limiting the scope of coverage for liability arising from explosion, collapse, underground property damage or work performed by contractors on behalf of SCDOT.
- 6. Hazardous Materials: If the CONTRACTOR is required to remove and haul any hazardous waste from the Project, or if the Project involves such similar environmental exposure, pollution liability coverage equivalent to that provided under the ISO Pollution Liability - Broadened Coverage for Covered Autos Endorsement (CA 99 48), shall be provided, and the Motor Carrier Act Endorsement (MCS 90) shall be attached. Limits of pollution liability shall be not less than \$250,000 per occurrence and \$1,000,000 annual aggregate. Coverage shall apply on an "occurrence form" basis, shall cover at a minimum bodily injury, property damage, defense costs and clean-up costs and be extended to include nonowned disposal sites and transportation coverage. This insurance shall remain in effect after acceptance by Owner for the time period required to satisfy the statute of limitations in South Carolina. However, if coverage is written on a "claims made form", then the Contractor's Pollution Liability coverage shall include a retroactive date that precedes the commencement of work under this Agreement. coverage shall apply as primary and non-contributory insurance with respect to any other insurance or self-insurance programs, including any deductibles, afforded to, or maintained by SCDOT. Pollution Liability policy must include contractual liability coverage.
- 7. Waiver of Subrogation: CONTRACTOR shall waive its rights against SCDOT, other additional insured parties, and their respective agents, officers, directors and employees for recovery of damages, or any other claims, to the extent these damages are covered by the CGL, business auto, pollution liability, workers compensation and employer's liability or commercial umbrella maintained pursuant to this section of the Agreement.

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- 8. CONTRACTOR is not required to purchase Builder's Risk Insurance; however, CONTRACTOR must bear all risk normally covered by Builder's Risk Insurance. If CONTRACTOR purchases Builder's Risk Insurance, it shall be at its own cost.
- 9. After Final Completion of the work, CONTRACTOR shall maintain CGL, professional liability, and commercial umbrella coverage to include liability coverage for damage to insured's completed work equivalent to that provided under ISO CG 00 01 for eight years after substantial completion.
- 10. By execution of the contract, the CONTRACTOR accepts the responsibility to provide the liability insurance policies and endorsements as specified herein. Failure of SCDOT to identify a deficiency in the Certificate of Insurance submitted by the CONTRACTOR's insurance agent as evidence of the specified insurance or to request other evidence of full compliance with the liability insurance specified shall not be construed as a waiver of the CONTRACTOR's obligation to provide and maintain the required insurance for the duration of the contract. The CONTRACTOR shall assess its own risks and if it deems appropriate and/or prudent, maintain higher limits and/or broader coverages. The CONTRACTOR is not relieved of any liability or other obligations assumed or pursuant to the Contract by reason of its failure to obtain or maintain insurance in sufficient amounts, duration, or types.

## B. Bonding

- 1. CONTRACTOR shall at the time of the execution of this Agreement, provide SCDOT the following bonds:
  - a. A Performance and Indemnity Bond from a surety or sureties satisfactory to SCDOT. The amount of bond shall be equal to the Contract Price.
  - b. A Payment Bond from a surety or sureties satisfactory to SCDOT. The amount of bond shall be equal to the Contract Price.
- 2. These bonds shall be in accordance with the requirements of S.C. Code Ann. §57-5-1660, (1976 as amended) and S.C. Code Ann. §29-6-250 (2000). Bonds shall be issued by a surety company licensed in the State of South Carolina with an "A" minimum rating of performance as stated in the most current publication of "A.M. Best Key Rating Guide, Property Liability" and signed by the surety's agency or attorney-in-fact. Surety must be listed on the current U.S. Department of the Treasury Financial Management Service list of approved bonding companies as approved for an amount equal to or greater than the amount for which it obligates itself in the Bond. If surety qualifies by virtue of its Best's listing, the amount of the Bond may not exceed ten percent of policyholders' surplus as shown in the latest A.M. Best's Key Rating Guide.
- 3. CONTRACTOR shall also provide a warranty bond, acceptable to SCDOT, in the amount of \$500,000 to cover the warranty obligations of the contract.

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#### VII. UTILITIES AND RAILROAD COORDINATION

#### A. Utilities

- 1. There is an existing Duke Energy transmission line which is in conflict with the Project. This transmission line is scheduled to be relocated no later than November 5, 2021.
- 2. As part of the Project Scope, CONTRACTOR shall have the responsibility of coordinating the Project construction and demolition activities with all utilities that may be affected. CONTRACTOR shall be responsible for the cost of all utility coordination unless defined otherwise herein. If applicable, all temporary relocation costs as well as any other conflict avoidance measures shall be the responsibility of the CONTRACTOR. For those utilities that have prior rights SCDOT will be responsible for permanent relocation costs as defined by the Federal code. For those utilities where the CONTRACTOR determines that the SCDOT has prior rights, CONTRACTOR may exercise these rights and require the utility company to bear the costs of relocation. If there is a dispute over prior rights, SCDOT shall be responsible for resolving the dispute. SCDOT shall have final determination of the utility's prior rights.
- 3. For those utilities requiring relocation, CONTRACTOR shall conform with SCDOT's "A Policy for Accommodating Utilities on Highway Rights of Way", the applicable State laws, and the Code of Federal Regulations, Title 23, Chapter 1, Subchapter G, part 645, subparts A and B. The CONTRACTOR is responsible for all costs associated with relocating utilities owned by SCDOT.
- 4. The resolution of any conflicts between utility companies and the construction of the Project shall be the responsibility of the CONTRACTOR. If said utility companies interfere or fail to relocate conflicting utilities in a timely manner, SCDOT may, on an individual basis, consider a time extension for utility company delays when CONTRACTOR can demonstrate that appropriate coordination efforts have been made to expedite the utility relocation, and that the delay has a direct impact on the approved Critical Path. CONTRACTOR shall not be entitled to additional compensation for interference or delays in utility relocations. CONTRACTOR shall meet with the Department's Utilities Office within 30 days of the Notice to Proceed to gain a full understanding of what is required with each utility submittal.
- 5. CONTRACTOR shall design the Project to avoid conflicts with utilities where possible, and minimize impacts where conflicts cannot be avoided. If there is a dispute between the CONTRACTOR and SCDOT as to whether a utility relocation is required, SCDOT shall have the final determination. Additional utility relocations desired by the CONTRACTOR for but not limited to construction staging, access or convenience, shall be the sole responsibility of CONTRACTOR and all associated costs shall be borne by the CONTRACTOR.

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- 6. CONTRACTOR shall initiate early coordination with all utilities and provide the utility companies with design plans for their use in developing Relocation Sketches as soon as the plans have reached a level of completeness adequate to allow the companies to fully understand the Project impacts. If a party other than the utility company prepares Relocation Sketches, there shall be a concurrence box on the plans where the utility company signs and accepts the Relocation Sketches as shown.
- 7. CONTRACTOR shall be responsible for collecting and submitting to SCDOT the following from each utility company that is located within the project limits:
  - a. **Relocation Sketches** including letter of "no cost" where the company does not have a prior right;
  - b. **Utility Agreements** including documentation of prior rights, cost estimate and relocation plans where the company has a prior right; and/or
  - c. **Letters of "no conflict"** where the company's facilities will not be impacted by the Project. Include location sketches on SCDOT plans confirming and certifying that facilities are not in conflict.
  - d. **Encroachment Permits** for all relocations regardless of prior rights.
- 8. CONTRACTOR shall assemble the information included in the Utility Agreements and Relocation Sketches in a final and complete form and in such a manner that the Department may approve the submittals with minimal review. CONTRACTOR shall ensure that there are no conflicts with the proposed highway improvements, or between each of the utility company's relocation plans. CONTRACTOR may not authorize the utility companies to begin their relocation work until authorized in writing by SCDOT. Any early authorization by CONTRACTOR shall be at the CONTRACTOR's risk.
- 9. At the time that CONTRACTOR notifies SCDOT that CONTRACTOR deems the Project to have reached Final Completion, CONTRACTOR shall certify to SCDOT that all utilities have been identified and that those utilities with prior rights or other claims related to relocation or coordination with the Project have been relocated or their claims otherwise satisfied or will be satisfied by CONTRACTOR.
- CONTRACTOR shall accurately show the final location of all utilities on the asbuilt drawings for the Project. SCDOT reserves the right to request CADD files as needed.

### B. Railroad

### If Railroad property is impacted by this project, the following provisions shall apply:

1. Under the direction of and in coordination with SCDOT, the CONTRACTOR shall be responsible for all coordination with the involved Railroad Companies,

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including but not limited to, sending plans, meetings, correspondence, phone calls, writing/reviewing agreements, and etc. as may be necessary to secure the applicable executed railroad agreements, needed for the construction of the project, between the SCDOT and all involved Railroad Companies. All correspondence related to railroad agreements or conditions shall include the railroad file number and railroad milepost information. The CONTRACTOR shall be responsible for the cost of railroad coordination as defined herein.

- 2. SCDOT will submit for approval, all required railroad agreements necessary for the Preliminary Engineering and Construction of the project. Upon approval, the SCDOT will submit the agreement to the Railroad Company for execution. The CONTRACTOR shall be responsible for assisting SCDOT in the development of the railroad agreement by providing requested information.
- 3. The CONTRACTOR shall be responsible for all costs to the Railroad Company or Companies for services provided by the Railroad or the Railroad's Agent, as detailed in the executed Railroad Agreement between the SCDOT and the Railroad. This includes all expenses such as railroad flagging operations. The CONTRACTOR shall be responsible for all other costs associated with designing and constructing the project as described in the executed Railroad Agreement between the SCDOT and the Railroad Company. The CONTRACTOR shall include all costs associated with these requirements in the final bid price. Once a contract is executed, SCDOT shall administer invoicing for costs to the Railroad Company or Companies. Monies will be deducted from the CONTRACTOR's progress payments after payments are made to the Railroad Company.
- 4. All design and construction activities in, adjacent to, over or under the railroad shall comply with all applicable Federal and State laws and standards, all terms identified in the Special Provisions for Protection of Railway Interests, and all terms of the final agreement executed with the Railroad Company.
- 5. The CONTRACTOR shall be required to meet the Railroad's Insurance Requirements as specified in the Special Provisions for Protection of Railway Interests.
- 6. The CONTRACTOR shall attend a mandatory meeting with the SCDOT's Utilities Office and Railroad Projects Office within 30 days after the Notice to Proceed. The CONTRACTOR shall use the SCDOT approved agreement language and procedures, that will be provided in this meeting.
- 7. CONTRACTOR shall provide project specific information to SCDOT for inclusion into the agreements. The CONTRACTOR shall anticipate and include in the proposed schedule a minimum 90-day approval time-frame for all railroad agreements. If said Railroad Companies interfere or fail to provide information in a timely manner, SCDOT may, on an individual basis, consider a time extension for Railroad Company delays when CONTRACTOR can demonstrate that appropriate coordination efforts have been made to expedite the railroad

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- coordination, and that the delay has a direct impact on the approved Critical Path. CONTRACTOR shall not be entitled to additional compensation for interference or delays related to railroad coordination.
- 8. CONTRACTOR shall anticipate the need for a separate right-of-entry agreement between the CONTRACTOR and Railroad for surveys, borings, etc. The required PE Agreement, between SCDOT and Railroad, must be executed before Railroad will review or comment on any design questions or submittals from the CONTRACTOR. The Construction Agreement, between SCDOT and Railroad, must be executed before any construction activities can begin at the railroads.
- 9. CONTRACTOR is advised that all utility relocations required within railroad right-of-way will require separate agreements between the affected utility company and the Railroad.

# VIII. RIGHT OF WAY ACQUISITION

### A. Definitions:

- 1. <u>Right of Way Services</u> means all acquisition services, including written appraisals and negotiation, as set forth in the SCDOT Acquisition Manual and all relocation assistance services as set forth in the SCDOT Relocation Assistance Manual (collectively the "Right of Way Services").
- 2. <u>CONTRACTOR-Designated Right of Way</u> means any interest (permanent or temporary) in real property located outside of the proposed Project right of way limits, as depicted on the SCDOT Right of Way Plans for this Project, that is specifically identified by CONTRACTOR in an SCDOT approved Alternative Technical Concept (ATC).
- 3. <u>Just Compensation</u> means the SCDOT approved value, based on appraisals prepared by an appraiser from the Approved SCDOT Appraiser List of parcels impacted by the Project. In determining Just Compensation, only the value of the property to be acquired or taken, any diminution in the value of the landowner's remaining property, and any benefits to be derived from the proposed Project including the value of any property or rights relinquished or reverting to the landowner as a part or result thereof shall be considered.
- 4. <u>Eligible Relocation Assistance Payments</u> means payments approved by SCDOT pursuant to SCDOT Relocation Assistance Manual.
- 5. <u>Premium Right of Way Acquisition Costs</u> means the amount of a negotiated settlement that exceeds Just Compensation set in the approved SCDOT Appraisal or jury award.
- 6. <u>Additional Right of Way</u> means additional property identified after Contract execution that is not identified on the SCDOT Right of Way Plans or as CONTRACTOR-Designated Right of Way, is determined necessary for

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- completing the design and construction of the Project, and which SCDOT has agreed to acquire.
- 7. <u>Additional Areas</u> means additional real property rights or interests, in each case that are not intended for use as part of the permanent right of way that is desired by CONTRACTOR for, but not limited to, construction staging, access, or borrow pits, or other similar temporary uses.
- 8. <u>Hold-off Parcels</u> means those parcels on the Right of Way Plans where Right of Way Service have not been completed prior to award of the Contract.

# B. SCDOT Right-of-Way Service Responsibilities

- 1. SCDOT shall perform Right of Way Services with respect to the following property:
  - a. All right of way parcels identified on SCDOT Preliminary Right of Way Plans as set forth in Attachment B, (Preliminary Right of Way Plans);
  - b. All CONTRACTOR-Designated Right of Way; and
  - c. All Additional Right of Way.
- 2. SCDOT will provide CONTRACTOR with SCDOT approved right-of-way certifications, for all parcels acquired for the design of the Project.
- 3. SCDOT will provide CONTRACTOR with a Right of Way Certification, included in Attachment B, which will identify Tract 36 as being certified. However, right-of-entry will not be provided until January 15, 2022.

## C. CONTRACTOR Responsibilities:

- 1. CONTRACTOR shall be responsible for the following:
  - a. Acquisition of any Additional Areas. Acquisition of any Additional Areas shall be the sole responsibility of CONTRACTOR, and any title or interest shall be secured in the name of the CONTRACTOR. CONTRACTOR shall provide SCDOT the location and documentation for these Additional Areas when purchased or leased. CONTRACTOR shall furnish SCDOT a copy of any agreements, whether for purchase or lease, for the use of Additional Areas in conjunction with the construction of the Project. Any necessary permit modifications are the responsibility of the CONTRACTOR.
  - b. Right of Way Activity Plan. Within 45 days of execution of the Contract, CONTRACTOR shall submit a Right of Way Activity Plan to the SCDOT's right of way project manager and it shall include the following:

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- (i.) Establish a clear zone adjacent to properties occupied by persons to be displaced in which construction equipment shall not be operated or parked,
- (ii.) Establish a clear zone for construction for properties occupied by persons to be displaced to minimize undue impacts or hardships,
- (iii.) Establish a method of protecting equipment and property from vandalism or unauthorized use.
- (iv.) Provide reasonable and safe access to residences or businesses that are to be displaced until such time as the property is vacant, and
- (v.) Observe the property rights of landowners of adjacent and/or yet to be acquired properties.
- c. Cooperation in all respects with SCDOT and shall cause all personnel to be available to and assist SCDOT in connection with the eminent domain proceedings, including testifying as an expert witness for the duration of the Project. After completion of the Project, CONTRACTOR shall continue to provide such cooperation and assistance of personnel as and when requested by SCDOT, For purposes hereof, "personnel" means expert witnesses, surveyors, land planners and other consultants utilized by CONTRACTOR in connection with the Project,
- d. Observation and/or implementation, as applicable, of all commitments in the Right of Way instruments included in Attachment B, and
- e. Observation and/or implementation, as applicable, of any commitments in the Right of Way instruments secured by SCDOT (excluding CONTRACTOR-Designated Right of Way and Additional Right of Way) after submittal of the Cost Proposal shall be performed under a Contract Change Request.

### D. SCDOT Cost:

- 1. SCDOT shall be responsible for the following:
  - a. Cost of Right of Way Services necessary to secure the right of way identified on the SCDOT Right of Way Plans set forth in Attachment B, and
  - b. Just Compensation, Eligible Relocation Assistance Payments, and Premium Right of Way Acquisition costs to secure the parcels identified on the SCDOT Right of Way Plans set forth in Attachment B, and
  - c. Cost of all commitments in the Right of Way instruments shown on SCDOT Right of Way Plans but secured by SCDOT (excluding CONTRACTOR-Designated Right of Way and Additional Right of Way) after submittal of the Cost Proposal.
  - d. 50% of Premium Right of Way Cost for CONTRACTOR Designated Right of Way.

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### E. CONTRACTOR Cost:

- 1. CONTRACTOR shall be responsible for the following:
  - a. Cost of CONTRACTOR-Designated Right of Way and Additional Right of Way which includes the following;
    - (i.) Right of Way Services performed by SCDOT;
    - (ii.) The cost of condemnation proceedings incurred by SCDOT including expert witness fees, and all fees and expenses for exhibits, transcripts, photos and other documents and materials production, other than attorneys' direct fees;
    - (iii.) Just Compensation;
    - (iv.) Premium Right of Way Acquisition Costs for Additional Right of Way;
    - (v.) 50% of Premium Right of Way Cost for CONTRACTOR Designated Right of Way;
    - (vi.) Permitting and re-evaluation or modification of permits, if necessary;
    - (vii.) All governmental permits or approvals for the acquisition of additional areas; and
    - (viii.) Eligible Relocation Assistance Payments.
    - (ix.) Cost of Additional Areas and associated costs;
    - (x.) Cost of all commitments in the Right of Way instruments included in Attachment B; and
    - (xi.) Cost of all commitments in the Right of Way instruments for CONTRACTOR-Designated Right of Way and Additional Right of Way.
  - b. Cost associated with securing CONTRACTOR-Designated Right of Way and Additional Right of Way shall be submitted to CONTRACTOR by SCDOT via Contract Change Request and deducted from CONTRACTOR's Contract Price through a change order. For each request for Additional Right of Way, CONTRACTOR shall include an identification of the parcel(s)/rights(s), right of way plan sheets and a justification for its need related to the Project.

### F. Access to Parcels:

- 1. CONTRACTOR shall not enter any parcel prior to CONTRACTOR's receipt of the SCDOT right of way certification for that parcel. Only in exceptional circumstances will a certification be approved by SCDOT based on a right of entry. Certification may be on a tract-by-tract basis.
- 2. If CONTRACTOR enters any property in connection with the Project without having obtained the SCDOT right of way certification, in addition to all other rights and remedies provided by law or equity or available under the Contract or otherwise, CONTRACTOR shall be responsible for its costs and all costs incurred by SCDOT as a result thereof. The CONTRACTOR shall not be entitled to an extension of time in such cased were the CONTRACTOR's entry onto such

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property causes, results in, or contributes to a delay by SCDOT in acquiring said property or parcel, or any other property or parcel owned by the same owner.

## G. Schedule, Delays:

- 1. SCDOT makes no guarantees or warranties when the delivery date will be for SCDOT to acquire and certify the CONTRACTOR-Designated Right of Way or Additional Right of Way. However, SCDOT will provide guidance on the time needed to acquire CONTRACTOR-Designated Right of Way during the ATC process. CONTRACTOR is responsible for allocating sufficient time and arranging its CPM schedule to avoid impacts caused by access to CONTRACTOR-Designated Right of Way and Additional Right of Way. CONTRACTOR shall not be entitled to schedule impacts, delays or extension of time to the Project caused by Right of Way Services in securing CONTRACTOR-Designated Right of Way or Additional Right of Way.
- 2. CONTRACTOR shall not be entitled to schedule impacts, delays or extension of time to the Project caused by its acquisition of Additional Areas.
- 3. To the extent that SCDOT has not provided access to Project right of way or is unable to provide access to the Project right of way on or prior to the date set forth on the Right of Way Certification in Attachment B, SCDOT may notify CONTRACTOR of a revised projected date for delivery of access. Upon such notice or, in the absence of such notice, upon the failure to provide access on the date specified on the Right of Way Certification, CONTRACTOR shall: (i) take immediate action to minimize any cost and time impact and shall work around such parcel until access can be provided, including rescheduling and re-sequencing the work to minimize or avoid any delay to the Project; and (ii) provide SCDOT written notice, within fifteen calendar days after receipt of such notice from the SCDOT or upon SCDOT's failure to meet the date specified on the Right of Way Certification whether the lack of access will result in a delay to Substantial Completion of the Project. CONTRACTOR's failure to provide such notice shall bar the CONTRACTOR from asserting a delay or seeking delay damages for lack of access to the parcel

### IX. PERMITS

## A. Department of the Army Permit

The following permits have been acquired and are available in Attachment B:

- 1. USACE Section 404 Permit (SAC-2019-00924); and
- SCDHEC Section 401 Certification (SAC-2019-00924) Issued April 15, 2020.

Any Permit Modifications required by the CONTRACTOR will be the responsibility of the CONTRACTOR per Article IX of the agreement. If additional Permit

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Modifications require further mitigation requirements, these requirements will be the responsibility of the CONTRACTOR.

### **B.** Additional Permits

All additional permits necessary for completion of this project shall be procured by the CONTRACTOR. The CONTRACTOR shall comply with all local, state, and federal permitting requirements. Regarding any permit or license that must be obtained in the name of SCDOT, the CONTRACTOR shall perform all functions within its power to obtain the permit or license, and SCDOT shall fully cooperate in this effort and perform any functions that must be performed by SCDOT. The CONTRACTOR shall submit permit applications to SCDOT. SCDOT, through coordination with the Department of Commerce, will submit the permit application to the appropriate permitting agency indicating that CONTRACTOR is acting as an agent for SCDOT. If said regulatory agencies fail to issue permits in a timely manner, SCDOT may, on an individual basis, consider a time extension for permit approval delays when CONTRACTOR can demonstrate that the application was submitted in a timely manner, all reasonable efforts have been made to expedite the permit approval, and that the delay has a direct impact on the Critical Path. CONTRACTOR shall not be entitled to additional compensation for delays in permit approval.

# X. ENVIRONMENTAL COMPLIANCE

## A. Compliance with Environmental Commitments

CONTRACTOR shall comply with all Environmental commitments and requirements including, but not limited to, the following:

- 1. Compliance with the provisions of all environmental permits applicable to the Project. A copy of the environmental document is included in Attachment B. Environmental Commitments are included in Exhibit 6.
- 2. Compliance with those stipulations and conditions under which SCDOT received approval of the Environmental Document(s) and any modifications resulting from a re-evaluation of the Document(s). If the CONTRACTOR elects to construct the Project in a manner that is not consistent with the assumptions in the SCDOT prepared environmental documents, the CONTRACTOR will be responsible for revising the environmental documents and provide any additional studies that may be required. All revisions will require SCDOT and FHWA, if applicable, approval prior to any right of way acquisition or construction activity;
- 3. Compliance with applicable laws and regulations relating to potential or actual hazardous materials that may be encountered in the course of carrying out this Agreement;
- 4. Carrying out all necessary social, economic, and environmental studies required by regulatory authorities in the course of construction;

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- 5. Cost, preparation, revision, acquisition, compliance, and adherence to conditions of any permits required by federal, state, or local laws or regulations; The CONTRACTOR is responsible for any mitigation required by permits. Compensatory mitigation may be available through an approved mitigation bank or Permittee Responsible Mitigation (PRM) as define in EPA's 2008 Mitigation Rule; and
- 6. The resolution of any deviations from the contract documents, drawings or other information included in the environmental permits that would violate the intent or spirit of the permits. Any proposed changes within the permitted areas would need to be coordinated with SCDOT's Environmental Services Office.

# **B.** Preconstruction / Partnering Conference(s)

CONTRACTOR shall conduct one (or more, if appropriate) pre-construction / partnering conference(s) prior to any construction activity to discuss environmental and permitting issues, which conference shall include all subcontractors, and, to the extent feasible, representatives from the U.S. Army Corps of Engineers, the S.C. Department of Health and Environmental Control Water Quality Division, the FHWA, CONTRACTOR, and SCDOT.

# C. Protection of Archeological and Paleontological Remains and Materials

- 1. When archeological or paleontological remains are uncovered, CONTRACTOR shall immediately halt operations in the area of the discovery and notify SCDOT.
- 2. Archeological remains consist of any materials made or altered by man which remains from past historic or prehistoric times (i.e. older than 50 years) Examples include old pottery fragments, metal, wood, arrowheads, stone implements or tools, human burials, historic docks, structures or not recent (i.e. older than 100 years) vessel ruins. Paleontological remains consist of old animal remains, original or fossilized, such as teeth, tusks, bone, or entire skeletons.
- 3. SCDOT will have the authority to suspend the work for the purpose of preserving, documenting, and recovering the remains and materials of archeological and paleontological importance for the State. CONTRACTOR shall carry out all instructions of SCDOT for the protection of archeological or paleontological remains, including steps to protect the site from vandalism and unauthorized investigations, from accidental damage and from dangers such as heavy rainfall or runoff.
- 4. CONTRACTOR's Contract Time and or Contract Price shall be adjusted to the extent CONTRACTOR's cost and/or time of performance have been adversely impacted by the presence of archeological or paleontological remains.

## D. Community and Public Relations Plan

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The CONTRACTOR shall provide to SCDOT for review and written approval a Community Relations Plan as part of the Project in accordance with Exhibit 5. The Community Relations Plan shall describe how the CONTRACTOR will actively promote good relationships with local elected officials, the news media, and the community at large. All costs associated with community relations will be included in the Total Project Cost. SCDOT will expect the CONTRACTOR to maintain positive communications with the local community (including public meetings as necessary), the adjacent property owners, and local businesses. The Community Relations Plan shall be submitted within 45 calendar days after NTP.

#### XI. HAZARDOUS MATERIALS

### A. Identified Hazardous Materials

- 1. The CONTRACTOR is referred, in addition to this Article, to Exhibit 4, Project Design Criteria and Attachment B for information and requirements regarding Hazardous Materials inspections and other environmental documentation regarding Hazardous Materials. The CONTRACTOR shall be responsible for handling, storage, remediation, and disposal of any materials, wastes, substances and chemicals deemed to be a solid waste or hazardous waste under applicable state or federal law, (hereinafter "Hazardous Materials") encountered at the Site which were identified in the Hazardous Materials inspections or other environmental documentation regarding Hazardous Materials provided in Exhibit 4, Project Design Criteria and Attachment B and the cost of these activities shall be included in the Contract Price.
- 2. If the CONTRACTOR's plan includes demolition, removal, or disposal of existing structures not previously inspected by SCDOT, the Contractor is required to perform lead-based paint and asbestos inspections on the existing structures prior to performing those activities. The cost of the lead-based paint and asbestos inspections shall be included in the Contract Price. Removal of lead-based paint and asbestos and lead-based paint and asbestos containing materials identified by inspections shall be by a qualified independent firm retained by the Department or by negotiating a Contract Change Request with the CONTRACTOR as outlined in the procedures in Article XI.F.
- 3. If the CONTRACTOR's plan includes demolition, removal, or disposal of existing structures previously surveyed by SCDOT, but the asbestos inspection reports have expired, the CONTRACTOR is required to perform new asbestos inspections on the existing structures prior to performing those activities. The cost of the asbestos inspections shall be included in the Contract Price. The cost of removal, handling, storage, remediation, and disposal of asbestos containing materials identified in the expired inspection reports shall be included in the Contract Price.
- 4. A copy of the lead-based paint and asbestos inspection reports and the notification of demolition or renovation forms must be submitted to SCDHEC at least ten (10) working days prior to demolition of an existing structure. Prior to submitting the

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reports and forms to SCDHEC, the CONTRACTOR shall obtain the RCE's signature. The CONTRACTOR is responsible for obtaining all required permits to proceed with the work.

5. The CONTRACTOR is responsible for all necessary containment, removal, transportation, and disposal of the subsurface and surface Hazardous Materials identified in inspections or other environmental documentation provided in Attachment B in compliance with all applicable Federal (EPA, OSHA & DOT) and State (SCDHEC & SCDOT) and local (County and Municipality) requirements for Hazardous Materials and worker health and safety. The CONTRACTOR is responsible for obtaining all required permits to proceed with the work.

# **B.** Unexpected Hazardous Materials

Upon encountering any unexpected Hazardous Materials, the CONTRACTOR shall follow the procedures as described below:

- 1. CONTRACTOR shall stop Work immediately in the affected area and duly notify SCDOT and, if required by state or federal law, all government or quasi-government entities with jurisdiction over the Project or site.
- 2. Upon receiving notice of the presence of Hazardous Materials, SCDOT will take necessary measures required to verify that the Hazardous Materials are remediated or rendered harmless. Such necessary measures will include SCDOT either (i) retaining qualified independent firm or (ii) negotiating a Contract Change Request with CONTRACTOR.
- 3. CONTRACTOR shall resume Work at the affected area of the Project only after written notice from SCDOT that the (i) Hazardous Materials have been removed or rendered harmless and (ii) all necessary approvals have been obtained from all government and quasi-government entities having jurisdiction over the Project.
- 4. CONTRACTOR's Contract Price and/or Contract Time shall be adjusted to the extent CONTRACTOR's cost and/or time of performance has been adversely impacted by the presence of Hazardous Materials.
- 5. If a Contract Change Request is negotiated, the CONTRACTOR shall comply with Article XI.E.

#### C. General Information

1. For purposes of this Project, the Hazardous Material Generator shall be listed as "SCDOT" of any and all Hazardous Materials and/or hazardous wastes associated with work on the Project, with the exception that CONTRACTOR shall be the generator for all Hazardous Materials it, its consultants, subconsultants, subcontractors or suppliers, brings on to the Project or that is brought to the Project by them and subsequently is caused to be released on the Project by the CONTRACTOR, CONTRACTOR's design consultants, subcontractors and

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- suppliers. The foregoing shall not preclude or limit any rights or remedies that SCDOT may have against third parties and/or prior owners, lessees, licensees and occupants of the Project's right of way.
- 2. SCDOT is not responsible for Hazardous Materials actually brought to the Project by CONTRACTOR, CONTRACTOR's design consultants, subcontractors and suppliers or anyone for whose acts they may be or are liable. SCDOT is not responsible for negligent or willful acts by CONTRACTOR, CONTRACTOR's design consultants, subcontractors and suppliers or anyone for whose acts they may be or are liable relating to Hazardous Materials found at the site. CONTRACTOR shall indemnify, defend and hold harmless SCDOT and SCDOT's officers, directors, employees and agents from and against all claims, losses, damages, liabilities and expenses, including attorney's fees and expenses arising out of or resulting solely from those Hazardous Materials actually brought to the Project or negligent or willful acts relating to Hazardous Materials, or both by CONTRACTOR, CONTRACTOR's design consultants, subcontractors and suppliers or anyone for whose acts they may be or are liable.

# XII. DEMOLITION, REMOVAL & DISPOSAL OF STRUCTURES

CONTRACTOR shall be responsible for the demolition, removal and disposal of all structures and their appurtenances within SCDOT Right of Way necessary for the completion of the Project, to include those portions which may extend outside the right of way, but were purchased as a part of the acquisition process. Structures shall include the bridges identified in the scope of work and all buildings acquired for the Project. All necessary permitting shall comply with Articles II.B.4 and IX of the Contract. Handling and disposal of Hazardous Materials shall be in accordance with Article XI of the Contract. Before demolition of the structures, the CONTRACTOR shall complete and submit a Notification of Demolition and Renovation form to the South Carolina Department of Health and Environmental Control.

### XIII. DIFFERING SITE CONDITIONS

## A. Differing Site Conditions, Defined; Burden of Proof

- 1. "Differing Site Conditions" are concealed or latent physical conditions encountered at the Project site during the term of the Agreement that (i) materially differ from the conditions reasonably assumed to exist at the site (Type 1); or (ii) are of an unusual nature, differing materially from the conditions ordinarily encountered and generally recognized as inherent in the work provided for in the Agreement (Type 2). Type 1 conditions are those geotechnical or geological deviations from what is normally assume to exist based on information provided in the RFP and actual site location. The only Type 2 conditions eligible for relief under this Article XIII are:
  - a. The discovery at the site of any archaeological, paleontological, biological or cultural resource; provided that the existence of such resource was not disclosed in the RFP; and

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- b. The discovery at the site of any species listed as threatened or endangered under the federal or State Endangered Species Act, except for those species disclosed as threatened or endangered in the RFP; and
- c. The discovery at the site of any manmade object or manmade condition not normally found in subsurface material; and
- d. The discovery at, near or on the site of any unexpected artesian condition.
- 2. The definition of Differing Site Condition excludes unanticipated utilities and unexpected Hazardous Waste.
- 3. CONTRACTOR shall bear the burden of proving that a Differing Site Condition exists and that CONTRACTOR could not have reasonably (i) designed the Project or (ii) worked around the condition, including by resequencing, relocating, or redeploying its forces to other portions of the Project or other activities unrelated to its work, so as to avoid additional cost. CONTRACTOR shall have no right to claim that any condition constitutes a Differing Site Condition if (A) CONTRACTOR, or any person or entity for which CONTRACTOR is legally responsible, had actual knowledge regarding such conditions prior to submission of the Cost Proposal, or (B) such condition would have become known to CONTRACTOR based upon a Reasonable Investigation prior to the submission of the Cost Proposal, as defined below. Furthermore, CONTRACTOR hereby acknowledges and agrees that, based upon the opportunity to review all available information, seek reasonable additional information, visit the Project site prior to submission of the Cost Proposal, and make any additional subsurface explorations or soil tests that CONTRACTOR determined to have been useful, in each case, prior to the submission of the Cost Proposal, it has assumed all risks with respect to the need to work around locations impacted by Differing Site Conditions.

## **B.** Responsibility

- 1. Type 1: SCDOT is responsible for <u>only</u> Type 1 Differing Site Conditions that exist throughout a specified area around each SCDOT-provided test hole, as listed in the geotechnical data in Attachment B. For purposes of the Type 1 portion of the definition of Differing Site conditions, "reasonably assumed to exist" means that the geotechnical and geological conditions indicated with respect to each SCDOT test hole exist throughout an area represented by a five- foot radius drawn from the center of the test hole.
- 2. Type 2: SCDOT is responsible for only Type 2 Differing Site Conditions listed above.
- 3. CONTRACTOR shall assume responsibility for all other Differing Site Conditions not identified as Type 1 or Type 2.

## C. Relief for Certain Differing Site Conditions

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- CONTRACTOR shall submit a Contract Change Request to seek any relief for Differing Site Conditions for which SCDOT has responsibility. So long as CONTRACTOR has met its burden of proof that a condition is a Differing Site Condition for which CONTRACTOR is entitled to certain relief. CONTRACTOR shall be entitled to a change order that provides for:
  - a. additional time, to achieve Substantial Completion for a Differing Site Condition that causes or will result in an identifiable and measurable disruption to the critical path under the then-current CPM Schedule, after consumption of all then-available float; provided, however, that SCDOT may, in its sole discretion, elects to order acceleration, in which case the change order shall not provide for an adjustment to the then-current CPM Schedule as a result of such Differing Site Condition;
  - b. actual, direct, and documented costs incurred solely and directly attributable to the Differing Site Condition;
  - c. acceleration costs, only if SCDOT elects to order acceleration.
- 2. CONTRACTOR's entitlement to a change order is subject to the following additional limitations:
  - a. CONTRACTOR shall not be entitled to any disruption damages in connection with any additional costs claimed with respect to any Differing Site Condition;
  - b. CONTRACTOR shall not be entitled to any punitive, indirect, special, incidental, or consequential damages in connection with any additional costs claimed with respect to any Differing Site Condition;
  - c. costs shall not exceed those allowed in, and calculated pursuant to Section 105.16.5 of the SCDOT Standard Specifications, with the exception of extended job site overhead rates which shall be as set forth in Exhibit 5 of the RFP;
  - d. CONTRACTOR shall not be entitled to an extension of time or additional costs if the delay attributable to the Differing Site Condition is concurrent with any other unrelated delay to an activity on the critical path under the then-current CPM Schedule for which CONTRACTOR is responsible under this Agreement.
  - e. If SCDOT directs acceleration of the Work, the as part of CONTRACTOR's CCR, CONTRACTOR shall show all acceleration costs associated with meeting the original scheduled date for Substantial Completion.

## **D.** Differing Site Condition Procedure

1. If CONTRACTOR encounters known or suspected Differing Site Conditions, CONTRACTOR shall promptly notify SCDOT by either submitting a formal notice to SCDOT (for those conditions that CONTRACTOR anticipates are

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CONTRACTOR's responsibility) or submitting a Contract Change Request before (for those conditions that CONTRACTOR anticipates are SCDOT's responsibility), in either case without substantially disturbing or altering the affected area. If CONTRACTOR is entitled to relief, then CONTRACTOR shall not resume work that affects or reasonably could affect the condition until a Change Order is executed or a Force Account order is issued for the affected work. If CONTRACTOR is not entitled to relief, or if CONTRACTOR elects not to pursue relief to which it may otherwise be entitled, then CONTRACTOR shall not resume work that affects or reasonably could affect the condition until SCDOT consents to work resuming, subject to applicable law and governmental approvals.

- 2. Each Contract Change Request relating to a Differing Site Condition shall be accompanied by:
  - a. a statement signed by a qualified professional setting forth (i) all relevant assumptions made by CONTRACTOR with respect to the condition at the relevant portion of the Site, (ii) justifying as reasonable the basis for all such assumptions which includes supporting documentation, (iii) explaining exactly how the condition encountered qualifies as a Differing Site Condition and (iv) listing the specific work-arounds the CONTRACTOR undertook, to mitigate any cost and delay effects of the encounter with the condition, and
  - b. a signed statement certifying that CONTRACTOR, and any person or entity for which CONTRACTOR is legally responsible (i) had no actual knowledge regarding such condition as of the submission of the Cost Proposal and (ii) such condition would not have become known to CONTRACTOR based upon a Reasonable Investigation.
- 3. If the request is based on Type 1 Differing Site Conditions, the Contract Change Request shall also include detailed information regarding the alleged error in the boring data provided by owner or performed by CONTRACTOR forming the basis for the request, and shall explain how CONTRACTOR's assumptions would have changed had the boring data been accurate.
- 4. Upon submittal of a Contract Change Request or other notice as set forth above, SCDOT will investigate the conditions within three business days and if it is determined that (1) a Differing Site Condition exists, (2) the condition is SCDOT's responsibility, and (3) the condition causes an increase in the cost or time required for performance of the work, the Contract will be adjusted consistent with the relief provided above.
- 5. SCDOT shall have the right to require the CONTRACTOR to resume work in the area at any time, even though an investigation may still be ongoing. CONTRACTOR shall promptly resume work in the area upon receipt of notification from SCDOT to do so.

### E. Reasonable Investigation

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"Reasonable Investigation" means the following activities by appropriate, qualified professionals prior to the submission of the Cost Proposal:

- 1. Visit and visual, non-intrusive inspection of the site and adjacent locations, except areas to which access rights have not been made available prior to the submission of the Cost Proposal;
- 2. Review and analysis of all reference documents;
- 3. Review and analysis of SCDOT-provided governmental approvals or permits, if any, available prior to the submission of the Cost Proposal;
- 4. Reasonable inquiry with real property, particularly those properties indicating former gas stations/auto garages, and utility owners or occupants, including request for and review of plans provided thereby, if any;
- 5. Review and analysis of laws, regulations, rules, ordinances, etc. applicable to the Project prior to the submission of the Cost Proposal; and
- 6. Other activities sufficient to familiarize CONTRACTOR with surface and subsurface conditions, including the presence of utilities, hazardous materials, archeological, paleontological and cultural resources, and threatened or endangered species, affecting the site or surrounding locations.

#### XIV. FORCE MAJEURE

Delays or failures of performance, in each case, that materially and adversely affect performance of the CONTRACTOR hereunder, shall not constitute breach of the Agreement if and to the extent such delays or failures of performance result in a delay to the critical path identified in the current accepted CPM Schedule that are caused by:

### A. Causes

- 1. acts of God or the public enemy;
- 2. expropriation or confiscation of facilities;
- 3. compliance with any order or request of any governmental authority other than SCDOT or a party in privity with it;
- 4. a change in law after the CONTRACTOR'S submission in response to the RFP that directly and substantially affects performance of the Project;
- 5. acts of war (including civil and revolutionary); invasion, armed conflict, violent act of foreign enemy, military or armed blockade, military or armed takeover of the Project or the Site;

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- 6. rebellion, terrorism, riot, insurrection, civil commotion or sabotage that causes direct physical damage to, or otherwise directly causes interruption to construction or direct losses during maintenance of the Project;
- 7. fires, floods, earthquakes, including all foreshocks and aftershocks, where such earthquakes include ground shaking, liquefaction, settlement, or ground movements that directly impact, and cause damage to, temporary or permanent works of the Project; landsides caused by natural events, tornados, hurricanes, tropical storms, sinkholes caused by natural events, in each case directly impacting the physical improvements of the Project or CONTRACTOR'S performance of the scope of the work;
- 8. explosions, nuclear explosion, including radioactive contamination that triggers CONTRACTOR's obligations pertaining to hazardous materials hereunder and, in each case directly impacting the physical improvements of the Project or performance of the CONTRACTOR's scope of work,
- 9. national or regional unavailability or shortage of materials;
- 10. embargos directly affecting materials required to perform the CONTRACTOR'S scope of the work;
- 11. quarantine or suspension by the Governor, President, or other regional authority, or declared epidemic or pandemic, in each case, directly affecting the CONTRACTOR's performance of the scope of the work;
- 12. declared state of emergency by the Governor, the U.S. President or regional authority having jurisdiction over the Project or the CONTRACTOR'S performance of the scope of the work;
- 13. strikes (both national or regional strikes)or other concerted acts of workman not arising out of or relating to CONTRACTOR or any person or entity for which CONTRACTOR is responsible;
- 14. vehicle, as defined by 56-1-10 of South Carolina Code of Laws, collision that occurs prior to Final Completion, the impact of which causes damage to full pavement structure, bridge structure, noise wall, barrier wall/retaining wall or overhead sign structure of the Project;

(each of the foregoing a "Force Majeure Event"), except, in each case, to the extent that any of the foregoing events or consequences of such events (i) arose out of (A) any breach of Contract by CONTRACTOR or any person or entity for whom CONTRACTOR is legally responsible, (B) any act or omission by CONTRACTOR or any such person or entity, (C) any negligence, recklessness, willful misconduct, fraud, or violation of laws by CONTRACTOR or any such person or entity; or (ii) could reasonably have been avoided by CONTRACTOR or any such person or entity (by the exercise of caution, due diligence or reasonable efforts, or otherwise). Any expense attributable to such occurrence of a Force Majeure Event shall not entitle

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CONTRACTOR to an adjustment in the Contract Price, as it is the Parties' intent that these events will be compensated under the CONTRACTOR's appropriate insurance policy. The duration of delay to the critical path identified in the current accepted CPM Schedule directly caused by a Force Majeure Event shall be added to the Contract Time.

CONTRACTOR shall bear the burden of proving that a Force Majeure Event exists and that CONTRACTOR could not have reasonably worked around the condition, including by resequencing, relocating, or redeploying its forces to other portions of the Project or other activities unrelated to its work, so as to avoid additional delay or cost.

#### XV. WARRANTY

### A. CONTRACTOR Warranties

- 1. CONTRACTOR warrants that it will perform all services in accordance with the standards of care and diligence normally practiced by recognized engineering and construction firms in performing services and obligations of a similar nature. CONTRACTOR warrants that all materials and equipment furnished shall be of good quality and new unless otherwise authorized by SCDOT and that the construction shall conform to the Contract requirements. CONTRACTOR agrees to promptly correct, at its own expense, defects or deficiencies in materials and workmanship that appear prior to and during a period of three years after Final Completion of the Project. This shall include all plant-produced materials (i.e. asphalt, concrete, etc.). CONTRACTOR shall not be responsible for damages caused by SCDOT's failure to provide timely notification of potentially damaged or defective work of which SCDOT had actual knowledge. CONTRACTOR shall properly perform, at the written request of SCDOT made at any time within the warranty period after Final Completion of the Project as defined in Article IV.A.5, all steps necessary to satisfy the foregoing warranty and correct any element of the Project or the services that is defective or does not reflect such standards of care and diligence. The cost of such corrective services shall be CONTRACTOR's responsibility.
- CONTRACTOR further warrants the performance of all bridge components on all structures for three years from Final Completion of the Project. If a component fails to perform properly for any reason, including but not limited to normal wear and tear, the CONTRACTOR shall replace the failed component at no cost to SCDOT.
- 3. The warranty periods begin at Final Completion of the Project. CONTRACTOR shall immediately abate any warranty deficiency that poses an unsafe condition to the public; otherwise deficiencies shall be corrected no later than 30 days from the determination of corrective action. In the event CONTRACTOR, after notice, fails to immediately abate the deficiency or fails to make correction within the prescribed 30 days, SCDOT may have the deficiency corrected. All costs associated with such correction by SCDOT shall be the responsibility of the CONTRACTOR and his Surety. With respect to any component that is repaired or

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replaced pursuant to this warranty, the warranty period of that component shall be the longer of one year from repair or replacement of the component or the remainder of the original warranty period.

- 4. CONTRACTOR shall take all steps necessary to transfer to SCDOT any manufacturer's or other third-party's warranties of any materials or other services used in the construction of the Project.
- 5. These warrantied are in addition to all warranties implied by law.

### XVI. INDEMNITY

#### A. CONTRACTOR

- 1. CONTRACTOR shall indemnify, defend and hold SCDOT harmless from any and all claims, liabilities and causes of action for any fines or penalties imposed on SCDOT by any state or federal agency because of violation by CONTRACTOR or any of its subcontractors of any state or federal law or regulation.
- 2. CONTRACTOR shall indemnify, defend and hold SCDOT harmless from any and all claims, liabilities and causes of action arising out of or resulting from, in whole or in part, the performance of the Work, negligence or recklessness of CONTRACTOR or its agents, consultants and/or subcontractors.

## XVII. DEFAULT; SUSPENSION; TERMINATION

### A. CONTRACTOR Events of Default (Contractor Default).

- 1. CONTRACTOR shall be in default of this Agreement if:
  - a. CONTRACTOR fails to begin performance of the scope of the work promptly following issuance of the Notice to Proceed;
  - b. CONTRACTOR fails to perform the scope of the work in accordance with (i) the documents described in Article I (contract documents), (ii) the final, and as applicable, as-approved deliverables under Article II.J, (iii) applicable standards set forth therein;
  - c. CONTRACTOR refuses to remove, replace, and correct rejected materials, or nonconforming or unacceptable work;
  - d. CONTRACTOR (i) suspends, ceases, or stops performance of the scope of the work, or (ii) fails to perform the scope of the work continuously and diligently to completion, in either case (A) where CONTRACTOR fails to resume performance or to prosecute the work, as determined in SCDOT's reasonable discretion) as is identified in Preliminary Notice of Delinquency and thereafter in any Notice of Delinquency (as each such term is defined in Section 108 of the Standard Specification), within 15 days after dispatch of such Notice of

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Delinquency, and (B) excluding work stoppages directed by SCDOT, approved by SCDOT, or for other reasons expressly permitted under this Agreement;

- e. CONTRACTOR abandons all or a material part of the Project, which abandonment is deemed to occur if (i) CONTRACTOR demonstrates through statements, acts, or omissions an intent not to continue, for any reason other than for a reasons expressly permitted under this Agreement, or (ii) no satisfactory progress in the work is performed for a continuous period of more than 15 days unless due to CONTRACTOR's compliance with work stoppages directed by SCDOT or for reasons expressly permitted under this Agreement;
- f. CONTRACTOR fails to resume performance of the scope of the work that has been suspended or stopped within five calendar days after (i) cessation of the event preventing performance (and for which CONTRACTOR is expressly permitted to have suspended or stopped performance under this Agreement) or (ii) receipt of notice from SCDOT to resume performance;

### g. Insolvency, Bankruptcy Events:

- (i.) CONTRACTOR commences a voluntary case seeking liquidation, reorganization or other relief with respect to CONTRACTOR or CONTRACTOR's debts under any U.S. or foreign bankruptcy, insolvency or other similar law; seeking the appointment of a trustee, receiver, liquidator, custodian or other similar official of its, or any substantial part of its, assets; becomes insolvent, or generally does not pay its debts as they become due; provides notice of its inability to pay its debts; makes an assignment for the benefit of creditors; or takes any action to authorize any of the foregoing;
- (ii.) An involuntary case is commenced against CONTRACTOR seeking liquidation, reorganization, dissolution, winding up, a composition or arrangement with creditors, a readjustment of debts or other relief with respect to such CONTRACTOR or CONTRACTOR's debts under any U.S. or foreign bankruptcy, insolvency or other similar law; seeking the appointment of a trustee, receiver, liquidator, custodian or other similar official of it or any substantial part of its assets; seeking the issuance of a writ of attachment, execution, or similar process; or seeking like relief, and such involuntary case shall not be contested by it in good faith or shall remain undismissed and unstayed for a period of 60 days;
- (iii.) In any voluntary or involuntary case seeking liquidation, reorganization or other relief with respect to CONTRACTOR or its debts under any U.S. or foreign bankruptcy, insolvency or other similar law, this Agreement or any of the other Contract Documents, is rejected, including a rejection under 11 U.S.C. Section 365 or any successor statute; or
- (iv.) Any voluntary or involuntary case or other act or event described in clause (g)(i) or (g)(ii) shall occur (and in the case of an involuntary case shall not be contested in good faith or shall remain undismissed and

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unstayed for a period of 60 days) with respect to (i) any equity member, partner or joint venture member of CONTRACTOR, or (ii) any equity member, partner or joint venture member of CONTRACTOR for whom transfer of ownership or management authority would constitute an impermissible assignment hereunder;

- h. Allows any final judgment to remain unsatisfied for a period that, in SCDOT's sole judgment, poses a material adverse effect on CONTRACTOR's ability to perform the scope of the Work and, in particular, to pay for its obligations to SCDOT, subcontractors, and suppliers in connection therewith;
- i. CONTRACTOR makes, attempts to make, or suffers a voluntary or involuntary assignment or transfer of all or any portion of this Agreement or its interest in the Project;
- j. CONTRACTOR materially fails to observe or to perform, or to cause to be observed or performed, timely any other material covenant, agreement, obligation, term, or condition required to be observed or performed by CONTRACTOR under the Agreement including but not limited to:
  - (i.) Fails to supply a sufficient number of properly skilled workmen, tools, materials and equipment to assure the prompt completion of the work; or
  - (ii.) Failure to comply with applicable permits, law, or use of the Project violates such permits, law, or this Agreement;
- k. Any representation or warranty in the Agreement, the SOQs and Proposal (which representations and warranties of CONTRACTOR are incorporated into the Proposal explicitly or by reference), or the Proposal is false in any material respect, materially misleading, or inaccurate in any material respect when made (except as relates to continuing representations and warranties), or omits material information when made (except as relates to continuing representations and warranties);
- Any certificate, schedule, report, instrument, or other document delivered by or
  on behalf of CONTRACTOR to SCDOT under the Agreement is false or
  incorrect in any material respect, materially misleading, or inaccurate in any
  material respect when made (except as relates to continuing representations and
  warranties in any such certificates, schedules, reports, instruments, or other
  documents), or omits material information when made (except as relates to such
  continuing representations and warranties);
- m. CONTRACTOR (i) fails to make any payment owing to SCDOT under the Agreement in full and when due (including specifically payment of any liquidated or stipulated damages hereunder); or (ii) fails to make, absent a bona fide and valid dispute, payment in full and when due for labor, equipment, or

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materials in accordance with applicable law and with its agreements with consultants, subcontractors, subconsultants, vendors, or suppliers;

- n. CONTRACTOR fails (i) to obtain, provide, and maintain any insurance, surety bonds, guarantees, letters of credit, or other payment or performance security as is required under the Agreement for the benefit of the relevant parties, or (ii) to comply with any requirement of the Agreement pertaining to the amount, terms, or coverage of the insurance or security, or (iii) to pay the associated premiums, deductibles, self-insured retentions, co-insurance, or any such other amounts with respect to the insurance or security as and when due;
- o. Unless continued performance of this Agreement is permitted under the terms of a debarment agreement with the State of South Carolina or otherwise as permitted under clause 2.e. below, and after any rights of appeal have been exhausted, if CONTRACTOR, any equity or joint venture member of CONTRACTOR, any consultant, subcontractor, subconsultant, vendor, or supplier, or any person or entity for which CONTRACTOR is legally responsible (i) is determined to be disqualified, suspended, or debarred, or otherwise is excluded from bidding, proposing, or contracting with a federal or state department or agency, or (ii) has not dismissed any consultant, subcontractor, subconsultant, vendor, or supplier whose work is not substantially complete and who is determined to be disqualified, suspended, debarred, or otherwise excluded from bidding, proposing, or contracting with a federal or state department or agency;
- p. CONTRACTOR fails to comply with any order by SCDOT issued under, and pursuant to a contractual right in, this Agreement, including specifically orders to suspend CONTRACTOR's performance of the scope of work, in whole or in part, within the time allowed in such order; or
- q. CONTRACTOR fails to achieve Substantial Completion or Final Completion by the Long Stop Date pursuant to Article IV.
- 2. Cure Periods. The following list identifies CONTRACTOR's rights to receive notice and opportunity to cure before SCDOT may exercise its remedies under clause 3 below, and this list also identifies other Contractor Defaults that are not subject to cure:
  - a. Except as otherwise specifically set forth in this clause 2, CONTRACTOR and the surety providing the bond(s) pursuant to Article VI.B shall be entitled to 15 days prior written notice and opportunity to cure any Contractor Defaults before SCDOT may exercise any remedies hereunder, including specifically those under clause 3 below.
  - b. CONTRACTOR and the surety providing the bond(s) pursuant to Article VI.B shall be entitled to seven days prior written notice and opportunity to cure the Contractor Defaults under clauses XVII.A.1.h., m., and n. before SCDOT may

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exercise any remedies hereunder, including specifically those under clause 3 below.

- c. Except with respect to those Contractor Defaults listed in clauses d. and e. below, if Contractor Defaults under clauses XVII.A.1.a., f., m., and n. are capable of cure (as determined by SCDOT in its sole discretion) but, by its nature, cannot be cured within such seven or 15 day period, as applicable, (also as determined by SCDOT in its sole discretion), then CONTRACTOR shall commence to cure such Contractor Default within such seven or 15 day period, as applicable, and thereafter diligently prosecute such cure to completion within 60 days or such other later time as determined by SCDOT, in its sole discretion, before SCDOT may exercise any remedies hereunder, including specifically those under clause 3 below.
- d. Except with respect to those Contractor Defaults listed in clause c. above and clause e. below, if a Contractor Default under clause XVII.A.1.e. cannot be cured within 15 days (as determined by SCDOT in its sole discretion), then CONTRACTOR shall commence to cure such Contractor Default within such 15 day period, and thereafter diligently prosecute such cure to completion within 30 days or such other later time as determined by SCDOT, in its sole discretion, before SCDOT may exercise any remedies hereunder, including specifically those under clause 3 below.
- e. CONTRACTOR hereby acknowledges and agrees that no notice and no opportunity to cure is required with respect to the Contractor Defaults under clauses XVII.A.1.g., i., k., l., o.(ii), p. and q., and SCDOT has the right to exercise its remedies hereunder immediately, including specifically those under clause 3 below.
- f. With respect to the Contractor Default under clause XVII.A.1.o., CONTRACTOR shall take appropriate steps to obtain, or to require its equity or joint venture member of CONTRACTOR, any consultant, subcontractor, subconsultant, vendor, or supplier, or any person or entity for which CONTRACTOR is legally responsible to obtain, a debarment agreement with the State of South Carolina in connection with any pending action for disqualification, suspension or debarment or any pending agreement for voluntary exclusion from bidding, proposing or contracting. If a debarment agreement is obtained that permits continued performance under this Agreement, then the disqualification, suspension, debarment or agreement for exclusion shall not be considered a Contractor Default. If, however, such a debarment agreement is not obtained, the CONTRACTOR shall have the following cure rights:
  - (i.) With respect to a Contractor Default under clause XVII.A.1.o(i) involving CONTRACTOR, CONTRACTOR shall have 60 days after the effective date of the disqualification, suspension, debarment or

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- agreement for exclusion to (i) obtain a debarment agreement allowing continued performance or (ii) otherwise cure the Contractor Default;
- (ii.) With respect to a Contractor Default clause XVII.A.1.o(i) involving any equity or joint venture member of CONTRACTOR involving any equity or joint venture member of CONTRACTOR, CONTRACTOR shall have 60 days after the effective date of the disqualification, suspension, debarment or agreement for exclusion (i) to remove the affected equity or joint venture member and obtain SCDOT's approval of the change in the ownership structure of CONTRACTOR, (ii) to obtain a debarment agreement allowing continued performance or (iii) otherwise cure the Contractor Default; and
- (iii.) With respect to a Contractor Default under clause XVII.A.1.o(i) involving a consultant, subcontractor, subconsultant, vendor, or supplier, or any other person or entity for which CONTRACTOR is legally responsible, CONTRACTOR shall have 60 days after the effective date of the disqualification, suspension, debarment or agreement for exclusion to obtain a debarment agreement allowing continued performance or otherwise cure the Contractor Default.

### 3. Remedies.

### a. General Provisions.

- (i.) Failure to provide notice to CONTRACTOR's surety providing the bond(s) pursuant to Article VI.B shall not preclude SCDOT from exercising its remedies under this clause 3.
- (ii.) SCDOT shall also be entitled to exercise any other rights and remedies available under this Agreement, or available at law.
- (iii.) SCDOT's rights under this Agreement shall be cumulative and shall be in addition to every other right provided under this Agreement or at law, and the exercise or beginning of the exercise by SCDOT of any one or more of any of such rights or remedies shall not preclude the simultaneous or later exercise by SCDOT of any or all other such rights or remedies.
- (iv.) Except as expressly stated otherwise in this Agreement, SCDOT's exercise of any right or remedy does not waive or release, nor shall be deemed to waive or release, CONTRACTOR from any obligations or limiting other remedies that may be available to SCDOT,

# b. SCDOT Step-In

(i.) Subject to any surety rights under surety bond(s) placed for the Project pursuant to Article VI.B., SCDOT shall have the right, but not the obligation, to pay such amounts and or perform such acts as may then be required of CONTRACTOR under the Agreement or contracts with consultants, subcontractors, subconsultants, vendors, or suppliers, whether assigned to SCDOT.

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- (ii.) SCDOT may appropriate any or all materials and equipment on the Site as SCDOT determines may be suitable and acceptable and, if and when consistent with the terms of any surety bond(s) placed pursuant to Article VI.B, SCDOT may direct the Surety to complete the Project, may enter into an agreement for the completion of the Project (with the surety or another contractor), or may complete the Project itself. If SCDOT exercises any right to perform any obligations of CONTRACTOR, then SCDOT may, but is not obligated to, among other things: (A) perform or attempt to perform, or cause to be performed, such work; (B) spend such sums as SCDOT deems necessary and reasonable to employ and pay such architects, engineers, consultants, and contractors, and obtain materials and equipment as may be required to complete such work; (C) execute all applications, certificates, and other documents as may be required to complete the Project, including paying such amounts and performing such other acts as may then be required from CONTACTOR pursuant to its subcontracts with consultants, subcontractors, vendors, and suppliers; (D) modify or terminate any contractual arrangements; (E) take any other actions that SCDOT may, in its sole discretion, consider necessary to complete the Project; and (vi) prosecute and defend any action or proceeding incident to completion of the Project.
- (iii.) SCDOT may deduct from any amounts payable by SCDOT to CONTRACTOR such amounts payable by CONTRACTOR to SCDOT, including those damages listed in clause c. below.

# c. Performance Security

- (i.) SCDOT may make demand upon and enforce any surety bond, and make demand upon, draw on and enforce and collect any letter of credit, guaranty or other payment or performance security available to SCDOT under this Agreement, with respect to such Contractor Default in any order.
- (ii.) SCDOT will apply the proceeds of any such action to the satisfaction of CONTRACTOR's obligations under this Agreement, including payment of amounts due to SCDOT.
- (iii.) The provisions of clauses (i) and (ii), shall not apply where access to any such surety bond, letter of credit, guaranty or other payment or performance security is for the purpose of satisfying damages owing to SCDOT, in which case SCDOT shall be entitled to make demand, draw, enforce and collect regardless of whether Contractor Default is subsequently cured.
- (iv.) SCDOT will notify CONTRACTOR at the same time or promptly after it takes any action to make demand upon, draw on, enforce or collect any such surety bond, letter of credit, guaranty or other payment or performance security.

### d. Damages

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- (i.) Without limiting SCDOT's right to deduct in the event of selfperformance under clause 3.b. above, and except as limited by
  SCDOT's agreement to liquidate certain damages as specified in the
  Agreement, SCDOT shall be entitled to recover any and all damages
  available at law on account of the occurrence of a Contractor Default.
  CONTRACTOR shall owe any such damages that accrue after the
  occurrence of Contractor Default regardless of when any notice
  regarding any Contractor Default is given or whether Contractor Default
  is subsequently cured. Such damages include, but are not limited to:
  - a) the aggregate of reimbursements owing SCDOT;
  - b) any liquidated or stipulated damages accrued;
  - c) 125% of the amounts SCDOT deems advisable to cover any existing or threatened claims of consultants, subcontractors, subconsultants, vendors, suppliers, other laborers, or other persons or entities;
  - d) amounts of any losses incurred or reasonably expected to be incurred by SCDOT in completing the Project;
  - e) the cost to complete or remediate uncompleted or other nonconforming work, plus an administrative charge equal to 10% of such costs;
  - f) throw-away costs for unused portions of the completed portions of the Project
  - g) increased financing costs of SCDOT, if any,
  - h) other damages or amounts that SCDOT has or will be incurred to rectify any breach or failure to perform by CONTRACTOR and/or to bring the condition of the Project to the standard it would have been in if CONTRACTOR had complied with its obligations to carry out and complete the Work in accordance with the Contract Documents; and
  - i) other damages or amounts that SCDOT has determined are or may be payable to SCDOT under this Agreement.
- (ii.) Where this Agreement is terminated, the damages recoverable by SCDOT shall also include the present value of
  - a) actual and projected costs to SCDOT to terminate, take over the Project, re-procure and replace CONTRACTOR;
  - b) actual and projected delay costs; and

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- actual and projected increases in costs to SCDOT to complete the Project.
- (iii.) Damages owed to SCDOT under this clause c. shall bear interest at the statutory rate of interest under S.C. Code Ann. 34-31-20 from and after the date any amount becomes due to SCDOT until the date paid. The interest rate shall accrue on all amounts SCDOT has had to pay in excess of the remaining balance of the Contract Price from the date of SCDOT's payment.
- (iv.) Additional Provisions pertaining to costs.
  - a) If, after termination, it is determined that the Contractor was not in default, or that the default was excusable, the rights and obligations of the parties shall be the same as a termination by SCDOT for its convenience.
  - b) In lieu of the provisions of this Article XVII for terminating this Agreement for cause, SCDOT may pay CONTRACTOR for the work already completed in accordance with the Agreement and may treat the work remaining undone as if they had never been included or contemplated by this Agreement. No claim under this clause (C) will be allowed for prospective profits on, or any other compensation relating to, work remaining and uncompleted by CONTRACTOR.
- e. Termination of the Agreement by SCDOT.
  - (i.) CONTRACTOR acknowledges and agrees that any Contactor Default would result in material and substantial harm to SCDOT's rights and interests under this agreement, and therefor justifies termination of this Agreement unless fully and completed cured within the applicable cure period, if any, under clause XVII.A.2.
  - (ii.) Upon expiration of any applicable cure period (if any), and after complying with other obligations of SCDOT, if any, under any surety bonds provided by CONTRACTOR under Article VI.B., if CONTRACTOR's surety providing such bond(s) refuses to complete the work or fails to take over the work under the terms of the performance bond, then SCDOT may in its sole discretion terminate this Agreement for cause. If SCDOT terminates this Agreement for cause, SCDOT shall deliver a notice to the CONTRACTOR so stating, and termination will be effective three days after dispatch, unless otherwise specified in such notice.
- f. Joint and Several Liability of CONTRACTOR and Surety/ies

If a Contractor Default occurs, CONTRACTOR, and any surety providing the bond(s) pursuant to Article VI.B shall be jointly and severally liable to SCDOT for all costs, damages, and expenses of SCDOT listed under clause XVII.A.3.d.,

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including specifically any interest that accrues thereon, whether by virtue of late payment by CONTRACTOR or late payment by surety.

# g. Final Release

Except as otherwise expressly provided in this Agreement, if this Agreement is earlier terminated for any reason, then SCDOT's payment to CONTRACTOR of the amounts required under this Agreement (if any) shall constitute full and final satisfaction of, and upon payment SCDOT shall be forever released and discharged from, any and all claims, causes of action, suits, demands and losses, known or unknown, suspected or unsuspected, that CONTRACTOR may have against SCDOT caused by, arising out of, relating to, or resulting from this Agreement or termination thereof, or the Project. Upon such payment, CONTRACTOR shall execute and deliver to SCDOT all such releases and discharges as SCDOT may reasonably require to confirm the foregoing, but no such written release and discharge shall be necessary to give effect to the foregoing satisfaction and release.

# B. Suspension of the work for cause

- 1. Notwithstanding the notice and cure period provisions of this Article XVII, if not substantially cured promptly after SCDOT notifies CONTRACTOR of any of the following, SCDOT has the authority to suspend the Work by written order, wholly or in part, for CONTRACTOR's failure to:
  - a. handle (i) Hazardous Waste, or (ii) any archaeological, paleontological, biological, or cultural resource, in any case, in accordance with prudent industry practices, applicable laws, governmental approvals, or permits; or
  - b. comply with any law, governmental approval or permit.
- 2. If not substantially cured within three days after SCDOT delivers notice thereof to CONTRACTOR, SCDOT has the authority to suspend the Work by written order, wholly or in part, for CONTRACTOR's failure to:
  - a. observe any conditions to commencement of certain portions of the scope of the Work and thereafter commences performance;
  - b. provide proof of required insurance coverage hereunder;
  - c. maintain any surety bond(s) required hereunder;
  - d. correct any nonconforming work; or
  - e. perform the scope of the work in compliance with the Agreement.
- 3. CONTRACTOR shall promptly comply with any such written suspension order, even if it disputes the grounds for suspension. CONTRACTOR shall promptly

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recommence performance of the scope of the work upon receipt of written notice from SCDOT directing CONTRACTOR to resume performance. SCDOT will lift the suspension order promptly after CONTRACTOR fully cures and corrects the applicable breach or failure to perform or any other reason for the suspension order ceases to apply.

- 4. SCDOT shall have no liability to CONTRACTOR in connection with any such suspension, and CONTRACTOR shall have no right to any adjustment in the Contract Price, additional costs, or additional time on the then-current CPM Schedule in connection with any suspension of Work founded on any of the grounds set forth in this clause XVII.B.
- 5. If SCDOT orders suspension of Work on one of the foregoing grounds but it is finally determined under the Agreement's dispute resolution procedures that such grounds did not exist, it shall be treated as a suspension for SCDOT's convenience under clause XVII.C.

# B. Suspensions for Convenience; Suspensions for Safety

- SCDOT may, at any time and for any reason, by written notice, order CONTRACTOR to suspend all or any part of performance of the scope of the work for the period of time that SCDOT deems appropriate for the convenience of SCDOT. CONTRACTOR shall promptly comply with any such written suspension order. CONTRACTOR shall promptly recommence performance of the scope of the work upon receipt of written notice from SCDOT directing CONTRACTOR to do so.
- 2. CONTRACTOR shall be entitled for additional time, on a day-by-day basis (or for such additional time, in SCDOT's sole discretion), to achieve Substantial Completion for each day of delay to the CPM Schedule caused by or will result in an identifiable and measurable disruption of an activity on the critical path under the then-current CPM Schedule, after consumption of all then-available float, any such suspensions directed by SCDOT for its convenience; provided, however, that
  - a. SCDOT shall have the right to direct suspensions of the work for convenience not exceeding 48 hours each, up to a total of 144 hours during the term before any such CONTRACTOR entitlement to additional time shall accrue;
  - b. SCDOT may nonetheless suspend the work for its convenience for lack of appropriations, in which case, any such suspension shall not serve to diminish SCDOT's balance of 144 hours then-existing, but CONTRACTOR shall be entitled to additional time and costs under this clause C for the duration of any such suspension. Subject to clause c. below, if the duration of suspensions for convenience reaches 270 days continuously, then SCDOT will be deemed to have terminated this Agreement for its convenience;

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- c. SCDOT may suspend the work for its convenience during any period of governmental shutdowns, government-declared restrictions, or other direction of the State or federal executive (including specifically any quarantine or other governmental and non-governmental measures intended to limit the spread of disease), and the duration of any such suspension shall not serve to diminish SCDOT's balance of 144 hours then-existing, nor shall continuation of any such suspension beyond 270 days continuously shall not be deemed to be a termination for SCDOT's convenience;
- d. SCDOT may suspend the work for its convenience upon discovery of any known or suspected Hazardous Waste or any known or suspected archaeological, paleontological, biological, or cultural resource, and without limiting clause B.1.a above, the duration of any such suspension shall not serve to diminish SCDOT's balance of 144 hours then-existing, nor shall continuation of any such suspension beyond 270 days continuously shall not be deemed to be a termination for SCDOT's convenience.
- 3. CONTRACTOR shall not be entitled to any additional costs incurred or additional compensation arising out of, relating to, resulting from, or caused by any such suspension directed by SCDOT for its convenience not exceeding 48 hours each, up to a total of 144 hours during the term, but CONTRACTOR shall be entitled to actual, direct, and documented costs incurred resulting from suspensions directed by SCDOT for its convenience beyond any 48 hour period or beyond the aggregate of 144 hours, so long as CONTRACTOR establishes in any CCR that any delay to the CPM Schedule could not have reasonably been mitigated or avoided, and subject to the following further limitations:
  - a. CONTRACTOR shall not be entitled to any disruption damages in connection
    with any additional costs claimed with respect to any suspension directed by
    SCDOT for its convenience;
  - b. CONTRACTOR shall not be entitled to any punitive, indirect, special, incidental, or consequential damages in connection with any additional costs claimed pursuant to any suspension directed by SCDOT for its convenience;
  - c. Delay and such other actual, direct, and documented additional incremental costs shall not exceed those calculated pursuant to Section 105.16.5 of the SCDOT Standard Specifications, with the exception of extended job site overhead rates which shall be as set forth in Exhibit 5 of the RFP.
- 4. CONTRACTOR shall not be entitled to an extension of time or additional costs if the delay arising out of, relating to, or resulting from any suspension directed by SCDOT for its convenience is concurrent with any other unrelated delay to an activity on the critical path under the then-current CPM Schedule for which CONTRACTOR is responsible under this Agreement.

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5. Notwithstanding anything to the contrary herein, CONTRACTOR acknowledges that among SCDOT's functions and purposes under SC. Code Ann. 57-1-30(B) is to provide safe transportation for the movement of people and goods throughout the state, and accordingly, SCDOT may issue an order suspending work wholly or in part and to take appropriate action when public safety is jeopardized. CONTRACTOR shall promptly comply with any such written suspension order. CONTRACTOR shall promptly recommence performance of the scope of the work upon receipt of notice from SCDOT directing CONTRACTOR to resume performance. Any such suspension shall not be, nor be deemed to be, (a) a suspension directed by SCDOT for its convenience or (b) a suspension for cause under clause XVII.A.3.e, requiring notice and opportunity to cure or otherwise. SCDOT shall have no liability to CONTRACTOR in connection with any such suspension, and CONTRACTOR shall have no basis to submit a CCR or otherwise claim entitlement to additional cost or accommodation of delay.

# C. Responsibilities of CONTRACTOR During Suspension Periods

During any suspension periods directed by SCDOT hereunder, CONTRACTOR shall continue to be responsible for the Project and shall prevent damage, loss or injury to the Project. Without limiting the generality of the foregoing, CONTRACTOR shall specifically provide for drainage, protect any known or suspected Hazardous Waste or known or suspected archaeological, paleontological, biological, or cultural resources (including taking affirmative steps to protect the site from vandalism and unauthorized investigations), protect the site from accidental damage, heavy rainfall, runoff, and shall erect necessary temporary structures, signs or other facilities required to maintain the Project. CONTRACTOR shall also maintain all insurance policies and bonds required to be in placed under this Agreement, comply with all applicable governmental approvals and other permits. CONTRACTOR shall, unless otherwise directed by SCDOT, continue to be responsible for traffic control, erosion control, and maintenance of the roadway in accordance with this Agreement.

### **D.** Termination for Convenience

1. SCDOT reserves the right to terminate the Agreement at any time, in whole or in part, and upon 30 days written notice to CONTRACTOR, if SCDOT determines it to be in the public interest. Should the Agreement be so terminated by SCDOT for its convenience, CONTRACTOR shall be paid for the value of the work, based upon the Schedule of Values, performed to the effective date of termination and reasonable demobilization costs, together with any reasonable, pre-agreed cancellation charges by vendors, suppliers, and subcontractors. CONTRACTOR shall also be entitled to the cost of securing that portion of the work as directed by SCDOT, provided such cost is reasonable and is approved by SCDOT. In no event, shall CONTRACTOR recover any amount for work not performed. The total payment to CONTRACTOR pursuant to any termination by SCDOT for its convenience shall not exceed the Contract Price.

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- 2. Termination of all or a portion of this Agreement shall not relieve CONTRACTOR of any responsibility it would otherwise have for the work completed, or for any claims arising from that work.
- 3. For avoidance of doubt, any termination by SCDOT for lack of appropriations would be a termination for convenience under this clause E.

# E. Responsibilities of CONTRACTOR Following Notice of Termination of the Agreement

- 1. CONTRACTOR shall timely comply with the following provisions independently of, and without regard to, the timing for determining, adjusting, settling, and paying any amounts due CONTRACTOR or SCDOT on account of termination, if any:
  - a. CONTRACTOR shall promptly deliver to SCDOT or its designee possession of all Project Documents, as defined in Article II.F, in CONTRACTOR's possession or control that relates to the Project and that SCDOT deems necessary for completion of the Project;
  - b. CONTRACTOR shall discontinue performance of the scope of the Work, withdraw from the Site, and shall remove materials, equipment, tools, and instruments used by, and any debris or waste materials generated by CONTRACTOR and any subcontractor, vendor, or supplier in the performance of the scope of the Work;
  - c. If and as directed by SCDOT, CONTRACTOR shall confirm the assignment to SCDOT of any contracts with consultants, subcontractors, subconsultants, vendors, or suppliers, and CONTRACTOR shall terminate, at its sole cost, any such contracts not assigned;
  - d. CONTRACTOR shall otherwise promptly and orderly transition the work, demobilize, and transfer management, care, custody, and control of the Project to SCDOT.
- 2. If SCDOT determines that CONTRACTOR has failed to comply with the foregoing, then upon subsequent notice from SCDOT to CONTRACTOR making reference to this clause, CONTRACTOR acknowledges and agrees that it shall be deemed to have surrendered its access rights to the Site.
- 3. CONTRACTOR shall, and shall cause its consultants, subcontractors, subconsultants, vendors, and suppliers to, complete all of the foregoing prior to the effective date of the termination of the Agreement as set forth in the notice to CONTRACTOR

### XVIII. DISADVANTAGED BUSINESS ENTERPRISES

The DBE goal on this Project is 12 percent. Whether or not there is a DBE contract goal on the contract, the Proposer is strongly encouraged to obtain the maximum amount of

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DBE participation feasible on the contract. The selected CONTRACTOR is required to report all DBE participation through the DBE Quarterly Reports required by Part B of the SCDOT DBE Supplemental Specifications. SCDOT will have the right to audit all documentation regarding DBE participation in the Project.

# XIX. ON-THE-JOB TRAINING REQUIREMENTS

There is an On-The-Job Training requirement for this Project. The CONTRACTOR shall comply with the requirements, including the number of persons to be trained, provided in the Specific Equal Employment Opportunity Responsibilities Training Special Provisions within the Federal Aid Supplemental Specifications found in Exhibit 5. The CONTRACTOR shall submit its plan for On-the-Job Training to SCDOT for written approval prior to commencing construction activities.

### XX. RECORD RETENTION

### A. Retention Period

- 1. CONTRACTOR shall maintain the following documents for a period of three years or a period equal to the warranty period, whichever is longer, after Final Completion of the Project:
  - a. All CONTRACTOR samples and test reports;
  - b. Daily Diaries and any other documents required to be retained in accordance with the Quality Control Plan.
  - c. Documents required to be retained under Article VIII.A.17.
  - d. Documents required to be retained under FHWA 1273.
  - e. Documents items listed in Subsection 109.10 and 105.16.9 of the SCDOT Standard Specification.
- 2. During the retention period, SCDOT will be granted access to those documents upon reasonable notice. At any time during the retention period, SCDOT will have the option of taking custody of the documents. CONTRACTOR shall obtain a written release from SCDOT prior to destroying the records after the retention period.

### XXI. AS-BUILTS

### A. Documents

1. In addition to those documents set forth elsewhere in this Agreement, CONTRACTOR shall provide to SCDOT prior to Final Completion, complete sets of as-built drawings (See Article XXI.D for details). As-built plans consist of the final version of the design plan CADD drawings that incorporate all changes,

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including any adjustments, relocations, additions and deletions that occurred during construction. CONTRACTOR shall certify that the as-built plans are a true and correct representation of the work as constructed. If any design changes occur during construction, the plan sheets (or any other "job site record document" with a seal) revised after award of contract shall include a complete accounting and detail of the revisions and design changes. The P.E. responsible for the revisions shall seal each altered plan sheet (or any other "job site record document" with a seal). This documented information is to be part of the As-Built Plan requirements. The CONTRACTOR shall develop as-built plans in accordance with the SCDOT Manual of Instructions for the Preparation of As-Built Plans, edition effective as of the release of the Final RFP.

- 2. Information regarding major revisions to the plans shall be noted in a revision box on the plans. The information listed in the revision box shall include: the initiator of the revision, a brief explanation of the nature of the revision, and acceptance and approval from CONTRACTOR, along with associated dates.
- 3. In addition to the revisions that incorporated changes during construction, the asbuilt plans shall include the following information gathered during construction:
  - a. The location and elevation of foundations remaining below grade.
  - b. The final profile of each bridge constructed. The profile shall include the elevation along the centerline (or as specified by SCDOT) and a line three feet inboard of each gutter line. Points on the profile shall be taken at no greater than 25-foot intervals and shall include the beginning and end of each span.
  - c. If any structure has pile foundations, information concerning the pile driving operation shall be listed to include pile and driving equipment data, final pile bearing, elevation of pile tip when plan bearing was obtained, final pile tip elevation, penetration into the ground, and PDA or WEAP analysis data. This information shall be entered on each footing or bent sheet, or be included as a new sheet inserted immediately following the pertinent footing or bent sheet.
  - d. If any structure has drilled shaft foundations, information concerning the installation of the shaft shall be listed to include the drilled shaft report. This information shall be entered on each footing or bent sheet, or be included as a new sheet inserted immediately following the pertinent footing or bent sheet.
  - e. The final horizontal location of all existing and relocated utility lines and structures that are within the right-of-way. Include approved Utility Agreements, No Cost/No Conflict Letters, and Encroachment Permits.
  - f. The final location and elevations of all pipes, culverts, and drainage structures.
  - g. To include all right-of-way revisions, permissions, and an updated right-of-way data sheet to show the date and manner of acquisition of each tract

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4. As-built plans shall be submitted as two full size (36 inch x 22 inch) copies and one (1) copy on compact disc in a format acceptable to SCDOT. The levels and symbology of the as-built CADD drawings shall conform to SCDOT standard levels and symbology used to develop the design drawings for the Project.

### XXII. ESCROW PROPOSAL DOCUMENTS

The Contractor shall submit bid documentation used to prepare the technical and cost proposals for this contract to the Department in accordance with the Supplemental Specification entitled Escrow Bid Documentation dated October 1, 2014.

### XXIII. DISPUTE RESOLUTION

### A. Parties

- 1. Each party hereby waives a trial by jury regarding any dispute between them arising out of this Contract and any such trial will be a non-jury trial before the South Carolina Circuit Court in Richland County.
- 2. In the event of a dispute between the parties, it shall be a condition precedent to litigation that the parties submit the dispute to the Standing Dispute Review Board pursuant to the Claims Procedure set forth in the Project Supplemental Specifications.
- 3. CONTRACTOR consents that any papers, notices, or process necessary or proper for the initiation or continuation of any disputes, claims, or controversies relating to the Agreement, any court action in connection therewith, or for the entry of judgment on any award made, may be served on CONTRACTOR by certified mail (return receipt requested) addressed to CONTRACTOR at the address provided in Article XXVI. Notice by certified mail is deemed duly given upon deposit in the United States mail.

# XXIV. SCDOT'S AGENT

SCDOT will appoint an individual who will be authorized to act on behalf of SCDOT, with whom CONTRACTOR may consult at all reasonable times, and whose instructions and decisions will be binding upon SCDOT as to all matters pertaining to this Agreement and the performance of the parties hereunder.

# XXV. ASSIGNABILITY

The Contract shall not be assignable by CONTRACTOR without the prior written consent of SCDOT. SCDOT may assign the Contract without the consent of CONTRACTOR.

### XXVI. GENERAL PROVISIONS

1. This Agreement shall be governed by and interpreted in accordance with the substantive laws of the State of South Carolina.

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- 2. Headings and titles of the various parts of this Agreement are for convenience of reference only and shall not be considered in interpreting the text of this Agreement. Modifications or amendments to this Agreement must be in writing and executed by duly authorized representatives of each party.
- 3. In the event that any portion or all of this Agreement is held to be void or unenforceable, the parties agree to negotiate in good faith to reach an equitable agreement which shall affect the intent of the parties as set forth in this Agreement. For purposes of construction of this Agreement, this Agreement will be considered to have been drafted by both parties and will not be construed against SCDOT because it was drafted by SCDOT.
- 4. All notices pertaining to this Agreement shall be in writing and, if to SCDOT, will be sufficient when sent registered or certified mail to SCDOT addressed as follows:

Deputy Secretary for Engineering South Carolina Department of Transportation Post Office Box 191 Columbia, South Carolina 29202-0191

All notices to CONTRACTOR shall be sufficient when sent registered or certified mail to CONTRACTOR addressed as follows:

# (Insert CONTRACTOR'S address here)

- 5. The Contract Documents set forth the full and complete understanding of the parties as of the Effective Date defined herein, and supersedes any and all prior agreements, representations, and understandings of any kind.
- 6. The parties make no representations, covenants, warranties or guarantees, express or implied, other than those expressly set forth herein. The parties' rights, liabilities, responsibilities and remedies within respect to the work shall be exclusively those expressly set forth in this Agreement.
- 7. In no event shall any failure by either party hereto to fully enforce any provision to this Agreement be construed as a waiver by such party of its right to subsequently enforce, assert or rely upon such provision.
- 8. Nothing in this Agreement is intended to create any contract rights for any party other than SCDOT and CONTRACTOR, nor are any third-party beneficiary rights intended to be created hereby.

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IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the Effective Date defined herein. The Effective Date is defined as the date signed by the Director of Construction on behalf of South Carolina Department of Transportation.

Witnesses:		SOUTH CAROLINA DEPARTMENT OF TRANSPORATION
	_	By:
Date:	_	Recommended:
	_	Jennifer Taylor
Witnesses:		Contract Administrator  CONTRACTOR
		Name of Contractor
	By: Its:	

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### CERTIFICATION OF CONTRACTOR

I hereby certify that I am the duly authorized representative of CONTRACTOR and that neither I nor the above CONTRACTOR I here represent has:

- **XXVII.** employed or retained for a commission, percentage, brokerage, contingent fee, or other consideration, any firm or person (other than a bona fide employee working solely for me or the above CONTRACTOR) to solicit or secure this contract;
- **XXVIII.** agreed, as an express or implied condition for obtaining this contract, to employ or retain the services of any firm or person in connection with carrying out the contract, or
- **XXIX.** paid, or agreed to pay, to any firm, organization or person (other than a bona fide employee working solely for me or the above CONTRACTOR) any fee, contribution, donation, or consideration of any kind for, or in connection with, procuring or carrying out the contract except as here expressly stated (if any);
- **XXX.** either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted proposal.

By execution of this Agreement, CONTRACTOR certifies CONTRACTOR and all CONTRACTOR's consultants, sub-consultants, contractors, employees and agents will comply with South Carolina's Ethics, Government Accountability, and Campaign Reform Act of 1991, as amended. The following statutes require special attention: (a) Offering, giving, soliciting, or receiving anything of value to influence action of public employee - §8-13-790, 8-13-705, 8-13-720; (b) Recovery of kickbacks - §8-13-790, (c) Offering, soliciting or recovering money for advice or assistance of public official - §8-13-720, (d) Use or disclosure of confidential information - §8-13-725, (e) Persons hired to assist in the preparation of specifications or evaluation of bids - §8-13-1150, (f) Solicitation of state employees - §8-13-755, 8-13-760 and §8-13-725, (g) False Claims Act -§16-13-240. The state may rescind any contract and recover all amounts expended as a result of any action taken in violation of this provision.

I acknowledge that this certificate is to be furnished to the Department, the Federal Highway Administration, and the U. S. Department of Transportation, and is subject to applicable State and Federal laws, both criminal and civil.

I acknowledge that giving false, misleading, or incomplete information on this certification may subject me to prosecution under Section 16-9-10 of the South Carolina Code of Laws.

	CONTRACTOR	
	Name of Contractor	
	Ву:	
Date:	Its:	

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# CERTIFICATION OF DEPARTMENT

I hereby certify that I am the Director of Construction for the South Carolina Department of Transportation (SCDOT) of the State of South Carolina and that the above CONTRACTOR or its representative has not been required, directly or indirectly, as an express or implied condition in connection with obtaining or carrying out this agreement to:

- **I.** employ or retain, or agree to employ or retain, any firm or person, or
- **II.** pay, or agree to pay, to any firm, person, or organization, any fee, contributions, donations, or consideration of any kind, except as here expressly stated (if any).

I acknowledge that this certificate is to be furnished to the Federal Highway Administration, and U. S. Department of Transportation, and is subject to applicable State and Federal laws, both criminal and civil.

	SOUTH CAROLINA DEPARTMENT OF TRANSPORTATION	
	BY:	
	TITLE: DIRECTOR OF CONSTRUCTION	
Date:		

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### DRUG-FREE WORKPLACE CERTIFICATION

In accordance with Section 44-107-30, South Carolina Code of Laws (1976), as amended, and as a condition precedent to the execution of this agreement, the undersigned, who is an authorized representative of the CONTRACTOR certifies on behalf of the CONTRACTOR that the PROPOSER will provide a drug-free workplace by:

- (1) publishing a statement notifying employees that the unlawful manufacture, distribution, dispensations, possession, or use of a controlled substance is prohibited in the CONTRACTOR's workplace and specifying the actions that will be taken against employees for violations of the prohibition;
- (2) establishing a drug-free awareness program to inform employees about:
  - (a) the dangers of drug abuse in a workplace;
  - (b) the person's policy of maintaining a drug-free workplace;
  - (c) any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) the penalties that may be imposed upon employees for drug violations;
- (3) making it a requirement that each employee to be engaged in the performance of the agreement be given a copy of the statement required by item (1);
- (4) notifying the employee in the statement required by item (1) that, as a condition of employment of this agreement, the employee will:
  - (a) abide by the terms of the statement; and
  - (b) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after the conviction;
- notifying the South Carolina Department of Transportation within ten days after receiving notice under item (4)(b) from an employee or otherwise receiving actual notice of the conviction;
- imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee convicted as required in Section 44-107-50; and
- (7) making a good faith effort to continue to maintain a drug-free workplace through implementation of items (1), (2), (3), (4), (5), and (6)

By execution of this Agreement CONTRACTOR certifies CONTRACTOR and all CONTRACTOR's consultants, sub-consultants, contractors, employees and agents will comply with all applicable provisions of the Drug-Free Workplace Act, Title 44, Chapter 107 of the South Carolina Code of Laws, as amended.

CONTRACTOR:		
	(Signature)	

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### COMMISSIONER EMPLOYEE INTEREST CERTIFICATION

As a condition precedent to the execution of this Agreement, the undersigned, who is an authorized representative of the CONTRACTOR/CONSULTANT certifies on behalf of the CONTRACTOR/CONSULTANT, that during the procurement and award of this Agreement, and as an ongoing obligation under this Agreement until the end of the contract period, CONTRACTOR/CONSULTANT represents and agrees to comply with the following provisions:

- 1. In accordance Section 23 of Act 40 of 2017 (now codified as Section 57-1-350(G) of the Code of Laws of South Carolina 1976, as amended):
  - a) No member of the SCDOT Commission has an interest, direct or indirect, in the proposal or bid submitted to SCDOT for this Project, during the member's term of appointment and for one year after the termination of the appointment.
  - b) No member of the SCDOT Commission will have an interest, direct or indirect, in any contract, franchise, privilege, or other benefit granted or awarded by the Department relating in any way to this Project (through subcontractors, consultants, vendor, or suppliers) during the member's term of appointment and for one year after the termination of the appointment.
- In accordance with SCDOT Departmental Directive 45(a) regarding Post-employment Restrictions on Qualification-Based Procurements dated August 13, 2015 and amended June 2, 2017:

No current or former employee, who served in a management level position or above, may work on or invoice for services performed on this Project within 365 days after their last day of employment with SCDOT. For the purposes of this bright line rule, "management level position" is defined as any SCDOT Pay Band 7 and above position, which includes, but is not limited to, Directors, Assistant Directors, District Engineering Administrators, District-level Engineers, Program Managers, Assistant Program Managers and Resident-level Engineers.

CONTRACTOR/CONSULTANT hereby certifies that it and all of its consultants, sub-consultants, contractors, vendors, suppliers, employees and agents will comply with the above provisions.

CONTRACTOR/CONSULTANT

# By: \_\_\_\_\_\_\_ (Signature) Print Name: \_\_\_\_\_\_ Date: Its:

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# EXHIBIT 3 SCOPE OF WORK

# EXHIBIT 3 – SCOPE OF WORK

The project consists of all work necessary to complete the design and construct a new interchange along I-77 in York County that will connect I-77 to the new One Carolina Drive. In addition, the project will include the construction of a portion of One Carolina Drive including the associated bridge over I-77 and resurfacing and cross slope correction of existing mainline I-77 within the project limits.

The new interchange shall provide access from I-77 to the new One Carolina Drive which leads to Paragon Way to the east and to the proposed Carolina Panthers Facility to the west. The new interchange will be located along I-77 at approximate mile marker 81, which is approximately one mile south of US 21/Cherry Road (Exit 82) and approximately two miles north of SC-122/Dave Lyle Boulevard (Exit 79).

The Contractor shall provide Outer-Connector Ramps or Controlled-Loop Ramps for all movements. At a minimum, construct two-lane loop ramps from One Carolina Drive to I-77 northbound and southbound and one-lane one-way exit ramps for I-77 northbound and southbound connecting to One Carolina Drive. Alternative types of interchanges will not be considered.

The Contractor shall coordinate its activities with all work being completed by others at the Carolina Panthers Facility and in the vicinity of the project.

The Preliminary Utility Report is included in Project Information Package. The report describes utility facilities that are currently being or planned to be relocated by others during the Project. The Contractor shall avoid all utility facilities that are shown in this report. The Contractor shall be responsible for all cost and schedule impacts related to these utility facilities.

For a full understanding of the scope of the project and the criteria of the construction items needed for this project, review Exhibit 4, Exhibit 5, Exhibit 6, and Attachment B.

# EXHIBIT 4 PROJECT DESIGN CRITERIA

# EXHIBIT 4 – PROJECT DESIGN CRITERIA

This exhibit details the criteria by which the project shall be designed and constructed. It is the responsibility of the Engineer to get clarification from the Department if a question arises from the use of the below exhibits. These criteria are divided into subsections as listed below:

Exhibit 4a – Roadway Design Criteria

Exhibit 4b – Structures Design Criteria

Exhibit 4c – Pavement Design Criteria

Exhibit 4d – Traffic Design Criteria

Part 1. Signing

Part 2. Pavement Markings

Part 3. Work Zone Traffic Control

Part 4. Traffic Signals

Part 5. Traffic Intelligent Transportation System (ITS)

Part 6. Lighting

Exhibit 4e – Hydraulic Design Criteria

Exhibit 4f – Geotechnical Design Criteria

Exhibit 4z – Project Deliverables

# **DESIGN REFERENCES**

This exhibit describes the general design considerations and criteria for the proposed bridges, roadway approaches, hydraulics, structures, surveys and traffic.

Design standards shall be in accordance with the following design references as supplemented or amended by Sections 4a, 4b, 4c, 4d, 4e, 4f and 4z of this Exhibit. Information specified within Sections 4a through 4z shall take precedence over the design standards. Any variation in design from the included information shall require written approval from SCDOT.

- AASHTO A Policy on Design Standards Interstate System, 2016
- AASHTO An Informational Guide On Fencing Controlled Access Highways, 1990
- AASHTO Drainage Manual, 2014 first edition
- AASHTO Guide Design Specifications for Bridge Temporary Works
- AASHTO Guide for the Development of Bicycle Facilities, 2012
- AASHTO Guide for the Planning, Design, and Operation of Pedestrian Facilities, 2004
- AASHTO Highway Drainage Guidelines, 2007
- AASHTO LRFD Bridge Design Specifications, 8th Edition, with interims.
- AASHTO Manual for Assessing Safety Hardware (MASH)
- AASHTO Manual for Bridge Evaluation, latest edition
- AASHTO Roadside Design Guide, 2011, 4<sup>th</sup> Edition
- AASHTO Roadway Lighting Design Guide, latest edition
- AASHTO Standard Specifications for Highway Bridges, 17<sup>th</sup> Edition
- AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals, latest edition
- AASHTO Highway Safety Manual
- AASHTO LRFD Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, latest edition (Only for Standard 35' Luminaire Poles and High Mast Luminaire Poles)
- AASHTO/AWS D1.5M/D1.5:2015 Bridge Welding Code
- AASHTO "Standard Specifications for Transportation Materials and Methods of Sampling and Testing" 2013 Thirty-Third Edition
- ANSI C2 National Electrical Safety Code, latest edition
- FEMA Regulations, 44CFR Chapter 1
- FHWA Manual on Uniform Traffic Control Devices, Latest edition
- FHWA Publication No. FHWA NHI-07-071 Earth Retaining Structures, 2008
- NCHRP Report 672, Roundabouts: An Informational Guide Second Edition
- NFAP-70 National Electrical Code, latest edition
- SCDHEC NPDES Construction Permit # SCR160000
- SCDHEC NPDES MS4 Permit # SCS040001
- SCDOT Access and Roadside Management Standards, August 2008 with updates
- SCDOT Americans with Disabilities Act Transition Plan, December 2014 with updates
- SCDOT Bridge Design Manual, 2006

# EXHIBIT 4 – PROJECT DESIGN CRITERIA

- SCDOT Bridge Design Memoranda, effective between July 1, 2006 and the Final RFP release date
- SCDOT Bridge Drawings and Details, effective as of the Final RFP release date
- SCDOT Load Rating Guidance Document with Technical Notes effective through the Final RFP release date
- SCDOT Engineering Directives, effective as of the Final RFP release date
- SCDOT Environmental Reference Document, 2008
- SCDOT Geotechnical Design Manual, 2019 Edition (Version 2.0)
- SCDOT Geotechnical Design Bulletins, effective as of the Final RFP release date
- SCDOT Geotechnical Drawings and Details, effective as of the Final RFP release date
- SCDOT Roadway Design Manual, 2017, with updates effective as of the Final RFP release date and supplemented with AASHTO A Policy on Geometric Design of Highways and Streets, 2011
- SCDOT Pavement Design Guidelines, July 2008 Edition
- SCDOT Preconstruction Advisory Memorandums, effective as of the Final RFP release date
- SCDOT Preconstruction Design Memorandum, effective as of the Final RFP release date
- SCDOT Preconstruction Survey Manual, effective as of the Final RFP release date
- SCDOT Procedures and Guidelines for Work Zone Traffic Control Design, effective as of the Final RFP release date
- SCDOT Qualified Product Lists, effective as of the Final RFP release date
- SCDOT Requirements for Hydraulic Design Studies, May 2009
- SCDOT Hydraulic Design Bulletins, effective as of the Final RFP release date
- SCDOT Road Design Reference Material for Consultant Prepared Plans, June 2010
- SCDOT Roadside Plants to Avoid/Trees with Limitations on R/W, October 2014
- SCDOT Roadway CADD Manual, effective as of the Final RFP release date
- SCDOT Seismic Design Specifications for Highway Bridges, 2008 (Version 2.0)
- SCDOT Standard Drawings, effective as of the Final RFP release date
- SCDOT Standard Specifications for Highway Construction, 2007
- SCDOT Stormwater Quality Design Manual, effective as of the Final RFP release date;
- SCDOT Supplement to the MUTCD
- SCDOT Supplemental Specifications (2007), effective as of the Final RFP release date
- SCDOT Supplemental Technical Specifications, effective as of the Final RFP release date
- SCDOT Supplemental Specifications for Roadway Lighting dated December 6, 2018
- SCDOT Traffic Signal Design Guidelines, 2009 with updates effective as of the Final RFP release date
- SCDOT Traffic Signal Material Specifications, effective as of the Final RFP release date
- SCDOT Traffic Signal Supplemental Specifications, effective as of the Final RFP release date
- SCDOT Street Trees and Sidewalk Planting Suggestions, May 2013
- SCDOT Vegetation Management Guidelines, effective as of the Final RFP release date
- The Rule on Work Zone Safety and Mobility, Policy and Guidelines
- The State Stormwater and Sediment and Erosion Control Regulations administered by DHEC, 26 S.C. Code Ann. Regs. 72-405 (Supp. 1995) et seq.
- TRB Highway Capacity Manual, 6<sup>th</sup> Edition

# EXHIBIT 4 – PROJECT DESIGN CRITERIA

- United States Access Board's Revised Draft Guidelines for Accessible Public Rights-of-Way (PROWAG), November 23, 2005
- International Building Code, effective as of the Final RFP release date
- ACI 318 Building Code and Commentary
- ASCE's "Minimum Design Loads for Buildings and Other Structures", latest edition

# EXHIBIT 4a ROADWAY DESIGN CRITERIA

# EXHIBIT 4a - ROADWAY DESIGN CRITERIA

### 1. GENERAL

Prepare the roadway geometric design for the project using the design standards and criteria that are most appropriate based on design speed, functional classification, design traffic volumes, right-of-way, and aesthetics. The design elements shall include, but not be limited to, the horizontal and vertical alignments, cross sectional elements, roadside safety, intersections and interchanges.

# 2. CRITERIA

Classify the terrain as rolling on all routes within the scope of work.

# 2.1 Functional Classification

•	Interstate 77	Urban Arterial – Freeways
•	Interchange Ramps	Urban Arterial – Freeways
•	One Carolina Drive	Urban Major Collector
•	S-851 (Hiram Way)	Urban Local – Group 4
•	L-2191 (Corporate Blvd.)	Urban Local – Group 4
•	L-2615 (Paragon Way)	Urban Local – Group 4
•	Blue and Black Blvd.	Urban Local – Group 4

Corporate Blvd., Paragon Way, and Blue and Black Blvd. are non-SCDOT maintained facilities.

One Carolina Drive shall be classified as an Urban Major Collector from node 1 as shown in the Project Information Package to the existing Paragon Way.

# 2.2 <u>Design Speed</u>

•	Interstate 77	65 mph
•	Interchange Ramps	45 mph*
*A	35 mph design speed is acceptable for Ramp 1 –	Curve 2.
•	Interchange Loop Ramps	30 mph
•	One Carolina Drive	40 mph
•	S-851 (Hiram Way)	40 mph
•	L-2191 (Corporate Blvd.)	40 mph
•	L-2615 (Paragon Way)	40 mph
•	Blue and Black Blvd.	40 mph
•	Shared Use Path	12 mph

# 2.3 Traffic Lane, Shoulder & Median Criteria

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# EXHIBIT 4a - ROADWAY DESIGN CRITERIA

Develop traffic lane, shoulder, and median widths in compliance with SCDOT Roadway Design Manual.

### Interstate 77

• Through Lanes 12 ft.

• Shoulder (outside) 12 ft. total (10 ft. paved, 2 ft. earth)\*

Shoulder (inside) Retain existing (4'6" minimum)

# **Interchange Ramps**

• Through Lanes 16 ft. single lane/ 12 ft. dual lanes\*

\*For dual lane loop ramps that require lane widths greater than 12' due to the appropriate design vehicle, up to 16' lane widths are acceptable. Do not use lane widths wider than what is required based on appropriate design vehicle.

• Shoulder (outside) 10 ft. (6 ft. paved, 4 ft. earth)\*\*

• Shoulder (inside) 10 ft. (4 ft. paved, 6 ft. earth)\*\*

### One Carolina Drive

• Through Lanes 12 ft.

• Shoulder (outside) 2 ft. Curb and gutter with 6' shelf

(minimum)

Median
 4 ft. minimum

### S-851 (Hiram Way)

• Through Lanes 11 ft.

• Shoulder (outside) 2 ft. Curb and gutter with 6' shelf

(minimum)

• Median 4 ft. minimum

# L-2191 (Corporate Blvd.)

• Through Lanes 11 ft.

Shoulder (outside) 6 ft. (2 ft. paved, 4 ft. earth)

Median
 15 ft. minimum

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<sup>\*12&#</sup>x27; (minimum) paved shoulder adjacent to barrier

<sup>\*\*10</sup> ft. paved at barrier locations

# EXHIBIT 4a – ROADWAY DESIGN CRITERIA

# L-2615 (Paragon Way)

• Through Lanes 11 ft.

• Shoulder (outside) 2 ft. Curb and gutter with 6' shelf

(minimum)

• Median 4 ft. minimum

# Blue and Black Blvd.

• Through Lanes 11 ft.

• Shoulder (outside) 2ft. Curb and gutter with 6' shelf

(minimum)

Median 4 ft. minimum

All dead end roads will be completed with cul-de-sacs. T-turn around will only be allowed with SCDOT approval.

All crossroad tie-in locations shall not degrade the existing conditions. Concrete islands shall be used as divisional islands within the limits of controlled access for interchange crossing routes. Concrete islands shall match the full width of the median.

# 2.4 Horizontal Curves

Develop horizontal curves and superelevation in compliance with SCDOT Roadway Design Manual and the SCDOT Standard Drawing.

For horizontal sight distance, use grade adjusted stopping sight distance values where the downgrades are 3 percent or greater.

If modifications to roads result in tie-ins to existing roads at the begin/end of construction, then match existing conditions.

# 2.5 Vertical Curves, Grades, and Clearances

Develop vertical curves, grades, and clearances in compliance with SCDOT Roadway Design Manual.

Spline grades are only acceptable within the limits of the gore areas of ramps.

Ensure that grade adjusted stopping sight distance is achieved where the downgrades are 3 percent or greater.

# 2.6 Side Slopes

Develop side slopes in compliance with SCDOT Roadway Design Manual.

Any fill slope steeper than 2:1 shall require SCDOT advanced approval.

# 2.7 Cross Slopes

Develop cross slopes in compliance with SCDOT Roadway Design Manual.

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# 2.8 Clear Zones

Use the SCDOT Roadway Design Manual and the AASHTO *Roadside Design Guide*, 2011, 4<sup>th</sup> Edition. When a range of values is shown, select higher value. SCDOT does not typically use a 3H:1V fill slope. See the AASHTO *Roadside Design Guide*, 2011, 4<sup>th</sup> Edition for clear zone calculations where a 3H:1V fill slope is used. Use 3H:1V fill slopes only where fill heights are required to match existing conditions or to meet specific required ditch grades and clear zone can be obtained within the Project limits.

For those areas where no guardrail currently exists and no additional lanes or adjacent ramps are proposed, design fill and cut slopes to obtain clear zones and to avoid the need for protection. Where achieving the clear zone requirements results in new right-of-way, wetland impacts, or impacts to immovable obstructions, protect substandard areas contingent upon receiving SCDOT advanced approval.

Where existing fill and cut slopes are presently protected by guardrail and no rigid barrier is proposed, replace damaged guardrail and extend/install new guardrail at locations that do not meet current MASH guardrail standards. All new guardrail shall meet MASH guardrail standards. Existing guardrail that is retained and meets NCHRP 350 requirements, may be extended or repaired using NCHRP 350 guardrail standards. Also, clear and grind in accordance with SCDOT Engineering Directive (ED) 29.

# 2.9 Sight Distance

Develop sight distance in compliance with SCDOT Roadway Design Manual.

# 2.10 **Ramps**

Develop ramps in accordance with SCDOT Roadway Design Manual.

For dual lane loop ramps that require lane widths greater than 12' due to the appropriate design vehicle, up to 16' lane widths are acceptable.

New ramp terminal profiles must accommodate intersection sight distance horizontally and vertically for crossroads.

## 2.11 Intersections

Develop intersections in compliance with SCDOT Roadway Design Manual.

Multilane roundabouts are not allowed as an intersection type.

### 2.12 Roadside Barriers

Develop roadside barriers in compliance with SCDOT Standard Drawings and the AASHTO *Roadside Design Guide*, 2011, 4<sup>th</sup> Edition. Include the following items in the work:

<u>Guardrail:</u> Ensure that all new MASH guardrail and end treatments are listed on the Qualified Products Policies & Listings.

Provide non-mow strip under guardrail in accordance with the guidance found in Exhibit 5, Special Provisions Section 805.

# EXHIBIT 4a – ROADWAY DESIGN CRITERIA

Use additional length guardrail posts with compressed guardrail shoulder break, contingent upon receiving SCDOT approval, only where right of way or environmental impacts dictate that standard guardrail shoulder break cannot be built.

<u>Concrete Median Barrier:</u> Retain existing concrete median barrier along Interstate 77 where existing or proposed medians are less than 36 feet. When concrete median barrier is constructed, pave the inside shoulder to the barrier. Use single slope shaped concrete median barriers.

Provide custom design where required in accordance with Exhibit 4b.

# Concrete Roadside Barrier (outside)

Concrete barrier treatment along the outside of the interstate or ramp is required when the clear zone of the interstate lane, auxiliary lane, or interstate ramp lane overlaps with the clear zone of the proposed road. The clearzone shall be the full distance as defined in section entitled "clearzones" regardless of presence of guardrail. Construct outside barrier as specified in Exhibit 4b.

If barrier is required beyond the required paved shoulder width, then the area from the edge of paved shoulder to barrier shall be paved with minimum 8 inches of Hot Mix Asphalt. Place no less than 8 inches of dense graded HMA, including 200 psy Surface Type C as a wearing course. If the back slopes are steeper than 3H:1V then place four inch thick concrete slope protection meeting the requirements of Exhibit 4b.

# 2.13 Right Of Way and Control Of Access

Conceptual Roadway Plans have been developed for the Project, and are included in the Project Information Package. The CONTRACTOR shall incorporate right-of-way information into the Project plans for record retention by SCDOT.

Right of Way plans shall be submitted for this Project with all right of way acquired for the project shown as "New R/W".

Include in the Roadway Plans all pertinent information used to establish the right of way acquired for this Project. See Attachment B for Preliminary Right of Way plans.

All information used to establish CONTRACTOR-Designated Right of Way and Additional Right of Way shall be incorporated into the right of way plans and the final plans.

All right of way, including revisions, shall be included in the Project plans for this Project.

For fill walls, locate wall and/or proposed new right of way line to provide a minimum horizontal distance of 1.2 times the wall height between the fill face of the wall and the right-of-way line.

For cut walls, locate wall and/or proposed new right-of-way line to provide the lessor of 15 feet or 1.2 times the wall height between the exposed face of wall and the right-of-way line.

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	6

# EXHIBIT 4a – ROADWAY DESIGN CRITERIA

Locate walls and/or proposed new right-of-way line such that all retaining wall footings, MSE wall reinforcing, and wall anchorages are within right-of-way. Depending upon the design of the wall, additional right of way may be required to construct the wall.

Follow SCDOT, FHWA, and AASHTO guidelines for Control of Access. Maintain fully controlled access along interstate, all interchanges, and ramp terminals. Provide Controlled Access fencing for the entire project limits where the existing fencing must be relocated or is in poor condition as determined by the RCE. Fencing shall be in accordance with Standard Drawing series 806. Use Woven Wire Fence Type 5 with 3 strands of Barbed Wire in rural areas and where existing woven wire fence is present. Use Security Chain Link Fence 72" at interchanges where urban conditions exist (ex. Convenience stores, Hotels, restaurants, etc.) as determined by the RCE and in areas where existing chain link fence is present. In areas without existing Controlled Access fencing and in areas where Controlled Access fencing is to be relocated or reset, place new fence one foot inside the right-of-way. See Attachment B for typical details for fence locations.

# 2.14 Pedestrian & Bicycle Facilities

Develop pedestrian and bicycle facilities in compliance with the AASHTO *Guide for the Planning, Design, and Operation of Pedestrian Facilities* edition 2004, SCDOT Americans with Disabilities Act Transition Plan, December 2014 with updates, AASHTO *Guide for the Development of Bicycle Facilities* edition 2012, Engineering Directive No. 22, and SCDOT Roadway Design Manual, March 2017. Provide pedestrian and bicycle facilities through the project limit.

Provide 10' Shared Use Path on the south side of One Carolina Drive for the full limits of One Carolina Drive. Tie to existing sidewalk at Paragon Way terminus. Shared Use Path should be designed with 2' shoulders on each side of the path, with a cross slope that matches the path.

Per AASHTO Guide for the Development of Bicycle Facilities, edition 2012, a 5-foot buffer is required from the face of curb to edge of shared use path. Deviations to the buffer or shared use path width will be allowed upon prior approval by SCDOT at the following areas:

- One Carolina Drive between stations 32+80 and 38+35;
- Hiram Way between stations 11+50 and 12+50.

Shared use path to comply with City of Rock Hill standards at all locations when City of Rock Hill standards exceed those of AASHTO and SCDOT. A 12-foot shared use path and 10-foot buffer is required on One Carolina Drive west of I-77 up to the shared use path transition at the bridge.

# EXHIBIT 4b STRUCTURES DESIGN CRITERIA

# EXHIBIT 4b - STRUCTURES DESIGN CRITERIA

### 1. GENERAL

Exhibit 4b contains requirements for:

- Construction of a new overpass over I-77
- Retaining walls, and
- Concrete median barriers, roadside barriers, and bridge pier protection.

Refer to Attachment B for additional Structures Design Criteria.

### 2. CRITERIA

# 2.1 New Highway Bridges

# 2.1.1 Bridge Design

Design all new bridges in accordance with the requirements of the AASHTO LRFD Bridge Design Specifications, 8<sup>th</sup> edition. Use the HL-93 design live loading and all vehicles as required by the SCDOT Load Rating Guidance Document.

# 2.1.2 Seismic Design and Detailing

In accordance with the SCDOT Seismic Design Specifications (SDS) for Highway Bridges, the Bridge Operational Classification (OC) for the bridge is "II" and the Seismic Design Category (SDC) is "A". Seismic Design Summary Reports are not required for this site.

### 2.1.3 Corrosion Protection

Provide corrosion protection in accordance with the SCDOT Bridge Design Manual (BDM).

### 2.1.4 Dimensions

Construct the new bridges with bridge roadway widths that are equal to or greater than the approach roadway widths (traveled way plus median and shoulders) that are specified in Exhibit 4a. Match face of curb on the roadway approaches with face of curb on the bridge.

Provide a raised 5'-6" sidewalk on the north side of the bridge. Provide a raised 16'-0" shared-use path on the south side of the bridge, which includes 5'-0" separation from face of curb, a 10'-0" path width, and a 1'-0" shy distance in between the path and the face of railing.

### 2.1.5 Vertical Clearances

Provide a minimum of 17'-0" of vertical clearance in accordance with the BDM.

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# EXHIBIT 4b - STRUCTURES DESIGN CRITERIA

### 2.1.6 Horizontal Clearances / Pier and Abutment Protection

Locate all abutments and piers, and associated protection barrier to provide horizontal clearances under the new bridges over interstates and interstate ramps that are sufficient to accommodate roadway widths that are equal to or greater than the roadway widths (traveled way plus median and shoulders) specified in Exhibit 4a.

Additional horizontal clearance at end spans may be the result of satisfying requirements for three-span continuous girder bridges located in section titled "Superstructure Types" in this Exhibit. Additional clearance provided at the end spans of three-span continuous girder bridges is to be free of all obstructions including but not limited to physical obstacles and non-recoverable/non-traversable slopes.

Provide barrier protection for interior bents and abutments located within the roadway clear zone. Use Rigid Barrier Pier Protection details for interior bents from SCDOT Standard Drawings and abutment protection in accordance with the detail entitled "Rigid Barrier Adjacent to Retaining Wall" in Attachment B. See section titled "Concrete Median Barrier" in this Exhibit for additional pier protection requirements.

# 2.1.7 Removal and Disposal of Existing Bridges

No existing bridges are to be removed as part of this project.

### 2.1.8 Superstructures

For this project, Section 12.3.3 of the SCDOT Bridge Design Manual does not apply. Allowable superstructure types are outlined in Sections 12.3.2.1, 12.3.2.3, and 12.3.2.4 of the BDM.

For this project, use a girder bridge without expansion joints and with integral end bents. If multiple spans are used, keep the girder depth constant for the entire bridge length.

For prestressed concrete girder superstructures, use prestressed concrete girders that are "I" shaped. Design prestressed concrete girders so that the algebraic sum of the beam camber at prestress transfer due to prestress force, the beam dead load deflections due to non-composite dead load, and superimposed dead load deflections due to applied superimposed dead loads results in a positive (upward) camber. Include the dead load from the future wearing surface in the determination of camber.

Do not include elastic gains into prestressed concrete girder design.

For steel welded plate girder superstructures, use structural steel girders that are "I" shaped. For steel welded plate girder and steel rolled beam superstructures,

use structural steel that conforms to the requirements of AASHTO M 270 and paint the steel in accordance with Section 710 of the Standard Specifications.

For three-span continuous girder bridges, the minimum flanking/end span length shall be no shorter than one-half the length of the main span. Also, ensure no uplift forces will occur at any bearing location. This applies to both continuous steel girders and continuous-for-live-load precast concrete beam bridges.

At each support of prestressed concrete girders, steel welded plate girders, and steel rolled beam superstructures, connect all beams and girders to the substructure using anchor bolts.

Detail all construction stages for girder bridges to consist of a minimum of two lines of girders.

Bridge decks are to be continuous over the girders from side to side and end to end; "exposed" girders without deck between are not permitted.

Floorless culverts are classified as "Other Structure Types" (Section 12.3.3 of the SCDOT Bridge Design Manual) and are not permitted for this project.

# 2.1.9 Concrete Strengths

In prestressed concrete piles and beams, concrete design strengths are not allowed to exceed 8,000 and 10,000 psi maximum, respectively. Construct all cast-in-place concrete bridge components with Class 4000 concrete except as noted in Section 15.2.1 of the BDM. Construct all precast concrete bridge components with concrete having a minimum compressive strength of 5000 psi.

### 2.1.10 Final Finish of Exposed Concrete Surfaces – Bridge areas

Apply final surface finish to the following designated bridge areas:

- Entire surface of all barrier rails, parapet walls, concrete utility supports, and wing walls; outside vertical edge of bridge deck slabs and sidewalks / shareduse paths
- Outside face of exterior prestressed girders
- Entire surface of all substructure units, except top of bent caps and piers

Use near-white Federal Standard Color 37778 for all final finish on this project.

See section titled "Final Finish of Exposed Concrete Surfaces and Anti-Graffiti Coating" in this Exhibit for additional requirements at retaining walls.

### 2.1.11 Lightweight Concrete

Lightweight Concrete is only permitted in cast-in-place decks and barrier parapets. Use lightweight concrete that conforms to the requirements of the Sand

Lightweight Concrete Special Provision in Exhibit 5. When calculating dead loads, include a minimum allowance of 7 pounds per cubic foot for reinforcing steel.

### 2.1.12 Post-Tensioning

Post-tensioning is not permitted for this project.

# 2.1.13 Bridge Decks

For girder and beam spans, construct bridge decks with reinforced cast-in-place concrete.

Apply a transverse Grooved Surface Finish to all bridge deck riding surfaces (permanent and temporary lanes and shoulders) in accordance with Subsection 702.4.16 of the Standard Specifications for Highway Construction.

Make the connection of the reinforcing of decks between stages by lap-splice or mechanical splice.

Asphalt overlays are not permitted on bridge decks.

# 2.1.14 Stay-in-Place Bridge Deck Forms

The Contractor may use permanent stay-in-place bridge deck forms for concrete deck slabs between new beams and girders. Fabricate permanent stay-in-place bridge deck forms and supports from steel conforming to ASTM A 446/A 653, Grades 40 or 50, and having a coating class of G165 in accordance with ASTM A 525. Do not use fillers in the flutes of the stay-in-place forms. Fill form flutes with concrete as the deck slab is placed. Do not use permanent stay-in-place steel bridge deck forms in bays in which longitudinal deck construction joints are located and in bays between stages.

### 2.1.15 Barriers, Railing Walls, and Sidewalks

For this project, provide TxDOT standard Type C1W Combination Rail on both sides of the bridge with all dimensions and component sizes as shown in the TxDOT standard drawings in Attachment B. Modify the details to continue the rail onto the approach slabs and provide concrete terminal connection blocks, 5'-0" minimum in length, at all four bridge corners. Bolt holes for thrie-beam stiffness transitions may be omitted at locations where guardrail is not attached to the bridge. Design the rail and end terminals for Test Level 4 collision force. Use Class 4000 concrete for the rail curb and concrete terminal connection blocks. Provide reinforcing steel in accordance with the SCDOT Standard Specifications. Follow the materials specified on the TxDOT standard drawings in Attachment B for all steel components, bolts, and hardware. Adhesively bonded anchors are not an acceptable substitute for the embedded anchor bolts required. Galvanize all metal components of the steel rail system in accordance

with Section 705.2.3.2 of the Standard Specifications. Paint all metal components of the steel rail system black, Federal Standard Color 17038. Provide a certified paint system compatible with galvanized steel coating for SCDOT approval. Prepare the galvanized surface to receive the paint system in accordance with ASTM D6386.

Provide a raised sidewalk on the north side of the bridge and a raised shared-use path on the south side of the bridge. See "Dimensions" in this Exhibit for size requirements. Slope the sidewalk and raised shared-use path 2% towards the roadway for drainage. Do not include the structural contribution to capacity of a raised sidewalk or path in the deck design.

Provide two (2) 2" diameter PVC conduits on both sides of the bridge in accordance with the SCDOT Bridge Drawings and Details, except for this project the conduits shall be placed in the raised sidewalk and shared-use path instead of the barrier. For any conduit lengths in excess of 200 feet, detail pull boxes. Provide expansion/deflection fittings at deflection joint locations. Detail the conduits to turn down into the approach slab once off the bridge and then daylight conduits out the side of the approach slab into soil near the end of the approach slab and cap with watertight covers.

Detail barrier parapets parallel to the edge of the adjacent travel lane.

# 2.1.16 Highway Light Pole Supports

For light poles located on the bridge, design and detail supporting structures as an extension of bridge deck, outside of the bridge railing. Provide pull boxes for the two (2) 2" diameter conduits in the sidewalk/path at each light pole and facilitate wiring from each bridge corner. Provide additional conduits from the pull boxes through the deck extension to daylight at the base of the light pole. Use embedded or bolt-through anchor bolts for attaching the light post base plate. Adhesively bonded anchors are not an acceptable substitute for the embedded or bolt-through anchor bolts required.

### 2.1.17 Bridge Drainage

Design and construct the bridge deck drainage and bridge end drainage to ensure that the minimum requirements of the SCDOT Bridge Design Manual are met.

BDM Subsection 18.2.2 does not apply to this project. Allowable water spread requirements of BDM Figure 18.2-1 shall dictate the minimum number of drainage inlets on the bridge in the final condition. Refer to Exhibit 4e for temporary drainage requirements.

Bridge end drainage may consist of a single or series of standard concrete catch basin(s) employed individually or in combination to limit bypass gutter flow onto an erodible surface to 0.20 cubic feet per second. Include calculations showing

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discharge at each bridge inlet, each end collector, and bypass flow at each end collector in the Preliminary and Final Hydraulic Reports.

Provide deck drainage as required in general conformance with Drawing No. 700-05 and 700-05.01. Use a minimum drain diameter of six inches (or equivalent cross sectional area).

If a closed drainage system is required, the bridge drainage shall be designed in accordance with SCDOT requirements. Scuppers shall be connected to under deck collector pipes which outfall to protected slopes, riprap pads, or connect to adjacent roadway drainage facilities. Provide accessible cleanouts for collector pipes.

Downspouts and collector pipes shall be fiberglass and shall be colored (not painted) to match the finished bridge color (Federal Standard Color 37778). No drains or discharge pipes shall be allowed inside of structural elements other than the bridge deck and interior bent diaphragms, which may be detailed with blockouts or openings for collector pipes. Collector pipes are not to extend below the bottom of the girders except for downspout locations. Drain pipe exposure shall be minimized to public view.

# 2.1.18 Pile Sizes and Types

Minimum pile sizes and acceptable pile types are listed below. No other pile types are permitted. Where the geotechnical report indicates corrosion is a concern, use the entire perimeter of the steel section in contact with soil/water when determining sacrificial thicknesses for the design life of the member. The sacrificial thickness shall be removed from the pile section properties when determining capacity for all limit states, including seismic.

PILE TYPE	MINIMUM SIZE
Steel H-Piles	HP12x53
Steel Pipe Piles	16" Diam. (min. wall thickness equal to ½")
Solid Prestressed Concrete Piles	18" Square
Prestressed Concrete Pile Points	W8x58

### 2.1.19 Steel Pipe Pile Connection Details

The pile connection detail described in Item 2 of Section 19.2.6.3 of the SCDOT Bridge Design Manual does not apply for this project. Terminate steel pipe piles at the bottom of the end bent cap and footing. Connect the piles to the cap and footing using a reinforced concrete infill, with the reinforcing extending into the cap or footing. The minimum clearance of the reinforcement shall satisfy the requirement of SCDOT Design Memorandum DM0107.

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### 2.1.20 Drilled Shafts

SCDOT Bridge Design Memorandum DM0111 contains a requirement to detail the portion of shaft below the construction casing with a diameter that is six inches smaller than the diameter of the casing. This six-inch reduction requirement does not apply to this project. For this project, detail the portion of the shaft below the bottom of the construction casing, whether in soil or rock, with a diameter that is at least two inches smaller than the outer diameter of the casing.

When the design for the upper portion of a drilled shaft requires a column reinforcement cage to be inserted into a larger diameter drilled shaft reinforcement cage, provide a construction joint in the shaft just below the bottom of the column cage. Prior to casting the upper portion of the shaft, remove all drilling fluids and unsound concrete and roughen the surface of the construction joint. Arrange for CSL testing to be performed prior to placement of the column reinforcement cage. Install the column reinforcement cage in the upper portion of the shaft prior to drilled shaft concrete placement in the splice region.

Drilled shafts that have a diameter of 6 feet or greater and a length of 5 feet or greater are considered to be mass concrete elements. See Exhibit 5 for specifications regarding concrete mix design, concrete placement, and temperature control of these large diameter drilled shafts.

### 2.1.21 Crosshole Sonic Logging (CSL) Testing

Design and detail drilled shafts to include access tubes in all drilled shafts in accordance with the SCDOT Standard Specifications for Highway Construction, 2007 Edition. Acceptance of drilled shafts will be based on separate SCDOT-conducted CSL testing.

#### 2.1.22 Substructures

Construct Interior Bents using cast-in-place reinforced concrete bent caps and columns supported on cast-in-place reinforced concrete drilled shafts or pile footings. Deep foundations are required to extend below any compacted fill.

Set the tops of footings in accordance with Section 19.5.5 of the SCDOT Bridge Design Manual. In cases where there is pavement above the footing, locate the top of footing a minimum of two feet below the bottom of the base course.

If a drilled shaft is extended above ground or through liquefiable soil, structurally design the shaft as a column and detail the longitudinal reinforcing steel with a maximum spacing of 8 inches center-to-center.

Construct end abutments as either vertical abutments or spill through abutments with a 2:1 maximum slope. Vertical abutments include the end bents supporting the bridge spans along with the associated wall structures retaining the

embankment of the bridge approaches. Construct vertical abutments and vertical abutment wing walls using cast-in-place reinforced concrete or MSE walls. Vertical abutment wing walls refer to the part of the wall structure extending beyond the end of the end bent cap retaining the embankment of the bridge approaches, not the bridge wings connected to the end bent cap. For bridges crossing a highway, construct the vertical abutment and vertical abutment wing walls parallel to the adjacent travel lane passing beneath the bridge. For integral end bents, set the elevation of the berm so that the top of the berm is no greater than 4 feet below the superstructure.

At MSE walls, use bridge ends consisting of cast-in-place reinforced concrete caps supported with piles or cast-in-place reinforced concrete drilled shafts that are set back behind the MSE wall faces as shown in SCDOT Geotechnical Drawings and Details.

The following applies to bent cap cantilevers for pile supported end bents:

- For a cap supported by prestressed concrete piles, provide a minimum of the
  equivalent of 2 pile widths of distance from the centerline of the exterior pile
  to the end of the cap.
- Do not detail the intersection of the centerlines of bent and exterior beam/girder on the bent cap cantilever.

The following applies to multi-column interior bents:

- The column spacing shall not exceed 25 feet center to center of columns.
- Provide a cantilever distance from the center of exterior column to the end of the bent cap that is less than or equal to 35 percent of the average column spacing of the bent.

### 2.1.23 Approach Slabs and Wing Walls

For parallel wing walls (wing walls that are parallel to the centerline of bridge), detail the bottom of the wing wall level and at the same elevation as the bottom of the bent cap. Detail the top of parallel wing walls sloped and at the same elevation as the top of the outside edge of the approach slab.

# 2.1.24 Slope Protection

Provide concrete slope protection for the end fills under new bridges over interstate routes, ramps, or other roadways. If a bridge has a vertical abutment wall, provide concrete slope protection in the area under the bridge between the wall and the paved shoulder. Also provide concrete slope protection in the area on top of the abutment wall extending from the paved ditch on top of the wall up to the end of the bridge wing wall. Detail concrete slope protection with a minimum thickness of 4 inches and in accordance with Drawing No. 804-01 of

the Bridge Drawings and Details and in accordance with the requirements of Section 804 of the Standard Specifications for Highway Construction.

# 2.1.25 Bridge Plans

As required by the SCDOT Bridge Design Manual, include in the bridge plans Reinforcing Steel Schedules and Quantities Tables for each bridge component (end bents, interior bents, spans, etc.). When these components are required to be constructed in stages, break the Reinforcing Steel Schedules and Quantities Tables down by stage. Immediately following the title sheet, provide a quantities sheet that includes a tabulation of estimated quantities and a summary of estimated quantities.

# 2.2 Retaining Walls

### 2.2.1 Mechanically Stabilized Earth (MSE) Walls

Design and construct MSE walls in conformance with Supplemental Technical Specification SC-M-713 and SCDOT Geotechnical Drawings and Details, Drawings No. 713-01 and 713-02. If MSE wall is adjacent to an underground drainage feature (drainage structure, pipe, culvert, etc) the leveling pads of the MSE wall must be located to facilitate future maintenance of the drainage feature. The leveling pad elevation and offset distance from the feature shall provide sufficient slope stability for open trench working condition during future maintenance operations.

For all MSE walls on this project, construct wall facing using precast concrete panels. Use a formliner finish on the panels in accordance with the pattern provided in Attachment B or approved equivalent.

Provide a minimum of 3 feet of clear distance between the fill face of the MSE wall facing and the piles or drilled shafts. For two staged walls (walls with both temporary and permanent faces), provide a minimum of 3 feet of clear distance between the fill face of stage 1 (temporary face) and the piles or drilled shafts.

Design wall heights and lengths to provide adequate cover for roadway and bridge drainage inlets and pipes in the roadway approaches. In addition, design wall heights and lengths to provide adequate slope transitions to maintain stable shoulders and slopes and design clearances and templates in accordance with the design criteria.

Do not use MSE wall structures that exceed heights of 40 feet.

### 2.2.2 Reinforced Concrete Walls

Design cast-in-place concrete cantilever walls in accordance with SCDOT Geotechnical Design Manual.

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All exposed wall face other than integral traffic barrier (or area concealed by independent traffic barrier) and a two foot strip along the top to mimic MSE wall coping shall have a formliner finish pattern in accordance with the pattern provided in Attachment B or approved equivalent.

Provide a concrete paved drainage ditch along the top of the wall if the retained soil slopes towards the back of the wall. Provide drainage inlets as necessary to prevent water from overtopping the wall during the design storm.

Spread footings are permitted for concrete retaining walls that are not directly supporting bridges. Step the retaining wall footings when there is a change in grade.

# 2.2.3 Other Wall Types

Other acceptable wall types include Precast Counterfort walls (which are permitted in conjunction with traditional MSE walls in partial rock cuts), Tangent Pile/Secant Pile walls, Anchored walls, Sheet Pile walls having reinforced concrete coping, Soldier Pile and Lagging walls, and Soil-Nailed walls.

Prior to commencing any designs of other wall types as specified herein, submit to SCDOT the wall type selected, design methodology, design criteria, and material and construction specifications for review. In the design criteria, include wall geometry and location, resistance factors, soil properties, and material properties of the wall. If the walls support bridge embankments, also submit conceptual bridge plans in accordance with Section 3.2 of the SCDOT Bridge Design Manual. Submit shop plans and any calculations for other wall types in accordance with Section 725 of the SCDOT 2007 Standard Specifications for Highway Construction.

Provide a concrete paved drainage ditch along the top of the wall if the retained soil slopes towards the back of the wall. Provide drainage inlets as necessary to prevent water from overtopping the wall during the design storm.

Wall face shall be concrete and all exposed faces other than integral traffic barrier (or area concealed by independent traffic barrier) and a two foot strip along the top to mimic MSE wall coping shall have a formliner finish pattern in accordance with the pattern provided in Attachment B or approved equivalent.

# 2.2.4 Requirements for Horizontal Layout of Walls

Locate walls adjacent to ramp or interstate travel lanes to accommodate appropriate barrier treatment and allow for horizontal clearance as described in Sections titled "Dimensions" and "Horizontal Clearances / Pier and Abutment Protection" in this Exhibit.

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For fill walls, locate wall and/or proposed new right-of-way line to provide a minimum horizontal distance of 15 feet between the fill face of the wall and the right-of-way line.

For cut walls, locate wall and/or proposed new right-of-way line to provide the lesser of 15 feet or 1.2 times the wall height between the exposed face of wall and the right-of-way line.

In addition to the wall location criteria above, locate walls and/or proposed new right-of-way line such that all retaining wall footings, MSE wall reinforcing, and wall anchorages are within right-of-way.

### 2.2.5 Barrier Treatment

See Exhibit 4a for conditions where barrier treatment on or adjacent to retaining walls is required.

See section entitled "Concrete Barriers" for design and detailing requirements.

### 2.2.6 Final Finish of Exposed Concrete Surfaces and Anti-Graffiti Coating

Apply final surface finish to the following designated retaining wall areas:

- MSE Walls: precast concrete panels and coping
- Reinforced Concrete walls excluding integral traffic barrier
- Concrete facing and coping for other wall types
- Concrete barriers supported by moment slabs on top of walls

Use near-white Federal Standard Color 37778 for all final finish on this project.

Apply an Anti-Graffiti Coating to exposed concrete surfaces of bridge abutment walls, including precast panels and coping of MSE Walls. Apply an Anti-Graffiti Coating to exposed concrete surfaces of all retaining walls visible to the public, excluding bifurcated median barrier walls.

Apply final finish prior to anti-graffiti coating and ensure the two coatings are compatible. Apply final surface finish and anti-graffiti coatings at rates specified by manufacturer.

# 2.2.7 Plans Preparation

See supplemental criteria in Attachment B titled "Non-bridge Structure Plan Preparation Requirements."

### 2.3 Concrete Barriers

### 2.3.1 Concrete Median Barrier

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Construct concrete median barrier according to SCDOT standard drawings. Design 56" minimum height Test Level 5 median barrier with exception that if there is an elevation difference between the two sides, the higher side can be 46" minimum or Test Level 4.

For slip-formed elements, utilize a concrete slip forming mix design that achieves 4000 psi minimum 28-day compressive strength verified by test cylinders with reinforcing and details shown in the Standard Drawings. For all other cast-in-place barriers in accordance with Standard Drawings, including bridge pier protection, utilize Class 4000 concrete with the reinforcing and details shown in the Standard Drawings.

Expansion joints in slip formed barriers are only required at the interface with other structures such as Zone of Intrusion barriers or foundations for lights or signs. Use only saw cut contraction joints. Install contraction joints early enough to control shrinkage cracking and to minimize damage due to saw cutting.

Condition A – Concrete Median Barrier with grade separations of 18 inches or less: Use details from the SCDOT Standard Drawings.

Condition B – Concrete Median Barrier with grade separations between 18 inches and 36 inches require a complete design. Calculate and detail the minimum expansion joint spacing required for stability analysis to resist the overturning of the Test Level 4 impact force at the increased moment arm generated by the grade separation.

Condition C – Concrete Median Barrier with grade separations 36 inches and greater: In addition to the design requirements of Condition B, design the cantilever wall barrier in accordance with SCDOT Geotechnical Design Manual requirements at the Extreme Event I limit state.

For Conditions B and C, when evaluating the stability of the barrier, use a transverse force of 10 kips. For Conditions B and C, design and detail the barrier to provide a minimum height, measured from top of higher pavement surface to top of barrier, of 46 inches. Use a 10:1 taper to transition between Condition A barriers and Conditions B and C barriers.

#### 2.3.2 Concrete Roadside Barrier

In addition to the concrete roadside barrier treatment requirements in Exhibit 4a, concrete roadside barrier is required in the following conditions:

• To provide protection where retaining walls, large pipe/culvert headwalls, or similar linear hazard over 10 feet in length (measured parallel to the roadway centerline) which cause an abrupt/near vertical change in roadside grading greater than 3 feet are located either within the clear zone or within 30 feet of the edge of a travel lane of any roadway.

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Where Concrete Roadside Barrier is required, design the barrier/wall for a Test Level 4 impact and provide a Test Level 4 barrier with a traffic face that is a minimum of 46 inches in height, measured from top of pavement to top of traffic face, and that has a vertical or near-vertical face that matches the shape of the SCDOT MASH compliant bridge barrier, except for the difference in height from 42 inches to 46 inches.

For cast-in-place concrete gravity and semi-gravity retaining walls serving as roadside barriers, the analysis/design methodologies and conditions outlined for Conditions B and C in the section entitled "Concrete Median Barrier" shall apply.

Except for barriers incorporated into cast-in-place concrete gravity and semigravity retaining walls, barriers must be detailed independently of the adjacent wall or obstruction and must be supported by a moment slab. An exception to this is that at the low side of a wall only, the detail entitled "Rigid Barrier Adjacent to Retaining Wall" in Attachment B, may be used in lieu of the barrier supported by moment slab.

# 2.3.3 Barriers Supported by Moment Slabs

When required, provide barriers supported by moment slabs that are designed in accordance with the AASHTO LRFD Bridge Design Specifications. Where moment slabs are required along the top of MSE walls or other earth retaining structures, detail the moment slabs independently of wall components as shown on Drawing No. 713-01d of the SCDOT Geotechnical Drawings and Details. Extend moment slab as necessary to avoid conflicts with buried wall termination detail and approaching guardrail posts.

Detail moment slabs adjacent to other obstacles/obstructions independently with the details of the moment slab and barrier complying with Drawing No. 713-01d of the SCDOT Geotechnical Drawings and Details.

Detail barriers on moment slabs in accordance with the SCDOT Bridge Design Memo DM0119 and the SCDOT Bridge Drawings and Details for MASH Barrier. Provide two (2) conduits in the barrier as shown in the SCDOT Bridge Drawings and Details.

Do not detail concrete roadside barriers with moment slabs in locations where the moment slab would block maintenance access to roadside drainage structures.

### 3. BRIDGE LOAD RATING

Perform load capacity ratings in accordance with the SCDOT Load Rating Guidance Document (LRGD) and associated Technical Notes.

Request a new Asset ID at Preliminary Plan submittal as directed in the LRGD.

In the event the load rating indicates the bridge would require load posting (any LRFR load factors <1), redesign the bridge and update the load rating until the required capacity is achieved. No new bridge will be accepted by SCDOT which indicates the need for load posting.

Submit load rating documentation in accordance with Chapter 20 of the LRGD for review with final bridge plans. Update the load rating and submit final load rating documentation and software files with the as-built plans. All load ratings shall be signed and sealed by a South Carolina registered professional engineer.

# EXHIBIT 4c PAVEMENT DESIGN CRITERIA

### EXHIBIT 4c – PAVEMENT DESIGN CRITERIA

### 1. GENERAL

Exhibit 4c contains requirements for:

- Hot Mix Asphalt (HMA) pavement and base for the new One Carolina Drive
- Hot Mix Asphalt (HMA) pavement and base for I-77 Auxiliary Lanes
- Hot Mix Asphalt (HMA) pavement and base for I-77 Shoulders
- Hot Mix Asphalt (HMA) pavement and base for Interchange Ramps
- Hot Mix Asphalt (HMA) pavement and base for Interchange Ramp Shoulders
- Temporary pavement

### 2. CRITERIA

### 2.1 New Location

Construct a new HMA pavement with the following characteristics:

- 125 psy OGFC (only to be placed adjacent to I-77, see notes Section 2.2)
- 200 psy Surface Type A
- 250 psy Intermediate Type A (second lift)
- 250 psy Intermediate Type A (first lift)
- 800 psy Base Type A

Shoulder will match mainline design.

### 2.2 General Notes for HMA Pavements

The CONTRACTOR shall include their temporary pavement designs in the technical proposals including proposed uses and durations of use. All temporary pavement designs must be approved prior to use on the project.

Milled-in rumble strips shall be used on all shoulders, both inside and outside, in accordance with Engineering Directive 53, SCDOT Standard Drawings and specifications for the entire project.

It is the CONTRACTOR's responsibility to confirm suitability of soils for placing pavement layers directly on subgrade. CONTRACTOR shall modify or remove and replace in situ soils as necessary. CONTRACTOR shall include appropriate notes and details on the roadway typical section sheets for subgrade stabilization technique(s) which will be used on the project as necessary.

All Cement Modified Recycled Base (CMRB) shall be Method B curing. Perform CMRB using a minimum of 2 passes with the reclaimer. This can include a pulverization and a mixing pass or two passes with cement. Additional passes may be needed to meet

### EXHIBIT 4c – PAVEMENT DESIGN CRITERIA

the requirements of the specification. SCDOT may utilize coring at its discretion for dispute resolution of compliance with Contract requirements for CMRB material. If testing during production fails or the quality of material is uncertain to the SCDOT, acceptance may be based upon extraction of an intact core. The thickness of the intact core shall equal or exceed the design thickness of the CMRB.

Eradication of temporary markings shall not be allowed on the final pavement surface. Prior to placing the final permanent pavement markings, all asphalt areas requiring revised pavement markings shall be milled and/or resurfaced with the required mixture type for interstate pavements or non-interstate pavements. Existing pavement markings on bridge decks shall be removed and replaced to match new lane configurations.

The design-build team shall build the pavement section identified in section 2.1.

# 2.3 Temporary Pavement

The design-build team shall include their temporary pavement designs in the technical proposals including proposed uses and durations of use. All temporary pavement designs must be approved prior to use on the project. Temporary pavement designs are only to be used for pavements that will be removed upon completion of this phase of the project and will not be considered for Interim Design components.

Existing pavement used to carry traffic in a temporary alignment or new alignment pavement used to carry temporary traffic is considered temporary pavement.

Design of temporary pavement is required and is the responsibility of the design-build team. The design method selected for temporary pavement may vary from the SCDOT pavement design guide if approved by ATC.

Temporary pavement must provide a satisfactory rideability to the public prior to opening to traffic and during construction. Satisfactory rideability is defined as any 0.1 mile segment having roughness not to exceed 170 inches per mile when tested in accordance with SC-T-125. In addition to rideability, rutting shall not exceed ¼" when the wheel paths are measured with a 4 foot straightedge.

If potholes appear in the temporary pavement the CONTRACTOR shall take action within one calendar day to temporarily fill all potholes for safety. A pothole identified by the RCE or SCDOT as a safety hazard must be filled (temporary patching) within 24 hours. A \$2,000 liquidated damage will be applied for every 24 hours, or any portion thereof, that potholes, identified by the RCE or SCDOT, are not temporarily filled. All temporarily filled potholes shall be full depth patched, once per month at a minimum, varying in depth as necessary but shall be no less than four (4) inches. Any permanent full depth patching required on existing mainline pavement is to be paid for from full depth patching quantities included in Exhibit 5. Temporary patching and full depth

# EXHIBIT 4c - PAVEMENT DESIGN CRITERIA

patching required on temporary alignments outside of the existing mainline alignment are the responsibility of the CONTRACTOR.

If temporary pavement is to be incorporated in the final pavement structure, it must be free of cracks and distortion prior to overlay. If the pavement has visible wear or deterioration, then take remedial action to improve or repair the pavement to the requirements of the Contract prior to overlaying.

# EXHIBIT 4d TRAFFIC DESIGN CRITERIA

Part 1 – Signing

### 1. GENERAL

# 1.1 Permanent Signing

Signing work on this project consists of preparing a detailed, comprehensive signing plan for the Interstate 77 (I-77) mainline and any interchange ramps impacted by staging or final design; and fabricating, furnishing, and erecting new ground mounted and overhead mounted signs, breakaway posts, overhead sign structures and delineators. Also included is the removal and relocation of the signs, delineators, overhead structures and supports to be replaced. The location of the signing work is at the proposed new interchange of I-77 and the new One Carolina Drive near mile marker 81 in York County. In addition, the Project includes signing work on One Carolina Drive on either side of I-77. Conceptual Signing Plans, which detail locations for all extruded panel signs to be mounted on I-beams or overhead structures, are provided in Attachment B. Signing may extend beyond the designated project limits on the interstate mainline and One Carolina Drive to preserve proper spacing of advance and post interchange signing sequences. Impacts to signing include relocating, removing, or causing to be non-compliant.

### 2. CRITERIA

### 2.1 Permanent Signing

2.1.1 Maintenance of Mainline and Ramp Directional and Information (LOGO) Signing Mounted on I-Beam Breakaway Posts

The existing mainline and ramp directional and information signs mounted on I-beam breakaway posts may have to be relocated due to the construction. Where relocation is necessary, the mainline signs should be mounted temporarily on 4"x6" wood posts using the method detailed on Standard Drawing 652-120-00. Ramp information signs (logo) should be mounted temporarily on 4"x4" wood posts. No separate payment will be made for these relocations. All signs are to be maintained throughout construction.

In addition, the CONTRACTOR will be responsible for replacing signs damaged during construction which are to be retained (i.e. logo signs) and erected as part of the permanent signing. A Department representative should conduct an inspection/evaluation prior to and at the conclusion of construction to determine if any damage occurred during execution of the contract. The CONTRACTOR shall be responsible for replacing damaged signs discovered by the Department.

2.1.2 Bridge Clearance and Crossing Route Information Signing

The CONTRACTOR will be required to erect bridge vertical clearance and crossing route number flat sheet signs on the new and existing bridges in both directions of travel. The signs shall be fabricated in accordance with the SCDOT sign numbers shown in the table below. The CONTRACTOR shall determine

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the actual minimum vertical clearance in each direction after all interstate mainline or crossing route surfacing is completed.

SCDOT Sign Number	Sign Description	Crossing Route Type
W12-2P-78	Vertical Clearance	All
OHB M1-1-48	Crossing Route Information	Interstate – 2 or 3 digit
OHB M1-4-48	Crossing Route Information	US Route – 2 digit
OHB M1-4-60	Crossing Route Information	US Route – 3 digit
OHB M1-5-48	Crossing Route Information	SC Route – 2 digit
OHB M1-5-60	Crossing Route Information	SC Route – 3 digit
OHB M1-6-78	Crossing Route Information	Secondary Route – 2 digit
OHB M1-6-84	Crossing Route Information	Secondary Route – 3 digit

Detailed layouts for the signs in table are available from SCDOT – Director of Traffic Engineering, (803) 737-1462. Written requests for detailed layouts shall be addressed to the POC.

The vertical clearance sign shall be centered over the centerline of the interstate or crossing route travel way. The crossing route number sign shall be placed to the left of the vertical clearance sign with a minimum spacing of 8 feet between the right of the route number sign and the left of the clearance sign.

The flat sheet panels may be mounted on the outside beam on each side of the bridge using 3-M Very High Bond Tape in accordance with the tape manufacturer's recommendations, or other method, such as direct bolting, as approved by the Engineer.

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# 2.2 Overhead Signing Requirements

SCDOT will require overhead guide signs on all interstate routes having three lanes or more in each travel direction. Typically, two or three overhead signs will be required for the signing sequence approaching the interchange exit ramp or ramps. The first overhead sign will be the advance guide sign, typically located 1 mile prior to the exit point for the interchange. The second overhead sign will be the exit direction sign located at the exit point. In the case of interchanges with multiple exits in one direction, overhead signs will be erected at each exit point. Lane drop situations may require additional overhead signs in compliance with the MUTCD.

Overhead guide signs for the interstate entrance ramps will be required on multilane (4 lanes or more) crossing routes or any crossing route having an AADT of more than 10,000 vehicles. Up to two overhead structures may be required in each direction depending on the interchange design and configuration. In the case of interchanges with multiple entrance ramps in one direction, overhead signs will be erected for each entrance point. The primary overhead sign is typically located at the radius point of the crossing route for the entrance ramp. Overhead spanwire structures will also be required for some configurations.

Vertical clearances for existing sign structures shall be field verified and modifications made as necessary to provide appropriate vertical clearance.

There shall be a minimum 1 foot separation incorporated into the design of sign assemblies on all overhead signs.

Guardrail should be included for all overhead uprights located within the clear zone on the interstate mainline. For guardrail installations that protect sign uprights, typically the face of the guardrail is located approximately 1 foot behind the edge of shoulder. The center of the upright should be located approximately 9 feet behind the face of guardrail.

### 2.3 Special Instructions to the CONTRACTOR

A conceptual signing plan is included in Attachment B of the RFP which shows the proposed sign locations and sign layouts for overhead signs as well as signs mounted on I-beam breakaway posts along the interstate mainline and crossing route. The CONTRACTOR will be required to develop three signing plans (submittals) for the project. An initial conceptual signing plan will include all proposed extruded panel overhead signs and structures as well as all extruded panel ground mounted signs to be erected on I-beam posts. The CONTRACTOR should coordinate with the Director of Traffic Engineering to develop the initial conceptual signing plans as soon as the interchange design has been finalized. In addition to the conceptual signing plan, layouts for all extruded panel guide signs should be submitted to the Director of Traffic Engineering for review once the signing scheme has been finalized. All extruded multiple panel signs (ground mounted and overhead mounted) on the interstate mainline, ramps and crossing routes shall have 16"/12" upper case/lower case Highway E Modified copy. The CONTRACTOR shall utilize SignCAD software to develop all sign layouts. Where

the overhead sign structure is required to carry larger signs in the future, design the overhead structure to carry loads associated with sign(s) which are 35% larger sign area than the future signs shown in the conceptual signing plan.

The second submittal will be the actual comprehensive signing plan that will be released for construction. In addition to the extruded panel signs contained in the conceptual signing plan, the comprehensive plan will include all flat sheet guide, warning and regulatory signs to be erected on I-77, the ramps and One Carolina Drive within the project limits. These signs will be erected on u-channel or square tube posts. The comprehensive signing plan shall be in compliance the SCDOT Standard Drawings as well as the latest version of the MUTCD adopted by SCDOT. The CONTRACTOR is urged to seek guidance from SCDOT Traffic Engineering staff early and often in the development of the comprehensive signing plan. In addition to the final comprehensive signing plan, the Contractor shall also submit layouts for all flat sheet guide signs to the Director of Traffic Engineering for review. The signs shall be designed using SignCAD software using E Modified Fonts.

Finally, a complete as built set of signing plans, including SignCAD copies of all layouts for extruded panel and flat sheet guide signs, shall be submitted to the Director of Traffic Engineering at the conclusion of the project. Guard rail shall be included for all overhead uprights located within the clear zone on either side of the travel way. For guardrail installations that protect sign uprights, typically the face of the guardrail is located approximately 1 foot behind the edge of shoulder. The center of the upright should be located approximately 9 feet behind the face of guardrail.

Overhead signs and ground mounted signs on the I-77 mainline must be relocated if the design selected shifts the location of the theoretical gore (the point where the 12" white gore markings converge) significantly. The overhead exit direction sign may have to be relocated or replaced. In addition, guide signs mounted on I-beam posts located upstream of the exit direction sign, including logo signs, may have to be relocated to maintain proper spacing. Typically, supplemental guide signs are located 800 to 1000 feet in advance of the exit direction sign. Logo signs are typically located 800 feet in advance of any supplemental signs or 1600 to 1800 feet in advance of exit direction sign with 800 feet between logo signs. The CONTRACTOR is advised that all signs have a unique barcode sticker attached to the back of each sign and each sign assembly has a unique barcode sticker attached to one post of the assembly. The CONTRACTOR will be required to record the barcode number for each sign and the associated assembly that is removed, replaced or relocated. These numbers and the date that the sign and assembly were removed, replaced or relocated shall be recorded on the Sign Barcode form. The form is included in the project information package. This form shall be turned in to the Resident Construction Engineer. SCDOT will place the new barcodes on signs.

Likewise, if entrance ramps are significantly lengthened, speed limit and post interchange mileage signs mounted on I-beam posts may have to be relocated to maintain proper spacing. The correct location for speed limit signs is 1500 feet beyond the end of the entrance taper. Correct location for post interchange mileage signs is 2500 beyond the end of the entrance taper. Intermediate Reference Location Signs will be mounted to the

median barrier wall using Indexable Concrete Barrier Mount (ICBM) manufactured by Xcessories Squared or an approved equal on 2" 12-gauge square tube posts. Mounting bolts shall be expansion or resin anchors specified by the manufacturer.

Walkways should be included in the design of all new overhead structures as noted in the Standard Specifications. Sign lighting systems will not be required on the new overhead structures. Install flexible delineators on both sides of the concrete median barrier wall at a spacing of two hundred feet (200'). The mounting height of the delineators is thirty-four inches (34"). See SCDOT Standard Drawing 656-110-00 for detail of delineator and mounting method.

The CONTRACTOR will be responsible for obtaining soil borings to be used for foundation designs for all new overhead sign structures.

The CONTRACTOR is advised that all signs have a unique barcode sticker attached to the back of each sign and each sign assembly has a unique barcode sticker attached to one post of the assembly. The CONTRACTOR will be required to record the barcode number for each sign and the associated assembly that is removed, replaced or relocated. These numbers and the date that the sign and assembly were removed, replaced or relocated shall be recorded on a form and the form shall be and turned into the Resident Construction Engineer. Temporary signing overlays will be allowed on guide signs as long as the messages and/or arrangement of arrows provide motorists proper direction. The overlays should be of the proper font and copy size if possible and should be constructed on flat sheet aluminum panels that will be fastened to the existing extruded panel signs.

# EXHIBIT 4d TRAFFIC DESIGN CRITERIA

Part 2 – Pavement Markings

### 1. GENERAL

# 1.1 Permanent Pavement Markings

Pavement marking work on this project consists of preparing detailed pavement marking plans and applying appropriate markings for the entire length of the project located at the proposed new interchange of Interstate 77 (I-77) and One Carolina Drive near mile marker 81 in York County including tie points to the interstate mainline, all ramps and the crossing route and included intersections.

All mainline and ramp edge lines and lane lines shall be to interstate standards as detailed in the Standard Drawings. The markings on all crossing routes and surface roads shall be as detailed in the Standard Drawings. For interstate mainline and ramps, lane lines and edge lines shall be 6 inches in width. Exit and entrance gore markings shall be 12 inches in width.

For the crossing route and other affected intersecting roads, markings shall be 4 inches in width with any channelization markings being 8 inches in width. The final roadway surface material will determine which type of permanent marking material is to be applied. The CONTRACTOR shall use either polyurea or preformed tape (T-1) markings on concrete surfaces for the applications noted below. Thermoplastic markings shall be used on all asphalt surfaces.

On a typical project, if the road surface is asphalt with concrete bridge decks, thermoplastic would be used on the approaches and crossing route with tape applied to the bridge deck. The CONTRACTOR shall install surface mounted raised pavement markers in accordance with the Standard Drawings.

### 2. CRITERIA

### 2.1 Permanent Pavement Markings

# 2.1.1 Thermoplastic Pavement Markings (Asphalt Surfaces)

All thermoplastic markings installed on the interstate mainline or any crossing routes shall meet the requirement of Section 627 of the Standard Specifications.

### 2.1.2 Polyurea Pavement Markings (Concrete Surfaces)

All polyurea markings installed on the interstate mainline, crossing routes or any bridge decks on this project shall be a liquid, multi-component system that includes highly reflective elements.

The polyurea pavement marking lines shall have a minimum dry thickness of 20 mils when placed on concrete and asphalt pavements. The pavement marking material and highly reflective elements shall be applied in a simultaneous operation.

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The CONTRACTOR shall apply the polyurea resin, mixed at the proper ratio according to the manufacturer's recommendations, to the pavement surfaces within the proper application temperatures as determined by the material manufacturer. Highly reflective elements shall be injected into the molten (liquid) polyurea pavement markings in accordance with the manufacturer's recommendations using a dispenser approved by the manufacturers of both the polyurea materials and the highly reflective elements.

Upon curing, the markings shall be uniformly reflectorized and have the ability to resist deformation caused by traffic throughout the entire length of the line.

If requested by the Engineer, the manufacturer of the selected polyurea material shall provide a technical representative, or a manufacturer's certified representative, to assure proper application technique by the CONTRACTOR during the initial installation of the product.

All materials with be accepted based on manufacturer's certifications.

### 2.1.3 Preformed Patterned Tape (T-1) Pavement Markings (Concrete Surfaces)

Preformed patterned tape markings shall have a raised diamond pattern covered with ceramic elements having a refractive index of 1.9 or greater.

All preformed tape markings installed on the interstate mainline, crossing routes or any bridge decks on this project shall be installed with a truck mounted application system or other motorized applicator approved by the manufacturer.

The CONTRACTOR shall provide to the SCDOT the manufacturer's normal warranty which shall guarantee the tape materials for a period of 72 months from the date of installation from failure to retain the minimum reflectance values provided by the manufacturer and from failure due to loss of material adhesion or complete wear through. If failure occurs, the manufacturer will provide the replacement materials to restore the markings to their original effectiveness.

# **EXHIBIT 4d**

# TRAFFIC DESIGN CRITERIA

Part 3 – Work Zone Traffic Control

#### 1. GENERAL

The CONTRACTOR shall execute the item of Traffic Control as required by the Standard Specifications, the Standard Drawings For Road Construction, the Special Provisions, all Supplemental Specifications, the SCDOT Procedures and Guidelines for Work Zone Traffic Control Design, the MUTCD, the Plans, and the Engineer. This is an amendment to the Standard Specifications to require the following:

### 2. CRITERIA

### 2.1 General Regulations

These special provisions shall have priority to the plans and comply with the requirements of the MUTCD and the standard specifications. Revisions to the traffic control plan through modifications of the special provisions and the plans shall require approval by the SCDOT. Final acceptance of any revisions to the traffic control plan shall be pending upon review by the member of the Design-Build team representing the Director of Traffic Engineering through the Design-Review Process.

In accordance with the document, *Rule on Work Zone Safety and Mobility: Implementation, Maintenance, and Safety Guidelines*, this project has been classified as "SIGNIFICANT" and all components of the Transportation Management Plan prepared by the CONRACTOR are required and shall be implemented.

Install and utilize changeable message signs in all lane closures installed on high volume high-speed multilane roadways. Use of changeable message signs in lane closures installed on low volume low speed multilane roadways is optional unless otherwise directed by the plans and the Engineer. Install and use a changeable message sign within a lane closure set-up as directed by the *Standard Drawings For Road Construction*. When a lane closures is not present for any time to exceed 24 hours, remove the changeable message sign from the roadway. Place the sign in a predetermined area on the project site, as approved by the Engineer, where the sign is not visible to passing motorists. Utilize preprogrammed messages in accordance with the *Standard Drawings For Road Construction* when using the changeable message sign as part of the traffic control set-up for lane closures. Only those messages pertinent to the requirements of the traffic control situation and the traffic conditions are permitted for display on a changeable message sign at all times. At no time will the messages displayed on a changeable message sign duplicate the legends on the permanent construction signs.

During operation of changeable message signs, place the changeable message sign on the shoulder of the roadway no closer than 6 feet between the sign and the near edge of the adjacent travel lane. When the sign location is within 30' of the near edge of a travel lane open to traffic, supplement the sign location with no less than 5 portable plastic drums placed between the sign and the adjacent travel lane for delineation of the sign location. Install and maintain the drums no closer than 3 feet from the near edge of the adjacent travel lane. This requirement for delineation of the sign location shall apply during all times the sign location is within 30' of the near edge of a travel lane open to

traffic, including times of operation and non-operation. Oversized cones are prohibited as a substitute for the portable plastic drums during this application.

All signs mounted on portable sign supports shall have a minimum mounting height of 5' from the bottom of the sign to the ground. All signs mounted on ground mounted uchannel posts or square steel tube posts shall have a minimum mounting height of 7' from the bottom of the sign to the grade elevation of the near edge of the adjacent travel lane or sidewalk when a sidewalk is present.

On multilane primary routes, avoid placement of signs on portable signs supports within paved median areas utilized for two-way left turns unless otherwise directed by the RCE.

Temporary "Exit" signs (M1025-00) shall be located within each temporary gore during lane closures on multilane roadways. Mount these signs a minimum of 7' from the pavement surface to the bottom of the sign in accordance with the requirements of the MUTCD.

When mounting signs on ground mounted u-section or square steel tube posts, utilize either a sign support / ground support post combination with an approved breakaway assembly or a single direct driven post for each individual sign support of a sign assembly installation. Do not combine a sign support / ground support post combination and a direct driven post on the same sign assembly installation that contains two or more sign supports. Regarding sign support / ground support post combination installations, ensure that post lengths, stub heights and breakaway assemblies comply with the manufacturer's requirements and specifications. Use approved breakaway assemblies found on the Approved Products List For Traffic Control Devices in Work Zones.

When covering signs with opaque materials, the SCDOT prohibits attaching a covering material to the face of the sign with tape or a similar product or any method that will leave a residue on the retroreflective sheeting. Residue from tape or similar products, as well as many methods utilized to remove such residue, damages the effective reflectivity of the sign. Therefore, contact of tape or a similar product with the retroreflective sheeting will require replacement of the sign. Cost for replacement of a sign damaged by improper covering methods will be considered incidental to providing and maintaining the sign; no additional payment will be made.

Overlays are prohibited on all rigid construction signs. The legends and borders on all rigid construction signs shall be either reversed screened or direct applied.

Signs not illustrated on the typical traffic control standard drawings designated for permanent construction signs shall be considered temporary and shall be included in the lump sum price bid item for "Traffic Control" unless otherwise specified.

Install "Grooved Pavement" signs (W8-15-48) supplemented with the "Motorcycle" plaque (W8-15P-30) in advance of milled or surface planed pavement surfaces. On primary routes, install these signs no further than 500 feet in advance of the beginning of the pavement condition. On interstate routes, install these signs no less than 500 feet in advance of the beginning of the pavement condition. Install two sign assemblies at each

sign location, one on each side of the roadway, on multilane roadways when the pavement condition is present. Install these signs immediately upon creation of this pavement condition and maintain these signs until this pavement condition is eliminated.

Install "Steel Plate Ahead" signs (W8-24-48) in advance of an area of roadway where temporary steel plates are present. Install these signs no further than 300 feet in advance of locations where steel plates are present. On multilane roadways, comply with the same guidelines as applied to all other advance warning signs and install two sign assemblies at each sign location, one on each side of the roadway, when roadway conditions warrant. Install these signs immediately upon installation of a temporary steel plate and maintain the signs until the temporary steel plates are removed. Steel plates are not allowed on interstates without approval from the Resident Construction Engineer.

Install and maintain any necessary detour signing as specified by the typical traffic control standard drawings designated for detour signing, Part VI of the MUTCD, these Special Provisions, and the Engineer.

The CONTRACTOR shall maintain the travel patterns as directed by the traffic control plans and shall execute construction schedules expeditiously. The CONTRACTOR shall provide the Resident Engineer with no less than a two-week prior notification of changes in traffic patterns.

During nighttime flagging operations, flaggers shall wear a safety vest and safety pants that comply with the requirements of ANSI / ISEA 107 standard performance for Class 3 risk exposure, latest revision, and a fluorescent hard hat. The safety vest and the safety pants shall be retroreflectorized and the color of the background material of the safety vest and safety pants shall be fluorescent orange-red or fluorescent yellow-green.

During nighttime flagging operations, the CONTRACTOR shall illuminate each flagger station with any combination of portable lights, standard electric lights, existing street lights, etc., that will provide a minimum illumination level of 108 Lx or 10 fc.

During nighttime flagging operations, supplement the array of advance warning signs with a changeable message sign for each approach. These changeable message signs are not required during daytime flagging operations. Install the changeable message signs 500' in advance of the advance warning sign arrays. Messages should be "Flagger Ahead" and "Prepare To Stop".

During surface planing and milling operations, grade elevation differences greater than 1 inch in areas with pavements composed of hot mixed asphalt (HMA) base courses, intermediate courses or surface courses and Portland cement concrete are PROHIBITED unless otherwise directed by the SCDOT. However, during surface planing and milling operations for removal of Open-Graded Friction courses ONLY, a grade elevation difference of 1½ inches between adjacent travel lanes opened to traffic may exist unless otherwise directed by the SCDOT.

During the paving operations, the length of roadway with an acceptable grade elevation difference less than or equal to 2" shall be restricted to 2 miles.

During the milling and surface planing operations, the length of roadway with an acceptable grade elevation difference less than or equal to 1" shall be restricted to 2 miles.

During construction on the ramps, the CONTRACTOR shall conduct flagging operations. The flagging operations shall either stop traffic or direct the traffic around the work area. Installation and operation of these flagging operations shall be according to these special provisions and the MUTCD.

Supplement and delineate the shoulder edges of travel lanes through work zones with traffic control devices to provide motorists with a clear and positive travel path. Utilize portable plastic drums unless otherwise directed by the SCDOT. Vertical panels may be used where specified by the plans and directed by the RCE. The installation of traffic control devices are required in all areas where those areas immediately adjacent to a travel lane open to traffic have been altered in any manner by work activities, including but not limited to activities such as grading, milling, etc. Install the traffic control devices immediately upon initiating any alterations to the areas immediately adjacent to or within 15 feet of the near edge line of the adjacent travel lane. When sufficient space is available, place the traffic control devices no closer than 3 feet from the near edge of the traffic control device to the near edge line on the adjacent travel lane. When sufficient space is unavailable, place the traffic control device at the maximum distance from the near edge of the adjacent travel lane available.

### 2.2 Lane Closure Restrictions

The lane closure restrictions stated below are project specific, for all other restrictions, see supplemental specification, "Lane Closure Restriction", dated July 1, 2019.

The CONTRACTOR shall install all lane closures as directed by the Standard Specifications For Highway Construction (latest edition), the Standard Drawings For Road Construction, these special provisions, the MUTCD, and the Engineer of Record. The CONTRACTOR shall close the travel lanes of interstate routes as directed by the typical traffic control standard drawings designated for lane closures on interstate routes and primary and secondary routes as directed by the typical traffic control drawings designated for primary and secondary routes.

SCDOT reserves the right to suspend a lane closure if any resulting traffic backups are deemed, in SCDOT's reasonable judgment, excessive by the Engineer or his or her designee. No such suspension shall entitle CONTRACTOR to any extension of time or additional compensation. SCDOT shall only suspend such closures for the duration reasonably required to mitigate the excessive traffic backup. No such suspension shall be, nor shall be deemed to be, a suspension for SCDOT's convenience.

### 2.2.1 Primary and Secondary Routes –

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On primary and secondary routes, the SCDOT prohibits lane closures during any time of the day that traffic volumes exceed 800 vehicles per hour per lane per direction. The SCDOT reserves the right to suspend a lane closure if any resulting traffic backups are deemed excessive by the Engineer. Maintain all lane closure restrictions as directed by the plans, these special provisions, and the Engineer.

# 2.2.2 Interstate Routes (Single and Dual Lane Closures) –

On Interstate 77 (I-77) and its ramps, the SCDOT prohibits single lane closures during any time of the day that traffic volumes exceed 1200 vehicles per hour per lane.

On I-77, the SCDOT prohibits single lane closures on southbound I-77 Mondays through Wednesdays from 4:00 pm to 6:00 pm, Thursdays from 3:00 pm to 7:00 pm, and Fridays 2:00 pm to 7:00 pm. The SCDOT does not prohibit single lane closures on northbound I-77.

Hourly Lane Closure Prohibitions (Single)	
Southbound	Northbound
MON-WED: 4P-6P	
THU: 3P-7P	None
FRI: 2P-7P	None
SAT-SUN: None	

On I-77, the SCDOT prohibits dual lane closures on southbound I-77 Mondays through Wednesdays from 7:00 am to 7:00 pm, Thursdays through Fridays 7:00 am to 8:00 pm, and Saturdays through Sundays from 10:00 am to 7:00 pm. The SCDOT prohibits dual lane closures on northbound I-77 Mondays through Thursdays from 6:00 am to 7:00 pm, Fridays from 6:00 am to 9:00 pm, and Saturdays through Sundays from 10:00 am to 9:00 pm.

Hourly Lane Closure Prohibitions (Dual)	
Southbound	Northbound
MON-WED: 7A-7P	MON-THU: 6A-7P
THU-FRI: 7A-8P	FRI: 6A-9P
SAT-SUN: 10A-7P	SAT-SUN: 10A-9P

All other routes with lane closure prohibitions for this project are listed on the SCDOT website under Doing Business with SCDOT in the Publications and Manuals section for Traffic Engineering.

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These restrictions also apply to all road closures and pacing operations. The SCDOT reserves the right to suspend a lane closure if any resulting traffic backups are deemed excessive by the Engineer. Maintain all lane closure restrictions as directed by the plans, these special provisions, and the Engineer.

Installation and maintenance of a lane closure is PROHIBITED when the CONTRACTOR is not actively engaged in work activities specific to the location of the lane closure unless otherwise specified and approved by the Engineer. The length of the lane closure shall not exceed the length of roadway anticipated to be subjected to the proposed work activities within the work shift time frame or the maximum lane closure length specified unless otherwise approved by the Engineer. Also, the maximum lane closure length specified does not warrant installation of the specified lane closure length when the length of the lane closure necessary for conducting the work activity is less. The length and duration of each lane closure, within the specified parameters, shall require approval by the Engineer prior to installation. The length and duration of each lane closure may be reduced by the Engineer if the work zone impacts generated by a lane closure are deemed excessive or unnecessary.

On multilane primary and secondary routes, a reduced regulatory speed limit of 35 MPH shall be in effect during lane closures. Erect temporary regulatory "Speed Limit" signs (R2-1-48-35) and "Speed Reduction 35 MPH" signs (W3-5-48-35) on temporary supports according to the typical traffic control standard drawings. Cover the existing regulatory speed limit signs when reduced speed limits are in place. Immediately remove or cover the "Speed Limit" signs (R2-1-48-35) and the "Speed Reduction 35 MPH" signs (W3-5-48-35) upon the removal of the lane closures.

On interstate routes, a reduced regulatory speed limit of 45 MPH shall be in effect during lane closures. Erect temporary regulatory "Speed Limit" signs (R2-1-48-45) and "Speed Reduction 45 MPH" signs (W3-5-48-45) on temporary supports according to the typical traffic control standard drawings. Cover the existing regulatory speed limit signs when reduced speed limits are in place. Immediately remove or cover the "Speed Limit" signs (R2-1-48-45) and the "Speed Reduction 45 MPH" signs (W3-5-48-45) upon the removal of the lane closures.

On interstate routes, the presence of temporary signs, portable sign supports, traffic control devices, trailer mounted equipment, truck mounted equipment, vehicles and vehicles with trailers relative to the installation or removal of a closure and personnel are prohibited within 30 foot clear zone during the prohibitive hours for lane closures specified by these special provisions.

Truck mounted changeable message signs shall be required during all interstate lane closures. The CONTRACTOR shall provide, install, and maintain these signs in accordance with all requirements of the Standard Specifications for Highway

Construction (latest edition) and the typical traffic control standard drawings designated for interstate lane closures.

The truck mounted changeable message signs are in addition to the requirements for trailer mounted changeable message signs. Truck mounted changeable message signs and trailer mounted changeable message signs are not interchangeable.

The CONTRACTOR shall discontinue and remove a lane closure when the work activities requiring the presence of the lane closure are completed or are discontinued or disrupted for any period of time to exceed 60 minutes unless the presence of unacceptable grade elevation differences greater than 1" in milled areas or greater than 2" in all other areas are present unless otherwise directed by the Engineer.

### 2.3 Shoulder Closure Restrictions

### 2.3.1 Primary and Secondary Routes –

On primary and secondary routes, the SCDOT prohibits the CONTRACTOR from conducting work within 15' of the near edge of the adjacent travel lane on an outside shoulder or a median area under a shoulder closure during any time of the day that traffic volumes exceed 800 vehicles per hour per direction. The hourly restrictions for lane closures shall also apply to work activities conducted under a shoulder closure within 15' of the near edge of an adjacent travel lane or a median area. The SCDOT reserves the right to suspend work conducted under a shoulder closure if any traffic backups develop and are deemed excessive by the Engineer. Maintain all shoulder closure restrictions as directed by the plans, these special provisions, and the Engineer.

On primary and secondary roadways, the SCDOT prohibits the CONTRACTOR from conducting work within 1' or less of the near edge of an adjacent travel lane under a shoulder closure. All work that may require the presence of personnel, tools, equipment, materials, vehicles, etc., within 1' of the near edge of an adjacent travel lane shall be conducted under a lane closure.

### 2.3.2 Interstate Routes -

On I-77, the SCDOT prohibits the CONTRACTOR from conducting work within 15' of the near edge of the adjacent travel lane on the outside shoulders or the median areas of northbound or southbound I-77 during the same lane closure restriction times listed above in Section 2.2.

The hourly restrictions for lane closures shall also apply to work activities conducted under a shoulder closure within 15' of the near edge of an adjacent travel lane or a median area. The SCDOT reserves the right to suspend work conducted under a shoulder closure if any traffic backups develop and are deemed

excessive by the Engineer. Maintain all shoulder closure restrictions as directed by the plans, these special provisions, and the Engineer.

On interstate highways, the SCDOT prohibits the CONTRACTOR from conducting work within the limits of a paved shoulder or within 10' of the near edge of an adjacent travel lane under a shoulder closure. All work that may require the presence of personnel, tools, equipment, materials, vehicles, etc., within the limits of a paved shoulder or within 10' of the near edge of an adjacent travel lane shall be conducted under a lane closure.

The CONTRACTOR shall install all shoulder closures as directed by the typical traffic control standard drawings designated for shoulder closures, and the Engineer. Substitution of the portable plastic drums with oversized cones during nighttime shoulder closures is PROHIBITED.

# 2.4 Mobile Operations

A mobile operation moves continuously at all times at speeds of 3 mph or greater without any stops. The minimal traffic flow impacts generated by these operations involve brief traffic flow speed reductions and travel path diversions. Conduct work operations that cannot be performed at speeds of 3 mph or greater under standard stationary lane closures.

The SCDOT prohibits the CONTRACTOR from conducting mobile operations during the hours when lane closures are prohibited. The hourly restrictions for lane closures shall also apply to work activities conducted under mobile operations. The SCDOT reserves the right to suspend work conducted under mobile operations if any traffic backups develop and are deemed excessive by the Engineer. Maintain all mobile operation restrictions as directed by the plans, these special provisions, and the Engineer.

The distance intervals between the vehicles, as indicated in the *Standard Drawings For Road Construction*, may require adjustments to compensate for sight distance obstructions created by hills and curves and any other conditions that may obstruct the sight distance between the vehicles. However, adjustments to the distance intervals between the vehicles shall be maintained within the range of variable distance intervals indicated in the standard drawings unless otherwise directed by the Engineer.

Maintain two-way radio communication between all vehicles in the vehicle train operating in a mobile operation.

Supplement the work vehicles and the shadow vehicles with amber colored flashing dome lights. The vehicles may also be supplemented with advance warning arrow panels and truck mounted attenuators as directed in the *Standard Drawings For Road Construction* and the Standard Specifications.

The CONTRACTOR shall install, operate and maintain all advance warning arrow panels, truck mounted attenuators and truck mounted changeable message signs as required by these special provisions, the manufacturer's specifications, the *Standard* 

Drawings For Road Construction, the Standard Specifications, the plans and the Engineer.

# 2.5 Typical Traffic Control Standard Drawings

The typical traffic control standard drawings of the "Standard Drawings For Road Construction", although compliant with the MUTCD, shall take precedence over the MUTCD. The typical traffic control standard drawings of the "Standard Drawings For Road Construction" shall apply to all projects let to contract.

Install the permanent construction signs as shown on the typical traffic control standard drawings designated for permanent construction signing.

# 2.6 Staging

Traffic Control Restrictions (Project Specific General)

Maintain the existing number of I-77 mainline lanes of traffic in each direction during the times of the lane closure restrictions. Maintain the existing number of travel lanes for all on-ramps and off-ramps during the times of the lane closure restrictions unless otherwise approved by the SCDOT. All ramps must remain open to traffic and maintain free-flow operation (no yield control) unless otherwise approved by the SCDOT.

All routes shall remain open to traffic. No closure and detour is allowed unless otherwise approved by the SCDOT.

The presence of acceptable grade elevation differences less or equal to 1" in milled areas or less than or equal to 2" in paved areas adjacent to a travel lane open to traffic are prohibited during weekends from 8:00 am Friday to 9:00 pm Sunday unless otherwise directed by the Engineer. When necessary, the weekend restriction may be extended due to the proximity of a holiday as directed by the Engineer.

The existing lane widths for all loop ramps shall be maintained during construction. Other ramps should maintain their existing lane widths if possible; however can be reduced to 12 feet as a result of constructability or staging concerns. Sufficient lane width shall be provided for the appropriate design vehicle during construction.

The CONTRACTOR shall have no more than 72 hours to begin elimination of any grade elevation differences between or adjacent to the travel lanes of I-77. The 72 hour time period shall begin upon creation of the grade elevation difference. This restriction shall apply to all acceptable grade elevation differences less than or equal to 1" in milled areas or less than or equal to 2" in paved areas.

During surface planing and milling operations, the length of roadway with a milled surface open to traffic is restricted to 4 miles. This restriction does not apply to concrete diamond grinding operations.

During surface planing and milling operations, the length of roadway with an acceptable grade elevation difference less than or equal to 1" adjacent to a single travel lane or between multiple travel lanes open to traffic is restricted to a maximum distance of 2 miles.

During asphalt paving operations, the length of roadway with an acceptable grade elevation difference less than or equal to 2" adjacent to a single travel lane or between multiple travel lanes open to traffic is restricted to a maximum distance of 2 miles.

The CONTRACTOR may conduct various work activities in the same direction at various locations concurrently if approved by the SCDOT. Various work activities in the same direction requiring simultaneous closures in the same travel lane or shoulder shall be separated by no less than 2 miles from the end of the first closure that a motorist will encounter to the beginning of the taper of the second closure. Also, various work activities in the same direction requiring simultaneous right and left lane closures or shoulder closures shall be separated by no less than 4 miles from the end of the first closure that a motorist will encounter to the beginning of the taper of the second closure.

### EXHIBIT 4d TRAFFIC DESIGN CRITERIA

Part 4 - Traffic Signals

### EXHIBIT 4d - TRAFFIC DESIGN CRITERIA - PART 4

### 1. GENERAL

The CONTRACTOR shall repair and maintain all signal related equipment during the construction of this project. The project shall provide video detection cameras for each approach at each intersection to maintain detection for the duration of the project.

The CONTRACTOR is responsible for all interim traffic signal designs and operations due to revisions to intersection geometry, revisions to traffic patterns, lane closures or other construction activities. Temporary traffic signal plans shall be submitted for review and approval.

The CONTRACTOR shall be responsible for the efficient operations of all traffic signals throughout the duration of the project. Revised signal system timings shall be provided and implemented during major construction phases to accommodate traffic during revisions to intersection geometry, revisions to traffic patterns, lane closures or other construction activities.

In addition to maintaining detection, any work at the ramps that impact the traffic signal, such as damage to fiber interconnect or any other signal appurtenances, shall be immediately repaired/replaced to SCDOT standards. Coordination with the District 4 Signal Staff shall occur to ensure the work will avoid damaging traffic signal utilities as much as is feasible.

All traffic signal plans shall be prepared as in accordance with the Traffic Signals Plan Preparation Requirements provided in Attachment B.

All new permanent signals shall incorporate mast arms meeting City of Rock Hill specifications provided in Attachment B, video detection cameras meeting City of Rock Hill specifications provided in Attachment B, and ground-mounted cabinet and controller. Flashing yellow arrow heads shall be incorporated into the signal designs when permissive or protected-permissive left turn phasing is warranted.

All new permanent signals shall include one video observation camera and associated equipment meeting SCDOT specifications, including cell modems, for the monitoring of traffic. Signal communication equipment should obtained as described in Section 2.2.1. The video observation cameras at the intersections of One Carolina Drive at Hiram Way and the I-77 southbound ramps shall be mounted near the top of the mast pole on the southwest quadrants of the intersections. The video observation camera at One Carolina Drive and the I-77 northbound ramps shall be mounted near the top of the mast pole on the northeast quadrant of the intersection. The video observation cameras shall be mounted such as to maximize visibility of all areas of the intersections and also the interstate ramps.

All dual right turn movement shall be controlled by the traffic signal and right turn on red shall be prohibited.

The traffic signal at One Carolina Drive at Hiram Way shall have both the eastbound and westbound left turn movements from Paragon Way designed for protected-only phasing.

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### EXHIBIT 4d - TRAFFIC DESIGN CRITERIA - PART 4

All traffic signals within the project limits along One Carolina Drive shall be coordinated. Conduit with fiber-optic interconnect shall be installed along One Carolina Drive to connect the traffic signals. Coordinated signal timings for peak and off-peak periods will also be developed as part of this project and be the responsibility of the CONTRACTOR.

### 2. CRITERIA

### 2.1 Traffic Signal Design Policies

All work under this Contract shall be performed under: the South Carolina Department Of Transportation, *Standard Specifications For Highway Construction*, latest edition; the SCDOT *Traffic Signals Technical Supplemental Specifications*; the SCDOT *Traffic Signal Standard Drawings*; these SCDOT *Traffic Signal Special Provisions*; the *Manual On Uniform Traffic Control Devices For Streets And Highways*, latest revision; the SCDOT *Traffic Signal Design Guidelines*, latest edition and as amended below; and the Plans.

The 2009 Edition of the SCDOT *Traffic Signal Design Guidelines* are amended as shown in the Traffic Signals Plan Preparation Requirements provided in Attachment B.

### 2.2 Equipment

### 2.2.1 SCDOT Supplied Equipment

The Department will furnish signal communications equipment as described in the attached "SCDOT IT Services PIF - Signals". Any equipment provided by the SCDOT IT Services group shall be paid for by SCDOT. The CONTRACTOR shall make all SCDOT IT Services requests through SCDOT District personnel overlooking the project. Requests shall be made a minimum of 60 days in advance of the expected need for the equipment or service to ensure adequate time for equipment delivery and integration.

### 2.2.2 CONTRACTOR Supplied Equipment

All CONTRACTOR supplied equipment shall be QPL approved, as described in this Exhibit, or shall be in accordance with the SCDOT Traffic Signal Supplemental Technical Specifications, 675.0 General Provisions.

### 2.3 Maintenance and Operations During Construction

Section 1.4 Operations during Construction and Section 1.5 Maintenance/Repairs of the SCDOT Traffic Signal Supplemental Specifications, 675.0 General Provisions, are amended as follows: the design build team shall be responsible for the maintenance and operations of all newly installed signals, from the commencement of any signal construction activities until the final acceptance of the project. The CONTRACTOR shall be responsible for temporary controller time settings with approval of the Engineer.

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### 2.4 Maintenance of Traffic

The CONTRACTOR shall execute the item of Traffic Control as required by the Standard Specifications, the plans, the Standard Drawings For Road Construction, these special provisions, all supplemental specifications, the MUTCD, and the Engineer.

### 2.5 Coordinated System Requirements

The CONTRACTOR shall perform the following for coordinated signal systems: Timing Plan Development, and Implementation and Fine Tuning of Signal Timing Plans.

### 2.5.1 Timing Plan Development

Preliminary Assessment: Prior to beginning the development of the signal timing plans, the CONTRACTOR will evaluate the operations of all coordinated system project intersections to determine any operational and/or geometric changes that would benefit the overall system operations. Additionally, the assessment will also include preliminary recommendations and cycle lengths. The preliminary assessment will be submitted in memo form and will consist of the following:

- Proposed number of timing plans up to 4.
- Proposed cycle lengths.
- Proposed clearance intervals.
- Recommended time-of-day for each plan's time periods.
- Recommended day-of-week for each plan's time periods.
- Development of timing plans will begin after the approval of proposed clearance intervals has been received from SCDOT.

Development of Base System Timing Plans: The CONTRACTOR will prepare timing plans for systems using SYNCHRO and develop up to four (4) separate time-of-day plans. The CONTRACTOR will submit the Synchro output sheets, the Synchro files, and the timing plan development methodology for department review and approval. Time space diagrams will be developed in Synchro and submitted with the timing plans for review. Based on the results of SCDOT's review, the CONTRACTOR will finalize the Synchro timings. Once finalized, the CONTRACTOR will export the files for importing into ATMS for SCDOT owned signals.

### 2.5.2 Implementation and Fine Tuning of Signal Timing Plans

Proposed timing plans will be loaded and tested in the signal shop by the District signal shop or local government signal maintainer with the CONTRACTOR. After successful testing a time plan shall be established for field implementation: CONTRACTOR shall be present in the field during field implementation. The CONTRACTOR will begin to fine tune each system for each time-of-day plan.

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### EXHIBIT 4d – TRAFFIC DESIGN CRITERIA – PART 4

During the fine tuning, the CONTRACTOR will make recommendations for timing adjustments that will be made in the controllers by the District signal staff.

### **EXHIBIT 4d**

### TRAFFIC DESIGN CRITERIA

### Part 5 – Intelligent Transportation System (ITS)

### 1. GENERAL

The Project involves the installation and replacement of an Intelligent Transportation System (ITS) along Interstate 77 (I-77) that provides full camera coverage of the new interchange and surrounding freeway segment. This will include removal and disposal of existing ITS elements, furnishing and installing poles, lowering devices, fiber optic cable in conduits, service boxes, electrical services, and all miscellaneous hardware to make an operational system. The Work Zone Intelligent Transportation System (WZITS) on this project is also the responsibility of the CONTRACTOR.

### 2. INTELLIGENT TRANSPORTATION SYSTEM (ITS)

The Project includes the removal and disposal of existing ITS elements, replacement and installation of an ITS System along I-77 through the entire project limits. See Exhibit 5 – Special Provisions and ITS Standard Drawings in Attachment B for details regarding the ITS system. This is a turn-key project, with the CONTRACTOR removing existing ITS elements, furnishing and installing poles at approximately ¾ mile intervals or as needed for full video coverage, lowering devices, 144 SM fiber optic cable in conduits, service boxes, electrical services, and all miscellaneous hardware to make an operational system, as listed, complete to the satisfaction of the SCDOT. The existing dynamic message signs (DMS) shall be carefully disassembled and transported to the SCDOT Intelligent Transportation System Maintenance Facility; 1408 Shop Road, Columbia, SC, 29201. New structures in or near the locations of the existing DMS's shall be installed. All other existing ITS elements shall be disposed of.

An existing ITS infrastructure kmz file is provided for information only in the project information package.

One new DMS sign on cantilever structure shall be provided on I-77 northbound near approximate station 589+00. In addition, a camera shall be installed in order to clearly view the new DMS sign.

### 3. WORK ZONE INTELLIGENT TRANSPORTATION SYSTEM (WZITS)

The Work Zone Intelligent Transportation System (WZITS) shall be the responsibility of the CONTRACTOR and shall cover the entire project limits. Prior to any construction activities, the CONTRACTOR shall submit a WZITS plan for SCDOT review and approval. The scope of work will include the system set-up, project deployment, project maintenance and project management for all phases of the Work Zone ITS system. The components will consist of the following to achieve maximum efficiency of the system as well as provide the SCDOT personnel with the most accurate real time traffic data:

- Operations system
- Device communication package
- Portable variable message boards
- Portable queue detectors
- Portable PTZ cameras
- On-site Adjustment of Project

### EXHIBIT 4d - TRAFFIC DESIGN CRITERIA - PART 5

The WZITS shall notify selected SCDOT personnel by email alerting of the current traffic conditions when the speeds have decreased below 30 MPH. The SCDOT shall have the ability to view and remotely control portable cameras in the work zone. The portable cameras shall be placed to provide full coverage in the project limits. The maximum spacing for WZITS components shall not exceed one mile (1) for portable cameras, two miles (2) for VMS, and one-half mile (1/2) for queue trailers unless specifically approved by the ITS Operations Program Manager. The system shall remain in operation until final ITS components have been installed and operational.

### 3.1 WZITS Specifications

### 3.1.1 Operations

The operations shall include at a minimum an interface for operation and control of the WZITS devices. The interface shall allow operators and other SCDOT staff as well as the CONTRACTOR to interface with the devices for control and operations. The private interface shall also show the health of the devices as well as their communications for maintenance purposes. The interface shall be password protected and allow different levels of security for operations and viewing of the devices.

This item shall also include the necessary decision support system by which the queue detectors are used to provide real time information to the motoring public. The decision support system shall monitor the queue detectors and automatically change messages on the portable message boards as needed. The messages provided by the decision support system shall be derived by the CONTRACTOR and approved by SCDOT.

### 3.1.2 Device Communication Package

The CONTRACTOR shall coordinate with a provider of wireless communications to provide the necessary communications for each device including air time fees.

### 3.1.3 Portable Message Board Trailer with Communications

The device shall provide at a minimum 3 lines of text, twelve inches high, eight characters per line. The device shall be self-contained and powered and require no commercial power or hard wired connections. The device shall be capable of communicating to the CONTRACTOR's communication and control system to support decision making for other WZITS devices.

### 3.1.4 Queue Detector with Sensor and Communications

The device shall be self-contained and powered and require no commercial power or hard wired connections, and be capable of detecting speed, volume and occupancy of traffic. The device shall be capable of communicating to the

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### EXHIBIT 4d - TRAFFIC DESIGN CRITERIA - PART 5

CONTRACTOR's communication and control system to support decision making for other WZITS devices.

### 3.1.5 Portable Camera with Communications

The camera shall provide images remotely to be used to monitor traffic conditions. The camera shall have full pan tilt and zoom capabilities and shall be mounted at a minimum thirty feet above the roadway. The device shall be self-contained and powered and require no commercial power or hard wired connections. The device shall be capable of communicating to the CONTRACTOR's communication and control system to support decision making for other WZITS devices. The SCDOT ITS Field Operations Manager will provide and install a DOT modem to each portable camera for PALguide compatibility, and air time for these DOT modems shall be included in WZITS project cost.

### 3.1.6 On-Site Adjustment of Project

The CONTRACTOR shall provide the necessary labor and equipment to move devices as needed throughout the project. This shall include the re-positioning of que detectors, cameras and sign boards as conditions change throughout the construction project. Movement of devices shall be at the direction of the ITS Operations Program Manager or his designee, the Resident Construction Engineer for the construction project, or at the discretion of the CONTRACTOR when deemed necessary.

### 3.1.7 WZITS Liquidated Damages

The CONTRACTOR is advised that the WZITS downtime will be strictly enforced. Should the total WZITS go offline or the project effect any other SCDOT ITS components, liquidated damages will be assessed at the rate of \$2,500.00 (Two Thousand Five hundred Dollars) for each 1/4 hour interval the system is offline (or any portion thereof). Should any portion of the WZITS go offline, at which the criteria above is not met, liquidated damages will be assessed at the rate of \$500.00 (Five hundred Dollars) for each 1 hour interval each item is offline (or any portion thereof).

### EXHIBIT 4d TRAFFIC DESIGN CRITERIA

Part 6 – Lighting

### 1. GENERAL

The permanent lighting on this project consists of designing, fabricating, furnishing, and installing new roadway lighting and operating electrical systems for the proposed Interstate 77 (I-77) interchange with One Carolina Drive near mile marker 81 in York County. SCDOT will require Complete Interchange Lighting as defined in the SCDOT Lighting Supplemental Specification for this project.

The lighting systems may consist of a combination of high mast poles (100' or 120'), standard poles (35'), and under bridge lighting fixtures, all of which shall utilize LED technology meeting, at minimum, the requirements of the SCDOT Supplemental Specifications for Roadway Lighting dated December 6, 2018 located in Attachment B. In addition to installation of the lighting systems, the CONTRACTOR will also be responsible for the following:

- Designing all electrical systems
- Submitting Photometric Analysis Report
- Coordinating power supply with local utilities
- Submitting as-built electrical plans and system operational manuals
- Providing field demonstration and instruction on system operation and maintenance

All work in the contract shall be in accordance with all of the design criteria in Exhibit 4 and any local codes or requirements.

### 2. CRITERIA

### 2.1 Permanent Lighting

The CONTRACTOR shall provide all components to the lighting system to be fully functional upon completion of the project. The components shall include, but not be limited to, breakaway poles, lamps, ballasts, foundations, splice boxes, control cabinets, breakers, photocells, and wiring.

The lighting systems for the project shall meet City of Rock Hill specifications shown in Attachment B for the 35' light poles and associated light fixtures.

The locations for the light standards shall be based on a photometric analysis of the roadway design /alignment approved for construction. The CONTRACTOR shall submit the photometric analysis and preliminary lighting plan to the Director of Traffic Engineering for review. Minor shifts in pole locations may be permitted during construction to accommodate design features that are subject to field changes such as drainage structures.

The pole setback for standard poles will vary based on the speed and cross section design of the roadway. Light standards (35' poles) located within the right of-way or within the clear-zone of the roadway should be equipped with breakaway supports designed so that no fixed part of the support extends further than three inches above

### EXHIBIT 4d – TRAFFIC DESIGN CRITERIA – PART 6

ground level. When used, the breakaway capability of the support shall incorporate the use of breakaway electrical connectors so that no live electrical wires exist after impact by a vehicle.

All high mast poles located within the clear zone shall be protected by guardrail.

If high mast poles are used, the CONTRACTOR will be responsible for obtaining boring data to properly design high mast foundations.

Any disturbed soil resulting from foundation installation, pull box installation or open trenching shall be backfilled, compacted and/or leveled in accordance with the Supplemental Specifications for Roadway Lighting. Disturbed soil shall be seeded in accordance with Section 810 of the Standard Specifications. In addition, prior to leveling and seeding around foundation installations, the CONTRACTOR shall remove all forms and dispose of all excess or waste materials, including concrete, at an offsite location. This site will be approved by the Engineer. No dumping will occur on the highway right-of-way or adjacent lands.

Upon acceptance of the completed project, the City of Rock Hill will assume maintenance and operational responsibilities for the lighting systems. The CONTRACTOR will be required to provide the City of Rock Hill with operational manuals for all elements of the systems as well as as-built electrical plans. Field instruction and demonstration of such items as, but not limited to, the high mast lowering devices will also be included as part of the contract.

### 2.2 Photometric Analysis Parameters

The CONTRACTOR shall use the illuminance calculation method described in the AASHTO Roadway Lighting Design Guide for lighting system design. The design should include a light loss factor (LLF) of 0.8. The photometric analysis using 6 feet by 6 feet grid mentioned in Section 1.7 of the SCDOT Design Build LED Roadway Lighting Spec (12-6-18) is intended for all road areas of the interchange.

# EXHIBIT 4e HYDRAULIC DESIGN CRITERIA

### EXHIBIT 4e – HYDRAULIC DESIGN CRITERIA

### 1. GENERAL

Perform all hydrologic and hydraulic drainage designs in accordance with the "SCDOT's Requirements for Hydraulic Design Studies", May 2009, Stormwater Quality Design Manual, and Project Design Criteria as listed in EXHIBIT 4. Designs, at a minimum, to address include:

- Best Management Practices
- Bridge-Sized Culverts and Scour
- Cross-line Pipes and Non-Bridge Sized Culverts
- Ditch Capacity and Stability Analyses
- Sediment and Erosion Control
- Stormwater Quality, Quantity and Post Construction Designs
- Storm Sewer Systems

### 2. CRITERIA

### 2.1 Roadway Drainage

Evaluate the pre- and post-developed hydrologic and hydraulic conditions for roadway drainage. Ensure offsite areas that affect the hydraulic systems and outfalls of this project are accounted for and are assigned appropriate impervious cover factors depending on hydrologic method.

Perform hydraulic analyses, to include headwater and tail-water effects, on all crossline and median drainage structures for the appropriate design storm event. Additionally include analyses for the overtopping event and at those locations located in sags. Pipes or culverts crossing multiple alignments shall be designed to the criteria of the highest roadway classification crossed by the pipe or culvert.

Design new and analyze existing closed-drainage systems with GEOPAK drainage software. Where warranted, use Type-25 catch basin in place of Type-15. Place inlets at staggered stations when a grade separation exceeds eighteen (18) inches or cantilever walls are used. Do not include upstream systems in the designs but do account for the upstream drainage areas at the first inlet that the upstream drainage system ties into. When tying into existing systems, at a minimum, include one downstream pipe link of the system in the design. In locations where designs account for a future widening, ensure proposed drainage systems are not constructed under future lanes. Provide inlets along barriers or retaining walls to meet spread requirements for future widening conditions.

Perform open channel designs, to include ditch capacity and stability analyses, on outfall ditches within the limits of the project. Provide additional information and analyses for those locations where structures are upsized to verify post conditions

### EXHIBIT 4e – HYDRAULIC DESIGN CRITERIA

will not create nor contribute to adverse downstream impacts. Minimize velocities to non-erosive rates. Summarize the pre- and post-developed outfall conditions in the drainage report.

Design temporary drainage systems with a rainfall intensity of 2 inches/hour and restrict spread to the shoulder width on interstate routes. Minimize ponding at flood sensitive locations and the low side of barrier walls. Include drainage sheets within the conceptual traffic control plans, as required in Exhibit 4z, to show locations of temporary drainage networks, outfall locations, and pertinent details. Demonstrate a positive drainage plan at the staging transition points.

Replace all 15-inch pipes with minimum 18-inch pipes at all locations unless design analysis warrants retaining 15-inch pipes, to include driveways.

If pipes are to be abandoned, fill them with flowable fill. Note any pipes that are filled with flowable fill on the drainage sheets.

Repair or replace damaged drainage structures. Include notes on the drainage sheets when retaining or replacing these structures. All drainage structures shall be immediately accessible to the final surface grade by either a manhole or grate access. New storm sewer systems shall not include blind junctions.

Avoid placing drainage structures under pavement and adjacent to pavement where excavation for maintenance of the structure would impact the pavement structure in roadway sections without curb and gutter. Avoid placing drainage structures in locations where access can only be obtained with a lane closure. In addition to the drainage structures covered in the Standard Drawings, this applies to locations where two different pipe sizes and shapes are connected, such as with collars, headwalls, and bulkheads.

Perform rehabilitations to washout areas. Repair existing concrete and asphalt ditches in accordance with Special Provision 815 Erosion Control.

At locations where fill height is greater than or equal to ten feet, provide a minimum five foot buffer between the toe of fill and the nearest top of bank of any proposed sideline ditch or swale. See detail included in Attachment B.

Provide drainage conveyance structures to intercept offsite runoff prior to reaching the toe behind constructed walls. Design the structures to contain the 10-year storm event.

Underground detention systems are not allowed to minimize post-construction discharges.

### EXHIBIT 4e – HYDRAULIC DESIGN CRITERIA

Investigate post-construction impacts at all right-of-way outfall locations to ensure post-construction discharges will not create adverse downstream impacts nor contribute to existing adverse downstream impacts.

Post-developed discharges and volumes shall be equal to or less than predeveloped discharges and volumes for all locations draining to or on Norfolk Southern's right of way.

Perform field and video inspections on retained cross-line structures that have not been inspected, in accordance with the SCDOT's Pipe and Culvert Inventory and Inspection Guidelines (2011) with exceptions noted herein.

- Inspect retained pipes 18-inches and greater in diameter using a pipe camera system (no laser).
- Inspect retained box culverts via direct measure techniques utilizing a video camera to document condition, jointing, and obstructions.
- Perform a condition assessment to evaluate inlets, outlets, joints, cracks, spalling, slope, sediment, debris, efflorescence, and rust staining. Additionally note all drop inlet structural deficiencies-and outfalls in need of regrading for positive drainage or armoring.
- For retained pipes and box culverts, prepare an inspection report and summary table for recommended alternatives. Acceptable alternatives are retain, replace, seal, clean, clean and line pipes, or a combination of these. Label one table column *Solution* as depicted in Attachment B and list the chosen alternatives. A SC Registered Professional Engineer shall sign the report. The Contractor shall correct the deficiencies noted in the inspection report and summary table for pipes smaller than 36-inches that are discovered and inspected post contract award.
- SCDOT will take necessary measures to ensure the deficiencies are remediated, or rendered harmless, for retained box culverts and retained pipes 36-inches and greater which have not been inspected at the time of award of the contract. Such measures will include self-performing, retaining a qualified firm, or negotiating a construction change order with the Contractor.
- Implement the alternatives as directed in the column *Solution* located in Attachment B. Pipes within the project limits may be replaced in lieu of repaired. Verify the hydraulic capacity of the pipes. When analyses do not demonstrate compliance with the Hydraulic Design Requirements and other Criteria, replace the pipes or make other improvements to the system to achieve compliance. Analyses shall reflect the "n" value for the liner material and any reduction in diameter. Add notes to the plan sheets for the chosen alternatives when existing pipes are retained.

### 2.3 Floodplains and Floodways

This project falls within FEMA Flood Insurance Rate Map (FIRM), Panel Number 45091C0328F, York County, dated May 16, 2017. There are no FEMA Special Flood Hazard Areas within the project area.

### 2.4 Sediment and Erosion Control and Water Quality

Determine the classification of the receiving water bodies and note downstream impairments.

Develop a plan to meet the requirements of SCDOT's Construction Permit SCR160000 for erosion and sedimentation control during construction for the entire project length.

Follow the SCDOT Stormwater Quality Manual (SWQM) when outfalls discharge to 303(d) listed, TMDL, and other sensitive waters. Exhaust all options prior to implementing structural controls. Contact the SCDOT Stormwater Manager for assistance in identifying options beyond those listed in the SWQM.

For locations where fill slopes are steeper than 3:1 and greater than 20 feet in height, use SCDOT Standard Drawing 719-920-00.

### 2.5 NPDES Permitting

The Contractor shall prepare the NPDES permit package, which is to include the Stormwater Prevention Plan (SWPPP) checklist found in Attachment B, and perform the coordination with SCDHEC to obtain the permit. The SCDOT reviews, signs and submits the package to SCDHEC.

The Contractor shall coordinate a meeting with the Environmental Coordinator and the SCDOT Stormwater Manager to discuss schedule for NPDES permitting.

# EXHIBIT 4f GEOTECHNICAL DESIGN CRITERIA

### 1.0 GENERAL

All subsurface exploration, geotechnical design, and construction for the Project shall be carried out in accordance with SCDOT Geotechnical Design Manual (GDM), 2019, Version 2.0, the design criteria herein, and the Special Provisions listed in Exhibit 5.

### 2.0 CRITERIA

The Geotechnical Subsurface Data Report (GSDR) has been provided electronically in Attachment B. Subsurface investigation field testing data files have also been provided electronically in Attachment B.

Geotechnical information provided in Attachment B as part of this RFP may be used in the design of the Project at the CONTRACTOR's discretion. The CONTRACTOR shall verify that geotechnical information provided in Attachment B meets the requirements for a geotechnical investigation for the Project as required by the GDM. If the requirements of the GDM are not met, then the CONTRACTOR shall provide additional geotechnical investigation to meet the geotechnical requirements for the Project.

Where required by design and construction, all temporary and permanent shoring submittals shall be reviewed and approved by the Lead Design Engineer and Geotechnical Engineer of Record (GEOR) for the Project prior to submitting to SCDOT's Resident Construction Engineer (RCE).

### 2.1 Bridge

The CONTRACTOR shall be responsible for the load testing of all foundations used on this project if required by design. All testing reports for driven piles shall bear the legible seal, signature, and date of the testing firm's engineer registered as a Professional Engineer in the State of South Carolina. The CONTRACTOR's EOR and GEOR shall review and approve, in writing, all load test reports prior to submitting the reports to SCDOT's RCE or Construction Engineering and Inspection (CE&I) team as designated for review and acceptance or comment. Comments made by SCDOT shall be reviewed and rectified by the CONTRACTOR's EOR and GEOR prior to the results of the load testing being used in design.

### 2.1.1 Driven Piles

The CONTRACTOR shall provide a Pile Installation Plan (PIP) that shall include the pile index testing program in addition to the requirements of the Standard Specifications. The pile index testing program shall at a minimum include the Bent and Pile number of each pile to be tested as well as the number of index piles to be tested. The number of index piles shall conform to the SCDOT GDM. The GEOR and EOR shall provide a QC review of the CONTRACTOR's PIP prior to submitting to SCDOT's

### EXHIBIT 4f – GEOTECHNICAL DESIGN CRITERIA

RCE or CE&I team as designated for QA review. After performing QA review, SCDOT's RCE will submit the PIP to the SCDOT Bridge Construction Engineer (BCE) for final acceptance.

If Pile Driving Analyzer (PDA) testing is required for driven piles by the GEOR's design, the CONTRACTOR's PDA testing shall be performed by a PDA certified operator with a Certificate of Proficiency from Pile Dynamics, Inc. of Advanced or higher. The PDA certification shall have been renewed within 4 years of the date of pile installation. In addition to the PDA testing, CAPWAP (Case Pile Wave Analysis Program) analysis shall also be performed.

The GEOR shall review the PDA testing data and reports and develop driving criteria for the production piles. Following installation of the production piles, the GEOR shall perform a QC review of all production pile driving logs, PDA testing reports, and RFC plans to verify that all criteria have been met. If all criteria have not been met, the Design-Build Team shall perform additional work as necessary to ensure all criteria have been met. The EOR shall submit an As-Installed Driven Pile Foundation Package for each structural element supported on driven pile foundations that includes all PDA testing reports and production pile driving logs with a certification statement that all criteria have been met.

The As-Installed Driven Pile Foundation Package shall be submitted to SCDOT's RCE or CE&I team as designated for QA review. After performing QA review, SCDOT's RCE will submit the As-Installed Driven Pile Foundation Package to the SCDOT BCE for final acceptance. As-Installed Driven Pile Foundation Packages submitted with any deficiencies in criteria that have not been specifically addressed will be rejected. This process shall also be followed when PDA testing is not required by the GEOR's design.

### 2.1.2 Drilled Shafts

The CONTRACTOR shall provide a Drilled Foundation Installation Plan (DFIP) in accordance with the requirements of the Standard Specifications. The GEOR and EOR shall provide a QC review of the CONTRACTOR's DFIP prior to submitting to SCDOT's RCE or CE&I team as designated for QA review. After performing QA review, SCDOT's RCE will submit the DFIP to the SCDOT Bridge Construction Engineer (BCE) for final acceptance.

Following installation of the drilled shafts, the GEOR shall perform a QC review of all production drilled shaft logs, CSL and TIP test reports, and RFC plans to verify that all criteria have been met. If all criteria have not been met, the Design-Build Team shall perform additional work as

necessary to ensure all criteria have been met. The EOR shall submit an As-Installed Drilled Shaft Foundation Package for each structural element supported on drilled shaft foundations that includes all testing reports and production drilled shaft logs with a certification statement that all criteria have been met.

The As-Installed Drilled Shaft Foundation Package shall be submitted to SCDOT's RCE or CE&I team as designated for QA review. After performing QA review, SCDOT's RCE will submit the As-Installed Drilled Shaft Foundation Package to the SCDOT BCE for final acceptance. As-Installed Drilled Shaft Foundation Packages submitted with any deficiencies in criteria that have not been specifically addressed will be rejected. This process shall also be followed when load testing is not required by the GEOR's design.

### 2.2 Roadway

The CONTRACTOR shall obtain SCDOT approval prior to using reinforced soil slopes (RSS). The CONTRACTOR shall obtain SCDOT approval prior to using fill slopes or ditch slopes steeper than 2H:1V. Prior to submitting any design submittals, the CONTRACTOR shall obtain SCDOT approval prior to using fill slopes or ditch slopes steeper than 2H:1V.

Miscellaneous overhead structure foundations such as lighting and signage shall be designed in accordance with AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaires, and Traffic Signals, effective as of the Final RFP release date.

### 2.3 Seismic

Seismic design of the bridge structures, roadway structures, and bridge embankments shall be required in accordance with the SCDOT Geotechnical Design Manual and SCDOT Seismic Design Specifications for Highway Bridges.

Three-Point Acceleration Design Response Spectrum (ADRS) curves have been generated for the Project and are provided below.

Design EQ	PGA	$S_{DS}$	$S_{D1}$		
FEE	0.04	0.08	0.01		
SEE	0.06	0.15	0.02		

### EXHIBIT 4f – GEOTECHNICAL DESIGN CRITERIA

The range of natural period of the soil column on the project has been calculated for the project site and is provided in the ADRS curve. The Structural Engineer of Record (SEOR) shall calculate the fundamental period range of interest of each seismically designed structure and compare the earthquake period, soil column period, and structure period. If these three fundamental periods coincide, the SEOR shall demonstrate that structural design has accounted for this greatest potential of damage as referenced in <a href="LRFD Seismic Analysis and Design of Transportation Geotechnical Features and Structural Foundations">LRFD Seismic Analysis and Design of Transportation Geotechnical Features and Structural Foundations</a>, FHWA-NHI-11-032, GEC No. 3.

### EXHIBIT 4f – GEOTECHNICAL DESIGN CRITERIA

### 3-Point Acceleration Design Response Spectrum SCDOT v3.0 - 05/14/2019

Design EQ	PGA	S <sub>DS</sub>	S <sub>D1</sub>	M <sub>W</sub>	R	PGV	D <sub>a5-95</sub>	Τ'。
	g	g	g	-	km	inches/sec	sec	sec
FEE	0.04	0.08	0.01	7.31	182.80	0.35	46.67	0.03
SEE	0.06	0.15	0.02	7.29	171.97	0.78	44.78	0.07

Fundamental Period of	Range of Interest		\/*	ш	T	NH		
Structure, T <sub>0</sub> *	sec		sec		V* <sub>s,H</sub>	"	se	ec
sec	0.5*T <sub>0</sub>	2.0*T <sub>0</sub>	ft/sec	ft	(4*H)/V* <sub>s,H</sub>	(6*H)/V* <sub>s,H</sub>		
0.00	0.00	0.00	1208.89	45.00	0.14	0.22		
0.00	0.00	0.00		H = B-C Boundary				

Designer:	N. Harman - Support
Date:	1/27/2020

Damping:	5%		
	Coolog	ic Condition:	Geologically Realistic (Q = 100)*
	Geolog	ic Condition:	<b>S</b> CP
ADRS Lo	cation within	Soil Column:	At Ground Surface

South Carolina Piedmont \*Same Geologic Condition as used in SCENARIO\_PC (2006)

0.00	0.00	0.00	1200.09	H = B-C Boundar	U.14	0.22						FEE	Data		SEE	Data
	•	•	•											· .		
												T	Sa	l l	T	Sa
												0.00	0.035	1 1	0.00	0.065
		SC S	eismic	ADRS C	urve							0.00	0.043	1 1	0.00	0.079
												0.01	0.050	1 1	0.01	0.093
0.20											_	0.01	0.058	l l	0.01	0.108
'						-SEE	ADRS C	urve				0.02	0.065	1 1	0.02	0.122
242   1												0.02	0.073		0.02	0.136
0.18						—FEE	ADDCC				To	0.02	0.080	To	0.03	0.150
li i							ADK5 C	urve				0.03	0.080		0.04	0.150
0.16												0.04	0.080	]	0.05	0.150
(B) (C)							ıral Perio		il Colun	ın		0.05	0.080	. I	0.05	0.150
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ຶ່ນ 0.14	-		-		+	Peri	od of SE	E Seism	ic Even	t (T 'o)	H	0.06	0.080	ł ŀ	0.07	0.150 0.150
										. ( /		0.07	0.080	łł	0.09	0.150
5						Don't	- d - 6 F			· /T != \		0.08	0.080	ł ł	0.10	0.150
₩ 0.12			$\overline{}$			Peri	od of Fee	e Seismi	c Even	(1 0)	1	0.09	0.080	1 H	0.10	0.150
2												0.10	0.080	1 h	0.12	0.150
ö 0.10			$\rightarrow$		$\bot$	Ran	ge of Inte	erest (T0	))*		Ц	0.11	0.080	i i	0.13	0.150
ğ     <b> </b>											Ts	0.12	0.080	Ts	0.14	0.150
Acceleration, 0.10											1	0.28	0.032		0.31	0.067
0.00			<del></del>					+	+	+	-	0.45	0.020	1 t	0.47	0.043
ğ (	<b>\</b>											0.62	0.015	l i	0.64	0.032
Spectral Response	$\setminus$											0.79	0.012	1 i	0.81	0.025
g 0.06											7	0.96	0.010	1 i	0.98	0.021
ğ lı 📆												1.13	0.008	] [	1.15	0.018
0.04			$\rightarrow$		+						4	1.30	0.007	1 1	1.32	0.016
<u>e</u>												1.47	0.006		1.48	0.014
<b>5</b>   ! !												1.64	0.006		1.65	0.012
0.02											1	1.81	0.005	l l	1.82	0.011
8 III												1.98	0.005	. I	1.99	0.010
0.00											3	2.15 2.32	0.004 0.004	<b>!</b>	2.16 2.33	0.010 0.009
0.00 0.2	0.4 0.	6 0.8	1.0 1.2	2 1.4	1.6 1.8	2.0	2.2	2.4	2.6	2.8	3.0	2.32	0.004	1 1	2.33	0.009
0.0 0.2	0.4 0.	0.6	1.0 1.2	4 1.4	1.8	2.0	2.2	2.4	2.0	2.0	3.0	2.49	0.004	1 1	2.49	0.008
								Pe	riod, T	[sec]		2.83	0.003	1 1	2.83	0.007
									ou, 1	[300]		3.00	0.003	1 1	3.00	0.007
												0.00	0.000		0.00	0.001

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### 1.0 GENERAL

This exhibit describes the makeup of submittal packages used for Design Review and permanent record retention by SCDOT. All submittals shall be in accordance with Departmental guides, including but not limited to, the Road Design Reference Material for Consultant Prepared Plans, as amended herein, and shall include all checklists, indexes and electronic files in the specified format and folder structure.

### 1.1 Document Naming Conventions

Documents submitted on SCDOT Design-Build projects shall follow the Design-Build file naming conventions that can be found under Design-Build Resources at the following link: <a href="https://www.scdot.org/business/pdf/design-build/Design-Build-File-Naming-Conventions.pdf">https://www.scdot.org/business/pdf/design-build/Design-Build-File-Naming-Conventions.pdf</a>

### 2.0 SUBMITTAL PACKAGES

SUBMITTAL PACKAGE CONTENTS	HARD COPIES
Preliminary Submittal Packages	
Preliminary Road Submittal Packages shall include:	
Preliminary Road Plans	1HS
Survey Control Data Sheet	
Conceptual Work Zone Traffic Control Plans*	
<ul> <li>Conceptual ITS Design Plans*</li> </ul>	
Preliminary Roadway Drainage Design Report	
Preliminary Road Geotechnical Report	
Preliminary Bridge Submittal Packages shall include:	
Preliminary Bridge Plans	1 HS
Preliminary Bridge Hydraulic Design Report	
Preliminary Bridge Geotechnical Report	

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Right-of-Way Submittal Packages	
Right of Way Submittal Packages shall include:	
Right-of-Way Plans	
Survey Control Data Sheet	
Conceptual Work Zone Traffic Control Plans*	
Conceptual ITS Design Plans*	
Right-of-Way Hydraulic Reports	
Final Submittal Packages	
Final Road Submittal Packages shall include:	
Final Roadway Plans	1 HS
Survey Control Data Sheet	
Work Zone Traffic Control Plans	
Final ITS Design Plans	
Final Roadway Drainage Design Report	
Final Road Geotechnical Reports	
Final Bridge Submittal Packages shall include:	
Final Bridge Plans	1HS
Final Bridge Hydraulic Design Report	
Final Bridge Geotechnical Report	
Bridge Load Rating Documentation (Unsigned)	
RFC Submittal Packages	
RFC Road Submittal Packages shall include:	
RFC Roadway Plans	1 FS
Survey Control Data Sheet***	

RFC Work Zone Traffic Control Plans	
RFC ITS Design Plans	
RFC Road Geotechnical Report	
RFC Design Calculations	
RFC Bridge Submittal Packages shall include:	
RFC Bridge Plans	1 FS
RFC Bridge Hydraulic Design Report	
RFC Bridge Geotechnical Report	
RFC Design Calculations	
Bridge Load Rating Documentation (Signed)	
Construction Submittals (including, but not limited to)**	
Traffic Management Plan	
Paving Plan	
Foundation Installation Plan Submittals	
Foundation Testing Submittals	
Hazardous Materials Testing Submittals	
Shop Plans	
Working Drawings	
NPDES Submittals	
Revised Permit Drawings	
As-Built Plans	
As-Built ITS Design Plans	
TOPOWE I	

<sup>\*</sup> If ROW plans are not anticipated, these plans shall be included with the preliminary road plans.

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- \*\* Reviews for these submittals are not held to the standard periods as outlined in Article II, Section D of the Agreement.
- \*\*\* CONTRACTOR may rely on survey information provided in Attachment B Supplemental Design Criteria. CONTRACTOR shall incorporate the information into the final project documents. CONTRACTOR shall be responsible for supplementing the survey information provided as required for their specific design.

### 3.0 SUBMITTAL PACKAGE CONTENTS

### 3.1 All Submittals Packages

- Partial submittal of the required contents of the preliminary, right of way, or final submittal packages will not be allowed.
- Perform a thorough QC review of the submittal packages prior to submitting them to SCDOT.
- Digital or inked signatures are allowable for RFC documents. However, only one method of signature, digital or inked, is allowed per Project ID.
- Plans shall be submitted electronically as a landscape 22"x36" pdf file.
- Reports shall be submitted electronically as a portrait 8.5"x11" pdf file. Larger sheets may be included for charts, diagrams, etc.
- At the request of SCDOT or its representative, Contractor shall submit calculations and/or design files, including computer aided drafting files for review with a submittal package.

### 3.2 Preliminary Submittal Packages

### 3.2.1 Preliminary Road Plans

- The plans shall include, but not be limited to, the following:
  - title sheet
  - o roadway typical section
  - survey control data
  - strip map, including property closures
  - o roadway plan and profile
  - cross sections
  - clearing limits on plan view and cross sections
  - drainage features
  - o existing right-of-way
  - o proposed right-of-way

### 3.2.2 Conceptual Work Zone Traffic Control Plans

- The plans shall include, but not be limited to, the following:
  - Staging Narrative
  - Concept Staging Plans

- Widening/Rehabilitation Typical Sections for each Stage of Construction and any critical points
- Where additional Right-of-Way is warranted for the purposes of Staging
- Separation of Adjacent Travel Lanes / Traffic Splits as described in the SCDOT Procedures and Guidelines for Work Zone Traffic Control Design
- Where the travel lane leaves the existing roadway bed or direction on new alignment (transition area) and returns (termination area)

### 3.2.3 Conceptual ITS Design Plans

• The Contractor shall develop and furnish conceptual design plans as indicated in Exhibit 5\*

### 3.2.4 Preliminary Bridge Plans

• The plans shall include, but not be limited to, all items described in Chapter 3 of the SCDOT Bridge Design Manual.

### 3.2.5 Preliminary Hydraulic Reports

- Preliminary Roadway Drainage Design Reports shall include, but not be limited to, the following:
  - Pre/post outfall summaries
  - HW/D summaries for crosslines
  - Open channel designs
  - Address permitting requirements
  - Field Investigation and Pipe Inspection Report
- Preliminary Bridge Hydraulic Design Reports shall include, but not be limited to, the following:
  - Preliminary Hydraulic Model Design and Supporting
     Documentation including Hydrology Data Sheets, the Hydraulic
     Design and Risk Assessment Form, and the NEPA Bridge
     Replacement Scoping Trip Risk Assessment Forms (for each applicable location)
  - Modeling files
  - Address permitting requirements (for each applicable location)
- NPDES permitting-if permitting is going to be phased, address how submittals will be phased and anticipated submission schedules.

### 3.2.6 Preliminary Road & Bridge Geotechnical Reports

• The geotechnical reports shall include, but not be limited to, the following:

• all items described in Chapter 21 of the SCDOT Geotechnical Design Manual and the latest design memorandums

### 3.3 Right-of-Way Submittal Packages

### 3.3.1 Right-of-Way Plans

- The plans shall include, but not be limited to, the following:
  - o title sheet
  - o roadway typical section
  - o survey control data
  - strip map, including property closures
  - o right-of-way data sheet
  - o roadway plan and profile
  - o cross sections (include sediments basins, dams and crosslines)
  - clearing limits on plan view and cross sections
  - drainage features
  - existing right-of-way
  - proposed right-of-way

### 3.3.2 Conceptual Work Zone Traffic Control Plans

- The plans shall include, but not be limited to, the following:
  - Staging Narrative
  - Concept Staging Plans
  - Widening/Rehabilitation Typical Sections for each Stage of Construction and any critical points
  - Where additional Right-of-Way is warranted for the purposes of Staging
  - Separation of Adjacent Travel Lanes / Traffic Splits as described in the SCDOT Procedures and Guidelines for Work Zone Traffic Control Design
  - Where the travel lane leaves the existing roadway bed or direction on new alignment (transition area) and returns (termination area)

### 3.3.3 Conceptual ITS Design Plans

• The Contractor shall develop and furnish conceptual design plans as indicated in Exhibit 5\*

### 3.3.4 Right-of-Way Hydraulic Reports

- Roadway Drainage Design Reports shall include, but not be limited to, the following:
  - Updates to the preliminary roadway drainage designs

- Inlet spacing calculations and bridge deck drainage calculations
- Geopak drainage summaries
- Storm sewer system profiles for the design storm and the 50-yr event at sag locations
- Sediment and erosion control designs
- Water quality and post construction designs
- Detention designs and supporting documentation
- NPDES package shall be provided for review in preparation for submittal to SCDHEC/OCRM.

### 3.4 Final Submittal Packages

### 3.4.1 Final Road Plans

- The plans shall include, but not be limited to, the following:
  - o title sheet
  - roadway typical section
  - o survey control data
  - o strip map, including property closures
  - o right-of-way data sheet
  - o roadway plan and profile
  - cross sections
  - clearing limits on plan view and cross sections
  - drainage design (include drainage tables per Plan Preparation Guide behind each drainage sheet)
  - existing right-of-way
  - o proposed right-of-way
  - summary of estimated quantities
  - strip map including property closures
  - sediment and erosion control design
  - proposed barrier locations
  - o permanent signing plans
  - o permanent pavement markings plans
  - o traffic signal plans
- Electronic files submittals: Information herein is an abbreviated list of electronic deliverables taken from the Road Design Reference Material for Consultant Prepared Plans. Submit Checklists, Indexes and files in accordance with the format and attachments specified in the document.
  - CADD electronic files index with the detailed descriptions of the contents of each file must be provided in a "readme" file. The index should also include detailed descriptions and names of horizontal and vertical alignments and profiles utilized by the GEOPAK software on the project. A copy of the file folder structure is shown in Road Design Reference Material for Consultant Prepared Plans.

- All surveyed mapping, control points, benchmarks, GPS setup, 2D or 3D contours, spot points, survey notes, DTM, breaklines, TIN files, aerial photos and all other CADD files and data used in developing surveys for the project. Also, the survey points should be provided in ASCII file format (Point number, N, E, Z, and Descriptions). Contact information for the survey company should be provided. All electronic survey files are to be placed in a separate folder.
- All MicroStation files including all files that would supplement the ability to view files correctly such as reference files and cell libraries.
- All .gpk files and any other Geopak files, such as input and criteria files that are needed to facilitate the review of plans should be submitted.
- If other Civil Engineering software packages were utilized for project development then all binary or ASCII files that are software dependent for that package shall be submitted
- All electronic files that pertain to the construction stake out. Files will be in SMI format and will include all horizontal controls, vertical controls and templates. SMI data will be provided in a separate folder.
- Copies of all hand written or electronic calculations or notes (non-CADD) that will facilitate verification and review of the plans.
- On each printed sheet in the plans, the electronic folder name, filename, and date must be shown.
- Provide plot setting to include levels used, symbology, line weights and pen tables in order to reproduce all plans sheets
- All roadway structures' design criteria with calculations will be provided in a separate folder.
- Pavement Design will be provided in a separate folder with soil support data, traffic volumes, and ESAL's
- Electronic files for specifications and special provisions in Adobe PDF or Microsoft Word format
- Approved Design Exceptions to AASHTO and/or SCDOT design standards developed during design

### 3.4.2 Final Bridge Plans

• The plans shall include, but not be limited to all items described in Chapters 3 and 6 of the SCDOT Bridge Design Manual. Partial submittal of the required contents of the final set of plans will not be allowed for this project. However, the Contractor may divide the bridge into segments, with each segment having a stand-alone final set of plans which accounts for interaction of adjacent segments.

- Electronic files submittals:
  - All MicroStation files including all files that would supplement the ability to view files correctly such as reference files and cell libraries.
  - Copies of all hand written or electronic calculations or notes (non-CADD) that will facilitate verification and review of the plans.
  - Electronic files for specifications and special provisions in Adobe PDF or Microsoft Word format
- Approved Design Exceptions to AASHTO and/or SCDOT design standards developed during design

### 3.4.3 Work Zone Traffic Control Plans

 The plans shall be in accordance with the SCDOT Procedures and Guidelines for Work Zone Traffic Control Design and all other applicable design references listed in Exhibit 4

### 3.4.4 Final ITS Design Plans

 The Contractor shall develop and furnish ITS design plans as indicated in Exhibit 5\*

### 3.4.5 Final Hydraulic Report

- Final Roadway Drainage Design Reports shall include, but not be limited to, the following:
  - Updates to the Right-of-Way hydraulic drainage designs
- Final Bridge Hydraulic Design Reports shall include, but not be limited to, the following:
  - Final Hydraulic Model Design and Supporting Documentation including all updated forms
  - Final Modeling files
  - Scour Study- additionally plot the 100- and 500-year lines on the bridge triple profile sheet (for each applicable location)
  - Address CLOMR and/or "No Impact" Certifications (for each applicable location)
  - Include the hydrology data for bridges, culverts and pipes greater than 48 inches on the bridge triple profile sheets
- Complete NPDES package

### 3.4.6 Final Road & Bridge Geotechnical Reports

- The geotechnical reports shall include, but not be limited to, the following:
  - all items described in Chapter 21 of the SCDOT Geotechnical Design Manual and the latest design memorandums

- design details and plan notes along with data that are consistent with that shown in the final bridge and road plans
- Contractor's designer shall prepare the required geotechnical bridge and roadway plan sheets that clearly detail any geotechnical requirements outlined in the reports
- All soil test boring logs and laboratory testing results shall be provided electronically in both a .PDF file and as a gINT® file. In addition, all CPT and DMT data shall be provided electronically as both a .PDF file and as an Excel® (2010) spreadsheet following the order provided in Sections 6.2.2 and 6.2.3, respectively. As indicated in Section 6.4.1, the results of shear wave velocity (Vs) testing shall be presented as a graph in .PDF and Excel® (2010) spreadsheet formats including the data table.

### 3.4.7 Bridge Load Rating Documentation

• See SCDOT Load Rating Guidance Document, Chapter 20, for load rating deliverables.

### 3.5 RFC Submittal Packages

- RFC submittal packages shall be submitted once all comments have been closed on all submittals for each phase (ex. Preliminary/ROW/Final/RFC) of a segment or structure and a request for RFC plans has been issued by the Construction Office.
- After all comments are closed, no changes shall be made to the design deliverables before providing a RFC submittal package.
- Insert RFC Plans into plan folders as detailed in the SCDOT Plan Preparation Guide and the SCDOT Bridge Design Manual if not submitted with digital signatures.
- The Engineer of Record, a licensed and registered Professional Engineer in the State of South Carolina, shall sign and seal all RFC plans and reports.
   RFC documents shall be original documents if not submitted with digital signatures.
- RFC documents submitted with digital signatures shall comply with the SCDOT Digital Signatures Manual.
- A complete set of design calculations shall be included with the RFC submittal package and at any point prior when requested by SCDOT.

### 3.6 Revisions to RFC Plans and Reports

 After providing a RFC submittal package, any subsequent changes to the RFC plans and reports will be considered revisions. Revisions shall be denoted as detailed in the design manuals or as directed by the Department.

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### 3.7 Traffic Management Plan

- The Contractor shall submit a Traffic Management Plan in accordance with the document, Rule on Work Zone Safety and Mobility: Implementation, Maintenance, and Safety Guidelines.
- All components of the Transportation Management Plan shall be submitted for review by SCDOT and must be approved before any construction activities can begin.

### 3.8 Foundation Installation Plan Submittals

• Prepare Drilled Foundation Installation Plans (DFIP) and/or Pile Installation Plans (PIP) in accordance with the SCDOT Standard Specifications for Highway Construction, 2007 Edition. Submit all foundation installation plan submittals electronically. The Contractor's designer shall review and approve all DFIP and PIP (including pile driving criteria) prior to submitting the foundation installation plans to SCDOT for review and acceptance. SCDOT will review the foundation installation plans and provide either acceptance or comments. The Contractor's designer shall resolve all comments prior to re-submittal to SCDOT. SCDOT will review the DFIP and/or the PIP only to verify that the specifications have been addressed. The Contractor shall provide a supplement to the report containing the actual field conditions encountered and as-built foundation data and information after construction of the foundations is complete.

### 3.9 Foundation Testing Submittals

• Submit to SCDOT an electronic copy of all applicable foundation testing reports for all bridge and roadway structures to include but not limited to Shaft Load Test and Pile Driving Analyzer test reports.

### 3.10 Hazardous Materials Testing Submittals

- The Contractor shall submit to SCDOT:
  - Results of any hazardous materials analytical testing of sampled or excavated subsurface materials as outlined in the Agreement.
  - Manifests of all hazardous materials requiring disposal.

### 3.11 Shop Plans

• Submit shop plans, as defined by the Standard Specifications for Highway Construction, to the Contractor's designer for review and approval. Route all approved shop plans to SCDOT for review and distribution. Provide shop plan submittals that meet the criteria of Subsection 725.1.1 of the Standard Specifications for Highway Construction. After reviewing the plans, SCDOT will either distribute the plans or provide comments. If comments are

provided, the Contractor's designer shall review the comments prior to resubmitting to the SCDOT for further review. The Contractor's designer shall stamp the shop plans "approved" prior to submittal to SCDOT. SCDOT will stamp and distribute the plans. Do not commence fabrication and construction/erection until after SCDOT distributes the plans. The responsible engineer, registered as a Professional Engineer in the State of South Carolina, shall seal, sign, and date all design calculations and shop plans.

### 3.12 Working Drawings

Submit working drawings and design calculations, as defined by the Standard Specifications for Highway Construction, to the Contractor's designer for review and approval. Route all approved working drawings and design calculations to the SCDOT for review and distribution. Provide working drawings and design calculation submittals that meet the criteria of Subsection 725.1.2 of the Standard Specifications for Highway Construction. SCDOT will review the drawings and calculations and either provide acceptance of the drawings as prepared or provide comments. If comments are provided, the Contractor's designer shall review the comments prior to resubmittal to SCDOT for further review. The Contractor's designer shall stamp the working drawings and design calculations "approved" prior to submittal to SCDOT. SCDOT will stamp and distribute the drawings and calculations. Do not commence construction/erection until after SCDOT distributes the drawings and calculations. The responsible engineer, registered as a Professional Engineer in the State of South Carolina, shall seal, sign, and date all design calculations and working drawings. SCDOT will review the working drawings and design calculations only to verify that the specifications have been addressed.

### 3.13 NPDES Submittals

• The appropriate level of design and review shall be completed prior to any NPDES package submittal.

### 3.14 Revised Permit Drawings

• Contractor shall provide to SCDOT revised permit drawings that show ROW limits that differ from those in the approved USACE Permit.

### 3.15 As-Built Plans

- Provide a copy of the as-built plans in accordance with the Manual of Instruction for the Preparation of As-built Plans.
- Provide a final copy of all electronic data as noted in section 3.4.1 and 3.4.2 which captures all changes to electronic data since the final plans submittal.

# EXHIBIT 4z – PROJECT DESIGN DELIVERABLES

- A complete as-built set of signing plans, including SignCAD copies of all layouts, shall be submitted to the SCDOT as directed by the Director of Traffic Engineering at the conclusion of the project.
- The CONTRACTOR shall provide as-built plans to include: directional bore logs, conduit offsets every 500', GPS data of device locations, all service and pull boxes, power metering points, mid span and reel end splices (three complete sets). An electronic copy of all GPS data will be turned in at the same time as the as-built plans. Allocation drawing and Fiber Trak data entry will be furnished by the Department as part of the integration.
- Provide As-Built "red-lined" signal plans to the District Signal Shop after the signal work is completed.
- Provide as-built load rating(s), updated as needed, with as-built plans if there have been any changes to the bridge(s) that affect the load rating. If no changes are made that affect the load rating(s), provide a certification signed by the engineer of record stating the original load rating(s) remain accurate for the bridge(s).

# **EXHIBIT 5**

# SPECIAL PROVISIONS AND CONTRACT REQUIREMENTS

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# **SPECIAL PROVISIONS**

# (1) SECTION 101: STANDARD DRAWINGS:

The Bidders are hereby advised that this project shall be constructed using the Current Standard Drawings with all updates effective at the time of this letting. For this design-build project, the time of the letting is the most recent Standard Highway Letting that occurred on or before the Final RFP release date. The Standard Drawings are available for download at <a href="https://www.scdot.org/business/standard-drawings.aspx">https://www.scdot.org/business/standard-drawings.aspx</a>. All drawings that are updated are labeled with their effective letting date in red.

All references in the plans, standard specifications, supplemental specifications, supplemental technical specifications or special provisions to drawings under the previous numbering system (prior to 2007) are hereby updated to the new drawing numbers. Refer to sheets 000-205-01 through 000-205-07 to find new drawing numbers when looking for references to older drawing numbers. "Old sheet numbers" are also visible on the website when using the full set of drawings "current" search and are sortable by clicking the header over the appropriate column on the results page. Be aware that some older drawings now span over multiple pages due to detailing changes.

# (2) SECTION 102: IMMINENT STANDARD DRAWINGS:

On the Standard Drawings search page, entre status of Imminent with other fields blank to see a list of upcoming Standard Drawings and their corresponding effective let date. Imminent drawings may be used at any time they are available if approved by the Resident. Follow procedure shown in imminent drawings when noted in this section.

Imminent Drawings will be made available as soon as they are signed.

#### (3) SECTION 102: STANDARD DRAWING ERRATA:

The Bidders are hereby advised that the following note changes apply to the published Standard Drawings.

On sheet **000-205-05**, add the following information under the columns below:

OLD DRAWING NAME

**NEW DRAWING NAME** 

720-905-01 to 720-905-05

720-901-01 to 720-993-32

On sheet 605-005-05 (ver 1-1-2013), replace entire text of General Note #4 with the following text:

4. The square footage of sign panels attached to 2½" x 2½" 12 gauge sign support secured to a 3" x 3" 7 gauge breakaway anchor shall not exceed 20 square feet.

On sheet **610-005-00** (ver **5-1-18**) added the following definiton to Note 1 of Flagging Operations section:

SIDE ROAD FLAGGER – This flagger is stationed on an intersecting side road and controls the side road traffic entering into the roadway where the work activity area is located.

# On sheet 610-005-20 (ver 5-1-18) added Note 5 :

5. When the work proceeds through a "STOP sign controlled" SIDE ROAD" intersection continue the work operations through the intersection to a specific location point within the "DEPARTURE LANE" no less than 300 FT to 500 FT beyond the limits of the intersection to allow the work train and all portions of the lane closure to clear the intersection.

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#### On sheet 610-005-20 (ver 5-1-18)

Added dimension "300'-500" for the work activity area after the intersection.

# On sheet 610-005-30 (ver 5-1-18) added Note 5 :

5. When the work proceeds through a "STOP SIGN CONTROLLED" intersection continue the work operations through the intersection to a specific location point within the "DEPARTURE LANE" no less than 300 FT to 500 FT beyond the limits of the intersection to allow the work train and all portions of the lane closure to clear the intersection.

#### On sheet 610-005-40 (ver 5-1-18) added Note 5 :

5. When the work proceeds through a "TRAFFIC SIGNAL CONTROLLED" intersection continue the work operations through the intersection to a specific location point within the "DEPARTURE LANE" no less than 300 FT to 500 FT beyond the limits of the intersection to allow the work train and all portions of the lane closure to clear the intersection.

#### On sheet 610-005-50 (ver 5-1-18) added Note 5 :

5. When the work proceeds through a "TRAFFIC SIGNAL CONTROLLED" intersection continue the work operations through the intersection to a specific location point within the "DEPARTURE LANE" no less than 300 FT to 500 FT beyond the limits of the intersection to allow the work train and all portions of the lane closure to clear the intersection.

#### On sheet 610-005-60 (ver 5-1-18) Title block changed:

Title block now reads "Flagging Operations – Work Zones Beginning @ Intersections with Two-Lane Two-Way Roadways – Departure Lane."

# On sheet 610-005-70 (ver 5-1-18) Title block changed :

Title block now reads "Flagging Operations – Work Zones Terminating @ Intersections with Two-Lane Two-Way Roadways – Approach Lane."

# On sheet 610-005-80 (ver 5-1-18) Note 6 revised:

6. Dependent upon the location of the work zone in the "Departure Lane" or the "Approach Lane" of the two-lane two-way road, when the work zone progresses to a location that requires conversion from this flagging operation traffic control setup to a standard flagging operation traffic control setup or vice versa, comply with the requirements of Standard Drawing No. 610-005-60 or Standard Drawing No. 610-005-70 as necessary regarding these conversions.

#### On sheet 610-005-90 (ver 5-1-18) Note 6 revised:

6. Dependent upon the location of the work zone in the "Departure Lane" or the "Approach Lane" of the two-lane two-way road, when the work zone progresses to a location that requires conversion from this flagging operation traffic control setup to a standard flagging operation traffic control setup or vice versa, comply with the requirements of Standard Drawing No. 610-005-60 or Standard Drawing No. 610-005-70 as necessary regarding these conversions.

# In Section 714-000 – Pipe Culverts (Permanent) (ver January 2011)

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Delete and replace all references to P1 Biaxial Geogrid with B4 Geogrid on all Drawings within this Section of the Standard Drawings.

On sheet 720-305-00 (ver May 2008), delete the entire note directly above main detail:

On sheet 720-405-00 (ver May 2009) Detail 2 replace dimension 2'-6" maximum with:

2'-6" minimum

On sheet 720-901-01 (ver Feb 2015) replace note 5.04 with:

5.04 When a mid-block crossing is required, consider mid-block staggered crossing (720-955-41) to encourage eye contact between the pedestrian and the oncoming traffic. Always angle the stagger so that the pedestrian travels through the refuge facing the oncoming traffic.

On sheet 722-305-00 (ver May 2010) Detail 4 replace note "French Drain see note 21" with:

French Drain see note 4.5.

On sheet 722-305-00 (ver May 2010) table 722-305A, 4th column, change the following:

Delete (SF)

Replace text "up to 36" with "up to 3'X3' "

Replace text "larger than 36" with "larger than 3'X3' "

On sheet 722-305-00 (ver May 2010) change general note 3.3 2nd sentence & Detail 4:

Place Class 2 Type C Geotextile for Erosion Control under riprap as specified in SCDOT Standard Specification.

On sheet 804-105-00 (ver May 2008) Title Block replace text "Rirap (Bridge End)" with:

Riprap (Bridge End)

On sheet 804-105-00 (ver May 2008) Change Note 2: Geotextile Pay Item to:

8048210 Geotextile for Erosion Control under riprap (Class 2) Type C.... SY

On sheet 804-205-00 (ver May 2009) Change Note 2: Geotextile Pay Item to:

8048210 Geotextile for Erosion Control under riprap (Class 2) Type C.... SY

On sheet 804-305-01 (ver Jul 2017) Change Note 4: Geotextile Pay Item to:

8048210 Geotextile for Erosion Control under riprap (Class 2) Type C.... SY

On sheet 804-305-02 (ver Jul 2017) Change Section A: Geotextile Note to:

Geotextile for Erosion Control under riprap (Class 2) Type C

On sheet 804-310-00 (ver Jul 2017) Change Note 3: Geotextile Pay Item to:

8048210 Geotextile for Erosion Control under riprap (Class 2) Type C.... SY

On sheet 805-001-01 Jan 2019 version, replace note 25.06 with:

25.06 FOR PROJECTS THAT SPECIFY PREMASH DEVICES (W-BEAM, TYPE T, TBBC, TYPE B, ETC.) INSTALL W-BEAM RAIL HEIGHT AT 29" +/- 1" (PREVIOUSLY NOTED AS 27.75" +3"/-0".)

#### On sheet 805-220-00 (ver Jul 2018) replace note 5:

FOR SITES WITH BRIDGES, BOLT GUARDRAIL TO BRIDGE PARAPET AS REQUIRED IN STIFFNESS TRANSITION, AND HOLD FACE OF GUARDRAIL POSITION (TYPICALLY 5'-3" FROM FACE OF CURB) THROUGH STIFFNESS TRANSITION. Make any necessary adjustments to face of guardrail within the LONGITUDINAL BARRIER. INSTALL END TREATMENT so that impact head is beyond the back of sidewalk.

On website, drawings between 805-500-00 and 805-779-99 are reserved as PREMASH standards. Do not value engineer or otherwise substitute PREMASH devices in any location where it has been determined that MASH devices fit and are specified. If MASH devices do not fit site condition, install PREMASH only upon approval by the Resident Engineer. Note that during MASH implementation, some PREMASH details may be published with old drawing numbering and a cover sheet that addresses drawing and pay item changes.

#### On sheets 805-860-xx (05, 10, 15, 20, 24, 30) (ver Jan 2016):

All references to toe drain details are revised to refer to drawing 805-875-10 (correct all notes pointing to drawings 805-895-00 or other incorrect drawing numbers.).

#### (4) SECTION 103: BONDS AND INSURANCE:

Bonds and Insurance consists of all Bonds and Insurance required of the contractor. A maximum allowable amount of 2.0% of the total contract amount will be paid on the first pay estimate after work begins. If there is a remaining amount of the lump sum price for Bonds and Insurance after payments are made according to the limit above, then the remaining amount will be paid on the final estimate.

If special insurance is required by the contract provisions, such as railroad or coastal insurance, no maximum limit will apply to this bid item.

Item No.	Pay Item	Unit
1032010	BONDS AND INSURANCE	LS

# (5) SECTION 103: MOBILIZATION – SUBCONTRACTOR:

Mobilization – Subcontractor consists of the preparatory operations for subcontractors including: moving personnel and equipment to the project site; paying bond and insurance premiums; establishing offices, buildings, and other facilities necessary for work on the project; and all other preparatory work or costs incurred before beginning work on the project.

Mobilization - Subcontractor is paid at the lump sum price bid, which price and payment is full compensation for organizing and moving all subcontractor forces, supplies, equipment and incidentals to the project site, regardless of the number of times such moves are made. The price and payment also includes costs for demobilization.

When the item Mobilization – Subcontractor is included in the bid items, payment will be made on the first four estimates once construction begins. Each payment is for 25% of the lump sum price for Mobilization - Subcontractor, subject to the maximum total limit of 5.0% of the total contract amount.

If there is a remaining amount of the lump sum price for Mobilization after payments are made according to the limit above, then the remaining amount is paid after all work on the project has been completed and accepted.

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Partial payment for this item in no way acts to preclude or limit any of the provisions of partial payments otherwise provided for by the Contract or these specifications.

Payment for this item includes all direct and indirect costs and expenses required to complete the work.

Pay items under this section include the following:

Item No.	Pay Item	Unit
1031100	MOBILIZATION - SUBCONTRACTOR	LS

# (6) SECTION 105: EXTENDED JOB SITE OVERHEAD:

Delete Paragraph 1, item D of Subsection 105.16.5 of the Standard Specifications and replace it with the following:

D. Extended Job site Overhead as determined by the formula set forth below:

 $D = A \times C / B$ 

Where: A = Original Contract Amount

B = Original Contract Time

C = 6%

D = Extended Jobsite Overhead rate per calendar day for compensable delays

#### (7) SECTION 105: BRIDGE INSPECTION ACCESS:

#### A. DESCRIPTION:

The contractor shall cooperate with and allow SCDOT personnel or their designee's access to all existing bridges within the project limits to perform periodic bridge condition evaluations, The purpose is to ensure that SCDOT complies with National Bridge Inspection Standards (NBIS) requirements. These evaluations may include routine, underwater, fracture critical, or special inspections. The Department or their designee shall give two weeks' notice to the Contractor of planned inspections. The Contractor shall schedule construction activities to allow unimpeded access to such bridges during NBIS Inspections.

The contractor shall notify the RCE four weeks prior to opening any new, widened, stage constructed or rehabilitated bridge to traffic to allow an initial bridge condition evaluation, an inventory inspection and an inventory underwater inspection (if needed). The contractor shall perform all repairs necessary to correct deficiencies noted in the condition evaluation report. Bridges, to include temporary bridges, should not be opened to traffic prior to completion of the NBIS Inspection(s).

# (8) SECTION 106: SOURCE OF PRODUCTION OF IRON AND STEEL PRODUCTS:

Delete Paragraph 1 of Subsection 106.11 of the Standard Specifications and replace it with the following:

"On this project where steel or iron materials are used, all manufacturing processes for iron and steel material, including tie wire for reinforcing steel, must occur in the United States in accordance with 23 CFR Section 635.410(b)(1)(ii). This requirement includes the application of coating for these materials. Coating includes all processes that protect or enhance the value of the material to which the coating is applied."

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# (9) SECTION 106: SOURCE OF TELECOMMUNICATION AND VIDEO SURVEILLANCE EQUIPMENT

In accordance with 2 CFR 200.216, Contractors, in the performance of this Contract, are prohibited from procuring or obtaining telecommunication or video surveillance equipment, services, or systems produced by:

- Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities).
- Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).

# (10) SECTION 106: SOURCE OF SUPPLY AND QUALITY OF MATERIALS:

Delete Paragraph 3 of Subsection 106.1 of the Standard Specifications and replace it with the following:

"When materials, components, or elements that are not specifically covered in the Standard Specifications, Supplemental Specifications, Supplemental Technical Specifications, or Project Special Provisions are proposed to be incorporated into the work, submit to the RCE a specification covering the proposed material, component, or element for review and acceptance prior to incorporating it into the work. Ensure that such materials, components, or elements meet the requirements of the AASHTO specifications that were effective as of the date of the Final RFP. If the materials, components, or elements are not covered in the AASHTO specifications, ensure that they meet the requirements of the ASTM specifications that were effective as of the date of the Final RFP. Submission of a specification for a material, component, or element not covered in the Standard Specifications, Supplemental Specifications, or Project Special Provisions does not guarantee approval for use on the Project."

# (11) SECTION 106: PLANT/FABRICATOR INSPECTION:

Subsection 106.4, **Plant Inspection**, of the Standard Specifications shall be amended with the following:

Change the subsection title to **Plant/Fabricator Inspection** and add the following sentence after the first sentence:

"Provide 14 calendar days written notice to the Materials and Research Engineer prior to beginning fabrication work for Department projects."

#### (12) SECTION 106: QUALIFIED PRODUCT LISTINGS:

All references to "Approval Sheet" or "Approval Policy" are to be replaced with "Qualified Products Listings (QPL)" and "Qualified Products Policies (QPP)" respectively. This change includes all references in the SCDOT Standard Drawings, SCDOT Standard Specifications, SCDOT Supplemental Specifications, SCDOT Special Provisions, SCDOT Supplemental Technical Specifications, SCDOT Internet and Intranet websites, and all other documents produced by SCDOT.

#### (13) SECTION 106: SOUTH CAROLINA MINING ACT:

The South Carolina Mining Act Supplemental Specification dated March 20, 2003 is hereby modified as follows:

Paragraph 9 is hereby deleted and replaced with the following:

The deputy secretary for engineering, or his duly appointed representative, will make a final inspection of the reclaimed area and keep a permanent record of his approval thereof. A map or sketch providing the location and approximate acreage of each pit used on the project will be provided to the resident construction engineer for inclusion in the final plans.

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The last paragraph is hereby deleted and replaced with the following:

The contractor shall comply with the provisions of the plan that are applicable to the project as determined by the engineer. Seeding or other work necessary to comply with the plan on pits furnished by the contractor shall be at the expense of the contractor. Seeding shall be in accordance with SC-M-810 (latest version) which can be found at <a href="https://www.scdot.org/business/road-technical-specs.aspx">https://www.scdot.org/business/road-technical-specs.aspx</a>.

# (14) SECTION 107: PROJECT BULLETIN BOARDS:

In accordance with the Required Contact Provisions Federal-Aid Construction Contracts Section II, Item 3, Part d, add the following:

Single Location Projects – On projects in which work is performed at a single location (such as bridge replacement projects, two-lane to five-lane widening projects, etc.), mount the project bulletin board in a permanent location within the project limits so that it is visible and accessible at all times.

Multiple Location Projects – On projects in which work is being performed or has the capability of being performed at multiple locations (such as resurfacing projects, pavement marking projects, etc.), display a portable bulletin board with at least one of the prime contractor's work crews. If the prime contractor is not performing work, display the portable bulletin board with at least one of the subcontractor's work crews. Display the portable bulletin board in a location and a manner that is acceptable to the RCE. Notify the RCE and all subcontractors as to the location of the portable bulletin board. On resurfacing projects, mount an additional project bulletin board in a permanent location at the asphalt plant supplying asphalt mix to the project so that it is visible and accessible at all times.

#### (15) SECTION 107: FAIR LABOR STANDARDS ACT OF 1938, AS AMENDED:

Attention is directed to this Federal Legislation, which has been enacted into law. The contractor will be responsible for carrying out all of the provisions of this legislation, which may affect this contract.

# (16) SECTION 107: CARGO PREFERENCE ACT REQUIREMENTS:

- A. Use of United States-flag vessels General Provisions:
  - "(1) Pursuant to Pub. L. 664 (43 U.S.C. 1241(b)) at least 50 percent of any equipment, materials or commodities procured, contracted for or otherwise obtained with funds granted, guaranteed, loaned, or advanced by the U.S. Government under this agreement, and which may be transported by ocean vessel, shall be transported on privately owned United States-flag commercial vessels, if available.
  - "(2) Within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (A)(1) of this section shall be furnished to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development. Maritime Administration, Washington, DC 20590."
- B. Use of United States-flag vessels The contractor agrees:
  - "(1) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
  - "(2) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States. a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in

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English for each shipment of cargo described in paragraph (B)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.

"(3) To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract."

# (17) SECTION 107: CONTRACT PROVISION TO REQUIRE CERTIFICATION AND COMPLIANCE CONCERNING ILLEGAL ALIENS:

By submission of this bid, the bidder as the prime contractor does hereby agree:

- A. to certify its compliance with the requirements of Chapter 14 of Title 8 of the S.C. Code of Laws regarding Unauthorized Aliens and Public Employment;
- B. to provide SCDOT with any documents required to establish such compliance upon request; and
- C. to register and participate and require agreement from subcontractors and sub-subcontractors to register and participate in the federal work authorization program to verify the employment authorization of all new employees, or to employ only workers who supply the documents required pursuant to S.C. Code 8-14-20(B)(2).

# (18) SECTION 107: IRAN DIVESTMENT ACT:

By submission of this bid/proposal, the bidder/proposer as the prime contractor/consultant/vendor does herby certify his compliance to the following:

- A. CERTIFICATION: (a) The Iran Divestment Act List is a list published pursuant to Section 11-57-310 that identifies persons engaged in investment activities in Iran. Currently, the list is available at the following URL: <a href="http://procurement.sc.gov/PS/PS-iran-divestment.phtm">http://procurement.sc.gov/PS/PS-iran-divestment.phtm</a>. Section 11-57-310 requires the government to provide a person ninety days (90) written notice before he is included on the list. The following representation, which is required by Section 11-57-330(A), is a material inducement for the SCDOT to award a contract to you. (b) By signing your Offer, you certify that, as of the date you sign, you are not on the then-current version of the Iran Divestment Act List. (c) You must notify the SCDOT immediately if, at any time before posting of a final statement of award. You are added to the Iran Divestment Act List.
- B. ONGOING OBLIGATIONS: (a) You must notify SCDOT immediately if, at any time during the contract term, you are added to the Iran Divestment Act List. (b) Consistent with Section 11-57-330(B), you shall not contract with any person to perform a part of the Work, if, at the time you enter into the subcontract, that person is on the then-current version of the Iran Divestment Act List.
- C. OPTION TO RENEW RESTRICTION: Contractor acknowledges that, unless excused by Section 11-57-320, if the contractor is on the then-current Iran Divestment Act List as of the date of any contract renewal, the renewal will be void ab initio.

# (19) SECTION 107: APPLICATION OF DAVIS-BACON AND RELATED ACTS TO INDEPENDENT TRUCK DRIVERS AND MISCELLANEOUS CONSTRUCTION ACTIVITIES:

June 13, 1990

- A. The Davis-Bacon and Related Acts apply when:
  - 1. A Contractor or Subcontractor hires a trucking firm or fleet of trucks to haul materials from a plant, pit, or quarry, which has been established specifically to serve (or nearly so) a particular project or projects covered by Davis-Bacon and Related Acts.
  - A Contractor or Subcontractor hires a trucking firm or fleet of trucks to haul material from a non-commercial stockpile or non-commercial storage site outside the limits of the project to the project site.

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- 3. A Contractor or Subcontractor hires a trucking firm or fleet of trucks to haul excavated materials away from a Davis-Bacon covered project.
- 4. A contractor or Subcontractor rents or leases equipment with an operator to perform work as called for under a Davis-Bacon construction contract.
- 5. A common carrier is used for the transportation of materials from an exclusive material supply facility to fulfill the specific need of a construction contract.

The fleet owner is not considered a Subcontractor with regard to the 70% subcontracting limitations and would not have to be approved as a Subcontractor. However, payrolls must be submitted by truck fleet owner covering the truck drivers, and all requirements such as predetermined wages, overtime, etc., are applicable. Legitimate owner-operators (truck owner driving his own truck) must appear on the payroll by name and notation "truck Owner Operator" with no hours, etc. shown.

- B. The Davis-Bacon and Related Acts do not apply when:
  - 1. A Contractor or Subcontractor hires a trucking firm or fleet of trucks to haul materials from a commercial plant, pit, or quarry which had previously been established for commercial use and regularly sell materials to the general public.
  - 2. A Contractor or Subcontractor hires a trucking firm or fleet of trucks to haul materials from an established commercial plant, pit, or quarry to a stockpile outside the limits of the project.
  - 3. Bona fide owner-operators of trucks, who are independent contractors, use their own equipment to haul materials to or from or on a Davis-Bacon covered project. (One man-One truck)

The fleet owner is not considered a Subcontractor with regard to the 70% subcontracting limitation and would not have to be approved as a Subcontractor.

# (20) SECTION 107: REQUIREMENTS FOR FEDERAL AID CONTRACTS WHICH AFFECT SUBCONTRACTORS, DBE HAULERS, MATERIAL SUPPLIERS AND VENDORS:

March 1, 2010

- A. The contractor's attention is directed to the requirements of Section I.2 in Form FHWA 1273 that is included in your contract documents as the Supplemental Specification "Required Contract Provisions Federal-Aid Construction Contracts". Section I.2 requires that "the contractor shall insert in each subcontract all of the stipulations contained in the Required Contract Provisions". This requirement also applies to lower tier subcontractors or purchase orders. These provisions must be physically included in your subcontracts. A reference to the applicable specification will not suffice.
- B. The contractor's attention is directed to the requirements of the Supplemental Specification "Standard Federal Equal Employment Opportunity Construction Contract Specifications". Section 2 requires that the provisions of this specification must be physically included in each subcontract with a value of \$10,000 or greater.
- C. The contractor's attention is directed to the requirements of the Equal Employment Opportunity Performance certifications in the Proposal Form Certifications and Signatures section of the contract. Section 1 concerning Equal Employment Opportunity must be physically included in each subcontract.
- D. Prior to the issuance of formal approval, all DBE subcontracts must include a signed copy of the subcontract agreement between the Prime Contractor and the DBE Subcontractor.
- E. Prior to the issuance of formal approval, of any DBE haulers, the contractor must submit a signed copy of the hauling agreement.
- F. The contractor's attention is further directed that sections 1, 2, 3, 8, 9, and 11of Form FHWA 1273, or Sections 1, 3, 8 and 10 of Form 1316 (for Appalachian contracts only) must be physically included in each purchase agreement with a value of \$10,000 or greater with a vendor or supplier, and in open-end contracts where individual purchases are less than \$10,000 but where the total purchases accumulate to \$100,000 or more per year.

# (21) SECTION 107: LATE DISCOVERY OF ARCHAEOLOGICAL/HISTORICAL REMAINS ON FEDERAL AID PROJECTS AND APPROVAL OF DESIGNATED BORROW PITS:

August 7, 1991

#### A. LATE DISCOVERY OF ARCHAEOLOGICAL/HISTORICAL REMAINS ON FEDERAL AID PROJECTS

# 1. Responsibilities:

The Contractor and subcontractors must notify their workers to watch for the presence of any prehistoric or historic remains, including but not limited to arrowheads, pottery, ceramics, flakes, bones, graves, gravestones, or brick concentrations. If any such cultural remains are encountered, the Resident Construction Engineer shall be immediately notified and all work in the vicinity of the discovered materials or site shall cease until the Department's Staff Archaeologist or the State Highway Engineer directs otherwise.

#### 2. Applicability:

This provision covers all areas of ground disturbance resulting from this federal - aid contract, including but not limited to road construction, Department designated borrow pits, Contractor furnished borrow pits, and/or staging areas.

# 3. Cost Reimbursement and Time Delays:

Any extra work required by A(1) above within the project right of way or on Department designated borrow pits (see below) will be paid for in accordance with Subsection 104.05 of the Standard Specifications. Extra contract time may be provided under Subsection 108.06 of the Standard Specifications for archaeological work within the project right of way or on designated borrow pits.

<u>NOTE:</u> On Contractor furnished borrow pits the contractor is not entitled to any additional time or money for delay on impact resulting from A(1) above or for extra work required by A(1) above. Therefore, contractors may wish to retain professional archaeological services to better ensure that borrow pit areas are cleared of archaeological/historical remains prior to use on Federal aid projects.

# B. APPROVAL OF DESIGNATED BORROW PITS ON FEDERAL AID PROJECTS (PLANT SITES WHICH QUALIFY AS COMMERCIAL ARE NOT INCLUDED)

In instances where the Department specifically designates the location of borrow pits on project plans or in contract specifications for use on a Federal aid project, an archaeological survey will be performed by Department archaeologists prior to award of contract.

This provision also applies to designated disposal sites, staging areas, haul roads, and job site field offices.

# (22) SECTION 107: SPECIFIC EQUAL EMPLOYMENT OPPORTUNITY RESPONSIBILITIES TRAINING SPECIAL PROVISIONS:

August 20, 1975 Revised April 1, 2004

This Training Special Provision supersedes Subparagraph 7b of the Special Provision entitled "Specific Equal Employment Opportunity Responsibilities", (Attachment 1), and is in implementation of 23 U.S.C. 140(a).

As part of the contractor's equal employment opportunity affirmative action program, training shall be provided as follows:

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The contractor shall provide on-the-job training aimed at developing full journeymen in the type of trade or job classification involved.

THE NUMBER OF TRAINEES TO BE TRAINED UNDER THE SPECIAL PROVISION WILL BE.

Road – 5 (at 520 hours each). Bridge – 2 (at 1040 hours each).

In the event that a Contractor subcontracts a portion of the contract work, he shall determine how many, if any, of the trainees are to be trained by the subcontractor, provided however, that the Contractor shall retain the primary responsibility for meeting the training requirements imposed by this Special Provision. The Contractor shall also insure that this training Special Provision is made applicable to such subcontract. Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training.

The number of trainees shall be distributed among the work classifications on the basis of the Contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment. Prior to commencing construction, the Contractor shall submit to the State Highway Agency for approval the number of trainees to be trained in each selected classification and training program to be used. Furthermore, the Contractor shall specify the starting time for training in each of the classifications. The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program.

Training and upgrading of minorities and women toward journeyman status is a primary objective of this Training Special Provision. Accordingly, the Contractor shall make every effort to enroll minority trainees and women (e.g., by conducting systematic and direct recruitment through public and private sources likely to yield minority and women (trainees)) to the extent that such persons are available within a reasonable area of recruitment. The contractor will be responsible for demonstrating the steps that he has taken in pursuance thereof, prior to a determination as to whether the Contractor is in compliance with this Training Special Provision. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

No employee shall be employed as a trainee in any classification in which he has successfully completed a training course leading to journeyman status or in which he has been employed as a journeyman. The Contractor should satisfy this requirement by including appropriate questions in the employee application or by other suitable means. Regardless of the method used the Contractor's records should document the findings in each case.

The minimum length and type of training for each classification will be as established in the training program selected by the Contractor and approved by the State Highway Agency and the Federal Highway Administration. The State Highway Agency and the Federal Highway Administration shall approve a program if it is reasonably calculated to meet the equal employment opportunity obligations of the Contractor and to qualify the average trainee for journeyman status in the classification concerned by the end of the training period. Furthermore, apprenticeship programs registered with the U.S. Department of Labor, Bureau of Apprenticeship and Training, or with a State apprenticeship agency recognized by the Bureau and training programs approved but not necessarily sponsored by the U.S. Department of Labor, Manpower Administration, Bureau of Apprenticeship and Training shall also be considered acceptable provided it is being administered in a manner consistent with the equal employment obligations of Federal Aid highway construction contracts. Approval or acceptance of a training program shall be obtained from the State prior to commencing work on the classification covered by the program. It is the intention of these provisions that training is to be provided in the construction crafts rather than clerk-typists or secretarial-type positions. Training is permissible in lower level management positions such as office engineers, estimators, timekeepers, etc., where the training is oriented toward construction applications. Training in the laborer classification may be permitted provided that significant and meaningful training is provided and approved by the division

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office. Some off-site training is permissible as long as the training is an integral part of an approved training program and does not comprise a significant part of the overall training.

Except as otherwise noted below, the cost for the training will be included in the contract price. There will be no reimbursement given by SCDOT for the hours of training that are provided on this project. However, a "Statement of Completed Training" will be required at the end of the project. The fact that the cost of the training must be included in the contract does not prohibit the contractor from receiving training program funds from other sources, if he so desires. Training hours may be counted if training is done off-site where the contractor does one or more of the following and the trainees are concurrently employed on a Federal Aid project: contributes to the cost of the training, provides the instruction to the trainee, or pays the trainee's wages during the off-site training period.

The training requirement will not be considered completed by the Contractor if either the failure to provide the required training, or the failure to hire the trainee as a journeyman, is caused by the Contractor and evidences a lack of good faith on the part of the Contractor in meeting the requirements of this Training Special Provision. It is normally expected that a trainee will begin his training on the project as soon as feasible after start of work the skill involved and remain on the project as long as training opportunities exist in his work classification or until he has completed his training program. It is not required that all trainees be on board for the entire length of the contract. A Contractor will have fulfilled his responsibilities under this Training Special Provision if he has provided acceptable training to the number of trainees specified. The number trained shall be determined on the basis of the total number enrolled on the contract for a significant period.

Trainees will be paid at least 60 percent of the appropriate minimum journeyman's rate specified in the contract for the first half of the training period, 75 percent for the third quarter of the training period, and 90 percent for the last quarter of the training period, unless apprentices or trainees in an approved existing program are enrolled as trainees on this project. In that case, the appropriate rates approved by the Departments of Labor or Transportation in connection with the existing program shall apply to all trainees being trained for the same classification who are covered by this Training Special Provision.

The Contractor shall furnish the trainee a copy of the program he will follow in providing the training. The Contractor shall provide each trainee with a certification showing the type and length of training satisfactorily completed.

The Contractor will provide for the maintenance of records and furnish periodic reports documenting his performance under this Training Special Provision, as required under the SCDOT approved training program.

Meeting the On-the-job Training Requirements or Making Good Faith Efforts to Meet the On-the-job Training Requirements. It is the Contractor's responsibility to meet the On-the-job Training Requirements stated in this section. Failure to meet the requirement or demonstrate good faith efforts, as determined by SCDOT, to meet the requirement may result in any one or more of the following sanctions:

- A. Withholding monthly progress payments:
- B. Declaring the Contractor in default pursuant to Section 108.10 of the Standard Specifications and terminating the contract;
- C. Disqualifying the Contractor from bidding pursuant to Regulation 63-306, Volume 25A, of the S. C. Code of Laws; and/or
- D. Requiring the Contractor to obtain On-the-job Training participation on future contracts to the extent the Contractor failed to meet or use good faith efforts to meet the On-the-job training contract requirement.

#### (23) SECTION 107: MONITORING OF CONSTR.-RELATED EARTHBORNE VIBRATIONS:

July 8, 2015

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#### A. DESCRIPTION

The project construction will generate vibrations that will travel through the earth, which will subsequently be received or "sensed" by nearby structures and inhabitants. Specific procedures that will generate earthborne vibrations during bridge and roadway construction include (but are not limited to) the installation of piles, earthquake drains, shoring walls, general foundation construction, and vibratory compaction of unclassified or borrow excavation. To mitigate the risk of vibration-related damage to nearby structures, this specification outlines the Contractor's responsibility for performing a program of pre-construction condition assessment and vibration monitoring during construction.

This specification is based, in part, on AASHTO R 8-96 (2004) *Standard Recommended Practice for Evaluation of Transportation-Related Earthborne Vibrations*. As discussed in AASHTO R 8-96 (2004), humans respond to a much broader range of vibration frequencies and intensities than structures. Intrusive vibration levels can annoy humans at much lower intensities than levels considered critical for structures. Thus, occupants of adjacent properties may perceive that the construction-induced vibrations may present risk to their structures. The recommended safe vibration limits are intended to mitigate the risk of structure damage, and more specifically, reduce the development of "threshold cracks" or cosmetic cracking. Such cracks may appear at lower vibration levels than the level at which architectural or minor structural damage would be expected to occur.

#### **B.** PRE-CONSTRUCTION CONDITION ASSESSMENT

The Contractor shall retain a geotechnical engineering firm to perform a pre-construction condition assessment to document the conditions of nearby buildings and other sensitive nearby structures prior to the beginning of construction. The assessment shall be performed on all properties adjacent to the project site and any other properties as directed by the Engineer. The assessment shall include any structures within 300 feet of any vibration inducing construction activity. The assessment should include video and photographic documentation of all exteriors and interiors, and installation of crack monitors on cracks that might propagate due to construction vibrations. All documentation of existing building conditions and information concerning the type and location of crack monitors shall be presented to the Engineer in a report prior to construction.

# C. CRACK MONITORING DURING CONSTRUCTION

During all construction, the Contractor shall perform periodic readings of the crack monitors that were installed during the pre-construction condition assessment. All readings shall be provided to the Engineer within 48 hours of taking the reading. Provided that the crack readings confirm that vibrations are not contributing to increasing the crack width, the crack monitors may be read once per week. More frequent readings may be directed by the Engineer during activities that are expected to have greater earthborne vibrations (e.g., pile driving). If the crack readings suggest that vibrations from the project site are contributing to crack width, then the Contractor shall immediately notify the Engineer and review those activities that are generating the earthborne vibrations. The Contractor and his or her geotechnical firm shall then submit a detailed plan for repair, perform the repair at no cost to the Department and develop and submit for review a revised construction plan to address the vibration problems and minimize further damage and complaints.

#### D. VIBRATION MONITORING DURING CONSTRUCTION

1. Procedure - The Contractor shall monitor vibrations at no less than four locations at each specific site of construction activity along the perimeter of the project during all foundation and embankment construction activities. The locations shall be selected by the Contractor based on the location of the construction activities and their relative position to nearby offsite structures. Prior to construction, a plan of the monitoring locations shall be submitted to the Engineer for acceptance. The locations of the vibration monitors shall be adjusted during construction with acceptance by the Engineer. The vibration monitors shall be established at the site so that background vibrations may be determined prior to beginning foundation or embankment construction. The sensitivity range of the seismograph shall be selected so that the recording is initiated below the maximum allowable particle velocity shown in Figure 1 and

extends above the highest expected intensity. Specific activities of the vibration source shall be indexed in time to allow correlation with the arrivals on the vibration

- 2. Project Vibration Criteria The maximum allowable particle velocity is shown in Figure 1. If the data from the monitors indicate that vibrations are exceeding the established criteria, then the Contractor shall immediately notify the Engineer and suspend those activities which are generating the earthborne vibrations, until the Contractor and his or her geotechnical firm have developed a revised construction plan to resolve the problem. The problem shall be resolved at no additional cost to the Department.
- 3. Instrumentation The vibration monitors shall consist of digital seismographs that display the particle velocities and associated frequencies plotted against the criteria for this project (i.e., Figure 1). Each seismograph shall contain geophones with response capability in three mutually perpendicular axes or components: one vertical and two horizontal (radial and transverse). The frequency response of the geophones shall be linear from at least 4 Hz to more than 200 Hz. The sensitivity shall range from less than 0.02 in/sec to more than 5.0 in/sec. The BlastMate III by Instantel is one type of seismograph that is suitable for this project.
- 4. Calibration and Instrument Use The Contractor shall field calibrate the vibration monitors before the start of each recording period. The transducer shall be positioned with the longitudinal axis toward the vibration source. Transducers must be adequately coupled with the ground. Operation of all vibration monitors shall be in accordance with the instrument manufacturer's instructions and recommendations. Vibration records shall be collected in waveform plot or strip chart plot. The peak vector sum of the particle velocity in longitudinal, transverse, and vertical planes shall be shown along with the respective dominant or principle frequencies. The highest recorded particle velocity (i.e., the vector sum of the three orthogonal directions), when indexed to a particle vibration event, shall be reported as the peak particle velocity. The recorded peak particle velocity shall be compared to criteria appropriate for the subject of concern.
- 5. Complaints In the event of a complaint, the Contractor shall immediately contact the Engineer and review those construction activities that are inducing vibrations into the earth. The Contractor shall prepare a report documenting all relevant data such as the time and date presented in the complaint, a description of the construction activities during the subject time/date, data from the monitoring instruments for the subject time/date, complaint information and a description (including photographs, if possible) of the alleged damage. The Contractor and his or her geotechnical firm shall then submit a detailed plan for repair, perform the repair at no cost to the Department and develop and submit for review a revised construction plan to address the vibration problems and minimize further damage and complaints.

#### **E.** METHOD OF MEASUREMENT

In addition to the pre-construction condition assessment report, the Contractor shall also provide monthly reports containing the results of the crack monitors and vibration monitors during those activities that generate earthborne vibrations, including (but not limited to) ground improvement and foundation construction. The reports shall document that the Contractor is providing the work described by this specification.

#### F. BASIS OF PAYMENT

Payment shall be made in proportion with the percent of the project that is complete. Final payment of the remaining lump sum balance shall be made when vibration monitoring is complete as approved by the Engineer. Payments shall be made under:

Item No.	Pay Item	Pay Unit
1075001	MONITORING OF CONSTRUCTION-RELATED	LS
	EARTHBORNE VIBRATIONS	

Limit of Acceptable Particle Velocity

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Figure 1 - Vibration Criteria (adapted from AASHTO R8-96)

# (24) SECTION 107: COMMUNITY AND PUBLIC RELATIONS PLAN:

SCDOT will take the lead role on this project and be responsible for a portion of the public information efforts. Unless noted otherwise elsewhere in this RFP, the SCDOT responsibilities include:

- A. Developing and maintaining the project website
- B. Soliciting and administering advertisements and media announcements, as deemed necessary

The Contractor shall coordinate with the Department to promote public awareness for this project. The amount of public involvement required for this project is directly based on the Contractor's Transportation Management Plan and construction details. The Design- Build Team's responsibilities shall include:

- A. Providing details surrounding the impacts to the public
- B. Providing advance notice to the Department of upcoming project impacts
- C. Assisting the Department in the development of the target audience list
- D. Attending and/or speaking at public meetings
- E. Hand delivery of time sensitive informational materials
- F. Preparing advertisements and media announcements
- G. Preparing and forwarding direct mailers, flyers, and other promotional materials as necessary
- H. If required, organizing public meetings, including venue selection, reservation and fee

The Contractor shall hold an initial project coordination meeting with SCDOT at least one month prior to start of construction to discuss project impacts to the public. This information will be used by the Contractor to create a Public Information Plan.

The Contractor shall inform the Department at least twenty-one (21) calendar days in advance of any construction activity that will have significant impact on the public, including, but not limited to, the start

of construction, major traffic shifts, road closures, ramp closures, detours, night work and project completion.

The Contractor will develop, with the assistance of SCDOT, the specific list of target audiences for this project. The following groups are identified as typical target audiences to receive informational materials:

- A. State Senator(s) and Representative(s)
- B. Chairman of the County Council
- C. County Administrator/Manager
- D. County Planner
- E. City Mayor (as appropriate)
- F. City Manager (as appropriate)
- G. Transportation services
- H. Emergency services
- I. Neighborhood groups and private homes
- J. Industry and businesses
- K. Chamber(s) of Commerce
- L. Individual schools effected by the project
- M. Public School District(s) and Transportation Office(s)
- N. Post Office
- O. Any other organization as deemed necessary by the Department

The minimum public information requirements solely associated with the Transportation Management Plans shall include, but not be limited to the following:

Public Meetings - If Beginning of Construction meeting for area businesses and residents is held, Contractor shall attend and be prepared to speak at this event.

Distribution of Informational Materials - For beginning of construction and for all road closures with detour routes, the Contractor shall be responsible for delivering time sensitive informational material provided by the SCDOT directly to portions of the target audience. If the Contractor informs the Department of the aforementioned activities less than twenty-one (21) calendar days in advance, the Contractor shall hand deliver the informational materials to the impacted target audiences.

The Department will be responsible for establishing, creating, maintaining and updating the project website for this project. However, throughout the project duration, the Contractor shall coordinate with the RCE to ensure the accuracy of the aforementioned project website. At a minimum, the Contractor shall designate a contact for public information inquiries and coordination. Throughout construction, at a minimum, this contact shall provide bi-weekly updates to the RCE, including, but not limited to, traffic control phasing, graphic illustrations, project pictures, etc.

The Contractor shall include in their Total Cost to Complete, all costs associated with their involvement in the Community and Public Relations Plan.

#### (25) SECTION 108: PARTNERING:

#### A. COVENANT OF GOOD FAITH AND FAIR DEALING

This Contract imposes an obligation of good faith and fair dealing in its performance and enforcement.

The CONTRACTOR and Department, with a positive commitment to honesty and integrity, agree to the following mutual duties:

- 1. Each will function within the laws and statutes applicable to their duties and responsibilities.
- 2. Each will avoid hindering the other's performance.
- 3. Each will proceed to fulfill its obligations diligently.

4. Each will cooperate in the common endeavor of the Contract.

#### B. PARTNERING

The Department encourages the foundation of cohesive partnering with the CONTRACTOR and its principle subcontractors and suppliers. This partnering is not a legal partnership as defined by South Carolina law. Partnering will be structured to draw on the strengths of each organization to identify and achieve reciprocal goals. The objectives are effective and efficient contract performance and completion within budget, on schedule, and in accordance with the Contract.

The establishment of a partnering charter will not change the legal relationship of the parties to the contract nor relieve either party from any of the terms of the Contract. Any cost associated with effectuating partnering will be agreed to by the Department and the CONTRACTOR and will be shared equally between them.

# (26) SECTION 109: FUEL ADJUSTMENT INDEXES:

No fuel adjustment will be made on this Project.

#### (27) SECTION 109: REFERENCES TO UNIT PRICING:

Except listed below, any references in the contract documents to unit price, measurement, and payment, are typical references for design-bid-build contracts and are not applicable to the extent they effect payment on Design-Build contracts. The Design-Build contractor's schedule of values shall provide sufficient detail to compare work progress to the contractor's schedule and determine appropriate periodic payments.

The following Special Provisions contain unit rate and payment information specifically applicable to this Design-Build contract:

SECTION 401: HOT MIX ASPHALT (HMA) QUALITY ASSURANCE

SECTION 401: HOT-MIX ASPHALT RIDEABILITY

SECTION 401: FULL DEPTH ASPHALT PAVEMENT PATCHING

SECTION 413: COLD CENTRAL PLANT RECYCLING MATERIALS

SECTION 501 ROLLER COMPACTED CONCRETE

SECTION 503: PORTLAND CEMENT CONCRETE PAVEMENT UNIT COST

SECTION 701: NON-CONFORMING CONCRETE

#### (28) SECTION 202: REMOVAL OF EXISTING GUARDRAIL:

Section 202.4.4.3 applies on this project.

# (29) SECTION 202: RECLAIMING EXISTING ROADWAY:

#### A. DESCRIPTION

This work consists of the restoration of paved areas. These areas are typically shown as hatched areas on the plans when outside the construction limits.

# B. MATERIALS

None

#### C. CONSTRUCTION REQUIREMENTS

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- Asphalt Pavement with Earth Base: Remove and dispose of areas of pavement shown as hatched areas on the plans. Grade the area to properly drain. Seed the area in accordance with Section 810.
- 2. Asphalt Pavement with Stone Base: Remove and dispose of areas of pavement and base shown as hatched areas on the plans. Grade the area to properly drain. Seed the area in accordance with Section 810.
- 3. Earth roadway or Bituminous Surfacing with Earth Base: Scarify existing areas of roadway. Grade the area to properly drain. Seed the area in accordance with Section 810.
- 4. Bituminous Surfacing with Stone Base: Remove and dispose of areas of pavement and base shown as hatched areas on the plans. Grade the area to properly drain. Seed the area in accordance with Section 810.

Suitable materials may be used for embankment construction on the project. In the event that removed materials are used for embankment construction a corresponding deduction in Unclassified Excavation will be made by the Resident Construction Engineer.

#### D. MEASUREMENT

Removed asphalt pavement greater than 2 inches in depth will be measured by the square yard. Removed bituminous surfacing with stone base will be measured by the cubic yard. Removed stone base will be measured by the cubic yard. Scarified areas will not be measured for payment.

#### E. PAYMENT

Removed asphalt pavement which is greater than 2 inches in depth will be paid at the unit price bid for Removal and Disposal of Existing Asphalt Pavement. Removed bituminous surfacing with stone base will be paid for at the unit price bid for Unclassified Excavation. Removed stone base will be paid for at the unit bid price for Unclassified Excavation. No payment will be made for scarifying earth roadway or bituminous surfacing with earth base. No separate or additional payment will be made for grading necessary to obtain proper drainage.

# (30) SECTION 202: STAGED REMOVAL OF EXISTING BRIDGES:

For existing bridges that will be removed in stages, maintain stability of the existing structure at all times while traffic is on or passing under the bridge. At a minimum, replace all tie rods after removal of any slab sections and maintain bracing on the existing piles at all times while traffic is on or passing under the bridge.

#### (31) SECTION 203: BORROW EXCAVATION:

Delete paragraph 1 of Subsection 203.2.1.8 of the Standard Specifications and replace it with the following:

1. Borrow consists of material required for the construction of embankments or for other portions of the work where the elevation of the existing subgrade is less than the subgrade elevation required on the Plans or directed by the RCE. When sufficient material is available entirely within the right-of-way, the work is covered by the item Unclassified Excavation and the material shall meet the material requirements of Borrow Excavation in this subsection. When it is necessary to bring material from outside of the right-of-way, the work is covered by the item Borrow Excavation, and the material shall also meet the requirements for Borrow Excavation in this subsection. The material requirements of this subsection apply to all material used in the work regardless of its origin. The requirements of this subsection are not applicable to in situ subgrade material.

# (32) SECTION 203: BORROW EXCAVATION (FOR SHOULDERS):

This work shall consist of satisfactory placement of all materials necessary to bring the shoulder grade to within 2 inches of the final pavement edge grade. The Contractor shall furnish all earth material necessary to eliminate any edge of final pavement to shoulder gradient differential that exceeds 2

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inches. The quantities shown on the plans are the Engineering estimate of the number of units that will be necessary for this project, actual field measurements may cause these quantities to vary.

Selected materials shall be used for this operation. The selected material shall consist of a friable material such as topsoil, etc., containing grass roots and having the properties of being comparatively porous, capable of growing grass and of a stable nature in that when compacted it will resist erosion and be capable of supporting vehicles when relatively wet. When the area where material is to be placed, is greater than 4 feet in width, it shall be scarified and/or disked to a minimum depth of 3 inches prior to placing any material. Scarifying or disking is not required for areas less than 4 feet in width. Borrow shall be mixed with the existing scarified and/or disked shoulder material in such a manner as to provide a seed bed in accord with Section 810.15 of the Standard Specifications. The Contractor has the option of placing the borrow material (a) Prior to placing final surface course or (b) Following the placing of the finished surface course.

The method of measurement will be the volume in cubic yards, determined in accordance with Section 203 of the Standard Specifications. The Contractor, at his option, may elect to base the quantity measured on the loose volume at the point of delivery by scaling and counting the loads, with a deduction of 35 percent made for shrinkage. All cost for borrow material including obtaining, hauling, and placing shall be included in the unit price.

# (33) SECTION 203: BORROW PITS:

#### A. PERMITTING OF BORROW PITS

Prior to using borrow material from commercial or other borrow pits located wholly or in part in wetland areas, the contractor shall submit written evidence that operations to obtain fill material from the borrow pit(s) have received all appropriate and necessary authorizations from federal, state, and/or local authorities.

#### Permitted Borrow Pits

If the appropriate federal, state, and local authorities have issued permits, the contractor shall provide to SCDOT copies of all permits issued for such borrow pit sites.

#### **B.** Borrow Pits Without Section 404 Permit

For borrow pit sites for which a Section 404 permit under the Clean Water Act has not been issued, the contractor shall provide SCDOT with copies of documentation provided by the contractor or its subcontractor(s) to the U.S. Army Corps of Engineers, which shall, at a minimum, clearly define the location of the borrow pits and any wetlands on the borrow pit site; describe the proposed activities and processes that will be used to prepare the site, obtain fill material from the site, and store material at the site; and request the U.S. Army Corps of Engineers to confirm in writing that no Section 404 permit is required for those operations. No operations shall take place at the borrow sites for at least thirty days from the date of the submission of confirmation request to the U.S. Army Corps of Engineers. After thirty-one days the contractor may begin work. The contractor shall also provide copies to SCDOT of any response(s) provided by the U.S. Army Corps of Engineers to its documentation.

#### C. RESPONSIBILITY

SCDOT has no obligation or duty to review, assess, evaluate, or act upon such documentation and maintains no authority or responsibility to alter, amend, reject, accept, or otherwise exercise any control over the contractor or subcontractor regarding compliance with Clean Water Act Section 404 and the implementing regulations for Section 404. Documentation submitted to SCDOT is for public information and coordination purposes only. The contractor is responsible for all costs related to the selection, operation, and/or activities at any borrow pit site in wetlands including fines, additional mitigation, and impact delays related to failure to obtain any and all necessary federal, state, and local permits and approvals for borrow pits and operations. Nothing herein shall affect in any way SCDOT's right to accept or reject any fill material not meeting the required technical specifications.

# (34) SECTION 204: TEMPORARY SHORING WALL:

Subsection 204.4.5.2 of the Standard Specifications is amended to include the addition of the following section:

"The retaining wall system shall be designed to limit deformations (vertical and lateral displacements) that would affect the stability or performance of any adjacent structures (MSE walls, Bridge foundations, Pavement Structure, Approach Slabs, Embankment (stage construction), etc.). Deformations that must be limited shall include, but not be limited to, vertical settlement, sliding, bulging, bowing, bending, and buckling. Design criteria for allowable deformations shall be dependent on the type of structure that will be influenced by any deformation of the temporary shoring wall. Regardless of the type of structure being retained, the deformation criteria shall not exceed 3 inches without acceptance from the RCE. An instrumentation plan for monitoring deformations of the temporary shoring and any adjacent structure shall be submitted along with the shop drawings. The instrumentation plan shall indicate the maximum allowable deformations of the temporary shoring and adjacent structures. Typical instrumentation used for monitoring deformations are survey targets, settlement monuments, crack gages, inclinometers, and tilt monitors. The monitoring locations shall be established in a manner that they can be monitored consistently and obtain repeatable measurements for the entire construction period. A monitoring schedule that the Contractor will use during construction will also be included with the instrumentation plan. The Contractor shall submit periodic monitoring reports to the RCE in accordance with the approved instrumentation plan. Any changes in frequency of monitoring or report submittal must be sent to the RCE for acceptance. If the initial instrumentation plan is found not to be documenting adequately the movements of the temporary shoring or adjacent structures, the Contractor will revise the instrumentation plan and resubmit the revised plan for review and acceptance. If the measured deformations exceed the maximum allowable deformations shown in the instrumentation plan, the Contractor will be required to stop work immediately, and at his own expense, correct the situation to the satisfaction of the Department prior to resumption of construction activities. Extended monitoring after construction may be required if adjacent structures have been affected by the construction. The extended monitoring of the adjacent structures shall continue until the structures have stabilized and the Department concurs with the results and conclusions of the monitoring report. All costs associated with developing the instrumentation plan, purchasing instrumentation, installing instrumentation, and monitoring of the instrumentation shall be included in the unit cost of the temporary shoring item."

# (35) SECTION 205: HIGH-STRENGTH GEOTEXTILE FOR EMBANKMENT REINFORCEMENT:

April 21, 2015

#### A. DESCRIPTION

This work shall consist of furnishing and installing construction geotextiles in accordance with the details shown in the plans, specifications, or as directed by the RCE.

#### B. MATERIALS

A geotextile is defined as any permeable polymeric textile used with foundation, soil, rock, earth, or any other geotechnical engineering related material, as an integral part of a civil engineering project, structure, or system. Use geotextiles and thread used in joining geotextiles manufactured from fibers consisting of long-chain polymers, composed of at least 95 percent by weight of polyolefins or polyesters. Use geotextiles with fibers formed into a stable network such that the fibers or yarns retain their dimensional stability relative to each other, including selvedges (edges) during shipping, handling, placement, and in service. Use geotextile free from defects or tears.

- Minimum Average Roll Values: All property values, with the exception of Apparent Opening Size (AOS), represent Minimum Average Roll Values (MARV) in the weakest principal direction. Provide geotextiles whose average test results from any roll sampled in a lot for conformance or quality assurance testing meets or exceeds minimum values provided in this Section.
- 2. **Apparent Opening Size:** Values for Apparent Opening Size (AOS) represent maximum average roll values. Acceptance will be based on ASTM D 4759.

 Reinforcement Geotextile: Use reinforcement geotextile within existing and/or proposed fills for slope reinforcement.

Furnish geotextiles meeting the property requirements outlined in Table 1.

Table 1: High Strength Geotextile Properties (Design Requirements)<sup>1,2</sup>

Property	Test Method	Geotextile Property Requirements
Long-Term Design Strength, T <sub>al</sub> , MD Long-Term Design Strength, T <sub>al</sub> , XD		22,800 lb/ft 2,280 lb/ft
Sewn Seam Breaking Strength <sup>3</sup>	ASTM D4884	900 lbs/ft
AOS	ASTM D4751	$\leq$ (1.0 to 2.0)D <sub>85(soil)</sub>
Permeability	ASTM D4491	≥10k <sub>soil</sub>
Default Pullout Friction Factor, F*	ASTM D6706	0.6Tan Ф
Default Alpha, α	ASTM D6706	0.6
Ultraviolet Stability	ASTM D4355	≥ 50% after 500 hrs of exposure

Notes:

- 1.The test procedures shall conform to the most recently approved ATSM geotextile test procedures.
- 2. All numeric values represent Minimum Average Roll Value (MARV).
- 3. Applies to factory or field sewn seams.

# 4. Source Approval and Certification

Prior to construction, the Contractor shall submit to the Resident Construction Engineer (RCE) a Certification Package prepared by the geotextile reinforcement manufacturer. The Contractor shall allow 21 calendar days from the day the submittals are received by the RCE for review and acceptance. Submit the following information regarding each geotextile proposed for use:

- a. Manufacturer's name and current address:
- b. Full product name/number, including roll number;
- c. Geosynthetic material (i.e. polymer type) and structure (including fiber/yarn type);
- d. Proposed geotextile use(s); and
- e. Certified test results for the properties outlined in Table 1 and below in Section 4.

The Certification shall state that the furnished geotextile soil reinforcement is in full compliance with the design requirements as stated in this specification and the design drawings and is fit for use in long-term critical soil reinforcement applications. In addition to the minimum required properties in Table 1, the submittal shall also certify the following values for each geotextile soil reinforcement used on the project:

- a. The ultimate tensile strength, Tult, (MARV) for geogrid soil reinforcements, MD/XD
- b. The tensile strength at 5% strain, MD
- c. The creep reduced tensile strength, MD
- d. The geotextile's pullout coefficients (F\*, α)

The Contractor's submittal package shall include, but not be limited to, actual test results for tension, creep, durability, construction damage, joint/seam strength, pullout and quality control. A person having the legal authority to bond the manufacturer shall attest to the certificate. Any tests required shall be performed at no additional cost to the Department. If in the opinion of the RCE, the required documentation is not provided for individual reduction factors (RF) or pullout coefficients (F\*,  $\alpha$ ), default values for these design parameters shall be used in accordance with this specification.

#### a. Ultimate Tensile Strength (Tult):

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The ultimate tensile strength, T<sub>ult</sub>, shall be determined from wide width tensile tests (ASTM D 4595). Geotextile samples tested in accordance with ASTM D 4595 shall be with an 8-inch width specimen, or a 4-inch specimen width with correlation to an 8-inch width. Correlation methodology shall be submitted to, and is subject to acceptance by the RCE. All geotextile strength tests (ASTM D 4595 and ASTM D 6637) shall be conducted at a strain rate of 10% per minute based on actual gage length necessary to meet the testing sample dimension requirements. Laboratory test results documenting the ultimate tensile strength, T<sub>ult</sub>, in the reinforcement direction shall be based on the minimum average roll values (MARV) for the product.

# b. Long-Term (Allowable) Design Tensile Strength (Tal):

The allowable tensile load per unit width of geotextile soil reinforcement, T<sub>al</sub>, in accordance to the backfill type used shall be computed as follows:

$$T_{al} = \frac{T_{ult}}{RF}$$

#### c. Reduction Factor (RF):

The total reduction factor, RF, is the combined reduction factor for long-term degradation due to installation damage, creep, and durability. The total reduction factor, RF, shall be defined as follows:

$$RF = RF_{ID}xRF_{CR}xRF_D \ge 3.0$$

The individual reduction factors shall be documented in accordance with the site conditions, design calculations, and specifications. When sufficient documentation is not provided for individual reduction factors,  $RF_{ID}$ ,  $RF_{CR}$ , and  $RF_D$ , a reduction factor RF of 7.0 shall be used. The reinforcement manufacturer shall certify and document the individual reduction factors as follows:

#### d. Installation Damage Reduction Factor (RF<sub>ID</sub>):

The reduction factor for installation damage,  $RF_{ID}$ , shall be documented by field and laboratory test results and literature review, as described in ASTM D 5818 for the reinforced backfill specified or for more severe soils. Samples subjected to installation damage shall be tested for tensile strength and deformation characteristics in accordance with ASTM D 4595. Recommended values for reduction factors for installation damage ( $RF_{ID}$ ) for various soils shall also be documented. The minimum installation damage reduction factor,  $RF_{ID}$ , shall be 1.1, regardless of product specific test results.

# e. Creep Reduction Factor (RF<sub>CR</sub>):

Laboratory test results documenting creep performance over a range of load levels, for a minimum duration of 10,000 hours based on tension creep test (ASTM D 5262) shall be required. Creep test samples shall be of sufficient width to be representative of overall product creep response (fiber creep testing will not be accepted).

The creep-limiting strength, T<sub>I</sub>, shall be based on extrapolating the 10,000 hours (or longer duration) tension creep tests to a 75-year design life, unless a 100-year design life is specified in the plans. The creep extrapolation method shall be based on methods described in FHWA NHI-10-025, "Design and Construction of Mechanically Stabilized Earth Walls and Reinforced Soil Slopes" - Appendix "D". Laboratory test results and extrapolation methodology shall be documented.

The reduction factor for creep, RF<sub>CR</sub>, is defined as the ratio of the average lot specific ultimate tensile strength, T<sub>ULTLOT</sub>, to the creep-limiting strength, T<sub>1</sub>. The average lot specific

ultimate tensile strength, Tultlot, for the lot of material used for creep testing, Tultlot, shall be determined from wide width tensile test, ASTM D 4595.

# f. Durability Reduction Factor (RF<sub>D</sub>):

The total reduction factor for durability, RF<sub>D</sub>, shall be defined as the combined effects of chemical and biological degradation. Laboratory test results, extrapolation techniques, and a comprehensive literature review shall document the reduction factor for durability for all material components in accordance with FHWA NHI-09-087, "Corrosion I Degradation of Soil Reinforcements for Mechanically Stabilized Earth Walls and Reinforced Soil Slopes". The minimum durability reduction factor, RF<sub>D</sub>, shall be 1.1, regardless of product specific test results.

#### g. Soil Reinforcement Pullout Coefficients ( $F^*$ , $\alpha$ ):

The Certification Package shall document the pullout coefficients ( $F^*$ ,  $\alpha$ ) meet or exceed the required coefficients necessary to obtain the  $T_{al}$  provided above where,

 $F^* = Minimum pullout friction factor = C_i Tan \Phi$ ,

C<sub>i</sub> = Soil interaction coefficient ≥ 0.6

 $\Phi$  = Soil Angle of Internal Friction

The pullout friction factor,  $F^*$ , and the scale effect correction factor,  $\alpha$ , shall be documented by laboratory testing from pullout tests. Pullout testing shall be conducted for site-specific materials or for materials representative of the reinforced backfill at confining pressures specified by the Engineer. When laboratory tests are used from representative soils, the representative soils shall be documented by providing the soil's angle of internal friction, gradation, and coefficient of uniformity ( $C_u = D_{60}/D_{10}$ ). Recommended pullout coefficients for various soil types shall also be documented. The pullout coefficients shall be determined by using the quick effective stress pullout tests ("Measuring Geosynthetic Pullout Resistance in Soil" per ASTM D 6706). The soil interaction coefficient,  $C_i$ , shall be documented when computing the pullout friction factor,  $F^*$ . When sufficient documentation is not provided for pullout coefficients,  $F^*$  and  $G^*$ , and the coefficient of uniformity,  $G^*$ , is greater or equal to 4, the default values indicated in this specification can be used. If the coefficient of uniformity of the reinforced backfill is less than 4, laboratory pullout test shall be required to determine pullout friction factor,  $F^*$ , and the default scale effect factor,  $G^*$ .

# 5. Sample Approval.

To confirm that the on-site geotextile meets the property values specified, random samples shall be submitted to the RCE for evaluation. The machine direction shall be marked clearly on each sample submitted for evaluation. The machine direction is defined as the direction perpendicular to the axis of the roll.

Cut a sample from the geotextile roll with the minimum dimensions of 4 feet by the full width of the roll beyond the first wrap. The geotextile samples shall be cut from the roll with scissors, sharp knife, or other suitable method that produces a smooth edge and does not cause geotextile ripping or tearing. Submit a manufacturer's certificate of compliance signed by an authorized manufacturer's official. The certificate must attest that the geotextile meets all the Minimum Average Roll Value (MARV) requirements specified in Table 1 as evaluated under the manufacturer's quality control program. Geotextiles supplied for construction of the project shall be certified in accordance with the following criteria. The tests described in the specification shall be conducted by the manufacturer or by an approved independent testing laboratory on samples taken from the same lot number as the material actually shipped to the project and at the specified frequency. The manufacturer or independent testing laboratory shall maintain the appropriate accreditations and must be preapproved by the Department. All rolls shall be marked with individual and distinct roll numbers. All roll numbers shall have traceable certified mill test reports from the given lot that they were manufactured. These test reports must be supplied to the Department prior to installation of any geotextile materials.

After the sample and the required information have been submitted to the RCE, allow 30 calendar days for evaluation.

Product acceptance is determined by comparing the average test results of all specimens within a given sample to the Minimum Average Roll Values (MARV) listed in Table 1. Install geotextiles only after the material has been tested and/or evaluated and accepted. Replace all geotextiles installed prior to acceptance that do not meet specifications at Contractor's expense.

# a. Sewn Seam Approval

If the geotextile seams are to be sewn in the field, the Contractor shall provide a section of sewn seam that can be sampled by the RCE before the geotextile is installed. The sewn seam shall be in accordance with ASTM 6193.

The seam sewn for sampling shall be sewn using the same equipment and procedures as will be used to sew the production seams. The seam sewn for sampling must be at least 6 feet in length. If the seams are sewn in the factory, the Contractor shall provide samples of the factory seam at random from any of the rolls to be used. Regardless of whether the seam is to be sewn in the factory or the field, the manufacturer and/or Contractor shall certify that the strength meets the requirement set forth in Table 1. If seams are to be sewn in both the machine and cross-machine direction, provide samples of seams from both directions. The seam assembly description shall be submitted by the Contractor to the Engineer and will be included with the seam sample obtained for testing. This description shall include the seam type, stitch type, sewing thread type(s), and stitch density.

If sewn seams are used for seaming the geotextile, use thread that consists of high strength polypropylene or polyester. Do not use nylon thread. Use thread that is of contrasting color to that of the geotextile itself.

If the manufacturer can provide a T<sub>al</sub> MD that is greater than the sum of the required T<sub>al</sub> MD and sewn seam breaking strength (for each specified in the project plans), the sewn seams may be eliminated and a minimum overlap of 1 foot may be used.

#### 6. Identification, Shipment and Storage

Conform to ASTM D 4873, Standard Guide for Identification, Storage, and Handling of Geotextiles. Clearly label each roll of geotextile shipped to the project with the name and address of the manufacturer, full product name/number, quantity, and roll number.

The RCE will reject materials that are mislabeled or misrepresented. Wrap each roll with a material that protects the geotextile, including ends of the roll, from damage due to shipment, water, sunlight, and contaminants. Maintain the protective wrapping during periods of shipment and storage. Do not damage the geotextile or wrapping when unloading or transferring from one location to another. Do not drag the rolls.

During storage, elevate geotextile rolls off the ground and adequately cover to protect them from the following:

- a. Site construction damage;
- b. Precipitation;
- c. Ultraviolet radiation including sunlight;
- d. Chemicals that are strong acids or strong bases;
- e. Flames including welding sparks, temperatures in excess of 140 °F (60 °C); and
- f. Mud, dirt, dust, debris and any other environmental condition that may damage the physical property values of the geotextile

#### C. CONSTRUCTION REQUIREMENTS

#### 1. General

Prepare the surface on which the geotextile is to be placed so that no damage occurs to the geotextile. Do not drive or operate any construction equipment directly on the geotextile. Dispose of material with defects, rips, holes, flaws, deterioration, or other damage. Do not use defective material in the work. The manufacturer shall be present on site for a minimum of two days of geotextile installation such that the manufacturer observes any field-sewn seams.

#### 2. Installation Plan

Within thirty (30) calendar days after award of the contract or no later than thirty (30) calendar days before beginning high-strength geotextile installation, the Contractor shall submit to the Department for review a high-strength geotextile installation plan that includes as a minimum the following information:

a. The Contractor shall certify and provide proof to the Department of experience in the work described. The Contractor shall have successfully installed at least 500,000 square yards of any geotextile that has sewn seams during the last five years. In addition, the Contractor shall have successfully completed at least five projects within the last five years of similar size and complexity to that of the Project.

The Contractor's experience shall be documented by providing a project summary that includes for each referenced project, the project start and completion dates, total quantity of geotextile installed (specifically indicate if high-strength geotextile installed), and a detailed description of the project, site conditions, and subsurface conditions. The project description shall include details of the geotextile materials, the equipment and technique used to install the geotextiles, the average and maximum area of geotextile installed, the client name and address, the name and telephone number of the representative of the consultant and owner for whom the work was performed and who can attest to the successful completion of the work, and any other information relevant to demonstrating the Contractor's qualifications.

- b. Resume of supervisor documenting experience and qualifications in the installation of both normal and high-strength geotextile. The Contractor shall have a full-time supervisor who has been in responsible charge of supervising geotextile installation operations for at least five projects in the last five years. The supervisor shall be present at the work site at all times during installation operations. The acceptability of the supervisor, as well as any replacement for the supervisor, will be subject to the approval of the Department.
- c. Shop drawings showing the planned locations and elevations of all high-strength geotextiles. The installation sequence shall also be provided including any required staging. The shop drawings shall also show the location of the bridge abutment, and the limits of the final embankment and construction staging.
- d. Detailed description of proposed installation procedures
- e. Proposed methods and equipment for sewn seams

#### 3. Site Preparation

Prepare the installation site by clearing, grubbing, and excavating or filling the area to the design grade. This includes removal of topsoil or vegetation. The RCE will identify soft spots and unsuitable areas during site preparation. This may include but not be limited to proof-rolling specific areas defined by the RCE. Excavate these areas and backfill with approved borrow or bridge lift material and compact as specified. The area to be covered by the geotextile shall be graded to a smooth, uniform condition free from ruts, potholes, and protruding objects such as rocks or sticks.

The Contractor may construct a working platform, up to 2 feet in thickness, in lieu of grading the existing ground surface. A working platform is required where stumps or other protruding objects which cannot be removed without excessively disturbing the subgrade are present.

These areas shall be prepared in accordance with the 2007 Standard Specifications for Highway Construction. The stumps shall be covered with at least 6 inches of fill before placement of the first geotextile layer.

#### 4. Geotextile Placement

The geotextile shall be spread immediately ahead of the covering operation. The geotextile shall be laid with the machine direction perpendicular or parallel to centerline as shown in Plans. All seams shall be sewn. Seams to connect the geotextile strips end to end will not be allowed. The geotextile shall not be left exposed to sunlight during installation for a total of more than 14 calendar days. The geotextile shall be laid smooth without excessive wrinkles. Under no circumstances shall the geotextile be dragged through mud or over sharp objects, which could damage the geotextile.

Small soil piles or the manufacturer's recommended method shall be used as needed to hold the geotextile in place until the specified cover material is placed. Remove wrinkles and folds by pulling the geotextile taut as required.

Should the geotextile be torn or punctured or the sewn joints disturbed, as evidenced by visible geotextile damage, subgrade pumping, intrusion, or roadbed distortion, the backfill around the damaged or displaced area shall be removed and the damaged area repaired or replaced by the Contractor at no expense to the Department. The repair shall consist of a patch of the same type of geotextile placed over the damaged area. The patch shall be sewn at all edges.

If geotextile seams are to be sewn in the field or at the factory, the seams shall consist of two parallel rows of stitching, or shall consist of a J-seam, Type SSn-2. The two rows of stitching shall be 1 inch apart with a tolerance of plus or minus 0.5 inches and shall not cross, except for re-stitching. The stitching shall be a lock-type stitch. The minimum seam allowance, i.e., the minimum distance from the geotextile edge to the stitch line nearest to that edge, shall be 1.5 inches if a flat or prayer seam, Type SSa-2, is used. The minimum seam allowance for all other seam types shall be 1 inches. The seam, stitch type, and the equipment used to perform the stitching shall be as recommended by the manufacturer of the geotextile and as approved by the RCE.

The seams shall be sewn in such a manner that the seam can be inspected readily by the RCE or his representative. The seam strength will be tested and shall meet the requirements stated herein.

#### 5. Fill Placement.

Embankment construction shall be kept symmetrical at all times to prevent localized bearing capacity failures beneath the embankment or lateral tipping or sliding of the embankment. Place fill over the geotextile by dumping onto previously placed material and pushing the material into place. Stockpiling of fill on the geotextile will not be allowed. Do not operate any construction equipment directly on the geosynthetic material under any circumstances.

Place the fill material in uniform layers so that there is a minimum lift thickness (loose) of 8 inches between the geosynthetic material and equipment tires or tracks at all times. The minimum thickness of the first lift is 8 inches. Do not allow construction equipment to turn on the first life of material above the geosynthetic material. Do not blade the first lift placed over the geosynthetic material. If the subgrade is very soft with an undrained shear strength less than 500 psf, minimize pile heights to less than 3 feet and spread piles as soon as possible after dumping to minimize the potential for localized subgrade failure due to overloading of the subgrade.

Do not use sheepsfoot or studded compaction equipment on the first lift placed over the geosynthetic material. Stop vibrator on compaction equipment if pumping occurs. Do not operate any construction equipment that results in rutting in excess of 3 inches on the first lift.

If rutting exceeds 3 inches, decrease the construction equipment size and/or weight or increase the lift thickness. Use only rubber-tired rollers for compaction if any foundation failures occur when placing subsequent lifts. Compact all lifts to the moisture and density requirements for each embankment specified in the Standard Construction Specifications. Do not blade material down to remove ruts. Fill any ruts or depressions with additional material and compact to the specified density.

A sandy material that meets the requirements of an A-2 AASHTO soil classification shall be the only borrow excavation soil allowed for placement between the lowest elevation geotextile and the bottom of the pavement section. The embankment fill soils shall be compacted in accordance with the 2007 Standard Specifications for Highway Construction. Fill shall be placed in 12-inch maximum lift thicknesses where heavy compaction equipment is to be used and 6-inch maximum uncompacted lift thicknesses where hand-operated equipment is used.

The geotextile shall be pretensioned during installation using either Method 1 or Method 2 as described herein. The method selected will depend on whether or not a mudwave forms during placement of the first one or two lifts. If a mudwave forms as fill is pushed onto the first layer of geotextile, Method 1 shall be used. Method 1 shall continue to be used until the mudwave ceases to form as fill is placed and spread. Once mudwave formation ceased, Method 2 shall be used until the uppermost geotextile layer is covered with a minimum of 1 foot of compacted fill. These special construction methods are not needed for fill construction above this level. If a mudwave does not form as fill is pushed onto the first layer of geotextile, then Method 2 shall be used initially and until the uppermost geotextile layer is covered with at least 1 foot of compacted fill.

#### Method 1

After the working platform, if needed, has been constructed, the first layer of geotextile shall be laid as outlined in the project plans and the joints sewn together. The geotextile shall be stretched manually to ensure that no wrinkles are present in the geotextile. The fill shall first be place along the outside edges of the geotextile to form access roads. These access roads will serve three purposes: to lock the edges of the geotextile to form access roads, to contain the mudwave, and to provide access as needed to place fill in the center of the embankment. These access roads shall be approximately 16 feet wide. The access roads at the edges of the geotextile shall have a minimum height of 2 feet completed. Once the access roads are approximately 50 feet in length, fill shall be kept ahead of the filling operation, and the access roads shall be kept approximately 50 feet ahead of this filling operation. Keeping the mudwave ahead of this filling operation and keeping the edges of the geotextile from moving by use of the access roads will effectively pre-tension the geotextile. The geotextile shall be laid out no more than 20 feet ahead of the end of the access roads at any time to prevent overstressing of the geotextile seams.

#### b. Method 2

After the working platform, if needed, has been constructed, the first layer of geotextile shall be laid and sewn as in Method 1. The first lift of material shall be spread from the edge of the geotextile, keeping the center of the advancing fill lift ahead of the outside edges of the lift. The geotextile shall be manually pulled taut prior to fill placement. Embankment construction shall continue in this manner for subsequent lifts until the uppermost geotextile layer is completely covered with 1 foot of compacted fill.

#### D. METHOD OF MEASUREMENT

Measurement of geotextile is on a square yard basis and will be computed based on the total area of geotextile shown in the plans, exclusive of the area of geotextiles used in any overlaps, seams, and/or joints. This shall include all costs associated with installation of the geotextile. Overlaps and any geotextile waste are an incidental item.

#### **E.** BASIS OF PAYMENT

Payment at the contract unit price is full compensation for all resources necessary to complete the item of work under the contract. Payment for the completed and accepted quantities is made under the following:

Item No.	Pay Item	Unit
2037110	GEOTEX REINF.	SY

# (36) SECTION 305: MAINTENANCE STONE:

Maintenance Stone used on this project shall conform to the gradation requirements of Section 305, or to the gradation specified for Aggregate No. CR-14 in the Standard Specifications.

#### (37) SECTION 401: ASPHALT BINDER ADJUSTMENT INDEX:

The Liquid Asphalt Binder Adjustment Index Supplemental Specification dated March 3, 2009 applies to this project. For this project the Basic Bituminous Material Index will be determined on the first calendar day of the month in which cost proposals are due. The index and adjustment table will be available on the internet at <a href="http://www.scdot.org/doing/constructionLetting">http://www.scdot.org/doing/constructionLetting</a> MonthlyIndex.aspx, or may be obtained from the office of the Contracts' Administrator.

The following is hereby included in the table entitled "Items of Work Eligible for A.C. Binder Adjustments" in the supplemental specification.

PREVENTATIVE MAINTENANCE SURFACE TREATMENT	SY	0.0026
ASPH. SURF. TREAT. (TRIPLE T-1)	SY	0.0024

All items of work included in this project, that are listed in the table entitled "Items of Work Eligible for A.C. Binder Adjustments" below paragraph 4 of the supplemental specification will be subject to price adjustment.

The following Section of the Supplemental Specification is hereby modified:

#### Additional Provisions:

The Department will calculate and apply asphalt binder index adjustments to estimates based on index values set at the beginning of the estimate period.

Estimate period begins on the 1st of the month and ends on the last day of the month. The 1st of the month Index will be compared to the contract Base Index to determine index adjustments for the estimate period.

# (38) SECTION 401: DRESSING OF SHOULDERS:

Prior to the placement of asphalt mixtures on existing roadways, the contractor will be required to remove all vegetation adjacent to the edge of pavement which impedes the placement of the asphalt mixture to the specified width. The contractor shall also remove and dispose of all excess asphalt which is disturbed during minor grading for widening, or during removal of debris or grass from existing surface during preparation of surface for new lift. After the asphalt mixture has been placed, the contractor shall blade the disturbed material to the extent that the shoulder is left in a neat and presentable condition. All excess material shall be removed from the project. No direct payment shall be made for this work; all costs are to be included in the price of other items of work.

#### (39) SECTION 401: SURFACE PLANING OF ASPHALT PAVEMENT:

#### A. GENERAL

#### 1. Description:

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This Special Provision replaces all references to Surface Planing of Asphalt Pavement in Subsection 401 of the Standard Specifications in their entirety. It does not replace or amend Subsection 611 of the Standard Specifications. It describes the material and construction requirements for the surfacing planing of existing asphaltic concrete pavement by micro-milling to remove wheel ruts and other surface irregularities, restore proper grade and/or transverse slope of pavement as indicated in the Plans or as instructed by the RCE. Ensure that the planed surface provides a texture suitable for use as a temporary riding surface or an overlay with OGFC with no further treatment or overlays. Do not use the planed surface as a temporary riding surface for more than ten days if no corrective action is required and no more than 21 days if corrective action is required unless otherwise instructed by the RCE.

#### **B.** Referenced Documents

- 1. SCDOT Standard Specifications, Edition of 2007
- 2. SC-M-502, Rideability of PCC Pavement

#### C. EQUIPMENT

- 1. Provide power-driven, self-propelled micro-milling equipment that is the size and shape that allows traffic to pass safely through areas adjacent to the work. Also, use equipment with the following characteristics.
  - a. Ensure that the equipment is equipped with a cutting mandrel with carbide-tipped cutting teeth designed for micro-milling HMA and bituminous treated pavement to close tolerances.
  - b. Ensure that the equipment is equipped with grade and slope controls operating from a string line or ski and based on mechanical or sonic operation.
  - c. Ensure that the equipment is capable of removing pavement to an accuracy of 0.0625 inches.
  - d. Ensure that the equipment is furnished with a lighting system for night work, as necessary.
  - e. Ensure that the equipment is provided with conveyors capable of transferring the milled material from the roadway to a truck located to the side, rear, or front while minimizing airborne dust and debris.

#### D. CONSTRUCTION REQUIREMENTS

- 1. Follow the Plans to micro-mill the designated areas and depths, including bridge decks, shoulder, and ramps, as required. Ensure that the following requirements are met.
  - a. Prior to commencement of the Work, construct a test section that is 1156 feet in length with a uniformly textured surface and cross section on the road to be treated as approved by the RCE. Ensure that the final pavement surface has a transverse pattern of 0.2 inches center to center of each strike area and the difference between the ridge and valley of the mat surface in the test section does not exceed 0.0625 inches.
  - b. Milling depth may range up to 2 inches as necessary to fully remove existing OGFC surface, which has a typical nominal depth of one inch, as well as lesser depths on shoulders to provide a planar surface that allows appropriate drainage prior to placement of new OGFC. While milling depths over one inch are anticipated to ensure OGFC removal in low spots as well as to meet rideability requirements, milling depth should be minimized when possible to avoid excessive removal of the pavement structure while still removing all existing OGFC.
  - c. The Department will test the test section for rideability following Subsection 6 of SC-M-502 for diamond ground and textured existing concrete pavement, except that the maximum acceptable rideability is 90 inches per mile for each 0.1 mile segment. The first and last 50 feet of the test section will not be included in the two 0.1 mile segments. Provide the RCE with at least three business days of notice prior to need of rideability testing.

- 2. If any of the requirements of Section D.1 are not met, do no further work and provide a written plan of action to the RCE detailing what steps will be taken to improve operations. The RCE may require corrective action to the test section prior to acceptance or accept the test section as is. Once the plan has been approved by the RCE, construct a second test section at a different location from the first. If the second test section meets the requirements of Section D.1 and is approved by the RCE, continuous milling may commence. If the second test section fails to meet the requirements of Section D.1, continue to construct test 1156 foot sections until satisfactory results are achieved.
- 3. Once continuous operations commence, continue to produce a uniform finished surface and maintain a constant cross slope between extremities in each lane.
- 4. Provide positive drainage to prevent water accumulation on the micro-milled pavement as shown on the Plans or as directed by the RCE.
- 5. Bevel back the longitudinal vertical edges greater than one inch that are produced by the removal process and left exposed to traffic. Bevel back at least 1.5 inches for each one inch of material removed. Use an attached mold board or other approved method.
- 6. When removing material at ramp areas and ends of milled sections, the transverse edges may be temporarily tapered 10 feet to avoid creating a traffic hazard and to produce a smooth surface. However, ensure that a neat transverse joint is created prior to the placement of the OGFC; do not terminate OGFC by "pinching" the OGFC over a tapered area.
- Remove dust, residue, and loose milled material from the micro-milled surface. Do not allow traffic on the milled surface and do not place overlying layers on the milled surface until removal is complete.

#### E. ACCEPTANCE

- 1. Ensure that the micro-milling operation produces a uniform pavement texture that is true to line, grade, and cross section.
- 2. The Department will test and accept the milled surface for rideability as given in Subsections 6.2 through 6.4 of SC-M-502. The Adjusted Schedule of Payment given in Table 2 of Subsection 6 of SC-M-502 will apply to the contract unit price for the micro-milling as given in Subsection F of this special provision.
- 3. Micro-milled pavement surfaces are also subject to visual and straightedge inspections. Keep a 10-foot straightedge near the micro-milling operation to measure surface irregularities of the milled surface. Repair any areas exceeding 0.125 inches between the ridge and valley of the mat surface to the satisfaction of the RCE at no additional cost to the Department. Provide a written plan of action to the RCE for approval prior to performing any corrective action on the basis of rideability, grade, or surface texture.

#### F. MEASUREMENT AND PAYMENT

- 1. Measurement: The quantity measured for payment under this special provision is the number of square yards of micro-milled surface in place and accepted.
- 2. Basis of Payment: The quantity, as measured above, will be paid for at the contract unit price subject to the adjustments given herein, for which price and payment is full compensation for furnishing all materials, equipment, tools, labor, hauling, stockpiling, temporary asphalt, and any other incidentals necessary to satisfactorily complete the work. All reclaimed asphaltic pavement (RAP) becomes the property of the Contractor unless otherwise specified. No adjustment in the unit price for this item or other items will be considered for variations in the amount of RAP actually recovered.

Payment includes all direct and indirect costs and expenses required to complete the work. Payment will be made under:

Item No. Pay Item		Unit
4013099	SURFACE PLANE ASPHALT PAVEMENT, VARIABLE	Square Yard

#### (40) SECTION 401: HOT MIX ASPHALT (HMA) QUALITY ASSURANCE:

Reference is made to the Supplemental Technical Specification "Hot Mix Asphalt (HMA) Quality Assurance." For the purposes of applying this Supplemental Technical Specification, pay factor adjustments will be based on a unit price of \$75.00 per ton.

# (41) SECTION 401: HOT-MIX ASPHALT RIDEABILITY:

Reference is made to the Supplemental Technical Specification "Hot-Mix Asphalt Rideability." For the purposes of applying this Supplemental Technical Specification, pay factor adjustments will be based on a unit price of \$75.00 per ton.

#### (42) SECTION 401: FULL DEPTH ASPHALT PAVEMENT PATCHING:

#### A. DESCRIPTION:

The Contractor shall patch existing asphalt pavement at locations directed by the Engineer. This work shall consist of the removal of deteriorated pavement and replacing with a six (6) inch full depth asphalt plant mix patch.

#### **B.** Construction Process:

The deteriorated pavement shall be removed to the width and length indicated by the RCE, with the face of the cut being straight and vertical. The pavement shall be removed to a depth of six (6) inches as directed by the RCE. In the event unstable material is encountered at this point, then such additional material shall be removed as directed by the RCE.

The volume of material removed below the patch shall be backfilled with crushed stone and thoroughly compacted in 4-inch layers with vibratory compactors. Prior to placing the asphalt patch material in the hole, the sides of the existing asphalt pavement shall be thoroughly tacked. The patch material shall then be placed in layers not exceeding 3 inches with each layer being thoroughly compacted with a vibratory compactor and pneumatic roller. The patch material shall be an approved SCDOT Asphalt Concrete Binder Course Mix. Patches shall be opened and filled in the same day. Asphalt mixture shall not be applied when the existing surface is wet or frozen. The finished patch shall be smooth riding. The patches are to be no less than six feet by six feet in size and should be spaced at not less than 25 feet between patches.

The quantity of full depth asphalt pavement patching to be paid for will be the actual number of square yards of existing asphalt pavement which has been patched and accepted. The work includes cleaning, removing, and disposing of debris from the patching work, furnishing and placement of crushed stone and asphalt patching material, and all other materials, labor, equipment, tools, supplies, transportation, and incidentals necessary to fulfill the requirements of this item of work.

The Contractor's bid shall include 2000 square yards of (6) inch full depth asphalt pavement patching. If more than the estimated square yards of patching are required by SCDOT, the Contractor will be paid a unit price of \$45.00 per square yard. If less than the estimated square yards of patching are required by SCDOT, the Contractor shall reimburse SCDOT for the quantity of full depth patching that was not needed. Reimbursement will be paid to SCDOT at a unit price of \$45.00 per square yard.

# (43) SECTION 403: WARM MIX ASPHALT – ASPHALT INTERMEDIATE COURSE TYPE B (SPECIAL):

WMA Intermediate B Special will utilize the same specifications for Intermediate B with several exceptions:

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- A. The mix must use WMA Technology using a chemical process on QPL # 77 to utilize maximum reduction in temperature to improve constructability in the field placement operations.
- B. The mix will require the exact same requirements as stated in SC-M-402 with exception of target air voids. The air voids will be targeted at 2.5-3.0% on the mix design to increase binder content and improve field compaction and fatigue resistance.
- C. The placement rate will also be different than conventional mix in order to make necessary repairs to the milled pavement sections during one lane closure sequence.
- D. In place density will be measured and accepted by using the gauge in lieu of taking roadway cores. A test strip will be required on the shoulder of the roadway to set up a roller pattern and establish target density. Ensure in place density is acceptable by taking 6 inch roadway cores at the end of the test strip to verify maximum compaction effort is obtained. All other mix acceptance testing will follow SC-M-400 using the same mixture acceptance criteria as the Intermediate Course Type B.

Item No.	Pay Item	Unit
4112320 X	WMA INTERMEDIATE COURSE TYPE B "SPECIAL"	TON

# (44) SECTION 413: COLD CENTRAL PLANT RECYCLED MATERIAL:

#### 413.1 DESCRIPTION

These special provisions cover the requirements for Cold Central Plant Recycling Material (CCPRM).Cold Central Plant Recycling (CCPR) is a process in which recycled asphalt concrete pavement is processed and stabilized using foamed asphalt or emulsified asphalt at a plant and then placed using conventional asphalt paving equipment. **CCPRM will not be used as a final riding surface.** 

#### 413.2 MATERIALS

# 413.2.1 STABILIZING AGENT (EMULSIFIED OR FOAMED PG BINDER)

Use stabilizing agents that are either asphalt emulsion or PG 64-22 binder (must be listed on SCDOT Qualified Product List 37 or 38). Use emulsified asphalts that conform to the requirements of AASHTO M 208, M 140, or M 316 that is formulated for CCPRM use. Use PG 64-22 that meets the requirements of section 401.2.1.1 of the Standard Specifications. Emulsified Asphalt, used as a stabilizing agent, is not permitted when placement occurs during night time hours and will be opened to traffic the next morning.

#### 413.2.2 WATER

Use water for mixing that meets the requirements of Section 701.2.11 of the Standard Specifications.

#### 413.2.3 OTHER ADDITIVES (HYDRATED LIME OR PORTLAND CEMENT)

Use, if necessary, additional additives that meet the requirements in **TABLE 4**. In the case where an additional additive is used, show type and dosage as described in the Job Mix Formula submitted to the Department.

# **413.2.3.1 HYDRATED LIME**

Use hydrated lime that conforms to the requirements of AASHTO M 303, Type 1 from suppliers listed on the most recent edition of SCDOT Qualified Product List 39.

#### 413.2.3.2 PORTLAND CEMENT

Use Portland cement that conforms to the requirements of Subsection 701.2.1 with the allowable maximum alkali content ( $Na_2O+0.658K_2O$ ) increased to 1.0%.

# 413.2.4 ASPHALT TACK COAT

Use an asphalt tack coat material that meets the requirements of Subsection 401.4.18 of the Standard Specifications.

#### 413.2.5 ASPHALT FOG SEAL

Use an asphalt emulsion fog seal material or PG 64-22 binder from Qualified Product Listing No. 37 / 38.

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#### 413.2.6 FINE AGGREGATE

Use a fine aggregate for the Grit application that conforms to FA 10 or FA 13 and from suppliers listed on the most recent edition of SCDOT Qualified Products List 1.

## 413.2.9 CRUSHED RECLAIMED ASPHALT PAVEMENT (RAP) MATERIAL

Additional RAP material (other than that reclaimed from the project) may be used and, if added, must meet the requirements of Section 401.2.2.6 of the Specifications and **TABLE 1**.

TABLE 1 - ADDITIONAL CRUSHED RAP

Tests	Method	Limit
<b>D</b> eleterious Materials: Clay Lumps and Friable Particles in Aggregate	AASHTO T 112	0.2% maximum
Maximum Sieve Size, 1.5 inches (37mm)	AASHTO T 27	100% Passing

# 413.2.10 ADDITIONAL AGGREGATE

Add additional aggregate if deemed necessary so the results of the job mixture meet the gradation requirements in **TABLE 3**. If additional aggregate is needed ensure that it comes from materials listed on Qualified Product List 1 and/or 2 and also meet the requirements of **TABLE 2**.

**TABLE 2 – ADDITIONAL AGGREGATE** 

Tests	Method	Limit
Los Angeles Abrasion Value	AASHTO T 96	55% maximum loss
Sand Equivalent	AASHTO T 176	45% minimum
Maximum Sieve Size, 1.5 inches (37mm)	AASHTO T 27	100% Passing
Water absorption	AASHTO T 85	3% maximum

# 413.3 JOB MIX FORMULA

Submit a job-mix formula (JMF) to the State Pavement Design Engineer for approval no less than 30 calendar days prior to the start of CCPRM operations. More than one JMF may be required to avoid any construction delays in case of materials changes. Ensure that the gradation of each JMF is within the bands shown in **TABLE 3**. Ensure that the contingency plan addresses actions to be taken if the gradation fails to meet these requirements. The RCE reserves the right to require appropriate measures be taken that may include stopping the work.

**TABLE 3 – JMF GRADATION RANGE** 

Sieve Size	Gradation Band*

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	(Percent Passing)	
	Lower	Upper
1.5"	-	100
³¼", 3/8", No. 4 , No. 8	Production targets set	off of blended gradation
No. 200	2	9

<sup>\*</sup>Values based on AASHTO T 27 using washed, pulverized materials, prior to stabilization. For CCPRM using Foamed Asphalt, cement can be used as a portion of the material passing the No. 200 sieve.

Ensure that the following items are included on the JMF:

- 1. Target field density (nearest 0.1 lbs / #/ft³)
- 2. Target percent (nearest 0.1%) of the stabilizing agents to be added to the recycled mix
- 3. Target percent (nearest 0.1%) by weight of water (at room temperature) required
- 4. Expansion ratio, half-life characteristics, and temperature of asphalt binder at the time of dosage into foaming chamber (for mixtures using foamed asphalt). Minimum curing time/set time for the emulsified asphalt and temperature of emulsified asphalt at the time of dosage into the mixture (for mixtures using emulsified asphalt)
- 5. Target gradation for sieve sizes 1.5", 3/4", 3/8", No.4 and No. 200 (including any aggregate to be added).

Note: If a change in source materials is made during construction, create and submit new JMFs to the RCE and ensure that they are approved prior to use on the project.

**TABLE 4 - CCPRMJMF REQUIREMENTS** 

Item	Test Method	Criteria	Fabrication / Conditioning Procedure
	Emulsified A	sphalt Stabilized Materials	5
1	Moisture Density Relations AASHTO T 180, Method D	Determined by Design; Used to Establish Target Field Density	

TABLE 4 – CCPRMJMF REQUIREMENTS

Item	Test Method	Criteria	Fabrication / Conditioning Procedure
	Mixture Stability Test	2500 lbs. minimum	Produce three specimens at 75 blows per side (or 30
	ASTM D 5581	(6 in. diameter specimen)	gyrations per AASHTO T 312)
	(6 in. specimens) or	Or (150mm diameter)	and cured at 140°F <u>+</u> 5°F to constant mass, hold
2	150mm specimens)		specimens at 104°F ±5°F for 2 hours ±5 min. in a forced draft
		1250 lbs. minimum	oven immediately prior to testing.
	AASHTO T 245	(4 in. diameter specimen)	i too iii igi
	(4 in. specimens)		
	Retained Stability		Produce an additional three specimens and cure at 140°F
	ASTM D5581	Minimum 70% of results of item #2	±5°F to constant mass.
_	(6 in. specimens) or 150 mm specimens)	or item #2	Vacuum saturate specimens to 55-65% moisture content,
3	Timi oposimono,		77°F <u>+</u> 1.8°F water bath for 23 hours <u>+</u> 30 min and 104°F
	AASHTO T 245		±1.8°F water bath for an additional hour immediately
	(4 in. specimens)		prior to testing
	Raveling Stability	Maximum 2%	Produce specimens using a
	(ASTM D 7196)	IVIAXIITIUITI 2 /0	gyratory compactor following
4	(A31W D 7190)		AASHTO T 312 at 20 gyrations and cured at 50°F
			±5°F for 4 hours ±5 min at 50% humidity.
	Thermal Cracking (Indirect	The critical cracking	See Notes 1 through 7 below.
	Tensile Test)	temperature must be less	Coo Hotos Fairough / Bolow.
5	AASHTO T 322	than or equal to the pavement temperature	
3		given for the project climate area and	
		pavement depth by LTPPBind <sup>1</sup> .	

# Foamed Asphalt Stabilized Materials

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# **TABLE 4 – CCPRMJMF REQUIREMENTS**

Item	Test Method	Criteria	Fabrication / Conditioning Procedure
1	Moisture Density Relations AASHTO T 180, Method D	Determined by Design; Used to Establish Target Field Density	
2	Dry Indirect Tensile Strength  AASHTO T 283 Section 11	45 psi minimum	Produce three specimens using 75 blows per side (or 30 gyrations per AASHTO T 312) compacted at or below OMC and cured as follows: 4 inch diameter specimens, oven dry at 104°F ±5 °F for 72 hrs ± 30 min. and cool to ambient temperature for 24 hrs ± 30 min.; 6 inch or 150 mm diameter specimens, air dried for 24 hours ± 30min., then an additional 48 hours at 104°F ±5 °F in sealed plastic bag, cool to ambient temperature for 24 hrs ± 30 min.
3	Retained Indirect Tensile Strength  AASHTO T 283 Section 11	Minimum, 70% of the Dry ITS from Item 2	Produce an additional three specimens and cure according to Item 2, and then submerge in 77°F ± 1.8 °F water bath for 24 hours ± 30 min. prior to testing.
4	Expansion Ratio. Wirtgen 2012 Cold Recycling Manual	10 times when Aggregate Temperature is 50°F to 77°F  8 times when Aggregate Temperature is greater than 77°F	
5	Half-Life Wirtgen 2012 Cold Recycling Manual	6 second minimum	

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#### **TABLE 4 – CCPRMJMF REQUIREMENTS**

Item Test Method	Criteria	Fabrication / Conditioning Procedure
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#### JMF Notes:

- 1. Choose the specification temperature using current FHWA LTPPBind software, using the weather station closest to the project. Ensure that the required temperature is the coldest temperature at the top of the recycled layer, using 98% reliability.
- 2. Compact samples to 6 in(150mm) diameter and at least 4.52 inches (115mm) in height, compacted to within 1% of design air voids at the design stabilizing agent content. Cure compacted samples at 140 ± 5 °F no less than 48 hours ± 30 mins. Before testing, check sample mass every two hours ± 5 mins until change in mass between successive checks does not exceed 0.05%. After curing, saw-cut two specimens from each compacted sample to 2 in. in height. Perform bulk density testing after saw-cutting.
- 3. Prepare three specimens at each of the three testing temperatures.
- 4. Select two testing temperatures that bracket the specification temperature. For example, if the specification temperature is -13°F, then two of the selected testing temperatures will be -4°F and -22°F. A temperature of 14°F or -40°F would be used as the third testing temperature.
- 5. Perform the tensile strength test on each specimen directly after the tensile creep test (at the same temperature as the creep test).
- 6. The critical cracking temperature is defined as the temperature at the intersection of the thermal stress curve (derived from the creep data) and the tensile strength line (the line connecting the average tensile strengths at the three testing temperatures).
- 7. Ensure that the critical cracking temperature predicted by the Indirect Tensile Test is less than or equal to the pavement temperature given for the project climate area and pavement depth by LTPPBind.

#### 413.4 QUALITY CONTROL PLAN

Prepare a Quality Control Plan to ensure that operational techniques and activities provide a homogeneous and finished material of acceptable quality meeting the requirements of this special provision. Conform the plan to show sampling and testing that will be performed to control the processes and ensure material compliance within the requirements of this special provision. Provide the Quality Control Plan and the JMF that is intended to be used to accomplish the work to the State Pavement Design Engineer for review and approval no less than 30 calendar days prior to the start of CCPRM operations.

For each CCPRM project, a project specific Quality Control Plan is required, and must include the following (minimum) information:

- 1. A description of the Quality Control organization, including the number of full-time equivalent employees or Sub-Contractors with specific Quality Control responsibilities and an organizational chart showing lines of authority and reporting responsibilities.
- 2. A listing by discipline with the name, qualifications, duties, responsibilities and authorities for all persons proposed to be responsible for construction Quality Control.
- 3. A Quality Control Sampling, Testing and Analysis Plan with methods that include a description of how random locations for testing and sampling are determined.
- 4. Identification and description (and accreditation status) of the laboratories to be used for each type of testing.

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- 5. Specific list of documentation for Quality Control activities.
- 6. Procedures to meet contract requirements and corrective action when QC criteria are not met.
- 7. Procedures to protect stabilized material from receiving excessive moisture from weather events (i.e. rain, fog, etc.) and corrective actions when criteria are not met.
- 8. Contingency Plan including: inclement weather, equipment breakdowns, materials shortages, deficient density of installed CCPRM, material doesn't break or cure in timely manner, as established by the JMF, gradation is outside of tolerances, and production modifications based on changes in ambient and/or material temperature

# 413. 5 PLANT EQUIPMENT

#### 413.5.1 CCPRM PLANT

Use a plant that is capable of homogeneously incorporating all stabilizing agent(s) and materials up to the sizes shown in **TABLE 3**. Ensure that the plant is capable of delivering the amount of additives to within +/- 0.2% of the required amount by weight of the pulverized asphalt material, except that a capability of adding up to 5% water by weight of the pulverized bituminous material is mandatory. Use automated systems to regulate the application of stabilizing agent(s) and water that adjust automatically to the mass of the material being processed. When using foamed asphalt, outfit the plant with a test or inspection nozzle at one end of the spray bar that can produce a representative sample. Use a plant that is capable of maintaining the temperature of the liquid asphalt at a minimum of 300°F. Ensure that the plant is equipped with the means for the operator to verify that the stabilizing agent(s) and water are being evenly distributed and that the correct dosage rates of each are being applied. Ensure that the plant has the ability to print out stabilizing agent(s) and water quantities used during production. Ensure that the equipment is operated in accordance with the manufacturer's recommendations.

#### 413.5.2 PLANT SCALES

Use scales that are approved in accordance with the requirements of SC-M-401.

#### 413.5.3 TRUCKS, TRUCK SCALES AND AUTOMATIC PRINTER SYSTEM

Use truck scales and an automatic printer system that meets the requirements of SC-M-401.

# **413.6 PLACEMENT OPERATIONS EQUIPMENT**

#### 413.6.1 ASPHALT PAVERS

Use an asphalt paver that meets the requirements of Section 401.3.10 of the Standard Specifications. Place CCPRM at the specified depth set forth in the plans and ensure that the mix is spread uniformly without segregation.

## 413.6.2 ROLLERS

Use rollers that are self-propelled. Ensure that at least one pneumatic tire roller has a minimum gross operating weight of not less than 50,000 lbs. Ensure that at least one double steel-wheeled vibratory roller has a gross operating weight of not less than 24,000 lbs. and a width of 78 inches. Ensure that all rollers have properly working scrapers and water spraying systems.

#### 413.7 CONSTRUCTION

# **413.7.1 WEATHER RESTRICTIONS**

Ensure that recycling operations are performed when both the ambient temperature and material to be processed (measured in the shade and away from artificial heat) is a minimum 50°F. Do not perform any work when the weather forecast calls for freezing temperatures within 48 hours after placement of CCPRM on any portion of the project.

# 413.7.2 PLACING AND FINISHING 413.7.2.1 TRIAL TEST SECTION

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At least one week, but not more than 30 days prior to the start of production, construct a 1,000 foot long trial section, one-lane wide, at the designated thickness and designed optimal stabilizing agent(s) content provided in the approved JMF. Construct the trial section at a location approved by the RCE on the project using the same construction procedures and equipment intended for the entire project. Cease production after construction of the trial section until the trial section is evaluated and accepted by the RCE. The Trial Section will be considered a LOT and payment will follow the payment tables established in this special provision.

In the event the initial trial section fails to meet JMF on gradation, binder content, designated depth, and field density requirements, make necessary corrections and construct a second trial section on the project site. The RCE may require a Technical Representative present during mixing and placing operations for the second trial section. When a Technical Representative is required, they must remain present during mixing and placement of any additional trial sections until acceptance has been made by the RCE. Additionally, ensure that the Technical Representative is present for the next day of production to oversee the mixing and placing operation. If during the next production day, the materials meet the mixture and placement acceptance criteria, the Technical Representative will no longer be required on the project site. If additional trial sections beyond the first two are needed, construct the trial section at sites approved by the RCE.

Ensure that the Technical Representative meets the following criteria:

- 1. Have 2 years minimum experience with the CCPRM process
- 2. Have personally supervised a minimum of 5 successful CCPRM projects
- 3. Have personal experience in developing CCPRM mix designs
- Have the experience to perform and supervise field process control testing
- 5. Submit a list of references, with current telephone numbers, of persons who are able to verify the experience required herein.

Consultants or manufacturer's representatives may be used to satisfy the technical representative requirements listed herein.

The initial trial section will be paid for at the contract unit price for CCPRM, to include price adjustments. If needed, the Department will pay for up to one additional trial section of CCPRM at the contract unit price, to include price adjustments. The Department will pay for a maximum of two trial sections at the contract unit price. If more than two trial sections are needed, the Contractor will bear all costs associated with producing and placing the material at a site approved by the RCE.

#### 413.7.2.2 MATERIAL TESTING - QUALITY CONTROL

#### 413.7.2.2.1 GRADATION AND BINDER CONTENT

CCPRM acceptance for gradation and binder content will be based on a mean of the results of each day's run - production. A lot will be considered to be acceptable for gradation if the mean of the test results obtained is within the tolerance allowed for the job-mix formula as specified in **TABLE 5**. If a lot does not conform to the acceptance requirements for gradation stop paving/production and take corrective measures to bring the gradation within tolerance of the approved JMF.

TABLE 5						
Process Tolera	nce on Each	Laboratory	Sieve and As	phalt Conten	t: Percent Plus	and Minus
No. Tests	1 1/2"	3/4"	3/8"	No. 4	No. 200	
1	0.0	8.0	8.0	8.0	2.0	
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2	0.0	5.7	5.7	5.7	1.4	
3	0.0	4.4	4.4	4.4	1.1	
4	0.0	4.0	4.0	4.0	1.0	
5	0.0	3.6	3.6	3.6	0.9	
6	0.0	3.3	3.3	3.3	0.8	
7	0.0	3.0	3.0	3.0	0.8	
8	0.0	2.8	2.8	2.8	0.7	
9 or more	0.0	2.3	2.3	2.3	0.6	

Establish, as part of the JMF, a target percent passing for the 1.5", ¾", 3/8", No. 4 and No, 200 sieves. Create the JMF(s) using either existing materials obtained directly from the project site (prior to the start of construction) or from an existing stockpile of RAP. Quality Acceptance testing will be conducted by obtaining a sample of the mixture from the truck prior to it leaving the plant. The sample frequency will use SC-T-101 at a rate of 1 sample per 1000 tons.

Determine the asphalt binder content using an asphalt ignition oven in accordance with SC-T-75. Ensure a mix correction factor is determined in accordance with SC-T-75 prior to production. Perform gradation on the extracted ignition sample using SC-T-102. Cure all extraction samples to constant weight in a 300-350°F oven until the weight loss in a 15 minute period does not exceed +/- 1.0 grams within consecutive 15 minute intervals. There will be no price adjustment for asphalt content.

#### 413.7.2.2.2 STABILIZING AGENT CONTENT

Provide with each gradation sample a computer printout of the stabilizing agent content percentage/rate of the plant at the time of sampling. If the dosage rate is outside of 0.20 percentage points, stop paving/production and take corrective measures to bring the dosage rate within tolerance of the approved JMF. In addition, provide a daily summary of the stabilizing agent content percentage/rate to the RCE.

#### **413.7.2.2.3 MOISTURE CONTENT**

Report the percent moisture content for prior to performing the mix extraction using the following equation:

% Moisture = <u>Original Mass – Final Mass</u> x 100 Final Mass

# 413.7.2.2.4 MIXTURE STABILITY

When <u>emulsified asphalt</u> is used as the stabilizing agent, acceptance for Mixture Stability will be based on results of samples taken at a frequency a minimum of once per day. If the results are less than the established job-mix target, a pay adjustment will be applied for the tonnage represented by the results in section 414.8.4 under Acceptance.

#### 413.7.2.2.5 DRY INDIRECT TENSILE STRENGTH

When <u>foamed asphalt</u> is used as the stabilizing agent, acceptance for Dry Indirect Tensile Strength will be based on results of samples taken at a minimum of once per day. If the results are less than the established job-mix target, a pay adjustment will be applied for the tonnage represented by the results in section 414.8.4 under Acceptance.

# 413.7.2.2.6 HALF-LIFE AND EXPANSION RATIO

Verify and provide reports to the RCE confirming that each load of asphalt binder used for foaming meets the requirements of **TABLE 4**.

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#### 413.7.2.2.7 FIELD COMPACTION

Ensure compaction of the recycled mix is completed using rollers meeting the requirements of this specification. In addition, set the vibratory roller near the highest frequency and near the lowest amplitude setting without damaging the CCPRM. Ensure that final rolling eliminates pneumatic tire marks and to achieve density, and done using a double drum steel roller(s), either operating in a static, oscillating or vibratory mode. Use oscillating and vibratory mode only if it is shown to not damage the pavement. Complete finish rolling no more than one hour after paving is completed, unless otherwise approved by the RCE. Do not stop rollers and allow them to sit on the uncompacted material. Establish rolling patterns so that they begin or end on previously compacted material or the existing pavement. Perform rolling until the material reaches a density of 98 percent of the maximum target density from the JMF as measured via a nuclear density gauge following SC-T-30, SC-T-31, or SC-T-32.

Use a nuclear density gauge conforming to the requirements of SC-T-65 to determine mat density by the Direct Transmission method. Ensure that the nuclear density gauge has been calibrated within the previous 12 months. In addition, maintain documentation of such calibration service for the 12-month period from the date of the calibration service and furnish the same to the RCE if requested. Construct a control strip and establish a roller pattern in accordance with the requirements of SC-T-65. The control strip will be acceptable if the field proctor (AASHTO T 180, Method D) is at least 98 percent of the maximum target density from the approved JMF and the density of the compacted CCPRM course is not less than 98.0 percent of the maximum target density from the approved JMF. Construct an additional control strip when a change in the source of material is made, when a change in compaction equipment is made, when a significant change in the composition of the material occurs, a change in roadway conditions occurs, or when there is a failing test section.

#### 413.7.2.2.8 DEPTH CHECKS

Perform depth checks at a minimum rate of twice per 5,000 linear feet after compaction by coring the newly CCPRM after compaction has been completed. Measure the depth by the height of the core in 3 separate evenly spaced measured transversely across the mat and average 3 readings. Use SC-T-101 to determine the random locations. The RCE will take verification measurements of the same core. Acceptance of CCPRM course for depth will be based on the mean result of measurements of samples taken from each LOT of material placed. A LOT will be considered acceptable for depth if the mean result of the tests is within the tolerance of the plan depth for the number of tests taken as shown in **TABLE 7**.

TABLE 7 - PROCESS TOLERANCE FOR DEPTH CHECKS

Tolerance, inches (Plus or Minus)		
2 tests	3 tests	4 tests
0.45	0.35	0.30
0.65	0.50	0.40
0.90	0.70	0.50
1.00	0.80	0.60
	2 tests  0.45  0.65  0.90	2 tests         3 tests           0.45         0.35           0.65         0.50           0.90         0.70

If the mean depth of a LOT of material is in excess of the tolerance, the payment will not be made for that material in excess of the tolerance for the plan depth specified throughout the length and width of the LOT of material represented by the tests. For excessive depth CCPRM courses, the rate of deduction from the tonnage allowed for payment as CCPRM course will be calculated

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based on the JMF weight per square yard per inch of depth in excess of the tolerance for plan depth and the number of tests taken as specified in **TABLE 7** or the RCE can require excessive material to be removed at no additional expense to the Department.

If the mean depth of a lot of material is deficient by more than the allowable tolerance for the plan depth specified, correction will be required and payment will be made for the quantity of material that has been placed in the lot. For sections of CCPRM course that are deficient in depth beyond the tolerance, furnish and place material specified for the subsequent course or as approved by the RCE to bring the deficient CCPRM course depth within the tolerance of the specified plan depth. This additional material will be placed at no additional expense to the Department.

# 413.7.2.2.9 FOGSEAL

Ensure that after compaction of the recycled material has being completed, a fog seal is applied to the recycled surface at a uniform application rate of 0.04 gal/sy residual using a emulsified asphalt or PG 64-22 binder. A light application of fine aggregated used as grit may be applied to the fog seal to aide in the reduction of CCPRM pickup and raveling (if necessary). Ensure that after fog sealing no traffic, including construction equipment, drives on the completed recycled material for at least two hours. After two hours rolling traffic may be permitted on the recycled material. This time may be adjusted by the RCE to allow establishment of sufficient cure so traffic will not initiate raveling. After opening to traffic, maintain the surface of the recycled pavement in a condition suitable for the safe movement of traffic. Remove all loose particles that may develop on the pavement surface without damaging the surface. Within the limits of the Contract, maintain the CCPRM material in good condition until all work has been completed and accepted. This maintenance includes immediate repairs or defects that may occur including raveling or other surface imperfections. Perform this work without additional compensation and repeat as often as may be necessary to keep the area continuously intact. Replace faulty work for the full depth of the layer.

#### 413.7.2.2.10 VERIFICATION OF MOISTURE CONTENT

Prior to placing the asphalt concrete surface courses, or other applicable surface treatment, allow the CCPRM material to cure until the moisture of the material is a maximum of 50 percent of the optimum water content or until approval of the RCE is received. Measure the moisture content using AASHTO T 329 on samples taken from two random locations and immediately placed in a sealed plastic bag, as determined by the RCE for each production day. Other methods and sampling rates may be used if supplied in the Quality Control Plan and approved by the RCE. Split samples may be taken at the direction of the RCE. Split samples may be part of the random locations or at additional locations determined by the RCE. Apply a tack coat, in accordance with Subsection 401.4.18, prior to any additional asphalt layers. Do not use **CCPRM** as a final riding surface.

#### **413.7.2.2.11 TESTING RECORDS**

Ensure that all testing information is readably available during the performance of the work and all records are collected by the RCE prior to the next LOT. Provide accurate reports meeting the requirements of AASHTO R 18.

# 414.8 ACCEPTANCE

#### 414.8.1 DAILY PRODUCTION - LOT

For the purposes of acceptance, each day's production will be considered a LOT. When paving is less than 2,000 feet, it will be combined with the next day's production or added to the previous day's production if it is the last day to create a lot.

#### 414.8.2 MIX QUALITY ACCEPTANCE

If key test results are less than the established JMF target, a pay adjustment will be applied for the tonnage represented by the results using the **TABLE 8** for mix stability and **TABLE 9** for Indirect Tensile Strength.

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TABLE 8 - Mix Quality – Stability (Foamed Asphalt)			
% of Job-Mix Target Stability	% of Payment		
Greater than 99.0	100		
95.0 to 99.0	95		
90.0 to 94.9	90		
Less than 90.0*	90% pay and Cease Production		

<sup>\*</sup> Immediately cease production and notify the RCE when results fall below 90.0% of the approved JMF target. Make any necessary corrective actions to the mix and provide verification to the RCE that it conforms to the approved JMF. Should the results fall below the minimum specified in **TABLE 4**, remove the material represented by the failing results and replace it at no cost to the Department. With approval of the RCE, subsequent paving operations can resume.

TABLE 9 - Mix Quality – Indirect Tensile Strength (Emulsified Asphalt)		
% of Job-Mix Target Dry Indirect Tensile Strength	% of Payment	
Greater than 99.0	100	
95.0 to 98.9	95	
90.0 to 94.9	90	
Less than 90.0*	90% and Cease Production	

<sup>\*</sup> Immediately cease production and notify the RCE when results fall below 90.0% of the approved JMF target. Make any necessary corrective actions to the mix and provide verification to the RCE that it conforms to the approved JMF. Should the results fall below the minimum specified in **TABLE 4**, remove the material represented by the failing results and replace it at no cost to the Department. With approval of the RCE, subsequent paving operations can resume.

#### 414.8.3 Field Compaction

Measure density by taking a nuclear density reading from two random test sites selected by the RCE within every 1000 feet. Ensure that readings are not located within 12 inches of the edge of any application width for CCPRM. Nuclear density test locations will be marked and labeled by the RCE in accordance with the requirements of SC-T-101.

The average of the density measurements taken for the LOT will be compared to the target nuclear density established by the approved JMF to determine the acceptability of the lot. Once the average density of the lot has been determined, do not provide additional compaction to raise the average. If two consecutive 1000 foot sections produce density results less than 98 percent of the target density, immediately notify the RCE and institute corrective action. By the end of the day's operations, furnish the test data developed during the day's recycling to the RCE. Verify results for every lot by performing a field proctor (AASHTO T 180, Method D). Ensure that the

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field proctor is at least 98 percent of the target density from the approved JMF. A pay adjustment for the tonnage represented by the LOT will be applied using TABLE 10.

**TABLE 10 - PAYMENT SCHEDULE FOR LOT DENSITIES** 

% of Target Control Strip Density	% of Payment
98.0 or greater	100
97.0 to less than 98.0	95
96.0 to less than 97.0	90
Less than 96.0	75

#### 414.8.4 LOT PAY FACTOR

The RCE will compute the Lot Pay Factor (LPF) once payments are determined using the tables for mix quality and field density using the following formula. The LPF will be rounded to the nearest 0.1%.

LPF = 0.50 (PF mix quality) + 0.50 (PF Density)

#### 414.9 MEASUREMENT

Measurement and payment for the Cold Plant Recycling Material (CCPRM) will be paid by the ton of the completed sections and will be paid for at the Contract unit price per ton. This price will be full compensation for removal, hauling and processing of the existing pavement (if RAP from the same project is used) and/or existing RAP stockpile(s); for additional aggregate if needed; for preparing, hauling, placing and compacting of all materials; furnishing stabilizing agents (PG Binder or Emulsion), fog seal, aggregate used in grit application and additives (lime and cement); for all freight involved; for all manipulations, rolling and brooming; for testing and documentation; asphalt supplier services; and for all labor, tools, equipment and incidentals necessary to complete the work. Net weight information will be furnished with each load of material delivered in accordance with the requirements of Section 401 of the Specifications. Batch weights will not be permitted as a method of measurement unless the Contractor's plant is equipped in accordance with the requirements of Section 401 of the Specifications, in which case the cumulative weight of the batches will be used for payment. The unit price for calculating pay factor will be \$48.00 per ton.

# (45) SECTION 501: ROLLER COMPACTED CONCRETE:

#### A. GENERAL

1. Description: Roller Compacted Concrete (RCC) consists of aggregate, Portland cement and possibly other supplementary cementitious materials (fly ash, slag), and water. RCC is proportioned, mixed, placed, compacted, and cured in accordance with these specifications. Ensure that the RCC conforms to the lines, grades, thickness, and typical cross section shown in the plans or otherwise established by the RCE. When used as base course, it will be covered with one or more lifts of asphalt as shown on the Plans. Otherwise, the RCC will provide the final riding surface.

#### B. SUBMITTALS

 Proposed RCC mix design: At least 45 days prior to the beginning of placing of RCC in the roadway, submit a proposed mix design to the State Materials Engineer at the SCDOT Office of Materials and Research for review. If the mix design appears satisfactory to the SCDOT, prepare and test a trial batch mixture at the Contractor's facilities to verify that the design criteria for strength are met. Perform batch mixture preparation and testing in the presence of

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representatives of the SCDOT Office of Materials and Research. Make no production until an approved mix design has been obtained.

#### C. MATERIALS

- 1. General: The RCE will approve all materials to be used for RCC construction based on laboratory tests or certifications of representative materials that will be used in the actual construction. All materials must conform to Section 700 of the SCDOT Standard Specifications for Highway Construction, unless otherwise modified herein.
- Portland Cement, Fly Ash, and Water-Granulated Blast Furnace Slag: All cementitious
  material must conform to Section 501.2.1. Pozzolanic substitution for Portland cement shall
  be allowed as specified in Section 701.4.9. If the use of silica fume is desired, have the type
  and usage pre-approved by the SCDOT State Materials Engineer.
- 3. Aggregates: Obtain all aggregates to be used from qualified sources appearing on the SCDOT Qualified Products Listing for aggregates. Use no aggregate where the plasticity index of the aggregate exceeds 5. Aggregates may be obtained from a single source or borrow pit, or may be a blend of fine and coarse aggregates. Use well-graded aggregate without gradation gaps and conforming to the following gradation:

Sieve Size	Percent Passing by Weight
1 inch	100
¾ inch	90-100
½ inch	70-100
3/8 inch	60-85
#4	40-60
#16	20-40
#100	6-18
#200	2-8

- 4. Water: Use only water conforming to Section 701.2.11 of the Standard Specifications.
- 5. Curing Compound: Where curing compounds are used, only those white-pigmented products shown in the current edition of SCDOT Qualified Products List 33 shall be used.

#### D. DESIGN STRENGTH

Use a mix design that demonstrates a compressive strength of 4000 psi within 28 days when specimens prepared according to ASTM C 1435 are tested according to AASHTO T 22. At least two sets of three cylinders will be produced, with one set being tested at 4 days and the other at 28 days. To determine the compressive strength for a set, two of the specimens will be tested. If the weaker of the two specimens is at least 90 percent of the strength of the stronger specimen, then the two values will be averaged to determine the overall compressive strength. If the weaker specimen has less than 90 percent of the strength of the stronger specimen, then the third specimen will be broken and all three specimens will be averaged. If one individual result is much lower or much higher than the other two due to defects in the specimen, that value may be discarded at the State Materials Engineer's discretion.

#### **E.** EQUIPMENT

- 1. General: Construct roller compacted concrete with any combination of equipment that will produce a completed pavement meeting the requirements for mixing, transporting, placing, compacting, finishing, and curing as provided in this specification.
- 2. Mixing Plant: Locate the mixing plant within a thirty-minute haul time from the point of RCC placement. Use only plants capable of producing an RCC pavement mixture in the proportions defined by the final approved mix design and within the specified tolerances. The capacity of the plant must be sufficient to produce a uniform mixture at a rate compatible with the placement equipment. If the plant is unable to produce material at a rate adequate to prevent unnecessary cold joints and frequent paver stoppages, the RCE may halt production until such time that a plant of appropriate capacity is used. Have the plant inspected and approved

by the SCDOT Office of Materials and Research prior to production of material under these specifications.

- a. Pugmill Plant: Use only pugmill plants of the central plant type with a twin-shaft pugmill mixer, capable of batch or continuous mixing, equipped with synchronized metering devices and feeders to maintain the correct proportions of aggregate, cement, pozzolan, and water. Other pugmill plant requirements are as follows:
  - Aggregate Storage: If previously blended aggregate is furnished, storage may be in a stockpile from which it is fed directly to a conveyor feeding the mixer. If aggregate is furnished in two size groups, follow proper stockpiling techniques to ensure aggregate separation.
  - 2) Aggregate Feed Rate: Use aggregate bins with a feed rate controlled by a variable speed belt, or an operable gate calibrated to accurately deliver any specified quantity of material. If two aggregate size stockpile sources are used, the feed rate from each bin must be readily adjustable to change aggregate proportions, when required. Feed rate controls must maintain the established proportions of aggregate from each stockpile bin when the combined aggregate delivery is increased or decreased.
  - 3) Plant Scales: Plant scales, if utilized, for any weigh box or hopper must comply with Section 701.3.2.
  - 4) Cement and Pozzolan Material Storage: Supply separate and independent storage silos for Portland cement and pozzolan. At plants with two or more silos in which different types of cement or cementitious materials are stored, ensure that each silo has a sign at each fill inlet to reduce the potential for loading errors. Make the sign from a durable material, with minimum two-inch high by ¼-inch wide letters that are raised, indented, or cut. Ensure that the sign clearly identifies the material that is in the silo and may be easily read even when completely coated with dust. Flat signs with painted or applied letters are not acceptable.
  - 5) Pre-blended Portland Cement and Pozzolan: If using pre-blended Portland cement and pozzolan (such as fly ash or slag), employ blending equipment acceptable to the RCE and demonstrate, with a testing plan, the ability to successfully produce a uniform blended material meeting the mix design requirements. Perform testing on at least a daily basis to ensure both uniformity and proper quantities.
  - 6) Cement and Pozzolan Feed Unit. Provide a satisfactory means of dispensing Portland cement and pozzolan, volumetrically or by weight, to ensure a uniform and accurate quantity of cementitious material enters the mixer.
  - 7) Water Control Unit. Use a water control unit capable of measuring the required amount of water for the approved mix by weight or volume. Ensure that the unit is equipped with an accurate metering device. Vary the amount of water to be used only with the approval of the RCE.
  - 8) Gob Hopper. For continuous operating pugmills, provide a gob hopper attached to the end of the final discharge belt to temporarily hold the RCC discharge in order to allow the plant to operate continuously.
- b. Rotary Drum Mixer: Provide a rotary drum batch mixer capable of producing a homogeneous mixture, uniform in color, and having all coarse aggregate coated with mortar. Equip the mixer with batching equipment to meet the following requirements:
  - 1) Weighing Equipment: Measure the amounts of cement, pozzolan, and aggregate entering into each batch of RCC by direct weighing equipment. Use only weighing equipment that is readily adjustable in order to compensate for the moisture content of the aggregate or to change the proportionate batch weights. Include a visible dial or equally suitable device that will accurately register the scale load from zero to full capacity. The cement and pozzolan may be weighed separately or cumulatively in the same hopper on the same scale, provided the cement is weighed first.
  - 2) Weigh Hoppers: Use only bulk cement and pozzolan weigh hoppers that are equipped with vibrators to operate automatically and continuously while weighing hoppers are being dumped. Ensure that the weigh hopper has sufficient capacity to

- hold not less than 10 percent in excess of the cementitious material required for one batch
- 3) Water Metering: Measure the amount of water entering each batch of RCC by weight or volume. Use only equipment capable of measuring the water to within a tolerance of plus or minus one percent and equipped with an accurate gauge or dial measuring device. Vary the amount of water to be used only with the approval of the RCE. During batching, admit water to the mixer only through the water measuring device and then only at the time of charging.
- 4) *Mixing Time*: Use only drum mixers equipped with an accurate clock or timing device, capable of being locked, for visibly indicating the time of mixing after all the materials, including the water, are in the mixer.
- 5) Recharging: Discharge all material in the drum before recharging. Ensure that the volume of mixed material per batch does not exceed the manufacturer's rated capacity of the mixer.
- 3. Paver: Place RCC with a high-density asphalt-type paver subject to approval by the RCE. Use only pavers equipped with compacting devices capable of producing an RCC pavement with a minimum of 90 percent of the maximum density in accordance with AASHTO T 180, Method D prior to any additional compaction. Ensure that the paver is of suitable weight and stability to spread and finish the RCC material, without segregation, to the required thickness, smoothness, surface texture, cross-section, and grade.
- 4. Compactors: Use self-propelled steel drum vibratory rollers having a minimum static weight of 10 tons for primary compaction. For final compaction, use either a steel drum roller, operated in a static mode, or a rubber-tired roller of equal or greater weight. Only use walkbehind vibratory rollers or plate tampers for compacting areas inaccessible to large rollers.
- 5. Haul Trucks: Use trucks for hauling the RCC material from the plant to the paver with covers available to protect the material from inclement weather. To ensure adequate and continuous supply of RCC material to the paver, have a sufficient number of trucks. If the number of trucks is inadequate to prevent frequent starts and stops of the paver, cease production until additional trucks are obtained.
- 6. Water Trucks: Keep at least one water truck, or other similar equipment, on-site and available for use throughout the paving and curing process. Equip such equipment with a spreader pipe containing fog spray nozzles capable of evenly applying a fine spray of water to the surface of the RCC without damaging the final surface.
- Inspection of Equipment: Before start-up, the Contractor's equipment will be carefully inspected. Should any of the equipment fail to operate properly, cease work until the deficiencies are corrected.
- 8. Access for Inspection and Calibration: Provide the RCE or RCE's representative access at all times for any plant, equipment, or machinery to be used in order to check calibration, scales, controls, or operating adjustments.

#### F. CONSTRUCTION REQUIREMENTS

- 1. Preparation of Subgrade: Before the RCC processing begins, prepare the subgrade in accordance with Section 208 of the SCDOT Standard Specifications.
- Quality Control Test Specimens: For each day's production, up to 1500 cubic yards of mix produced, prepare at least three sets of test specimens in accordance with ASTM C 1435 under the direct observation of the RCE or RCE's representative. A set of specimens consists of three cylinders. Make an additional three sets for each additional 1500 cubic yards or fraction thereof. Cure and transport the specimens to the Contractor's (or mix producer's) Office of Materials and Research-approved laboratory in accordance with ASTM C 31. Test two cylinders for compressive strength in accordance with ASTM C 39 at 3 days, 7 days, and 28 days under the direct observation of the RCE or RCE's representative. If the measured compressive strength between two cylinders varies by more than 10 percent of the stronger cylinder, test the third cylinder and average the results of the three cylinders. Otherwise, average the measured compressive strengths of the two cylinders tested at 28 days to

determine the compressive strength of the lot. Retain the compressive strength test results for inspection by the RCE.

If the compressive strength measured at 3 days indicates that the 28-day compressive strength will be less than 3500 psi, investigate the potential causes of the low strengths and report to the RCE within 24 hours. If the compressive strength measured at 3 days indicates 28-day compressive strengths less than 3200 psi, immediately stop production and notify the RCE. Do not resume production until the cause of the discrepancy has been determined to the satisfaction of the RCE. The RCE may adjust compressive strength targets at 3 days as production continues based on field experience.

- 3. Mixing Process: Use the same mixture for the entire project unless otherwise stated in the project documents. If, during production, the source of Portland cement, pozzolan, or aggregates is changed, then suspend production and submit a new mix design to the RCE for approval. Do not exceed the manufacturer's rated capacity for dry concrete mixtures in the mixing chamber. Keep the sides of the mixer and mixer blades free of hardened RCC or other buildups. Routinely check mixer blades for wear and replace if wear is sufficient to cause inadequate mixing.
  - a. *Mixing Time*: Use a mixing time adequate to ensure a thorough and complete mixing of all materials. Do not allow the mixing time, after all materials including water are in the mixer, to be less than 1½ minutes for one cubic yard and 20 seconds for each additional cubic yard.
  - b. *Mixture Ingredient Tolerances*: Ensure that the mixing plant receives the quantities of individual ingredients to within the following tolerances:

Material	Variation by Weight
Cementious Material	±2.0%
Water	±3.0%
Aggregates	±4.0%

- c. Plant Calibration: Prior to commencement of RCC production, carry out a complete and comprehensive calibration of the plant in accordance with the manufacturer's recommended practice. Provide all scales, containers, and other items necessary to complete the calibration. After completion of the initial calibration, calibrate the plant periodically as directed by the RCE. Plants listed on SCDOT Qualified Product List 28 at the time of RCC production are exempt from this requirement, although the SCDOT reserves the right to require additional calibration if variation in mixture quantities are suspected.
- d. *Daily Reports:* Supply daily plant records of production and quantities of materials used that day to the RCE. These records may be used as a check on plant calibration.
- 4. Transportation: Transport the RCC pavement material from the plant to the areas to be paved in dump trucks equipped with retractable protective covers for protection from rain or excessive evaporation. Ensure that the trucks are dumped clean with no buildup or hanging of RCC material in the corners. Have the dump trucks deposit the RCC material directly into the hopper of the paver or into a secondary material distribution system that deposits the material into the paver hopper. Dump truck delivery must be timed and scheduled so that RCC material is spread and compacted within the specified time limits.

## 5. Placing:

- a. Subbase Condition: Prior to RCC placement, ensure that the surface of the subbase is clean and free of foreign material, ponded water, and frost. Ensure that the subbase is uniformly moist at the time of RCC placement. If sprinkling of water is required to remoisten certain areas, ensure that the method of sprinkling will not form mud or pools of freestanding water. Correct soft or yielding subbase areas prior to placement of RCC as specified in Section F.1 above.
- b. Weather Conditions:

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- Cold Weather Precautions: Employ cold weather precautions as detailed in Section 501.4.6 of the Standard Specifications.
- 2) Hot Weather Precautions: During periods of hot weather or windy conditions, take special precautions to minimize moisture loss due to evaporation. Cooling of aggregate stockpiles by shading or the use of a fine mist may be required. Protective covers may be required on dump trucks. Keep the surface of the newly placed RCC pavement continuously moist.
- 3) Rain Limitations: Conduct no placement of RCC pavement during rain conditions sufficient to be detrimental to the finished product. Placement may continue during light rain or mists provided the surface of the RCC pavement is not eroded or damaged in any way. Use dump truck covers during these periods. The RCE may terminate paving at any time when, in the RCE's judgement, the rain is detrimental to the finished product.
- c. *Paver Requirements:* Place all RCC with an approved paver as specified in Section E.3 and also meet the following requirements:
  - 1) Filling the Paver: Do not allow the quantity of RCC material in the paver to approach empty between loads. Maintain the material above the auger at all times during paving.
    - Stopping the Paver: Ensure that the paver proceeds in a steady, continuous operation with minimal starts and stops, except to begin a new lane. Maximum paver speed during laydown is 10 feet per minute. Higher paver speeds may be allowed at the discretion of the RCE if the higher speeds may be obtained without distress to the final product or cause additional starts and stops.
  - 2) Surface Condition: Ensure that the surface of the RCC pavement is smooth, uniform, and continuous without excessive tears, ridges, or aggregate segregation once it leaves the paver.
- d. *Inaccessible Areas:* Pave all areas inaccessible to either roller or paver with cast-in-place concrete meeting the compressive strength requirements of these specifications.
- e. Adjacent Lane Pavement: Place adjacent paving lanes within 60 minutes. If more than 60 minutes elapses between placement of adjacent lanes, the vertical joint must be considered a cold joint and prepared in accordance with Section F.7 below. At the discretion of the RCE, this time may be increased or decreased depending on ambient conditions of temperature, wind, and humidity. Multiple pavers may be used in tandem to reduce the occurrence of cold joints.
- f. Hand Spreading: Broadcasting or fanning the RCC material across areas being compacted is not permissible. Such additions of materials may only be done immediately behind the paver and before any compaction has taken place. Any segregated coarse aggregate shall be removed from the surface before rolling.
- g. Segregation: If segregation occurs in the RCC during paving operations, placement shall cease until the cause is determined and corrected to the satisfaction of the RCE. If the segregation is judged by the RCE to be severe, remove and replace the segregated area at no additional cost to the Department.

# 6. Compaction:

- a. *Time to Compaction Start:* Ensure that compaction begins with the placement process and is completed within 60 minutes of the start of the mixing at the plant. The time may be increased or decreased at the discretion of the RCE depending on ambient conditions of temperature and humidity. Do not permit delays in rolling unless approved by the RCE. Plan operations and supply sufficient equipment to ensure that these criteria are met.
- b. *Rolling:* Determine the sequence and number of passes by vibratory and non-vibratory rollers to obtain the specified density and surface finish. Only operate rollers in the vibratory mode while in motion. Rubber-tire rollers may be used for final compaction. Use additional

rollers if specific density requirements are not obtained or if placing operations get ahead of the rolling operations.

- c. Rolling Longitudinal and Transverse Joints: Do not operate the roller within 2 feet of the edge of a freshly placed lane until the adjacent lane is placed. Then, roll both edges of the two lanes together within the allowable time. If a cold joint is planned, then roll the complete lane and follow cold joint procedures as specified in Section F.7 below.
- d. *Inaccessible Areas*: Compact areas inaccessible to large rollers using walk-behind rollers or hand tampers.
- e. Density Requirements: Field density tests will be performed in accordance with SC-T-33 as soon as possible, but no later than 30 minutes after the completion of the rolling. Only wet density is used for evaluation. The required minimum density is 98 percent of the maximum laboratory density obtained according to AASHTO T 180 (Method D). The inplace density and moisture content may be determined with a nuclear moisture-density gauge. The gauge will be calibrated for moisture content at the beginning of the work and at any time during the work. RCC properly placed and compacted, but not meeting the density requirements, shall be cored and tested at the Contractor's expense. If the tested area achieves 28-day design strength, it will be paid at the full unit price. If the tested area indicates strength less than 3500 psi but greater than 3150 psi, payment will be made as follows:

Compressive Strength (psi)	Price Reduction (Percent of Unit Bid Price)
3300-3499	5
3150-3299	15

If the cores indicate strengths less than 3150 psi at 28 days or longer, the Department will evaluate the results and may reject the affected area and require removal and replacement or elect to pay at a reduced rate.

#### 7. Joints:

- a. Fresh Vertical Joints: A joint is considered a fresh joint when an adjacent RCC lane is placed within 60 minutes of placing the previous lane or as specified by the RCE based on ambient conditions. Fresh joints do not require special treatment.
- b. Cold Vertical Joints: Any planned or unplanned construction joints that do not qualify as fresh joints are considered cold joints. Prior to placing fresh RCC mixture against a compacted cold vertical joint, thoroughly clean the cold joint of loose or foreign material. Wet the vertical joint face and maintain it in a moist condition immediately prior to placement of the adjacent lane.
  - 1) Sawing Cold Vertical Joints: For uncompacted surfaces or slopes more than 15 degrees from the vertical, cut the joint vertically for the full depth. Within 2 hours of final compaction, the edge of a cold joint may be cut with approved mechanical equipment. For edges cut after 2 hours, sawcut to the full depth of the pavement. Demonstrate any modification or substitution of the sawcutting procedure to the RCE for approval prior to use. In no case allow cutting of the edge to cause raveling or tearing of the surface. Moisten the cut edge immediately prior to placement of the adjacent lane.
- c. RCC Pavement Joints at Structures: Line structures such as manholes, valves, or concrete curb and gutter with joint filler as defined in Section 501.2.6.1 of the Standard Specifications.
- d. Control Joints: Construct transverse contraction joints at regular intervals up to 20-feet in the RCC pavement to induce cracking at pre-selected locations unless otherwise indicated on the Plans or as directed by the RCE. At the option of the Contractor, soft-cut or greencut saws may be utilized as soon as possible behind the rolling operation and set to manufacturer's recommendations. Conventional cut saws must be used as soon as the

sawing operation will not result in raveling or other damage to the RCC pavement, but not more than 18 hours after RCC placement. Cut all joints to 1/4 the depth of the RCC pavement to a single saw blade width.

# 8. Finishing:

Ensure that the finished surface of the RCC pavement, when tested with a 10-foot straightedge or crown surface template, does not vary from the straightedge or template by more than 1/4 inch at any one point and shall be within 5/8 inch of the specified finished grade. When surface irregularities are outside these tolerances, diamond-grind the surface to meet the tolerance at no additional cost to the SCDOT.

# 9. Curing:

Immediately after final rolling and compaction testing, keep the surface of the RCC pavement continuously moist until an approved curing compound, a suitable prime coat, or a layer of asphalt concrete is applied.

- a. Water Cure: Apply water cure by water trucks equipped with fog spray nozzles, soaking hoses, sprinkling system, or other means such that a uniform moist condition on the surface of the RCC is ensured. Apply this moisture in a manner that will not erode or damage the surface of the finished RCC pavement.
- b. Curing Compound: Do not use curing compounds when the RCC material is to be promptly covered with asphalt. Apply curing compound as indicated in Section 501.4.11 of the Standard Specifications, except that the minimum rate of curing compound application is 0.09 gallons per square yard (11 square yards per gallon) unless a higher rate is specified by the curing compound manufacturer.
- 10. Traffic: Protect the RCC from vehicular traffic during the curing period. Completed portions of the RCC pavement may be opened to automotive and light truck traffic as soon as the strength is sufficient to prevent damage to the RCC. The pavement may be opened to unrestricted traffic after 4 days. If the temperature drops below 40° F, then the period of time the temperature is below 40° F will be added to the minimum time to opening.
- 11. Maintenance: Maintain the RCC pavement in good condition until all work is completed and accepted. Perform such maintenance at no additional cost to the SCDOT.
- 12. Thickness: Provide and operate equipment capable of extracting a small (approximately 1 inch diameter or greater) core to determine the pavement thickness. Extract samples in the presence of the RCE or RCE's representative unless otherwise directed.
- 13. Thickness Tolerance The thickness of the completed RCC is measured at staggered intervals not to exceed 250 feet in length for two-lane roads. Measure the core to the nearest 1/8 inch at three different, evenly spaced locations and record the average. Where the RCC is deficient by more than 1/2 inch, correct such areas by removal and replacement. Where the measured thickness is more than 1/2 inch thicker than shown on the Plans, it is considered as the specified thickness, plus 1/2 inch. The average job thickness is the average of the depth measurements determined as specified above. Should this average thickness be more than 1/4 inch below the specified thickness, an adjusted unit price is used in calculating payment. This adjusted contract unit price bears the same ratio to the contract unit price as the square of the average thickness bears to the square of the specified thickness. When the contract includes more than one road, each road is considered separately.

## G. UNIT PRICE

1. A unit price of \$36.00 per square yard will be applied for the purpose of pay adjustment.

# (46) SECTION 501: NONWOVEN GEOTEXTILE INTERLAYER FABRIC:

This Special Provision describes the construction and material requirements for installation of an interlayer fabric to be used between concrete pavement and cement stabilized aggregate base.

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#### A. REFERENCED DOCUMENTS

**ASTM D 4355** Standard Test Method for Deterioration of Geotextiles by Exposure to Light, Moisture and Heat in a Xenon Arc Type Apparatus

ASTM D 4491 Standard Test Methods for Water Permeability of Geotextiles by Permittivity

**ASTM D 4595** Standard Test Method for Tensile Properties of Geotextiles by the Wide-Width Strip Method

**ASTM D 4716** Standard Test Method for Determining the (In-plane) Flow Rate per Unit Width and Hydraulic Transmissivity of a Geosynthetic Using a Constant Head

ASTM D 5199 Standard Test Method for Measuring the Nominal Thickness of Geosynthetics

ASTM D 5261 Standard Test Method for Measuring Mass per Unit Area of Geotextiles

#### B. GENERAL

1. Provide and install a non-woven geotextile interlayer to be placed between concrete pavements and cement stabilized aggregate bases. The fabric is intended to provide limited drainage, separation between the base and pavement to retard the transmission of cracking, and relief of bedding stress due to movement of the concrete pavement on a stiff base. Attach the fabric firmly to the base to prevent movement during paving operations. Conduct paving operations to minimize fabric damage due to vehicle movements. Repair any damage prior to paving to ensure complete coverage of the base.

#### C. MATERIAL REQUIREMENTS

- 1. Geotextile requirements:
  - a. Fabric type: Provide a nonwoven needle-punched geotextile. Thermal treatment (calendaring or IR) is not acceptable.
  - b. Color: Ensure that the color is uniform and uses nominally the same color fibers throughout.
  - c. The following requirements must be met by 95% of samples. Minimum Average Roll Values (MARV) are also acceptable:
    - Mass per unit area: Ensure that mass per unit area is greater than 450 grams per square meter (13.3 ounces per square yard) and less than or equal to 550 grams per square meter (16.2 ounces per square yard) when tested in accordance with ASTM D 5261.
    - 2) Thickness under load (pressure): Ensure that the thickness under load is greater than or equal to 3.0 mm at 2 kPa (0.12 inch at 0.29 psi), greater than 2.5 mm at 20 kPa (0.10 inch at 2.9 psi), and greater than 1.0 mm at 200 kPa (0.04 inch at 29 psi) when tested in accordance with ASTM D 5199.
    - 3) Wide-width tensile strength: Ensure the tensile strength is greater than 10 kN per meter (685 pounds per foot) when tested in accordance with ASTM D 4595.
    - 4) Maximum elongation: Ensure that the maximum elongation is less than or equal to 130 percent when tested in accordance with ASTM D 4595.
    - 5) Water permeability in normal direction under load (pressure): Ensure that the water permeability in the normal direction under load (pressure) is greater than or equal to 1x10<sup>-4</sup> meters per second (3.3x10<sup>-4</sup> feet per second) when tested in accordance with modified ASTM D 4491 at 20 kPa (2.9 psi) or ASTM D 5493.
    - 6) In-plane water permeability: Ensure that the in-plane water permeability (transmissivity) under load (pressure) is greater than or equal to 5x10<sup>-4</sup> meters per second (1.6x10<sup>-3</sup> feet per second) when tested in accordance with modified ASTM D 4716 at 20 kPa (2.9 psi) and is greater than or equal to 2x10<sup>-4</sup> meters per second (6.6x10<sup>-4</sup> feet per second) when tested in accordance with modified ASTM D 4716 at 200 kPa (29 psi) or ASTM D 6574.

- Weather resistance: Ensure that the retained strength after 500 hours of weathering is greater than or equal to 60 percent of the initial strength when tested in accordance with ASTM D 4355.
- 8) Alkali resistance: Provide a manufacturer certification that the supplied material is composed of 96% or more polypropylene/polyethylene.
- d. Certification: Prior to incorporation in the work, provide the RCE with a manufacturer's certification stating that the material being used meets all requirements of this Special Provision for each batch or lot of material. Ensure that the provided certification references the batch number(s) supplied and is attested to by the notarized signature of an officer of the manufacturing company. Also provide the RCE with a copy of the manufacturer's independent test data showing results for all the properties given in this section obtained by the test methods provided. Test data does not have to be batch or lot-specific.

### 2. Anchor system requirements:

- a. Fasteners: Use hardened steel pin fasteners with a galvanized finish intended for insertion in concrete by a powered fastening tool. Select a diameter and length adequate to anchor the geotextile such that normal paving operations do not dislodge the pins and the base is not damaged by the insertion.
- b. Discs: Provide thin, galvanized steel discs ranging from 2.0 to 2.8 inches in diameter with small stamped claws for holding the fabric and distributing the anchoring load.

#### D. CONSTRUCTION

- Preparation of base: Repair any damaged or defective areas in the base to the satisfaction of the RCE. Thoroughly sweep the base immediately prior to fabric placement and ensure that the surface is free of loose debris.
- 2. Timing of placement: Place fabric no more than 3 days ahead of paving operations. If concrete is being placed by trucks directly in front of the paver, do not place fabric more than 650 feet ahead of the paver.
- 3. Placement: Roll the material onto the base, keeping the fabric tight with no wrinkles or folds. Roll out the sections of the fabric in a sequence that will facilitate good overfapping, prevent folding or tearing by construction traffic. and minimize the potential that the material will be disturbed by the paver. Overlap sections of the fabric a minimum of 6 inches and a maximum of 10 inches. Ensure that no more than three layers overlap at any point. Extend the fabric a minimum of 12 inches beyond the edge of the concrete pavement.
- 4. Anchoring: Secure the fabric with fasteners punched through the steel discs into the base. Space the anchors as necessary to securely hold the fabric in position during paving operations. However, maintain a maximum anchor spacing of 6 feet under all circumstances.
- 5. Construction traffic: Keep all nonessential traffic off of the fabric. Ensure that operations are staged such that no vehicles make sharp turning motions on the fabric. Remove and replace damaged fabric using required placement overlaps and sufficient anchors.
- 6. Moisture: Lightly but completely dampen the fabric ahead of the paving operations to ensure that the fabric does not draw water from the concrete. If the fabric is wetted due to precipitation or other reasons to the point of standing water or that free water appears when the fabric is walked on, allow the fabric to dry to a moist condition before continuing paving operations.

#### (47) SECTION 503: PORTLAND CEMENT CONCRETE PAVEMENT UNIT COST:

The Contractor is obligated to comply with the 2007 Standard Specifications regarding compressive strength and thickness. This Special Provision establishes the Portland Cement Concrete unit cost for any payment adjustments associated with Supplemental Technical Specification SC-M-501, SC-M-502, SC-M-503, regarding compressive strength, rideability, and thickness. For purposes of applying any payment adjustments associated with these Supplemental Technical Specification, a unit price of \$45/SY will be used. SC-M-502DB no longer applies. SC-M-502 (04/16) will be applied to this contract unless noted otherwise in Exhibit 4c.

# (48) DIVISION 600: FURNISH AND INSTALL DETECTOR LOOPS:

The Contractor is hereby notified that All Catalog descriptions and documentation are to be submitted within (5) days after the bid openings to the Contracts Administrator.

The loops shall be installed in the surface course on all projects in Engineering Districts 3, 4, 5, 6, & 7.

The loops shall be installed in the binder course for all projects in Engineering District 1.

The loops shall be on installed in the binder course on new construction projects, and in the surface for resurfacing projects in Engineering District 2.

# (49) DIVISION 600: MANUAL ON UNIFORM TRAFFIC CONTROL DEVICES:

The Contractor is advised that all work involving design or installation of traffic control devices, including but not limited to signs, pavement markings, elements of work zone traffic control, signals, etc., shall be in compliance with the FHWA's Manual on Uniform Traffic Control Devices (MUTCD), latest edition. The latest edition is defined as the edition that the Traffic Engineering Division of SCDOT recognizes as having been officially adopted (Engineering Directive, Memorandum 19) at the time the project is let, unless stated otherwise in the Special Provisions.

# (50) DIVISION 600: EVALUATION OF RETROREFLECTIVITY:

Within 20 days of initial application, the Contractor shall arrange for an independent party to evaluate the retroreflectivity of the pavement markings using a mobile retroreflectometer utilizing 30 meter CEN geometry. All lines shall be measured in both directions. The independent party conducting the measurements shall furnish directly to the Department a report detailing the average of the readings over one mile segments for each type of long line (white edgeline, white lane lines, yellow edgelines) along the length of the project. Average measurements shall also be provided along each ramp. Interstate mile markers may be used for beginning and ending points, with the first and last segments in each direction being less than one mile in length. The initial minimum retroreflectivity values shall be as follows:

Retroreflectivity (mcd/lux/m²)

<u>White</u> <u>Yellow</u> 450 350

A second evaluation shall take place within 20 days prior to the end of the 180 day observation period. The evaluation method shall be the same as described above. The 180 day minimum retroreflectivity values shall be as follows:

Retroreflectivity (mcd/lux/m²)

White Yellow 400 300

All markings failing to meet the initial minimum retroreflectivity requirements by more than 50 mcd / lux / m² shall be replaced immediately at the Contractor's expense. All markings failing to meet initial requirements by less than 50 mcd / lux / m² may be reevaluated at the time of the 180 day evaluation unless the defect causing the lower readings is obvious to the Engineer.

#### (51) DIVISION 600: INTELLIGENT TRANSPORTATION SYSTEM:

#### A. GENERAL PROVISIONS FOR INTELLIGENT TRANSPORTATION SYSTEM

The work on this project consists of a Contractor maintaining and replacement/installation of an existing fiber line that houses SCDOT Intelligent Transportation System (ITS) communications along I-77. The contractor shall maintain connectivity of this fiber line for the duration of this project

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except for the downtime allowed by SCDOT for transferring the connection to the new line. This project will include removal and disposal of existing ITS elements, furnishing and installing fiber optic cable in the conduits, service boxes, electrical services, and all miscellaneous hardware to make an operational fiber line system.

The CONTRACTOR shall provide an additional conduit adjacent to the fiber line for use by "others" to house a future ITS fiber line to be installed by SCDOT. The CONTRACTOR is not responsible for the maintenance or construction of permanent SCDOT ITS elements; however permanent ITS elements should be considered when designing the fiber line and service boxes for future connectivity.

Any existing DMS's will be removed and returned to the SCDOT per the specification. All other elements shall be disposed of per the following section Removal Salvage and Disposal of Equipment and Materials.

All work involved in this contract shall be in accordance with the following publications:

- The South Carolina "Specifications for Intelligent Transportation Systems", referred to below as SECTION 600: ITS ELEMENTS INSTALLATION.
- 2. The AASHTO "Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals", latest edition.

#### **B.** DEVICE LOCATION-EXPECTATION

Fiber optic cable and service boxes shall be as far back to the ROW limits as possible.

# C. SUBMISSION:

Submission of required design information and design drawings:

It is essential that the ITS contractor make all required design submissions following award of this contract.

#### (52) DIVISION 600: ITS ELEMENTS INSTALLATION:

## A. PROJECT DESCRIPTION

1. Scope: ITS System – The Project includes the installation of a Fiber System along I-77 throughout the limits of the project. This will be a turn-key fiber project, with the CONTRACTOR furnishing and installing a 120 SM fiber optic cable (FOC) in 2 inch conduits, fiber optic cable splicing and terminations, fiber optic cable testing, service boxes, pull boxes, electrical conduit, electrical cable, electrical services, and all miscellaneous hardware required to make an operational system per the specifications, as listed, complete to the satisfaction of the department. The CONTRACTOR shall develop and furnish conceptual plans a minimum of 45 days prior to commencing fabrication/construction activities. The CONTRACTOR shall also provide as-built plans to include: directional bore logs, conduit offsets every 500', GPS data of device locations, all service and pull boxes, power metering points, mid span and reel end splices (three complete sets). An electronic copy of all GPS data will be turned in at the same time as as-built plans. Allocation drawing and Bentley Fiber data entry will be furnished by the Department as part of the integration.

The new 120 SMFOC (Fiber Optic Cable) shall be spliced in a newly installed service box to the existing 120 SMFOC at the north and sound boundaries of the Project. The termination shall be a reel end splice at both locations.

Prior to commencing construction, the department will remove all existing ITS cameras and cabinets only. This does not include camera poles, cabinet bases, conduit, fiber, electric services, dynamic message signs and structures from the project.

The Department will furnish to the CONTRACTOR local camera cabinets with control equipment and power supplies to be installed according to the specifications for install 322 and SCIPCAB1 ITS cabinet assembly.

The Supplemental Specifications provide detailed requirements for specific ITS components of this system. Elements of this ITS system to be shown in the conceptual design plans, and specified in the Supplemental Specifications, are briefly described as follows:

- Spare parts: Per section 12 of the Furnish And Install Permanent Dynamic Message Sign Standard, section 3 of the Furnish And Install Camera Lowering Device the CONTRACTOR shall furnish new spare parts as defined.
- 3. Training: Per Section 6 of Furnish And Install Fiber Optic Cable, and Section 11 of Furnish And Install Permanent Dynamic Message Signs, and Maintenance Of Traffic the CONTRACTOR shall furnish training as defined.
- 4. The Local Control Cabinet (332 or SCIPCAB1): Department furnished 332 Control cabinets shall be installed on preformed concrete bases, a SCIPCAB1 Control cabinets shall be installed on a concrete pedestal mounted at each specified field site as shown on the Plans. Control cabinets shall be used to house the field control and communications equipment required interfacing the CCTV components to the field hub cabinet communications control and communications system, closures, and traffic control center (TCC) equipment. Control cabinets include all of the equipment needed to interface the CCTV cameras and the communication system.
- 5. Service boxes: Service boxes shall be installed as shown on the plans, though not to exceed a maximum of 2450 foot spacing or as indicated on plans. Service boxes shall all so be installed at CCTV, Field Hub, Hub Buildings and other locations as needed for fiber optic splicing and cable management. Each service box shall have the SCDOT Fiber Optics logo cast in the lids. Each service shall have a passive marker ball operating at 101.4 KHZ orange in color. In cases were a service box is to be used for electrical cabling the lids shall have the SCDOT Electrical logo cast, and shall have a red passive marker ball operating at 169.8KHZ installed. At each service box locations, a PNA type marker post shall be installed (orange top for fiber optic and red top for electrical). GPS location shall be determined for all boxes installed and shown on as-built plans.
- 6. Fiber optic backbone and drop cable: The fiber optic backbone shall be 144 fiber single-mode cable with twelve (12) buffer tubes with 12 fibers each. It shall be installed in a 2" conduit where shown. Splicing of the cable shall only be allowed at specified locations to be approved by the ITS Field Operations Manager. Buried backbone cable shall have orange marking tape above it and above ground cable markers at every service box. The drop cable or Gator patch shall also be single-mode and extend from 3M Brand splice closures to local cabinets. GPS locations shall be determined for all mid spans/reel end splices and shown on as-built plans No subsurface investigation has been done. It shall be the CONTRACTOR's responsibility to verify that the cable can be buried at specified depth using pre-plowing or other methods to be approved by SCDOT. New 144 SM fiber optic Backbone cable installed along I-126 shall be a Prysmian cable or approved equal and installed in accordance with the designated fiber allocations to be issued by the ITS Field Operation Manager. All terminations and splicing shall be in accordance with the designated fiber allocations Manager.

# **B.** GENERAL PROVISIONS

 All work under this Contract shall be performed under the latest editions of following standards:

SCDOT, "Standard Specifications

For Highways Construction", Latest Edition;

These Special Provisions;

The "Manual On Uniform Traffic Control Devices", "MUTCD - 2009 Edition"; The "Intelligent Transportation System Specifications";

The "National Electrical Manufacturers Association (NEMA)":

The "National Electrical Safety Council (NESC)";

The "Electronic Industries Association (EIA);

The "National Electric Code (NEC)";

The "United States Department of Agriculture Rural Utility Service (RUS)"; The "American Society of Testing and Materials (ASTM);

The "American Association of State Highway and Transportation Officials (AASHTO)", and

Any other standards, codes and ordinances that may apply.

2. Section numbers appearing in these Special Provisions refer to the section numbers in the Standard Specifications referenced above.

Manual on Uniform Traffic Control Devices:

"The Contractor is hereby advised that the Department has adopted the MUTCD 2009 – Manual on Uniform Traffic Control Devices for use on all projects. All references to the South Carolina Manual on Uniform Traffic Control Devices (SCMUTCD) are hereby revised to read "MUTCD – 2009 Edition".

#### C. CODES, LICENSES, & ABILITIES

- All work shall be done in a workmanlike manner to meet the highest industry standards, all
  in accordance with the requirements of the latest editions of the National Electric Code
  (NEC), National Electrical Safety Council (NESC), the Illuminating Engineering Society (IES),
  American National Standards Institute (ANSI), National Electrical Manufacturer's Association
  (NEMA), and the regulations and standards of the local power company
- 2. The following supplements Section 102.1. The contracting firm responsible for the performance of the work covered by these Special Provisions, must be licensed as a General Contractor with a Classification of Public Electrical Utility (2U) by the SC Licensing Board for Contractors, and possess all other sub-classifications and licensing as required by the SC LLR. Documentation of properly trained personnel for exothermic welding is required for all personnel performing exothermic welding. A copy of the documentation for exothermic welding shall be submitted to the Department.
- 3. Further, at least one 'on-site' field supervisor shall have Level II or higher, Fiber Optics for ITS Certification by the International Municipal Signal Association (IMSA). Photo copies of the license and certificate shall be submitted before work commences. CONTRACTOR personnel performing Fiber optic testing, splicing, terminating, and/or troubleshooting shall have Level II IMSA Fiber Optics for ITS or ETA FOI certification. The CONTRACTOR shall retain employee(s) holding the appropriate licenses to conduct this type of work for the duration of the project; and the employee(s) shall be present daily and at the Final Inspection. The CONTRACTOR shall submit the names and copies of these licenses and certifications to SCDOT prior to contract award.
- 4. The CONTRACTOR shall employ persons capable of installing all the components of this ITS project as described in the Plans and Specifications. The CONTRACTOR shall possess all the necessary equipment and be capable of using it to install, integrate and maintain all the ITS components into a functional system that will allow the SCDOT Traffic Control Center (TCC) operators and system supervisors to monitor, detect and verify incidents on the Interstate.

#### D. SYSTEM INTEGRATION

Furnished by the Department- includes cameras, camera pipe adaptors, encoders, fiber transceivers, fiber mux, and integration.

#### E. SUPPLEMENTAL SPECIFICATIONS

The ITS components shall be constructed in accordance with the detailed "Supplemental Specifications, and the plan details; which by reference are made a part of these Special Provisions. These documents may be obtained from the SCDOT, Director of Traffic Engineering, Advanced Systems Coordinator, P.O. Box 191, Columbia, SC 29202-0191.

#### F. Special Instructions to CONTRACTOR

- This is a "turn-key" project. The plans will be field reviewed with the CONTRACTOR and SCDOT engineers before installation begins. Any field supervisor for the CONTRACTOR must be at this review. The CONTRACTOR must devise/refine the final details, working within the Supplemental Specifications, the Design Details, the Standards, and with the ENGINEER. The ENGINEER must approve the Plans as submitted by the CONTRACTOR before construction begins.
- 2. At project completion, all ITS components shall be complete and operational to the satisfaction of the ENGINEER.
- The CONTRACTOR shall furnish and install conduit, service boxes, and stainless steel pull boxes where needed or as shown on the plans.
- 4. The CONTRACTOR shall furnish and install all materials such as, EDCO surge suppressors, equipment racks, wood poles, fiber optic cables, electrical and coax cables, conduit, miscellaneous fittings, electrical service parts, clamps, ground rods, tape, fiber optic Siecor or equivalent interconnect centers, and all other needed materials.
- 5. The CONTRACTOR shall install 332 base-mounted or SCIPCAB1 pedestal mounted cabinets as shown in the plans.
- 6. The CONTRACTOR will install all new ITS components to provide a state-of-art installation. The CONTRACTOR shall install a new metered electrical service indicated on the Plans. NOTE: the service may be either: underground or overhead; depending on the latest requirements of the power company. GPS locations shall be determined at each meter location and shown on as-built plans. Bids should be prepared accordingly. The CONTRACTOR shall furnish and install all new electrical cable.
- In addition to the state requirements, all permits and licenses required by a City/County are
  the responsibility of the CONTRACTOR. The CONTRACTOR shall arrange with the utility
  company for hookup connections and attachment agreements.
- 8. Camera lowering devices shall be installed at all locations with CCTV unless otherwise indicated by SCDOT.
- 9. Submittal data requirements: The CONTRACTOR shall provide six (6) copies of complete and thorough submittal data for all components and materials of the Intelligent Transportation system (ITS) project with the Final ITS Plans. Work cannot begin on any part of the project until the RFC Plans are approved by the Department. The submittal data shall be furnished to the SCDOT Project Engineer. Submittal data shall include complete technical and performance specifications on all hardware, materials and installation wiring/cabling to be performed on the ITS project. Each package of submittal data shall be neatly organized and separated by hardware item and shall contain an index of all submittal data documents included in the package. The index shall name each submittal data document, what ITS system component the document is submitted for, and the specific manufacturer model, part and revision number of the subject hardware or software item exactly as the item is proposed to be provided. Any submittal data document or documentary item that is not listed in the index shall not be accepted for review. Each package of submittal data shall address all of the components and materials necessary for a complete ITS system as spelled out in the specifications; separate submissions for individual ITS system components and materials are not permissible. Typical submittal data which is required for all ITS system components shall include, but is not limited to, manufacturer's specifications, operating/maintenance, troubleshooting manuals, schematic wiring diagrams with detailed parts lists, materials lists and assembly drawings for the components used on this project, camera control, acceptance testing procedures, and detailed warranty and guarantee information for each component.
- 10. CONTRACTOR shall be responsible for new electrical and fiber optic cable locates during project. CONTRACTOR shall be responsible for locating PUPS tickets for all new electrical and fiber optic cable locates within the project area throughout the duration of the project.
- 11. CONTRACTOR is responsible for preventive maintenance on all new components within the project. Preventive maintenance shall start upon notice to proceed. Preventive maintenance

consists of cleaning cabinets, changing filters, weed and grass control, rodent control and repairs as needed. Preventive maintenance is to be performed every three months during project. New components installed shall be serviced every three months after installation until completion of project. Upon award of contract the CONTRACTOR will be given a preventive maintenance checklist to be followed for servicing components. Preventive maintenance check list shall be filled out for each CCTV, DMS sign, and Hub locations, and shall be turned in to the ITS Central Maintenance Facility for review.

12. Submission of required design Information and design drawings: It is essential that the signing CONTRACTOR make all required design submissions within 90 days following award of this contract, except as follows:

Section 9.103 of Signing Specifications is amended to also require that design drawings for Overhead Sign Structures and the details of footings be submitted within 90 (ninety) calendar days following award of the contract.

Section 9.104 of the SCDOT Specifications For Signing Expressways And Freeways is revised to require that the independent registered Professional Engineer who checks the designs for the overhead structures and footings be licensed by the State of South Carolina.

Overhead Sign Structure Design:

Section 9.101 of the Signing Specification is amended to require stiffener plates between the base plate of all cantilever structures and the upright. The plates should be equally spaced about the base plate between the anchor bolt holes. All structures shall have at least six (6) anchor bolts per base plate. Also, the CONTRACTOR shall provide direct bolted connections of the sign to the structure sign hangers at the top and bottom of the signs. This shall be provided at all four corners of the sign. The top hole on each hanger shall be slotted to provide for adjustment.

Soil borings are not provided for the locations of the new overhead structures. The CONTRACTOR will be responsible for obtaining subsurface investigation data at the locations of the overhead structures shown in the plans for the purpose of overhead structure footing design. Special Note: Footings shall be designed using a maximum allowable toe pressure of 2000 pounds per square foot.

# G. ACCEPTANCE

This project requires a 30 (thirty) day burn in period. All equipment shall operate satisfactorily for a period of 30 calendar days prior to final acceptance of the project. Any items deemed non-operational in that time period will cause the burn in period to start over. The burn in period shall not begin until all equipment is installed and operational. The burn-in period shall not begin until a punch list, generated by the final inspection, is complete.

#### H. INSPECTION

- Resident Construction Engineer (RCE): During construction of the ITS portion of the Project periodic Inspections will be made by the RCE and by the Department's ITS staff. The Department's RCE is the designated representative of the Engineer, for the purposes of this project.
- 2. Disputes: The CONTRACTOR is advised that in any dispute between the CONTRACTOR and the manufacturer, concerning the operation/maintainability/reparability of any piece of equipment, the decision of the ENGINEER shall be final.
- 3. Faulty Equipment: The CONTRACTOR shall be responsible for the labor cost to remove and replace faulty equipment. The CONTRACTOR shall be responsible to have a replacement part in place no later than 24 hours from the reported failure of the equipment.

Any delay that is documented by the RCE as late or non-responsive by the CONTRACTOR in replacing the faulty equipment within the specified period of time shall be assessed at a \$500 per day liquidated damages penalty.

#### I. MAINTENANCE OF TRAFFIC

The CONTRACTOR shall maintain traffic throughout the length of this Project as required by the Manual on Uniform Traffic Control Devices and other applicable SCDOT Standards for traffic

control. As part of the plans, the CONTRACTOR shall provide traffic control plans prior to beginning work. Any necessary lane closures will have to be approved by the ENGINEER two weeks prior closing the lane. Interstate lane closures shall be done only at night. Shoulder closers are required when work is performed within 30 feet of the travel way. This includes the parking of vehicles or equipment.

Should the CONTRACTOR believe a lane closure is necessary to perform the work specified herein, the CONTRACTOR shall submit to the ENGINEER a written request at least two (2) weeks in advance of the proposed closure. The request for lane closure shall include:

- 1. Date and times that the closure is required.
- Reason for the closure, and why the work cannot be accomplished without the requested closure.
- 3. A plan showing the lane(s) to be closed, the extent of the closure, the work area, and the proposed signing and other traffic control devices to be installed by the CONTRACTOR for the maintenance and protection of traffic during the closure.
- Date and time that the closure will cease and related signing and other traffic control devices will be removed and normal traffic control will be re-established.

The RCE shall review the request, and shall approve, reject, or identify modifications to the plan necessary for approval.

# Training-

Training will be furnished as part of the Traffic Control bid item with no additional cost to the Department.

- 1. The CONTRACTOR will be required to furnish approved Supervisor and Advanced work zone traffic control training courses for up to ten (10) SCDOT employees, as part of Traffic Control. The Department reserves the right to allow others to attend training.
- Training shall be scheduled on a date selected by ITS Field Operations Manager during the project (CONTRACTOR should note that this training may be required at the beginning of the project however must be conducted prior to Substantial completion of ITS infrastructure for this contract.)
- 3. Work zone training will be conducted in accordance to the below specifications by a recognized organization.

Approved Work Zone Traffic Control Training Providers:

The SCDOT recognizes the following organizations as acceptable providers of an advanced work zone traffic control training program, a "Flagger Training" course or the optional basic work zone traffic control training course:

- 1. American Traffic Safety Services Association (ATSSA)
- 2. Institute for Transportation Research and Education at North Carolina State University (ITRE)
- 3. Carolinas Association of General Contractors (AGC)
- 4. National Safety Council South Carolina Chapter

These organizations provide work zone traffic control training in compliance with the MUTCD and reference requirements specific to SCDOT. Therefore, work zone traffic control training provided by entities other than those listed above are not considered comparable and shall be unacceptable.

Specific course material for work zone traffic control training courses designated as "Basic", "Advanced", "Supervisor" or "Flagger" and any additional training courses not specified here is determined by the work zone traffic control training course provider and has undergone review and received acceptance by SCDOT. Also, the passing score for each training course is determined by the work zone traffic control provider.

#### J. WEEKLY ITS SCHEDULE

For the duration of this project, the CONTRACTOR shall furnish on each Wednesday to the RCE, a weekly schedule for the week to come, listing the location and date of each intended activity. This will permit scheduling ITS inspection personnel. Upon CONTRACTOR not showing up nor notifying the Inspector of changes to the schedule there will be a penalty of \$500.00 per hour after the first hour of delay. Deviation from this schedule may cause SCDOT to delay inspection and payments.

#### K. MOBILIZATION

Description: This item shall consist of performing preparatory operations, including moving personnel and equipment to the project site; paying bonds and insurance premiums, establishing CONTRACTOR'S offices, buildings and other facilities necessary for work on the project and for all other work and operations which must be performed or cost incurred prior to beginning work on the project.

Construction Requirements: All work performed in providing the facilities and services shall be done in a safe and workmanlike manner.

#### L. FURNISH AND INSTALL CONDUIT

Description: This work shall consist of furnishing and installing conduit and fittings of the types and sizes specified herein, at locations shown on the Plans, or as established by the ENGINEER in accordance with these Specifications. All materials will be subject to inspection for condition by the ENGINEER, just prior to incorporation into the work.

- 1. Materials: Materials shall meet the requirements listed below:
  - a. Steel conduit: Steel electrical conduit shall be rigid, heavy-wall, galvanized steel, meeting the requirements of Federal Specification WW-C-581, and American Standards Association Specifications USAS C-80.1-1966.
  - b. PVC conduit: Plastic conduit shall be sunlight resistant Polyvinyl chloride (PVC), Schedule 80, meeting the requirements of National Electrical Manufacturing Association (NEMA) Specification TC-2 and Underwriter Laboratory (UL) Standards UL-514; and/or ASTM D-1784. Fittings shall meet NEMA TC-3 and UL-514. No quarter size conduit shall be used. Conduit sizes shall be as follows: 1 inch, 2 inch, 3 inch and so on.
  - c. HDPE rolled conduit: Underground fiber shall be installed in rolled conduit, plowed or directional bored (trench less) in. Each run shall have one (1) conduit installed. This conduit shall be a minimum of schedule 80 or SDR 11 HDPE (HDPE shall be Orange in color for fiber optic cable installations and Red in color for electrical). It shall be buried at a minimum of 36".
  - d. Flexible weather-tight steel conduit: Weather-tight/liquid-tight flexible steel electrical conduit shall be single strip, helically wound, interlocking galvanized steel. It shall be made liquid-tight by an extruded polyvinyl chloride jacket; and shall meet the requirements of UL-360.
  - e. Fittings: All conduit bodies, 90 degree bends, weatherheads, elbows, nipples, couplings, and other hardware shall be made of the same material and quality as the conduit run and shall be incidental to conduit installation. HDPE coupling shall be hydraulically pressed on type. HDPE couplings shall be Carlon barbed couplings BS2.375 or approved equal. Catalog cuts are required for HDPE couplings for approval.
  - f. Ground bushings: Grounding bushings shall be threaded, made of malleable iron, galvanized steel, or brass; and shall have an insulating plastic insert, and lay-in lugs to hold No. 6 AWG copper wire.
  - g. Pulling line: The pulling line shall be Muletape WP1250P or Detectable Muletape DT1250/4P, having a minimum strength of 1250 lbs, which shall be pulled into all underground conduit runs, and shall remain therein for future use.
  - h. Warning tape: Underground warning tape shall be heavy duty B-720 polyethylene, 0.89 mm (3.5 mils) thick, by 76 mm (3 inches) wide, with APWA color orange for fiber and red

for electric lines. The tape shall be placed above all trenched conduit runs, just before the final back-fill.

- i. Concrete: Concrete used for patching pavement shall be SCDOT standard specification class X according to Sections 701, 702, 703, and 704.
- Bituminous asphalt: Bituminous asphalt for patching pavement shall be SCDOT standard specifications, Section 403.
- k. Marking wire: All underground conduits containing fiber optic cable shall have a four (4) conductor Detectable muletape type DT1250/4P pulled in for future locate services. The Detectable muletape shall be spliced through all junction points and grounded to a RUS 13 5/8"X8' ground rod in service boxes and connected to the ground buss in cabinets.

#### Construction Methods:

General: Conduit shall be installed as either a riser, or be trenched, plowed or directional bored (trench less).

- a. Plans: All conduit shall be installed as trenched, plowed or riser unless specifically shown on the plans as or site determined by SCDOT as directional bored. Conduit off-set shall be performed every 500' and shown on as-built plans. Directional bore log indicating depth of conduit shall be shown on as-built plans.
- b. Depth: Unless shown otherwise, conduits shall be placed a minimum depth of thirty-six (36) inches below surface grade, when approved by the ENGINEER a min. of 24" cover when rock is encountered, rock being defined in section 203.2.1.7 of the Standard Specifications of Highway Construction Edition of 2007, and shall slope at a minimum rate of 150 mm (six inches), per 30 meters (100 ft.) of length, to a service box hole or drain. All conduit runs shall be cleaned and swabbed before cables are installed. In poles, cabinets, and buildings, duct-seal shall be used to effectively seal the opening.
- c. Direction: Changes in direction of conduit shall be accomplished by the use of standard bends, elbows, or by bending the steel conduit. Steel conduit, if bent, shall have a uniform radius that will fit the location, with a minimum radius of six (6) times the internal diameter of the pipe. Sharp kinks in the conduit or the substitution of unlike materials will not be permitted.
- d. Fittings: Standard manufactured conduit bodies, condulets, weatherheads, elbows, nipples, tees, reducers, bends, couplings, expansion couplings, unions, etc., of the same materials and treatment as the straight conduit, shall be used as required throughout the conduit line except that weatherheads shall be malleable iron clamp on type Arlington Industries, Inc. catalog number 145 MFG number 00145 or approved equal. All fittings shall be tightly connected to the conduit. A solvent-weld cement shall be used for fitting connections with PVC conduit. Where steel conduit mates PVC, an adapter coupling shall be used and sealed waterproof. Where metallic / non-metallic flex mates PVC an approved adapter coupling shall be used. Where HDPE mates PVC a service box/pull box shall be installed.
- e. Cutting: Nipples shall be used to eliminate cutting and threading where short lengths of conduit are required. Where it is necessary to cut and thread steel conduit, no exposed threads will be permitted. All conduit fittings shall be free from burrs and rough places; and all cut conduits shall be reamed before fittings and cables are installed. All conduit runs ending in a junction box, hand box, or other approved junction point, shall be provided with a bushing to protect the cable from abrasion. Conduit being placed for future use shall be capped.
- f. Location: Where conduit passes under a curb, an `X' shall be cut in the curb, over the conduit. Where there is no curb, a stake shall be driven in the ground at the end of the conduit to mark its location.
- g. Riser: Attach conduit risers to wood poles; or where specified, to the outside of steel poles. Use stainless steel bands for steel poles. Use stainless steel or hot dipped galvanized

- conduit clamps/straps and galvanized screws on wood poles. Attachment shall be in accordance with the plans or Standard Drawings. Furnish each Riser with a weatherhead, which shall not be measured.
- h. Trenching (non-paved surface): Trenches shall be excavated to such depth as necessary to provide (24" minimum in rock, , rock being defined in section 203.2.1.7 of the Standard Specifications of Highway Construction Edition of 2007, when approved by the ENGINEER) 36 inches minimum cover over the conduit. Cinders, broken concrete, or other hard abrasive materials will not be permitted in the back-filling. The trench shall be free of such materials before the conduit is placed. CONTRACTOR shall supply approved back fill material as needed in such cases. No conduit shall be placed prior to inspection by the ENGINEER. Back-fill shall be compacted, and the surface restored.
- i. Trenching (in paved surface): Trenches across driveways or streets shall be cleanly saw cut about 150 mm (six inches) wide. The conduit shall be placed and the back-fill shall be compacted, and the patch shall be of like material and thickness as was removed. NO additional payment shall be made for the bituminous or concrete patching material, unless a pay item has been established for such.
- j. Bored and Jack (pushing): Where shown on the Plans as bored or jacked, certain steel conduit to be placed under existing roadways, driveways, sidewalks, or other paved surfaces, shall be bored and jacked. Such conduit shall be placed by jacking, boring, pushing, or other means approved by the ENGINEER, without cutting or removing pavement.
- k. Bored and Jack (pulled): When the ITS design anticipates that high-accuracy directional boring techniques will be used, and two (2)- two (2) inch schedule 80 or SDR 11 HDPE conduits pulled back through the bore, then a pay item will be established for: schedule 80 PVC conduit (trenchless). The directional boring method shall be approved by the ENGINEER, and shall in no way crumple or damage the conduit.
- I. Placed before pouring: Where shown on the Plans, PVC conduit, with flexible weather tight conduit, shall be placed in roadways or structures, prior to pouring the concrete. Typical usage would be a bridge deck. The conduit shall be firmly attached to the bottom reinforcement bar mat, or to the bottom wire-mat, using plastic tie-wraps every 0.60 meter (two feet). At expansion joints, 1.2 meter (four ft.) lengths (typical) of flexible weather-tight steel conduit shall be used to accommodate movement. These shall be installed to NEC standards for concrete structural installations and usage, including any recommended lubricants and sleeves. All conduit ends etc. shall be plugged to prevent concrete penetration. When used on a bridge, there will usually be service-box(es) near the centerline, and the conduit will terminate in service-boxes at each end.
- m. Restoration: The CONTRACTOR shall restore all cuts, trenches, and openings to the original condition. Grass surfaces shall be replaced with pre-grown, cut turf (sod, in accordance to section 813 of the SSHC), in existing lawns. Seeding shall be performed in accordance to the Standard Specifications of Highway Construction Edition of 2007 as listed below. Other dirt areas shall be raked, seeded, and fertilized. While care should have been used to avoid them, any damaged trees and shrubs shall be replaced (if directed by the ENGINEER). See seeding with straw or hay mulch and sod specifications for restoration pay items.
- n. Method A: Seeding with straw or hay mulch: Sow seed as specified in Method A of Subsection 810.4.11. Within 24 hours following covering of the seed, uniformly apply straw or hay mulch material at the rate of 2 tons per acre. Spread mulch by hand, by appropriate mechanical spreaders, or by blowers. Use mulch that allows sunlight to penetrate and air to circulate but also partially shades the ground and conserves soil moisture. To be paid at the line item for 8101000 Seeding (Mulched) MSY.
- o. Sod: Provide sod that consists of living, well-established growth, predominantly of the grass specified in the Special Provisions. Provide vigorous, well rooted, healthy turf, free from

- disease, insect pests, weeds, other grasses, stones, and any other harmful or detrimental materials. To be paid at the line item for: 8131000 Sodding MSY
- p. Rock Boring: When ITS design anticipates rock being encountered or the ENGINEER deems it necessary rock boring techniques will be used. The Rock Boring method shall be approved by the ENGINEER, and shall in no way crumple or damage the conduit.
- q. Bridge attachment: When attaching to a bridge, rigid galvanized steel conduit shall be used. Conduit supports and hardware shall be Stainless steel and installed using an approved epoxy anchor. Conduit supports shall be installed a maximum of 5 feet apart and bent closed at the bottom. Conduit straps shall be two-hole heavy duty stainless steel. Conduit shall have approved heavy duty galvanized steel expansion couplings at every expansion joint in bridge. Approved in line stainless steel pull boxes shall be installed on bridge attachments for pull points that are over 500 feet at no additional cost to the Department. There shall be a service box set at both ends of bridge to make transition from PVC or HDPE conduit to ridged galvanized steel conduit for bridge crossing. All conduit, expansion couplings, couplings, conduit supports, bolts, nuts, anchors, epoxy, unions, and all other incidental material will be included in the pay item for LF bridge attachment.
- r. Proofing: Prior to installing cable or fiber into conduit and Department acceptance the conduit must be proofed. Proofing the existing conduit ensures that all obstructions are cleared and that conduit continuity and alignment is good. A rigid mandrel designed for HDPE roughly 90% of the inner diameter of the conduit shall be used to perform the proof. Proofing conduit is typically performed by pushing or pulling a rigid mandrel attached to the end of a pull line or fiberglass rodder and pulled or pushed through the conduit. If the mandrel makes its way through the conduit without any difficulties experienced, then the conduit has "proofed out," and no repairs should be necessary. If it does not make it through the conduit it must be repaired prior to installing cable or fiber and prior to acceptance. All conduit must be proofed prior to final acceptance.

#### M. EROSION CONTROL

- Description: This section contains specifications for the materials, equipment, construction, measurement, and payment for the placement of temporary erosion control measures to prevent erosion and water pollution through the use of best management practices including the use of rolled erosion control products, silt fences, sediment tubes, and temporary seeding in conformity with the Plans the Specifications, SCDOT Standard Drawings, or as directed by the RCE.
- Sediment tubes: Sediment tubes are temporary erosion control devices installed along contours, in drainage conveyance swales, and around drainage inlets to reduce the effects of soil erosion and to retain sediment. Locations for installation will be designated on the Plans or by the RCE 8152007 Sediment Tube LF
- 3. Silt fences: Construct the silt fence in accordance with Plans or SCDOT Standard Drawings or as approved by the RCE. Place silt fences before the major construction in an area is started.

Maintain the silt fence until its capacity has been reached or erosion activity in the area has stabilized. Remove sediment accumulated along the fence when it reaches approximately one-third of the height of the fence, especially if heavy rains are expected. Remove trapped sediment or stabilize on site.

Inspect the silt fence every 7 days. Immediately correct any deficiencies. Remove filter fabric and replace whenever it has deteriorated to such extent that it reduces the effectiveness of the silt fence. In addition, review daily the location of silt fences in areas where construction activities have changed the natural contour and drainage runoff to ensure that the silt fences are properly located for effectiveness. Install additional silt fences as directed by the RCE where deficiencies exist.

If a silt fence or portion of a fence is located in an area where removing the sediment is not possible, then install a second silt fence, if necessary, at the discretion of the RCE. In this case, payment for both silt fences and portions involved is made at the unit price for silt fence.

Remove silt fence within 30 days after final stabilization is achieved or after temporary Best Management Practices (BMP) are no longer needed. Permanently stabilize disturbed areas resulting from fence removal. The fence materials remains the property of the CONTRACTOR and may be used at other locations provided the materials meet the appropriate requirements contained in this specification and/or on the Plans.

Silt Fence LF

Replace/Repair Silt Fence LF

# 4. Turf Reinforcement Matting (TRM)

- a. Type 1: Provide a Type 1 TRM consisting of a web of mechanically or melt bonded polymer netting, monofilaments or fibers entangled to form a strong three-dimensional stable net structure utilizing bonding methods including polymer welding, thermal or polymer fusion or the placement of fibers between two high-strength biaxial oriented nets mechanically bound by parallel stitching with polyolefin thread. The RCE may allow a degradable fiber matrix to be used to provide immediate coverage for bare soil.
- b. Type 2: Provide a Type 2 TRM consisting of a web of mechanically or melt bonded polymer netting, monofilaments or fibers that are entangled to form a strong three-dimensional stable net structure utilizing bonding methods including polymer welding, thermal or polymer fusion or the placement of fibers between two high-strength biaxial oriented nets mechanically bound by parallel stitching with polyolefin thread. The RCE may allow a degradable fiber matrix to provide immediate coverage for bare soil.
- c. Type 3: Provide a Type 3 TRM consisting of a web of mechanically or melt bonded polymer netting, monofilaments or fibers that are entangled to form a strong three-dimensional stable net structure utilizing bonding methods including polymer welding, thermal or polymer fusion or the placement of fibers between two high-strength biaxial oriented nets mechanically bound by parallel stitching with polyolefin thread. Do not use a TRM manufactured from discontinuous or glued netting in this category. Ensure that the material is 100% synthetic and resistant to biological, chemical, and ultraviolet degradation.
- d. Type 4 (High Survivability): Provide a Type 4 TRM consisting of a geosynthetic matrix that exhibits a very high interlock and reinforcement capacities with both soil and root systems, demonstrates a high tensile modulus, and is specially designed for erosion control applications on steepened slopes and vegetated waterways. Do not use a TRM manufactured from discontinuous netting, netting loosely held together by stitches or glue, or composites. Ensure that the material is 100% synthetic and resistant to biological, chemical, and ultraviolet degradation. Furnish a Type 4 TRM with high loading and/or high survivability capabilities for field conditions such as long term maintenance, structural backfills protecting critical structures, utility cuts, and traffic areas with the potential for high abrasion, higher required factors of safety, and/or general durability concerns

Turf Reinforcement Matting (TRM) Type1 MSY

Turf Reinforcement Matting (TRM) Type2 MSY

Turf Reinforcement Matting (TRM) Type 3 MSY

Turf Reinforcement Matting (TRM) Type4 MSY

# N. FURNISH AND INSTALL ELECTRIC SERVICE

Description: This work consists of furnishing and installing complete electrical services to provide electric power to the ITS components, at locations shown determined by the CONTRACTOR and SCDOT, and in accordance with power company procedures. GPS locations shall be provided at

each meter location and shown on as-built plans. This includes all necessary poles, conduit and incidentals. CONTRACTORs are advised that location may require long conduit runs for power. These runs shall be included in the "Electrical Service".

#### General

- a. Standards: All work is to be in accordance with the Standards, or the requirements of the local power company. All work shall be in accordance with the National Electric Code (NEC), and applicable local codes.
- b. Meeting: The ENGINEER, the CONTRACTOR, and the power company representative shall discuss the project at the pre-construction meeting, and arrange the schedule for power connection. Additional meetings will be held as necessary to satisfy all concerns about electrical service.
- c. Schedule: The CONTRACTOR shall make all necessary arrangements with the power company to insure having the needed power available at each location. Difficulties in securing the service of the power company are to be immediately reported to the ENGINEER.
- d. Location: The CONTRACTOR shall determine the exact location of the electric service. When a wood pole is to be used for power company attachment and meter base, the pole shall be set within 15 feet of the cabinet or device it's feeding. Engineer shall approve final location of service pole prior to installation. The nature of the service is not shown on the Plans, and shall be finalized as:
  - 9) Electrical service from the power head to the local cabinet assembly;
  - 10) Electrical service from the local cabinet assembly to the field hub;
  - 11) Electrical service from the power panel to the Hub building.
- e. Meter: The electric service will usually be metered. The CONTRACTOR shall provide hardware accordingly.

#### O. MATERIALS

The power connection shall be 100 amp single-phase, 120/240 VOLT, 3-WIRE, 60 Hertz alternating current supply for CCTV/Hub cabinets and DMS signs. The power connection shall be 200 amp Single Phase, 120/240, 60 Hertz alternating current supply for Hub Buildings.

- Meter: The CONTRACTOR shall furnish a Mid- West R102EN meter base/power panel (CAN) or as indicated on plans, for the CCTV cabinet or Field Hub cabinet, a Cutler Hammer MB816B200BTS meter base/power panel for Hub building, which the CONTRACTOR shall install. CONTRACTOR shall install an EDCO EMC-240B surge arrestor on the power panel at all metering locations and shall be wired according to manufactures spec.
- 2. Disconnect switch: The disconnect switch shall be NEMA standard Type 3R, weatherproof. It shall be circuit breaker type, 100 amp rated and have a tab for pad-locking the cover closed. It shall be of 3-wire design (2-circuit), with solid neutral. Disconnect switch shall be a Siemens Model WO408ML1125 or approved equal or as indicated on the plans. The CONTRACTOR shall twist a No. 6 AWG wire through the padlock tab, to prevent unauthorized entry (until SCDOT installs their padlock). Pedestals (or lintels) for services or disconnect switch shall be an 8"X8"X96" Allied Concrete Products Lintels or approved equal, whenever possible the same Pedestal shall be utilized for the installation of the disconnect and the SCIPCAB1 cabinet assembly. If the Power company metering location is greater than 100 feet from the cabinet or is placed on the opposite side of the road, a disconnect switch shall be installed on a concrete pedestal not greater than 15 feet from the cabinet. The disconnect switch shall have a 100 amp rating and shall be a circuit breaker type. In cases where one meter location is going to feed two ITS devices, the breakers shall be sized to accommodate both devices.
- 3. Rating: To provide a maximum of future flexibility, and a minimum of voltage-drop to meter/power panel, the components of the electrical service shall have the ratings stated. (Shall be wired to supply a min. of 100 amp 120/240 volt service at meter).

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#### 4. Disconnect breaker:

Panel rating: (for uniformity) 100 amp (200 amp for Hub Building)

Circuit breaker (single pole) 30 amp Camera or as indicated on the plans

Circuit breaker (double pole) 50 amp DMS

(If needed an additional circuit breaker may need to be installed for AC or future use).

#### 5. Cable:

3-Wire THHN/THWN - min size No. 2/0 AWG copper (Hub Building)

3-Wire THHN/THWN – min size No. 4 AWG copper (from meter to power company attachment)

3-Wire (W, BL, RD), THHN/THWN – min. size No. 10 AWG copper or as indicated on the plans (from power panel to CCTV/Hub cabinet)

(Wire size to be determined by the length of run and voltage drop according to NEC to supply 100 amp to all meter panels (except Hub Building), ), breaker sizes shall be 50 amp to DMS signs, 30 amp to CCTV cabinet or as indicated on the plans, 50 amp to Hub cabinet and 200 amp to Hub building).

#### 6. Conduit:

PVC schedule 80 – min. size 25 mm (1 in.)

(Conduit size shall be determined by the conductor size; no quarter or half size conduit).

- 7. Ground rod: One or more ground rods shall be installed at the service pole; and where applicable, at the controller itself. The ground rod shall be min. 16 mm by 2.4 meters (5/8 in. by 8 ft.) (minimum), copper-clad RUS 13, with brass or bronze ground rod clamp. Additional ground rods may be needed to achieve proper resistance to ground (not to exceed eight ground rods). The controller ground rod shall be exothermically welded (by personnel properly trained to make exothermic welds). Grounding system shall be no greater than 15 ohms. Grounding systems shall be tested using the fall of potential method and shall be overseen by the ENGINEER.
- 8. Ground wire: The grounding wire for the service shall be No. 6 AWG, bare, 7 stranded wire. (Note that this is in addition to the solid grounding wire running down each wooden pole and shall be stapled at a min of every 16 inches with a coil of solid copper wire at the bottom and top of pole.) For grids the ground wire shall be #4 AWG (7) seven stranded or larger around concrete poles and #2 or larger around Hub Buildings. The grounding for the service shall be connected to the cabinet ground rod with AWG 6 and the pole ground grid shall be connected to the cabinet ground rod in the electric service pull box, (located at the cabinet) to make up a complete grounding system.
- 9. Conduit: Conduit and fittings used for the electric service shall be PVC schedule 80, min. size 25 mm (1 in.) diameter. CONTRACTOR shall use 1 inch, 2 inch, 3 inch, etc. conduit sizes. No quarter or half size conduit shall be used. It shall extend from the point of power company attachment, through the meter and disconnect assembly, to the controller cabinet (See Furnish And Install Conduit Specifications). All HDPE pipe to be used for electrical underground service shall be red in color.
- 10. Weatherhead: A weatherhead mating to the above conduit shall be used with overhead service connections. Weatherhead shall be malleable iron clamp on type Arlington Industries, Inc. catalog number 145 MFG number 00145 or approved equal. Also used shall be a strain clevis, to create a 200 mm (1 ft.) minimum drip loop.
- 11. Cable: The electrical cable installed from the point of power company attachment to the Communication Local Cabinet or the Communication Hub Cabinet, shall be: Type THHN/THWN, sized per length of run and voltage drop and above table, 3-wire, (white, black, red) 600 Volt, copper only, stranded, with cable lugs. Wire sizes AWG 6 and smaller shall

have colored insulation (red, black, white, and green). Wire sizes larger than AWG 6 shall be marked with phasing tape (red, black, and white) at every termination point. Grounding conductors shall be bare copper or have Green insulation. At no place shall the non-metered service cable be in the same conduit as any other control and or electric cables.

- 12. Hardware: All hardware used shall be rustproof: steel parts shall be stainless steel or hot dipped galvanized. Stainless steel ¾" bands shall be used for attachment to steel/concrete poles. The bands are to be spaced every 1.0 meter (3 ft.) maximum. When specifically required by the utility company or on wood poles, stainless steel conduit or hot dipped galvanized clamps/strap, fastened with hot dipped galvanized or stainless steel screws, may be substituted for the bands. A neutral spool bracket shall be furnished and installed by CONTRACTOR for the power company attachment (house knobs are not acceptable).
- 13. Power service assembly: Lightweight corrosion resistant aluminum construction (painted gray). 14 gauge galvanized post with high quality electro-deposition gray paint finish. UL listed NEMA 3R construction. 10,000 amps RMS symmetrical short circuit rating, 22,000 amps rating available when appropriate 22,000 AIC breaker is field installed. 100 AMP (200 amp for hub buildings) continuous, 120/240 V single phase 4 jaw ring type meter socket. Loop feed 350 KCMIL single phase line terminals as standard. Main breaker (bolt in main on 200 amp service), convertible 2 circuit load center, or 12 circuit plug-in load center. Lockable, sealable covers provided with stainless steel latches. Parallel wired units are copper bussed from the meter socket to the load center. Multi-breaker UL listed accepts GE, Bryant, Challenger, Westinghouse, Square D. "Homeline" or ITE plug-in circuit breakers. Dead front construction for maximum user safety. Fully accessible line terminal compartments.
- 14. Pull box: A 17"X30"X28" pull box shall be installed within five (5) feet of cabinet location. The pull box shall be an Armorcast A6001640TAPCX28, 17"X30"X28" or approved equal. See Furnish and Install Service/Pull Box. Electrical pull boxes shall not be placed over 500' feet apart without Department approval. All pull boxes containing electrical cable shall have SCDOT ELECTRIC logo caste on lids. All pull boxes containing electrical cabling shall have a PNA dome pack post cable marker 3" in dia, 6' long with a 16" Red top with approved labeling and phone number (labeling information and phone number to be supplied by ENGINEER for each project) or approved equal. There shall be a Red passive marker ball operating at a frequency of 169.8KHZ installed in all pull boxes containing electrical conductors. The red passive marker balls shall be compatible with a Metro Mark passive marker locator 760Dx or approved equal. GPS location shall be provided for all boxes installed and shown on as-built plans.

# P. Construction Methods

- 1. The electrical service shall be installed in accordance with all applicable codes, regulations, and the requirements of the power company, with the final location being determined in the field. Typical construction methods are shown on the Installation Details, and the Standards.
- 2. The CONTRACTOR shall obtain all electric permits required; and shall arrange for inspection at completion.
- The CONTRACTOR shall install the power service and post locations as shown on the Plans at the back edge of the right-of-way. The power company will supply power to the power service.
- At locations where need is determined by the CONTRACTOR, transformers shall be installed
  to compensate for voltage drops in service. All work to comply with the NEC.

#### Q. EQUIPMENT POWER

- Normal: For equipment for this Contract, (while operating from a 115 VAC, ± 10%, sixty (60)
  Hz, commercial grade, non-dedicated power service), shall be capable of providing the
  following:
  - a. Proper regulation for AC outputs, up to one-hundred and fifty percent (150%) of normal load.

- b. Sufficient internal electronic noise and transient immunity, so that equipment fed by this service will not be affected by either noise or transients.
- c. Equipment power supplies of sufficient design to prevent extraneous coupling of signals between equipment.
- d. Complete internal surge protection (in addition to that of the cabinet).
- e. Additional: Where electronic problems can be traced to Radio Frequency Interference (RFI), as shown by an oscilloscope, then the CONTRACTOR shall provide additional isolation, filters, capacitors, etc. to eliminate the problem.

### R. GROUND SYSTEM

The resistivity of the electrical system earth ground shall be fifteen (15) ohms or less, as measured with an appropriate instrument which was calibrated not more than twelve (12) months prior to the date of performing such tests. Test shall be over seen by ENGINEER and documented results given to SCDOT. CONTRACTOR shall add appropriate grounding to achieve the above requirements if needed (not to exceed eight ground rods).

Catalog Cuts are required.

### S. FURNISH AND INSTALL FIBER OPTIC CABLE

Zero Dispersion Wavelength

### 1. Description:

This item shall consist of furnishing and installing single-mode fiber optic (SMFO) cable in conduit and risers or overhead lashed to new messenger cable. The pay item furnish and install fiber optic cable also includes all items and expenses associated with the items labeled fiber optic communication plant - testing, furnish and install fiber interconnect centers and closures. The CONTRACTOR shall furnish all attachment hardware, splice enclosures and installation guides necessary to install the fiber optic cable. Cable shall be Prysmian FlexLink matching the existing Prysmian 144 SM fiber. CONTRACTOR shall have index of refraction labeled on as-built for all fiber cable.

### 2. General:

The cable shall meet all requirements stated in RUS-90 as well as those stated within this specification. The cable shall be an accepted product of the United States Department of Agriculture Rural Utility Service as meeting the requirements of RUS-PE-90. The cable shall be new, unused, and of current design and manufacture.

The single-mode fiber used in the cable shall conform to the following specifications:

Fiber Coating Corning CPC6 or approved equal Cladding Diameter: 125.0 + 1.0 □m by fiber end measurement **Outer Coating Diameter** 245 □ 5 □m Core-Clad Concentricity ≤ 0.5 □m Cladding Non-Circularity: ≤ 1.0% Fiber Curl ≥ 4.0m radius of curvature Index of Refraction 1310/1550 nm 1.4693/1.4690 Mode field diameter - 1310 9.2 □ 0.4 □m Mode field diameter - 1550 10.5 □ 1.0 □m Cable Cutoff Wavelength ccf ≤ 1260nm Refractive Index Profile Matched clad, step index

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1304nm ≤ □o ≤1324 nm

 Zero Dispersion Slope
 <0.092 ps(km-nm)</td>

 Dispersion 1330 nm
 <3.5ps/( nm-km)</td>

 Dispersion 1550 nm
 <18ps/ (nm-km)</td>

Point Discontinuity ≤0.10 dB at 1310 and 1550 nm

Attenuation at Water Peak

(Uncabled Fiber) <1.5 dB/km at 1383 □□3nm

Attenuation vs. Wavelength 1285 ≤ 1310 ≤ 1330nm – 0.05 dB/km

 $1525 \le 1550 \le 1575 \text{ nm} - 0.05 \text{ dB/km}$ 

Attenuation vs. Bending 1 turn (32 mm) at 1550nm ≤ 0.50 dB

100 turns (50mm) at 1550nm ≤ 0.10dB

Polarization Mode Dispersion Coefficient ≤ 0.2 ps/√km

Temperature Cycling ≤ 0.05 dB/km (-40 C to 85 C)

Temperature-Humidity Cycling ≤ 0.05 dB/km − 10 C to 85 C, 4 to 98% RH

Water immersion, 23 C  $\leq$  0.05 dB/ km Heat Aging, 85 C  $\leq$  0.05 dB/ km Proof Test  $\geq$  100 kpsi

### Fiber Characteristics

All fibers in the cable shall be usable fibers and meet required specifications.

All optical fibers shall be sufficiently free of surface imperfections and inclusions to meet the optical, mechanical, and environmental requirements to this specification. Each optical fiber shall consist of a doped silica core surrounded by a concentric silica cladding.

The coating shall be a dual-layered, UV cured acrylate applied by the fiber manufacturer. The coating shall be capable of being mechanically or chemically striped without damaging the fiber.

Optical fibers shall be placed inside a loose buffer tube.

Eight through twelve (8-12) buffer tubes, each containing twelve (12) single-mode fibers shall be furnished. The fibers shall not adhere to the inside of the buffer tube.

Each fiber shall be distinguishable from each other by means of color coding according to the following.

These colors shall meet EIA/TIA-598, "Color Coding of Fiber Optic Cables".

Buffer tubes containing fibers shall also be color coded with distinct and recognizable colors according to the following.

- 1. Blue
- 2. Orange
- 3. Green
- 4. Brown
- 5. Slate
- 6. White
- 7. Red
- 8. Black

- 9. Yellow
- 10. Violet
- 11. Rose
- 12. Aqua

Buffer tubes shall be of a dual-layer construction with the inner layer made of polycarbonate and the outer layer made of polyester. Fillers may be included in the cable core to lend symmetry to the cable cross-section where needed.

Each buffer tube shall be filled with a non-hygroscopic, electrically non-conductive, homogenous gel. The gel shall be free from dirt and foreign matter. The gel shall be readily removable with conventional non- toxic solvents. Water blocking tape is an acceptable substitute.

Buffer tubes shall be stranded around a central member using the reverse oscillation, or "SZ" stranding process.

Binders shall be supplied with sufficient tension to secure the buffer tubes to the central member without crushing the buffer tube. The binders shall be non-hygroscopic, non-wicking (or rendered so by the flooding compound), and dielectric with low shrinkage.

The cable shall contain a central member that is intended to prevent buckling of the cable. The central anti-buckling member shall consist of a glass reinforced plastic rod.

The cable shall contain at least one (1) ripcord under the sheath for easy sheath removal.

Tensile strength shall be provided by high tensile strength aramid yarns and fiberglass. The high tensile strength aramid yarns/fiberglass shall be vertically stranded evenly around the cable core. All cable shall be sheathed with medium density polyethylene. The minimum nominal jacket thickness shall be 1.4 mm. Jacketing material shall be applied directly over the tensile strength members and flooding compound. The jacket or sheath shall be free of holes, pits and blisters.

The maximum pulling tension shall be 2700 N (600 lbs.) during installation (short-term) and 600 N (135 lbs.) long-term installed.

The shipping, storage, installation and operating temperature range of the cable shall be  $-40^{\circ}$  C to  $70^{\circ}$  C.

## 4. Drop Kit

When specified on the Plans, the CONTRACTOR shall furnish and install all necessary items required for connectivity of a device to the network via fusion splice of a drop cable to the network trunk cable. This assemblage of items shall be known as a drop kit. The drop kit consists of the drop cable assembly, fiber optic splice closure, termination's splices and splice trays. The "Gator Patch" product is acceptable for this application. Gator Patch if used takes the place of the interconnect center.

## 5. Quality Assurance Provisions

All optic fibers shall be proof tested by the fiber manufacturer at a minimum load of 100 kpsi.

All optical fibers longer than 1,000 meters shall be 100% attenuation tested by the manufacturer. The attenuation of each fiber shall be provided with each cable reel. Fibers less than 1,000 meters shall be tested for continuity.

### 6. Splice Closure - Underground

a. Use: The closure shall be 3M brand only no exceptions, designed for use under the most severe conditions such as moisture, vibration, impact, cable stress and flex temperature extremes as demonstrated by successful passing the factory test procedures and minimum specifications listed below. The closure will be installed inside service boxes.

For more details see Furnish And Install Service Boxes. The closures shall be incidental to the fiber optic cable installation.

# b. Physical Requirements

- 1) The closure shall handle up to four (4) cables in a butt configuration. A butt adapter may be used to increase capacity to six (6) cables.
- 2) The closure shall prevent the intrusion of water without the use of encapsulate.
- 3) The closure shall be capable of accommodating splice organizer trays, which accept mechanical, fusion, or multi-fiber array splices. The splice closure shall have provisions for storing fiber splices in an orderly manner, mountings for splice organizer assemblies; add space for excess or non-spliced fiber. Splice organizers shall be re-enterable. Splice cases shall hold a minimum of two (2) splice trays to a maximum of six (6) splice trays, with each tray housing 24 splices.
- 4) Closure re-entry and subsequent reassemble shall not require specialized tools or equipment. Further, these operations shall not require the use of additional parts.
- The splice closure shall have provisions for controlling the fiber bend radius to a minimum of 38 mm.
- 7. Certification: The CONTRACTOR shall provide certified test results from the manufacturer showing the cable furnished has been tested. The test shall be approved by SCDOT prior to its implementation. Note: Catalog cuts shall be submitted at pre-con.

# 8. Labeling And Delivery

The SMFO cable furnished by the CONTRACTOR shall be packaged on non-returnable wooden reels. The reels shall not contain imperfections such as broken flanges or nails that may cause damage to the cable as it is unreeled.

Both the top and bottom ends of the cable shall be available for testing on the reel. The ends of the cable shall be sealed to prevent the ingress of moisture.

### 9. Construction Methods

# a. General

The CONTRACTOR shall take every precaution to ensure the fiber optic cable is not damaged during storage and installation. The fiber optic cable shall not be stepped on by workers, or run over by any vehicle or equipment. The fiber optic cable shall not be pulled along the ground, or over or around obstructions.

It shall be the responsibility of the CONTRACTOR to coordinate his overhead and underground construction activities on a continuing basis with each of the utility agencies that have facilities in the immediate vicinity.

The fiber optic cable shall be installed in conduit with other cables only where specifically called out in the Plans.

Where fiber optic cable is to be installed on overhead poles, the CONTRACTOR shall exercise care in temporary placement of installation equipment to provide safety to the public and to prevent damage to existing facilities. Should the CONTRACTOR cause damage to any existing cables and/or equipment, the CONTRACTOR shall immediately notify the ENGINEER. The affected owner and the CONTRACTOR shall repair or have the repair made at no additional cost.

During installation, the CONTRACTOR shall provide cable blocks at least every 50 feet to guide the cable and reduce pulling tension. All pulling equipment and hardware that will contact the cable during installation must maintain the minimum bend radium of the fiber optic cable as listed in Table 1. Corner blocks, appropriately sized to ensure that the minimum bending radius of the cable is maintained, shall be provided whenever fiber optic cable must be pulled around a corner.

Table 1
Fiber Optic Minimum Bend Radius Chart

Nominal Cab	ole Diameter	Minimum Bend Radius (no tension) Installed		Minimum Bend Radius (under tension)	
Millimeters	Inches	Centimeters	Inches	Centimeters	Inches
6.0 - 10.0	(1/4 - 3/8)	10.0	(4.0)	15.0	(6.0)
10.1 – 15.0	(4/10 - 6/10)	15.0	(6.0)	22.5	(9.0)
15.1 – 20.0	(10/16 - 8/10)	20.0	(8.0)	25.0	(10.0)
20.1 – 23.0	(13/16 - 9/10)	23.0	(9.0)	25.0	(10.0)
23.1 – 25.0	(15/16 - 1.0)	25.0	(10.0)	30.0	(12.0)

In the case where the plans call for fiber optic installations in conduit, the fiber optic cable shall not be pulled through any intermediate junction box, manhole, pull box, pole base or any other opening in the conduit unless specifically required by the ENGINEER in specific facilities. The necessary length of cable to be installed shall be installed from one junction box, manhole, pull box, pole base, or cabinet to the immediate next downstream manhole, box, pole base, or cabinet. The remaining length of cable to be installed in the next conduit shall be carefully stored in a manner that is not hazardous to vehicular traffic, yet ensures that no damage to the cable shall occur. The cable shall be stored in a manner that shall allow that length of cable to be safely pulled into the next conduit. The ENGINEER shall approve the storing methods to be used.

Cable reel lagging shall remain on the cable reels until they arrive at the pulling site. If the lagging has been removed, the CONTRACTOR shall securely fasten the cable ends to avoid damage during transit.

If the cable must be unreeled during installation, the "figure-eight" configuration shall be used to prevent kinking or twisting of the fiber optic cable. The preferred size of the "figure-eight" is 15 feet with each loop about eight (8) feet in diameter. The fiber optic cable shall not be coiled in a continuous direction except for lengths of 100 feet or less.

In case of aerial installations, the CONTRACTOR shall not increase the tension on the messenger cable to which the fiber optic cable has already been lashed.

At the completion of a day's installation, the CONTRACTOR shall protect the cable from the ingest of moisture by placing a cable cap and/or several wraps of tape on the tip of the cable.

The CONTRACTOR shall record the cable meter marks at the fiber splice points on a set of as-built Plans. Two (2) copies of the Plans showing the meter marks shall be provided to the RCE. The meter marks are most easily obtained while forming drip loops.

For aerial installations (when approved by SCDOT), the CONTRACTOR shall route the fiber optic cable on the inside of messenger intersections at dead ends and crossovers.

## b. Aerial Installation

### 1) General

All fiber optic cable installation shall be in buried conduit.

## c. Underground Installation

Where shown on the Plans, the fiber optic cable shall be installed in new or existing underground conduit, 36" deep. Typically, the drop cable from the backbone to the local cabinets is installed in one 2 inch schedule 80 PVC, or as indicated on the Plans.

### 1) Conduit

Conduit size and type are specified on the Plans. For additional information concerning conduit see furnish and install conduit. This section concerns the procedure for installing cable inside the conduit.

Seven (7) days prior to the installation of fiber optic cable in conduit is performed, the CONTRACTOR shall provide the RCE with four (4) copies of the cable manufacturer's recommended and maximum pulling tensions. Included with these pulling tensions shall be a list of the cable manufacturer's approved pulling lubricants. Only those lubricants in the quantity recommended by the fiber optic cable manufacturer shall be approved for use.

When installing the cable in underground conduit, the maximum allowable pulling tension for the cable installation by the CONTRACTOR shall not exceed 70 percent of the manufacturer's maximum pulling tension. If the cable is pulled by mechanical means, a dynometer (clutch device) approved by the ENGINEER shall be used to ensure that a maximum allowable pulling tension is not exceeded at any time during installation.

Fiber optic cable shall not be pulled over edges or corners, over or around obstructions or through unnecessary curves or bends. Approved cable guides, feeders, shoes and bushings shall be used to prevent damage to the cable during installation.

Sealing bushings rather than weatherheads shall be used on all risers containing fiber optic cable. The sealing bushings shall conform to the typical detail shown.

Conduit bends and cabinet entrance fittings used by the fiber optic cable network shall be designed to accommodate the bending radius limitations of the fiber optic cable used.

The CONTRACTOR shall pull an adequate amount of fiber optic cable into the various cabinets and service boxes. The following table shows the amount of slack cable that should be provided in the various type cabinets and service boxes:

a) Local cabinet
b) SCIPCAB1
c) Field hub cabinet
d) Service boxes
e) Hub building
50 feet
50 feet
100 feet
100 feet

The length of slack for each of these cabinet types should be divided evenly between entering and exiting cable. For example, the field hub cabinet should have 50 feet of slack on the entering cable and 50 feet of slack on the exiting cable for a total of 100 feet. If service box is within 50 feet of local or hub cabinet, the cable slack can be cut in half in the cabinets. Service boxes and hub buildings should have 100 feet of slack on the exiting and 100 feet of slack on the entering cable for a total of 200 feet. When Gator Patch cables are used in local cabinets there should be 25 feet of slack in cabinet (for SCIPCAB1 there shall be no more than 3 feet of slack) and 100 feet of slack entering into the service box. Maintenance loops should follow the same cable slack measurements listed for service boxes. After the fiber optic cable has been spliced, the cable shall be neatly coiled (with tie-wraps placed on the cable) and placed on top of the fiber interconnect center or on the bottom of the cabinet. The cable shall be readily accessible to enable maintenance personnel to perform splicing of the cable in a vehicle located near the controller cabinet.

All metal conduits shall be grounded. All conduit, terminal cabinets, anchor bolts and reinforcing bar cages shall be made mechanically and electrically secure to form a continuous system and shall be effectively grounded. The grounding or bonding conductor shall be #6 AWG bare stranded copper wire.

Bonding of metallic conduit in service boxes and other installations, where the conduit is not coupled, shall be coupled with metallic conduit ground bushings having smoothly rounded, molded, insulated inserts and bonding jumpers.

The CONTRACTOR shall furnish and install all grounding facilities.

### 2) Buried Cable Markers

Buried cable markers shall be located along the buried cable line at locations shown on the Plans and/or shall be placed at every service box and not more than every 2450 feet apart. The marker shall be a PNA dome pack post cable marker 3" in dia., 6' long with a 16" orange top with approved labeling and phone number (labeling information and phone number to be supplied by Engineer for each project) or approved equal. An Orange passive marker ball shall be placed in every service box containing fiber or communications cable with a frequency of 101.4KHZ, that is compatible with a MetroMark passive marker locator 760Dx or approved equal.

### 10. Special Instructions to Contractors

- Fiber optic cable, of the type and size specified, will be measured by the linear foot of cable actually furnished and installed, completely in place and accepted, using an optical time-domain reflectometer (OTDR).
- b. The jacket shall have "Grabber" brand cable (stock no. VF0G 07) markers. The markers shall be six (6) inches long, orange in color and read as follows in black: "SCDOT FIBER OPTIC CABLE (803) 737- 1893". Two Grabber brand cable markers shall be placed on cable in each service box and shall be visible when the lid is removed. For aerial installation, the cable markers shall be placed on each side of every pole approximately two (2) feet from the pole. The CONTRACTOR shall install three (3) additional markers on the cable between the poles, spaced equally apart along the span.
- c. The SMFO cable shall be spliced only at those points shown in the Plans or as approved by the ITS Field Operations Manager, (minimum distance between reel end or end to end splices shall be no less than 15,000 feet of cable length). Back bone cable shall not have intermediate splices and all drop cables shall connect to the back bone cable using mid-span entry. The designated splices shall be in the fiber interconnect centers that are proposed for installation in the field hub cabinets, ITS hub and at service boxes as designated on the Plans. If fiber optic cable splice locations are not labeled on the plans the ITS Field Operations Manager shall designate were splices will be allowed. The CONTRACTOR shall order cable in reel lengths that are of sufficient length (>15,000') to require no intermediate splicing of the cable.
- d. The CONTRACTOR shall furnish and install single-mode fiber optic cable as the transmission medium for the video signals, the data communications trunk, and the data communication channels between the field devices and the hub building. The CONTRACTOR shall furnish, install, splice and test all the fiber optic cables. No separate payment shall be made for furnishing and installing splicing kits, fiber optic cable caps, breakaway swivels, moisture sealants, terminators, splice trays, fiber connector panels, jumper cables, connectors, and accessories to complete the fiber optic network. These items shall be considered as incidental and their costs shall be included in the cost to furnish and install the fiber optic cable. No separate payment will be made for equipment used by the CONTRACTOR to install, splice and test the fiber optic cable, the cost of which shall be included in the unit price to furnish and install the cable.

The number of fibers in each cable shall range from twelve (12) fibers through four (4) fibers in the drop cable to 144 fibers in the backbone cable. The number of fibers provided in any particular section of cable shall be as indicated in the Plans.

The following specifications provide detailed operational and technical requirements for specific elements of the communication system. The ITS Field Operations Manager shall designate the fiber allocations for all communications and integration into existing

system. Elements of the fiber optic system shall include, but not be limited to, the following:

- Single-mode optical fiber cables used for CCTV data transmission from local field equipment cabinets to the hub building. Transceivers shall be furnished and installed by the Department for video transmission and camera control data transmission over the fiber optic links between the field cabinets and hub building.
- 2) Two single-mode fibers will be used between the local cabinets (two fibers in and two fibers out) for communications. The allocations for the fibers to be used will be designated by the ITS Field Operations Manager.
- 3) Single-mode optical fiber cables used for data communication between the field equipment cabinets. The fiber cables will link the field cabinets in a network having a fiber optic backbone as shown in the Plans.
- UPC ST connectors, patch panel modules, connectorized cable assemblies, and jumpers.

### 11. Training

The CONTRACTOR will be required to furnish training for the testing and maintenance of the fiber optic infrastructure installed on this contract. The training will consist of classroom and "hands-on" training. The Training will be ETA and IMSA compliant and will include ETA FOI, IMSA Fiber Optics for Traffic Systems Tech. Levels I and II certification testing and certifications for each SCDOT employee in attendance.

Training will be furnished as part of the Furnish and Install Fiber Optic Cable bid item with no additional cost to the Department.

- a. The classroom training will consist of a minimum 40 hours (or as required to achieve certifications for both ETA FOI and IMSA Level I and II) of classroom instruction for up to ten (10) SCDOT ITS maintenance personnel and will include hands on training, ETA and IMSA Fiber Optic Technician certification testing, certifications and written instruction. The Department reserves the right to allow others to attend training.
- b. The testing and maintenance training will be conducted at the ITS Maintenance Facility in Columbia SC. Training dates and times shall be approved by the ITS Field Operations Manager prior to scheduling. A list of attendees will be given to the CONTRACTOR by the ITS Field Operations Manager for scheduling and testing purposes. Training shall be scheduled on a date selected by ITS Field Operations Manager during the project (CONTRACTOR should note that this training may be required at the beginning of the project however must be conducted prior to Substantial completion of ITS infrastructure for this contract.)

Catalog cuts are required.

## T. FIBER OPTIC COMMUNICATION PLANT - TESTING

Description: The CONTRACTOR shall test the fiber optic cable before and after installation in accordance with the procedures in this project's special provisions. The results of the tests shall be provided to SCDOT's project resident construction engineer (RCE). The CONTRACTOR shall test all used or spare/unused fibers.

# 1. Fiber Optic Cable Test

- a. Continuity: Prior to the installation of any fiber optic cable, the CONTRACTOR shall test the continuity of each fiber using an optical time domain reflectometer (OTDR). The test shall be conducted while the fiber is still on the reel and the test results shall be provided to the RCE.
- b. Splice Loss: After the installation of the fiber optic cable, the CONTRACTOR shall test the dB loss for every splice of the fiber optic cable in accordance with procedures established in the OTDR operator's manual. The testing may be done in conjunction with the splicing of the cable. Any splice that has a splice loss >0.05 dB shall be re-spliced.

The CONTRACTOR shall provide hardcopy test results to the ITS Field Operations Manager that identify the location of the splice (camera/DMS #, splice tray #), the fiber (by buffer tube and fiber color), and the splice loss in dB.

- c. Connector/End Splice Testing: The CONTRACTOR shall test each connector/end splice loss bi-directionally using an OTDR, in accordance with procedures established in the OTDR operator's manual. The average mated connector/end splice loss shall be <0.5 dB. Individual mated connector pair/end loss shall be <0.7 dB. Any connector/end splice with a loss greater than 0.7 dB shall be replaced by the CONTRACTOR. Any replacement connectors/ends shall also be tested.</p>
- d. End-to-End Attenuation Testing: The CONTRACTOR shall perform end-to-end testing of each fiber between each place point at 1310 nm, and 1550 nm bi-directionally in accordance with EIA/TIA 526-7.

The CONTRACTOR shall provide hardcopy test results to the ITS Field Operations Manager that identify the two (2) ends of the test site, the fiber tested, the wavelength tested, the reference power output, and the system attenuation in dB.

The CONTRACTOR shall provide to the Department three hard copies and three electronic copies on CD of the OTDR test results of all fiber optic cable installed on project. CONTRACTOR will supply to the Department any software required to open the OTDR electronic files at no additional cost to the Department.

The CONTRACTOR shall provide OTDR signature traces of all fibers between all CCTV locations and ITS control centers for system documentation and restoration purposes.

### U. FURNISH AND INSTALL FIBER INTERCONNECT CENTERS AND CLOSURES

## 1. Description:

This item is to be included in the cost for Furnish And Install Fiber Optic Cable. These items shall consist of furnishing and installing fiber interconnect centers and fiber optic interconnect closures. All in-ground (below grade) Splice Enclosures shall be of the 3M brand, no exceptions. Included in these items are the splicing of the fiber optic cable; furnishing and installing splice trays, interconnection sleeves, jumpers, connectors and other hardware that may be needed to house the coiled fiber optic cable and the fiber optic splices. The centers and closures will have a varying number of splice trays and splices and shall be housed at locations such as: at base mounted 332A local cabinet, at field hubs (332A cabinet) and the hub building, and inside service boxes for drop cables to local cabinets or inside service boxes at reel termination points. Closures may be needed when the fiber optic cable is transitioned from a buried location to an aerial crossing at a river or railroad crossing. GPS location shall be provided for mid spans/reel end splices and shown on as-built plans.

### 2. Materials

The CONTRACTOR shall furnish and install Gator patch cables or Department approved Siecore or CCS01U rack-mounted fiber optic interconnect centers at field cabinets or hubs. The CONTRACTOR shall also provide 3M splice closures at locations for drop cables and reel end splices. The fiber interconnect centers shall include strain-relief hardware, be rack-mountable and typically have the following capacities and locations:

- a. At each of the base mounted local cabinets, there shall be one (1) splice/organizing tray and termination/connection capacity for a minimum of six (6) fibers and shall have ST connectors installed or a Gator patch.
- b. At a field hub cabinet there shall be one or two (1-2) splice/organizing tray and termination/connection capacity for twelve to one hundred forty four (12-144) fibers.
- c. At hub building, there shall be four (4) splice center/organizer trays with a capacity to hold a total of 144 splices each.

The fiber optic 3M brand closures shall typically have the following capacities and locations:

- d. At fiber optic backbone reel end locations with a 144-splice closure capacity.
- e. At drop locations as specified on drawing with a 12-splice closure capacity.

The fiber interconnect center and closures shall be located in the cabinet or service boxes such that the slack fiber optic cable stored on top of the fiber interconnect center (as required in the Special Provision – Furnish and Install Fiber Optic Cable) can be easily removed (along with the fiber interconnect center) from the cabinet and taken to a maintenance vehicle for splicing, if necessary.

The interconnect centers shall be equipped with fiber connector panels with factory-installed interconnection sleeves. The interconnection panels shall be clearly labeled (transmit/receive). The interconnection sleeves shall be type ST compatible, with ceramic insert, and composite housing for single- mode fiber optic cable. The trays shall be a Siecor type or approved equivalent.

The CONTRACTOR shall furnish pigtail fiber optic cable assemblies with type UPC-ST compatible connectors factory-installed on one (1) of the assemblies. The pigtails shall be fusion-spliced to the fiber optic communication cable in each splice tray. The appropriate number of pigtail assemblies shall be furnished and installed in each fiber interconnect center.

### 3. Splicing of the Fiber Optic Cable

# a. Splicing Methods

All splicing shall be done by means of a fusion-splice technique, which induces less than 0.09 dB attenuation. Bare fibers shall be completely recoated with a protective RTV gel or similar substance prior to application of the sleeve or housing to protect the fiber from scoring, dirt, or microbending. Each spliced fiber shall be packaged in a heat shrunk protective sleeve or housing. All splices shall be performed in accordance with the cable manufacturers and the splice manufacturer's recommendations. During splicing, the CONTRACTOR shall maintain the continuity of the buffer tube and fiber color.

Incoming fibers shall be provided with five (5) feet of coiled slack and spliced to a pigtail of the same type fiber. Pigtails shall have a minimum length of five (5) feet and shall have a factory-installed UPC-ST compatible connector. The pigtails shall have an attenuation of less than 0.5 dB. The UPC-ST connector shall mate with the connector panels installed in the fiber interconnect center.

Unused optical fibers shall be properly protected with sealed end caps.

The CONTRACTOR shall record the meter marks on the cable sheath at each splice point. These marks shall be provided to the owner on a sheet of as-built system plans at the completion of the project.

The CONTRACTOR shall label all fiber optic patch panels and jumpers. Labeling shall match DMS and Camera addressing numbers, if fiber port is unassigned, the fiber destination location shall be used for labeling. The labeling shall be approved by the ENGINEER.

### b. Jumpers

If necessary, the CONTRACTOR shall furnish and install single-mode fiber optic cable assemblies with UPC ST connectors factory-installed on each end (jumpers). These assemblies will be used to connect the fiber optic modem to the connector panel. These jumpers will not be paid for directly, but shall be considered incidental to the item Furnish and Install Fiber Optic Modem.

### c. Future Applications

The fiber optic communications network is being designed and constructed to accommodate future applications. The CONTRACTOR shall only fusion splice the necessary fibers at local cabinet locations. However, the CONTRACTOR shall splice all fibers at reel end splices. Complete fiber optic cable count shall be terminated at Hub

building, TMC facilities and/or other head end location. All pigtail assemblies shall be connected by the CONTRACTOR to the connector panels installed in the fiber interconnect center. The transmit and receive designations of each fiber pair shall be clearly labeled on the front of the connector panel. Each fiber termination/connection shall be tested for attenuation.

Catalog cuts are required.

### V. FURNISH AND INSTALL PRESTRESSED CONCRETE POLES

Description: The following specification covers design, fabrication and installation of pre-stressed spun concrete poles. Poles shall be designed and constructed so that all wiring and grounding facilities are concealed within the pole. All handholes, wire inlets/outlets, inserts for pole steps, thru-bolt holes and ground wire shall be cast into the pole during the manufacturing process. These specifications are for typical 80-foot and 60-foot concrete poles to be used for installations with Closed Circuit Television (CCTV) assemblies. Pre-stressed concrete poles shall be Stress Crete SCDOT camera poles or approved equal.

## 1. Design

- a. Poles shall be designed considering the application of both dead load and wind load. The moment at any point along the length of the pole is to be the sum of moments resulting from dead loads and forces from wind loads. The wind force is to be computed by multiplying the specified wind pressure by the effective projected area (EPA) of the individual components.
- b. The P-Delta secondary moments due to the deflected unbalance of the structure must be accounted for in the design and shown in any calculations submitted.
- c. Poles shall be designed to meet AASHTO requirements for wind loading.
- d. Manufacturer shall supply engineering calculations which support pole design, hardware when applicable and foundation design when soil borings are provided. Calculations shall be approved and stamped by a registered professional engineer.
- e. Poles shall be designed such that the deflection does not exceed 1.1% of the free height of the pole at its maximum EPA under a wind loading equivalent to ½ the designated ultimate wind speed, including a 1.3 gust factor.
- f. The natural frequency of the pole shall be limited to 0.8 cycles/sec. The manufacturer shall provide calculations verifying the above requirements.
- g. The structural design shall provide for both multiple point and one point lifting.
- h. Poles shall be designed such that the forces imposed in handling, transportation and erection including a 1.3 impact factor, shall not exceed its cracking moment when handled at the pickup point locations indicated by the manufacturer.

### 2. Materials

- a. Concrete: The concrete mix shall be designed to achieve a minimum 28-day compressive strength of 8,000 psi. Concrete test reports shall be kept per ASTM C-99 and certified by a registered professional engineer. Cement shall conform to the latest requirements of Type I, II or III portland cement in accordance with ASTM- C150. Maximum size aggregate may be ¾ inch or 75% of the clear spacing between main reinforcing steel and surface of pole. Any water/reducers, retarders, or accelerating admixtures shall conform to ASTM-C494. Water shall be free from foreign materials in amounts harmful to concrete and embedded steel.
- b. Pre-stressing Steel: Pre-stressing steel reinforcement shall conform to uncoated 7-wires, stress-relieved strand (including low relaxation) per ASTM-A416 and shall be limited to ½ inch diameter.
- c. Spiral Reinforcement: Steel spiral reinforcement shall conform to the requirements of ASTM-A82 and shall not be less than 0.150 inch diameter. The pitch of the spiral steel shall not be greater than 3.2 inches or the radius of the pole, whichever is less.

d. Hardware: All structural steel shall conform to ASTM-A36 and be hot-dip galvanized in accordance with ASTM- A123. Zinc alloy AC41A for inserts, hand hole frames and covers, shall conform to ASTM-B240. All bolts, nuts, washers and other fasteners must be either stainless steel or hot-dip galvanized per ASTM-A153.

### 3. Manufacture

- a. All manufacturing tolerances, details or reinforcement and finishes shall be in accordance with "Guide Specification for Pre-stressed Concrete Poles", as published in the May-June 1982 issue of the Journal of the Pre-stressed Concrete Institute.
- b. Poles shall be pre-stressed concrete poles, manufactured by the centrifugal spinning process.
- c. Poles shall be round in cross-section with hollow center.
- d. Pre-stressing forces shall be limited to 65% of the ultimate yield strength of the prestressing strand.
- e. Forms shall be designed to provide a minimum concrete cover of 3/4" inch over the spiral steel
- f. Poles shall have a smooth natural form finish, soft gray color.
- g. The manufacturer shall have a minimum of ten years of experience in the design and production of centrifugally spun concrete poles.
- h. Pole will be of single piece construction through 130 ft., unless otherwise specified.

### 4. Pole Accessories

- a. Nameplate: A brass or aluminum nameplate shall be cast into the wall of the pole approximately five (5) feet above the ground line identifying the name of the manufacturer, job identification (SCDOT project number) or order number, overall length, manufacturer date, and actual weight.
- b. Two (2) four (4) inch x ten (10) inch conduit entrance opening shall be centered 18 inches below grade.
- c. An internal wire support shall be located directly above each wire inlet/outlet and be accessible from the handhole opening.
- d. The pole base shall be plugged to provide extra bearing surface.
- e. Grounding A #4 stranded copper ground wire shall be cast into the pole. The ground wire shall be terminated in a copper/tank ground at the top platform/crossarm level and approximately 12 inches below grade. Ground grid shall be connected to the cabinet ground and electric service ground in the electrical pull box located at the cabinet. The copper tank ground shall provide a ½ inch tapped insert for the grounding of hardware. The tank ground shall be connected to the camera lowering device at the top of the pole with AWG 4 stranded bare copper. This internal grounding system, shall meet NFPA and UL96 requirements.
- f. A minimum size three and one-half (3-1/2) inch x eight (8) inch reinforced hand hole frame with curved cover shall be centered 24 inches above grade for both 80 feet and 60 feet poles. This hand hole shall have the manufacturer recommended attachments installed for the camera lowering device wench to be used on this contract.
- g. A minimum size three and one-half (3-1/2) inch x eight (8) inch reinforced hand hole frame with curved cover shall be centered 48 inches above grade 90 degrees offset from other hand holes for cable access on both 80 feet and 60 feet poles.
- h. A minimum size three and one-half (3-1/2) inch x eight (8) inch reinforced hand hole frame with curved cover shall be centered 52 inches above grade for both 80 feet and 60 feet poles. There shall be an eye bolt installed 90 degrees offset from the hand hole

inside of pole. The eyebolt shall be installed to manufactory recommendations to secure the camera-lowering device's lowering cable.

- i. A minimum size three and one-half (3-1/2) inch x eight (8) inch reinforced hand hole frame with curved cover shall be centered 20-23 feet above grade for both 80 foot and 60 foot poles.
- j. A minimum size two (2) inch x eight (8) inch reinforced hand hole frame with curved cover shall be centered 48 49 feet above grade for the 60 feet pole only and 67 69 feet above grade for 80 pole.

### 5. Installation

Prior to installation, the CONTRACTOR shall conduct the necessary soil samples and geotechnical analysis to determine installation depth and foundation design requirements for each pole. The samples should be taken at the locations where the poles are to be installed and a copy of the analysis submitted to the SCDOT project engineer for consensus. The analysis results should provide a recommendation of the back fill material, depth and diameter requirement for the concrete pole. Final pole installation location shall be approved by the ITS Field Operations Manager prior to installation. GPS location shall be provided for camera pole and shown on as-built plans. When pole is to be installed on steep slopes or hills contractor shall furnish and install a level 12'X12' class A concrete pad around pole for access and maintenance purposes, at no additional cost to the department. A service box shall be installed in pad with 2- two (2) inch spare conduits extending out two (2) feet past the edge of pad for future access. The conduit locations shall be marked with an X in the concrete pad.

For bidding and estimating purposes, an embedment depth of ten percent (10%) of the overall pole length plus an additional four (4) feet may be used. Sixty feet CCTV poles shall have a typical 36-inch diameter hole.

- a. Grounding Grid: There shall be a four point grounding grid 7' x 7' around pole which shall be connected to copper/tank ground 12 inches below grade. The grid shall consist of a min. of four RUS 13 ground rods 5/8 inch by eight feet long copper clad, a min. of #4 AWG bare 7 stranded copper wire. Ground grid shall be connected to the cabinet ground and electric service ground in the electrical pull box located at the cabinet. Additional ground rods may be required to achieve proper resistance to ground (not to exceed eight ground rods). Connections to rods shall be by a method of exothermic weld connections. Ground grid shall meg <15 ohms and shall be tested using the fall of potential method and test shall be overseen by Engineer.</p>
- b. Plumbing Pole: Poles shall be plumbed (straight) before back filling, to Engineers approval.
- c. Access Holes: Pole shall be set so that top Access hole in pole is no more than four (4) and one half (½) feet above finished grade and no less than four (4) feet above finished grade.
- d. Back fill Material: Back fill material shall be crush and run in typical installations. No soil samples and geotechnical analysis have been made. Contractor is responsible for all soil samples and geotechnical analysis. Where deemed necessary by Engineer, Class A Concrete shall be used for back fill material at no additional cost to the Department.

Catalog cuts are required.

### W. FURNISH AND INSTALL STEEL POLES

Description: The following specification covers design, fabrication and installation of Steel poles. Poles shall be designed and constructed so that all wiring and grounding facilities are concealed within the pole. All hand holes, wire inlets/outlets are fabricated into the pole during the manufacturing process. These specifications are for typical 50-foot steel poles to be used for installations with Closed Circuit Television (CCTV) assemblies. Steel poles shall be Valmont CCTV Camera Poles SC drawing 12768-1 or approved equal.

### 1. Design

- a. Poles shall be designed considering the application of both dead load and wind load. The moment at any point along the length of the pole is to be the sum of moments resulting from dead loads and forces from wind loads. The wind force is to be computed by multiplying the specified wind pressure by the effective projected area (EPA) of the individual components.
- b. The P-Delta secondary moments due to the deflected unbalance of the structure must be accounted for in the design and shown in any calculations submitted.
- c. Poles shall be designed to meet AASHTO requirements for wind loading.
- d. Manufacturer shall supply engineering calculations which support pole design, hardware when applicable and foundation design when soil borings are provided. Calculations shall be approved and stamped by a registered professional engineer.
- e. Poles shall be designed such that the deflection does not exceed 1.1% of the free height of the pole at its maximum EPA under a wind loading equivalent to ½ the designated ultimate wind speed, including a 1.3 gust factor.
- f. The natural frequency of the pole shall be limited to 0.8 cycles/sec. The manufacturer shall provide calculations verifying the above requirements.

### 2. Materials

Hardware: All structural steel shall conform to ASTM-A36 and be hot-dip galvanized in accordance with ASTM- A123. Zinc alloy AC41A for inserts, hand hole frames and covers, shall conform to ASTM-B240. All bolts, nuts, washers and other fasteners must be either stainless steel or hot-dip galvanized per ASTM-A153.

### 3. Manufacture

Pole will be of single piece construction, unless otherwise specified.

### 4. Pole Accessories

- a. Nameplate: A nameplate shall be installed on the pole approximately three (3) feet above the ground line identifying the name of the manufacturer, job identification (SCDOT project number) or order number, overall length, manufacturer date, and actual weight.
- b. Grounding: A #4 stranded copper ground wire shall be connected to a grounding lug inside of pole. The ground wire shall be terminated a multi-point grounding system (not to exceed eight ground rods) connected to the cabinet ground and electric service ground in the electrical pull box located at the cabinet.
- There shall be two hand holes fabricated into pole at measurements as shown on Valmont Drawing 12768-1 or as approved by the Department

#### 5. Installation

Final pole installation location shall be approved by the ITS Field Operations Manager prior to installation. GPS location shall be provided for camera pole and shown on as-built plans.

- a. Grounding Grid: There shall be a multi-point grounding not to exceed 8 ground rods, which shall be connected to the inside of the pole with a mechanical lug. The grounding shall consist of a min. of three RUS 13 ground rods 5/8 inch by eight feet long copper clad, a min. of #4 AWG bare 7 stranded copper wire. Grounding shall be connected to the cabinet ground and electric service ground in the electrical pull box located at the cabinet. Additional ground rods may be required to achieve proper resistance to ground (not to exceed eight ground rods). Connections to rods shall be by a method of exothermic weld connections. Grounding shall meg <15 ohms and shall be tested using the fall of potential method and test shall be overseen by Engineer.</p>
- b. Plumbing Pole: Poles shall be plumbed (straight), shims may be used to plumb per manufacture recommendation and to Engineers approval.

- c. Breakaway T Base: Transformer Base, TB1-17 Modified w/ Pelco Door & Washers, Alum Pelco's TB1-17 Transformer Base stands 17" tall with a 13.12" square top, 15.38" square bottom, and has a 10½"-13½" top bolt circle and 10½"-1215/16" bottom bolt circle. Comes complete with an aluminum Pelco door and 8 heavy duty galvanized steel washers. Install in accordance to the manufacture recommendations.
- d. Anchor Foundation: Foundation Anchor Assy, 8" Sch 20 x 5'-0" 11" to 17" Bolt Circle, 1"-8NC Hardware Pelco's schedule 20 foundation anchors feature a top plate which enables the bolt head to be inserted after the anchor is in place. This eliminates the necessity of digging under the plate to install base bolts. The carriage bolts are locked in place by the slots in the top plate, which prevent them from turning. For street and highway lighting. Typically for poles ranging from 30'-50' in height. (wt. 184 lbs). Install in accordance to the manufacture recommendations. PB-5534-GLV

Foundation Anchor Assy, 10" Sch 20 x 10'-0" 13-1/2" to 17" Bolt Circle, 1"-8NC Hardware Pelco's foundation anchors feature a top plate which enables the bolt head to be inserted after the anchor is in place. This eliminates the necessity of digging under the plate to install base bolts. The carriage bolts are locked in place by the slots in the top plate, which prevent them from turning. For street and highway lighting. Typically for poles ranging from 30'-50' in height. (wt. 359 lbs) Install in accordance to the manufacture recommendations. PB-5535-GLV

Catalog cuts are required.

### X. FURNISH AND INSTALL CAMERA LOWERING DEVICE

Description: The camera lowering device shall be designed to support and lower a standard closed circuit television camera, lens, housing, dome, PTZ mechanism, cabling, connectors and other supporting field components without damage or causing degradation of camera operations. All components of the lowering device shall be installed so that they function properly with other ITS components. The device shall be used in conjunction with concrete or steel support poles. Camera lowering device shall be a Camera Lowering Systems (CLS) CDP6-16HDBP series or approved equal. Lowering device to be offset a minimum of 90 degrees off access holes. Lowering devices should typically be ordered with 105 feet of lead cable and 18 inch pigtail on lowering head. All lowering devices and lowering winches shall be designed for eighty (80) feet camera poles. When deemed necessary by the Department the CONTRACTOR shall furnish longer lead cables as needed per project.

### 1. Materials

a. Top Plate or Arm Mounted Assembly

The headframe assembly shall be designed to bolt to a round cross section on top of pole structure.

The interface and locking components shall be made of stainless steel and or aluminum. All external components of the lowering device shall be made of corrosion resistant materials, powder coated, galvanized, or otherwise protected from the environment by industry-accepted coatings to withstand exposure to a corrosive environment.

The lowering device shall be a "single cable" system, with the control cable remaining stationary in the pole during the lowering process. Camera lowering tool is a LT-1R-11-XX or approved equal.

The only cable permitted to move within the pole or lowering device during lowering or raising shall be the stainless steel lowering cable. All other cables must remain stable and secure during lowering and raising operations.

## b. Camera Mounting Assembly

The camera mounting assembly shall be a two piece design for easy camera mounting.

1) Both sections shall be made of corrosion resistant cast aluminum.

- 2) The top half shall be mounted and gasketed to the bottom of the disconnect unit. It shall extend into the cylinder of the disconnect unit and designed to repel water.
- 3) Inside the top half, it shall have provision to mount additional weights for lightweight cameras or other equipment.
- 4) All parts shall be made of extra heavy construction.
- 5) The camera connection box shall be adaptable to all brands of cameras by means of a one and one half inch NPT receptacle.
- 6) The two piece construction shall feature a lower box that hinges down for easy access to wiring. It shall contain a large capacity-splicing compartment for camera power, signal leads, surge suppression equipment, and connectors. The internal cavity shall be a minimum 8.5 inches square X a minimum 4.5 inches deep (per half) with a 1.5 inch NPT female pipe thread centered in the bottom.
- 7) All hardware shall be made of stainless steel.

The hoist cable shall be stainless steel wound anti-rotational aircraft cord minimum of 1/8 inch diameter manufactured to meet MIL-W83420C.

#### Electrical Connection

- The coaxial and electrical disconnect unit shall meet or exceed sine vibration tests of 3.5 g's within the frequency range of 5-60 Hz in all three axes for minimum of six 5-minute cycle each axes. It shall meet or exceed random vibration tests of frequency range 60-1000 Hz at .025 g2/Hz applied for 30 minutes in each of the three axes. It shall have results to exhibit no signal or electrical discontinuities greater than 10 microseconds. Tests applicable to Electrical Disconnect Unit and attached component.
- 2) The EDU shall have a 3-way tracking guide and support. It shall be constructed of precision cast high strength aluminum alloy 356-T6. A permanently fixed position piece incorporating a special tracking guide system permits the moveable portion of the Disconnect Unit to align in the same position every time the system is operated, thereby eliminating the need to re-orientate the camera. The Electrical Disconnect Unit shall have twin high strength notches securing the load of the Lower Contact Assembly and camera.
- 3) The multi-contact Connector assembly shall be modular for easy installation and retrofit requirements. All pin and socket contacts shall be insertable and removable. The connector shall have a maximum of 16 copper alloy C14500, size 12 contacts (.095" Dia.) rated at 35 Amps with gold plating per MIL-G-45204. All hardware shall be corrosion resistant stainless steel. It shall have a self-aligning and self-adjusting mechanical system comprised of two principal assemblies:

Two upper contact halves shall house the socket contacts. It shall incorporate spring assisted polymer contact body with precision-machined guideposts. The socket contact body shall have integral guideposts for precise contact alignment. The composite cable shall be terminated directly into the upper contact halves and shall run splice free to the CCTV cabinet. Composite cable shall be Camera Lowering Systems 663-229-RG59-09 16HD-CQ or approved equal.

Two lower contact halves shall house the pin contacts comprised of spring assisted polymer contact body with precision-machined guidepost receivers. The pin contact body aligns with guideposts of integral socket body guideposts.

- 4) The EDU cover shall be a one-piece hydro-spun heavy gauge stainless steel. The unit shall have a guidepost constructed of precision cast high strength stainless steel. It shall utilize a cast-in- place guide bar for precise alignment of Lower Contact Assembly with the fixed portion of the EDU.
- 5) The cables shall meet the following minimum requirements:

- a) Camera Control Cable Shall be a Camera Lowering System 663-229-RG59-09 16 HD-CQ or approved equal. All camera control cables shall incorporate a cat-5 or cat-6 cable for IP camera connections along with all other conductors for analog cameras in one single jacketed cable. The cable shall be splice free. All connectors shall be equipped with a strain-relief.
- b) Video Cable The video cable between the camera unit and the video transmitter shall be made with RG-59U coaxial cable. All cable runs shall be continuous and un-spliced. Connectors shall be BNC or MS. All connectors shall be equipped with a strain-relief.

### 2. Construction Method

The lowering device shall not be installed on pole prior to delivery to installation location. After pole is delivered to the location where it is to be erected, the lowering device shall be installed. The clam shell or junction box shall not be mounted prior to pole being set. The Clam shell or junction box shall be mounted after the pole is erected by means of lowering the device and then installing. Care shall be taken while the pole is being erected to insure lifting cables do not rest against or damage lowering device. It is the CONTRACTOR's responsibility to insure the lowering device is level and straight after erection of pole, to the satisfaction of the Department.

## 3. Spare Parts

As part of the contract bid for furnish and install camera lowering device, at the time of final acceptance of the project, the CONTRACTOR shall furnish to the SCDOT the following for use as spare parts.

These parts will be new:

- a. Five (5) spare Camera Lowering Systems (CLS) CDP6-16HDBP series per spec
- b. Two (2) spare LT-CC-90 Lowering tool with SS aircraft cable each including Dewalt 20 volt ½" hammer drills (DCD985L2)

Catalog cuts are required.

### Y. INSTALL 332 AND SCIPCAB1 ITS CABINET ASSEMBLY

Description – This work shall consist of installing a Department supplied Type 170 332 cabinet assembly or SCIPCAB1 cabinet assembly used to house the transceivers and splice tray equipment or gator patch to transmit the signals and connect to the fiber optic cable back to the hub building or TMC.

Where references are made to Caltrans specifications, the CONTRACTOR is directed to the Traffic Signal Control Equipment Specifications, as published by the State of California Business, Transportation & Housing Agency: Department of Transportation, Current Edition, and all current addenda. The ITS cabinet assembly, as described below, shall conform to all applicable sections of the Caltrans specifications, South Carolina DOT Standard Specifications and to the supplemental requirements of this section.

### 1. General Requirements

The CONTRACTOR shall install the ITS cabinet assemblies as called for in the Plans and shall conform to all materials and installation requirements of this section.

# 2. Materials

## a. Standard Cabinet Housing

### 1) General Requirements

Unless otherwise specified, all cabinet housings shall conform to the cabinet housing details as defined in Chapter 6, Section 2 (Housing Number 2) and the cabinet housing details of the Caltrans specification. All cabinets shall exhibit a smooth,

uniform natural aluminum finish. The police panel and associated wiring circuits are not required as part of this cabinet assembly. All cabinets shall have hooks, welded to the inside of the front cabinet door, for hanging the plastic documentation pouch.

All bolts, nuts, washers, screws, hinges, hinge pins and other related hardware shall be stainless steel.

Unless otherwise specified in the Plans, all 332 equipment cabinet assemblies shall be configured for base-mounting. The cabinet bottom shall be open and set on prefabricated concrete base. Prefabricated concrete bases shall be set on a 12 inch bed of crush and run leveled and compacted. Cabinet must be level and plumb. All SCIPCAB1 equipment cabinet assemblies shall be configured for mounted on a prefabricated concrete pedestal. Prefabricated concrete pedestals shall be set 3 feet in the ground and back filled with ready mix concrete, a minimum of 5 feet above grade.

## 2) Standard Cabinet Housing

The cabinet housing (see Detail Drawing 1) shall be a standard Model 332 housing with approximate exterior dimensions of 66 inches (H) by 24 inches (W) by 23 inches (D).

All cabinet housings shall be equipped with the standard EIA 19-inch rack cabinet cage as described in Section 3 of the Caltrans specification. Side panels within the two sides of the cabinet cage shall be installed as. Each side panel shall be fabricated from 5052 sheet aluminum alloy with a minimum thickness of 0.125 inches.

SCIPCAB1 cabinet housing with approximate exterior dimensions of 16 inches (H) by 14 inches (W) by 12 inches (D).

The 332 cabinet housing shall be equipped with a rack-mounted fiber interconnection panel or Gator patch and appropriate fiber jumper cables between the interconnection panel and the fiber optic transceivers. The fiber interconnection panel shall be no more than one rack unit high (1.75 inches) and no more than 12 inches deep (Multilink FRM012X, Siecor C-MIC-012 or CCSO1U or approved equivalent). The panel shall be fabricated from aluminum or painted steel and shall include an easily accessible enclosed compartment with fiber routing guides, cable strain-relief guide and grounding lug. Cable entry holes closed with rubber or soft plastic poke-through grommets shall permit cable entry from the rear, sides or bottom of the enclosed compartment. The panel shall be capable of terminating a minimum of 144 fibers. A minimum of two (2) single-mode ST connectors shall be provided to terminate the fibers in the fiber drop cable as shown in the Plans. The ST connectors furnished with the fiber interconnection panel shall be fully compatible with the ST connectors terminated onto the fiber drop cable and the fiber jumper cable. All ST connectors in the fiber interconnection panel shall be identified in the cabinet documentation by type (UPC, single-mode, ceramic, composite, etc.).

The 332 cabinet housings shall be equipped with a cabinet sliding drawer. The drawer shall be an aluminum storage compartment mounted in the rack assembly with the approximate following dimensions: 1.75 inches (H) x 16 inches (W) x 14 inches (D). This compartment shall have telescoping drawer guides to allow full extension from the rack assembly. When extended, the storage compartment shall open to provide storage space for cabinet documentation and other miscellaneous items. The storage compartment shall be of adequate construction to support a weight of 25 lb. when extended. The top of the storage compartment shall have a non-slip plastic laminate attached, which covers a minimum of 90% of the surface area of the top.

b. Internal Cabinet Assembly Components and Wiring

## 1) Surge Suppression

The cabinet shall have a Department supplied model 4000 Hawk modular power unit or Approved equal.

### 3. Installation

All 332 or SCIPCAB1 cabinet assemblies which includes the concrete base or pedestal shall be installed where shown on the Plans and shall be plumb and level. The cabinet assembly shall be provided with a grounding system in accordance with the Department's Standard Specification. The CONTRACTOR shall measure the resistance to ground in the presence of the ENGINEER and it shall be <15 ohms. The ground conductor between the cabinet grounding terminal and the ground rod shall not be spliced. The cabinet assembly grounding system shall be connected to the camera pole ground grid and the electric power service ground in the 17X30X28 pull box placed within five feet of cabinet to form a complete grounding system. Cabinets shall be installed approximately five feet from the CCTV pole, insuring that the cabinet will not interfere with the lowering tool. The Cabinet shall be placed so that the equipment side is facing away from the pole or DMS sign location. The ITS Field Operations Manager shall designate the cabinet location in the event the cabinet has to be set further than five feet from the CCTV pole. The DMS sign cabinets shall be Ledstar ACAS74A01R10 or approved equal located on upright of structure or as shown on plans. All cabling and wiring entering the cabinet housing shall be enclosed in conduit. A spare 2" conduit shall be installed for future use. All cabling and wiring inside the cabinet, including field wiring, shall be secured and neatly dressed and shall have sufficient slack [minimum two (2) feet] for cabinet equipment maintenance and re-termination of the field wiring. Fiber drop cables into the cabinet shall be routed to provide as much physical protection as possible, shall be secured through the cabinet, and shall be strain-relieved within the fiber termination unit.

Electrical power cable, grounding bushing or utilize myers hub, control cabling and fiber optic cabling shall enter the SCIPCAB1 cabinet housing in a continuous run of 2" aluminum conduit or rigid galvanized steel to extend from bottom of SCIPCAB1 cabinet to a minimum of one (1') foot below grade. When disconnect and or power meter is located on the same pedestal, the electrical power cabling shall enter the bottom of the SCIPCAB1 cabinet housing in a 1" metallic sealtight flex. SCIPCAB1 cabinet assembly shall be banded to the pedestal using stainless steel banding in two locations or with factory mounting hardware. Whenever possible the SCIPCAB1 cabinet assembly shall be mounted on the opposite side of the pedestal from the disconnect or meter can.

## 4. Certification

Prior to installation, the CONTRACTOR shall submit to the ENGINEER design details and drawings in complete evaluation of the materials, and comparison with these specifications. Any exception to these specifications must be stated in writing at that time.

### 5. Guarantee

a. The CONTRACTOR shall furnish the ENGINEER with any warranties or guarantees on all electrical or mechanical equipment that are provided by the manufacturer or vendor, as customary trade practice.

#### -and/or-

b. The CONTRACTOR shall warranty or guarantee the satisfactory in-service operation of all electrical or mechanical equipment and related components, for a period of six (6) months following project final acceptance.

Catalog cuts are required.

### Z. FURNISH AND INSTALL ELECTRIC FLUSH UNDERGROUND ENCLOSURE

Description – This work shall consist of furnishing and installing electric flush underground enclosure (service boxes) at the locations determined by the CONTRACTOR and SCDOT and in

accordance with these Specifications. The service box shall consist of a box and cover, installed over a min. 6 inches of aggregate. The service box is intended for use for the power supply for the cameras and detection devices and is intended for use of coil of fiber optic cable and future splice of the fiber optic cable near bridge decks. The CONTRACTOR is cautioned that some boxes may be installed over existing conduit with existing cable. The CONTRACTOR is responsible for installation without damage to the existing items. Any damage shall be repaired by the CONTRACTOR at no cost to the Department. Any damage to the existing fiber optic cable shall be repaired within twelve (12) hours of damage. A penalty of \$100.00 per hour shall be imposed for every hour past twelve that it takes to repair existing fiber cable. Service boxes shall be Armorcast A6001430TAPCX30 or High Line HIGHLINEPHA30483H04 furnished with a two-piece non-skid cover or approved equal. All Electrical pull boxes shall be an Armorcast A6001640TAPCX28 or approved equal. It shall be installed on in the dirt, at the depth so as the top is flush with the ground. GPS location shall be provided for all boxes and shown on as-built plans.

### 1. Materials

Shall meet the following requirements:

### a. Box and Cover

The service boxes shall consist of a base having an open top (the box), with a separate removable two piece cover. They shall be gray in color. Two piece covers shall have the legend "SCDOT Fiber optic" or "SCDOT Electrical", as required. In the case were both fiber optics and electrical cable will pass thru the same box the legend shall have "SCDOT FIBER/ELEC". They shall use hex-head stainless steel bolts. The physical features and the nominal size and dimensions for the box and cover, are shown on the Standards or the Design Details, and are listed below:

	WIDTH	LENGTH	DEPTH
SERVICE BOX:	30in.	48in.	30in.
Pull Box:	17in.	30in.	28in.

(Service, Splice box for fiber optics and for electric services when deemed necessary by the ENGINEER)

### b. Design Load

Boxes shall be designed to survive a tandem wheel load specified by AASHTO H 20-44, being 32,000 pounds (14,514.9 kg) per axle, or 16,000 pounds (7257.6 kg) per tandem wheel pair. This 16,000 pound (7257.6 kg) dead load shall be multiplied by 1.3 impact factor, to obtain the design test load of 20,800 pounds (9434.7 kg). Thus, boxes shall be designed and tested for the following test loads: Cover-vertical load 20,800 pounds distributed over a 10 in. x 20 in. area (9434.7 kg over .254 x .508 m). Box- vertical load 20,800 pounds distributed over a 5 in. x 20 in. area (9434.7 kg over .127 x .508 m). Box-lateral load of 600 pounds per square foot (28728 Pascals). The cover deflection shall be less than 0.5 inch (1.27 cm); and the box deflection less than 0.25 in./ft. of length (.635 cm/.3 m). (Because of quoted references, English units are first.)

## c. Western Underground Committee (WUC)

Using the above specified loads, the service boxes shall meet or exceed the WUC "Recommended Guide No. 3.6, Non-Concrete Enclosures". Structural requirements shall include: testing for vertical load on cover; vertical load on box; lateral load on box. Further they shall meet WUC recommendations for: accelerated service per ASTM D-756; chemical resistance per ASTM D-543; simulated sunlight resistance per ASTM G-53; plus water absorption; and flammability. Covers shall be skid-resistant, with a minimum coefficient of friction of 0.5.

### d. Concrete

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Concrete for patching shall be DHPT Class A, mixed and installed in accordance with Section 700 of the STANDARD Specifications.

## e. Aggregate

Crushed stone for the service box shall be DHPT Aggregate Numbers 5 or 57. Service boxes shall be set on a min.36" X 54" bed of aggregate min. 6 inches deep.

#### 2. Certification

 The Bidder shall provide certification from the manufacturer or vendor that the above material specifications have been met, including written results for Western Underground Committee tests.

NOTE: Catalog cuts are required.

b. The CONTRACTOR shall provide the DEPARTMENT with all guarantees offered by the manufacturer.

### 3. Construction Methods

### a. Construction

- 1) The service boxes shall be constructed as indicated in the Design Details or the Standards, at locations shown on the Plans.
- 2) The service boxes shall be constructed such that when the box and covers are in place, they are flush with the adjacent pavement, ground, or sidewalk, as shown in the Design Details or the Standards. Patching concrete shall be placed around any box installed in pavement.
- 3) Boxes shall be placed at least 0.3 meters (one ft.) behind the curb-line or edge of roadway or as shown on the Plans.

### b. Conduit

- 1) See Furnish And Install Conduit Specifications.
- Conduit shall enter the box at the bottom and extend at least six inches above the aggregate.
- Conduit shall enter from the direction of the run unless otherwise permitted by the ENGINEER.
- 4) All metallic conduit ends within the box shall have grounding bushings with plastic inserts; and shall be bonded with one another with #6 AWG bare copper ground wire. PVC conduits greater than 3" shall have end bushings to prevent chaffing.
- 5) After the electrical/communication cable is placed, the completed conduit ends shall be packed with "duct-seal" or other equivalent material to prevent water from entering the conduit. Spare conduit shall be capped.

### c. Grounding

- 1) Service box shall have one 5/8" X 8' copper clad ground rod installed for grounding of detectible muletape or tracer wire for fiber optic cable. The Detectable muletape conductors shall be bonded to the ground rod with a mechanical ground rod connector. Ground rod shall be installed so that the top of the ground rod is 6 to 8 inches below the box lid (allowing easy access for locating personnel to connect inductance equipment to tracer wire). There shall be a min. three feet of slack on detectible muletape.
- 2) All service boxes shall have accurate GPS coordinates with accuracy of 3 feet or less marked on as-built plans. GPS coordinates shall be obtained using a Department approved device. All service boxes shall have a red or orange passive marker ball with a frequency of either 101.4KHZ for fiber or 169.8KHZ for electrical that is compatible with a MetroMark passive marker locator 760Dx or approved

equal. All service boxes shall have a 16" RED or ORANGE Top (as required) above ground cable marker type PNA Dome Pack cable post marker 3" dia., 6' long or approved equal.

Catalog cuts are required.

### AA. FURNISH AND INSTALL PERMANENT DYNAMIC MESSAGE SIGN

Description - The following provides a description of a dynamic message sign using amber LED display elements and various subassemblies, with the intent of providing interchangeability between signs and compatibility with software used. This section describes the minimum requirements for the sign. All items furnished shall be new and shall be the latest version.

1. It is understood and agreed by the CONTRACTOR that equipment shall be complete and shall include all items necessary for the proper functioning of the DMS System. All DMS local controllers shall be Ethernet connectible without any additional upgrades or expense to the Department. Even though every item necessary may not be specifically mentioned or described, the CONTRACTOR is responsible for constructing a complete and functioning sign system. Any additional items that are required to make the sign system perform properly but which are not mentioned herein shall be supplied and furnished by the CONTRACTOR at no additional charge. Structures for the DMS should be included with the DMS for bidding and payment. Fiber optic cable and Equipment from sign to Controller shall be single mode or multimode fiber and equipment. Fiber terminations and splicing shall be in accordance to the Furnish and Install Fiber Interconnect Centers and Closures Section 1 and 2. Fiber optic cable shall meet the specifications for Furnish and Install Fiber optic Drop cable. DMS signs shall be LEDSTAR VMS-218-96X400-20-C-W or DAKTRONICS VF-2020-96X400-20-RGB. If structure is to span a cross both directions of roadway, the structure shall be designed for a load rating sufficient for installing one sign in each direction. Catwalks for bridge structures, cantilever, and any other shall extend from sign to vertical up right.

#### 1. General

The sign display shall only consist of LED illumination technology.

Remote RS232 port shall be provided in the DMS housing to access the local port of the DMS controller.

Displays shall be three (3) lines of 18" characters, 21 characters per line.

Displays shall be readable from a distance of 900 feet.

Sign display and all components will be housed in a waterproof walk-in enclosure.

a. Light Emitting Diodes (LED)

LEDs shall be un-tinted, non-diffused, high-output, solid state lamps utilizing indium aluminum gallium phosphide (ALLnGAP) LED technology, manufactured by Avago or equal.

LEDs shall emit a true amber color at a wavelength of 592 nm (±4nm).

LED size shall be T-1 ¾ (5mm).

LEDs shall be nominally rated for 100,000 hours of operation under field conditions, which shall include operating temperatures between -22° and +185°F.

LEDs shall have a 15° - 17° viewing angle and shall all be of the same degree viewing angle and manufacturer for all signs.

LEDs shall have no less than 50% of the normalized intensity at their 15° viewing angles.

LEDs shall be soldered to circuit boards with through-hole type circuit board mounting.

Surface mounting of LEDs will not be allowed.

b. Pixels

Each light-emitting pixel of an LED display shall consist of a cluster of closely spaced LEDs.

Pixels shall be constructed with strings of LEDs. The number of LEDs in each string shall be determined by the manufacturer, as necessary to produce the candela requirement as stated herein.

LED pixels shall produce the luminous intensity levels required herein at a drive current of 20 mA per string with a forward voltage drop not to exceed 24 VDC. The LED drive current shall be adjustable up to, but not exceed, 30 mA per string.

Each pixel shall produce a luminous intensity of 40Cd when driven with a LED drive current of 20 mA per string.

LED pixels shall be driven with direct-drive pulse width modulation. Maximum pulse amplitude shall not exceed 30 mA.

Materials used in the fabrication of LED clusters shall contain UV light inhibitors and shall be designed for direct exposure to sunlight.

Each LED pixel shall be rated for outdoor use over the environmental range expected for the sign locations (including heat absorption due to sunlight).

LED pixels shall be attached to the display panel with a secure fastening system.

LED pixels shall be mounted perpendicular to the display panel.

Visors shall be installed above each pixel or row of pixels for maximum contrast and legibility without interference to the LED display. Alternate methods may be utilized upon approval of the ENGINEER. The CONTRACTOR shall submit complete descriptive literature to the ENGINEER to substantiate an alternate method.

LED pixels shall be replaceable either individually or in groupings. Groupings with three (3) or more pixels shall be permitted only if bench level repairs and replacements to individual pixels are possible.

Pixels shall be replaceable from the inside of the walk-in display. Clusters and modules shall be interchangeable between signs employing the same display technology.

Characters formed by the DMS displays shall have a minimum of seven (7) pixels in height. The number of pixels making up the character width shall vary by the operator. The horizontal spacing between pixels shall be equal to the vertical spacing between pixels. From the center of the last column of pixels in one module to the center of the first column of pixels in the adjacent module shall equal the spacing between pixels in the same modules.

Character display shall be all upper case letters, all punctuation marks, all numerals 0 to 9, and special user characters such as #, &, \*, +, <, >.

# c. Modules

All modules shall consist of pixels arranged by forming a column of seven (7) pixels high by five (5) rows wide. The vertical and horizontal spacing from center of pixel to center of pixel shall be equal. The spacing shall be such that seven (7) pixels high shall form 18" characters. Modules shall be interchangeable among all signs in this project. Modules shall be easily removable for service/replacement with simple hand tools from inside the walk-in enclosure.

## d. Temperature Sensor

Adjustable temperature sensors to monitor the interior temperature of the DMS enclosure are required. Sensor parameters shall be adjustable by commands from the central, local, or laptop computers to activate/deactivate the fans and heaters. Sensor operating range shall be from +40°F to +150° F. When the temperature in the enclosure reaches

+140 degrees F, the local controller will be prompted and when the temperature goes back down to +100 degrees F, the local controller will be prompted again.

### e. Driver Boards

LED driver boards shall be in the DMS housings for all signs. Plug-in locking connectors shall be provided on each driver board for all connections. Connectors shall be held in place with screws. Driver boards shall be easily removable for service/replacement with simple hand tools. Driver boards shall control a single 5X7 LED module. Driver boards and all electronic circuit boards installed in the DMS housing shall be thoroughly coated with an acrylic coating for moisture-resistance. Each driver board shall have an on-board microprocessor capable on internal diagnostics, LED current measurement and adjustment.

### f. Photo-Electric Sensors

Three (3) commercially available, watertight and dust-proof photoelectric sensors shall be mounted on the enclosure or structure in a position where a technician can easily access them for repair or maintenance. The sensors shall be automatically adjustable by the software for the DMS System or manually set, to a minimum of seven (7) levels. The sensor will be positioned so that front, rear and ambient lighting levels can be detected.

## g. Power Supplies

Power supplies shall operate from 120 VAC power. The LED displays shall be operated at low internal DC voltage not exceeding 24 VDC. Power supplies shall be solid state transformer type regulated output. Electronic switching type power supplies will not be allowed. Signs shall be powered with one supply for each 1/3 of the display. Power supplies shall be wired in a redundant configuration such that failure of any supply shall result in a backup supply providing power. The backup power supply shall be rated such that it can operate the entire LED section under full load conditions. Power supplies shall operate from -220 to +1850 F. Power supplies shall be short circuit protected by DC power off. Power supplies shall also be protected by a minimum overload allowance of 105% and have an efficiency rating of at least 75%.

## h. Sign Face Coverings

Signs shall have polycarbonate sign face coverings. Coverings shall be weather tight, ultraviolet protected, non-diffusing, polycarbonate, with anti-reflective coating and a minimum  $\frac{1}{4}$  inch thick. Sign face shall be designed to minimize bowing. Sign face cover panels shall be installed and removed using simple hand tools.

### Walk-In Housing

Sign housing, framing, and mounting members shall be designed to withstand a wind velocity in accordance with AASHTO's Standard Specifications for Structural Supports for Highway Signs, Luminaires and Traffic Signals, latest edition and PE certified. The DMS should be constructed with a metal walk-in enclosure excluding the face and the enclosure of welded aluminum type 6061-T6, 5052-H38, 5052-H38, 5052-H34 or of an Engineer approved alternate at least 1/8" thick. The seams should be continuously welded using an inert gas process and all welds should be ensured to be solid with no cracks or blowholes. The housing should be constructed with a maintenance-free clear aluminum finish, with the exception of the front of the housing, which will be painted matte black. A complete description of the painting process, along with a sample of the finish on a 12" x 12" sheet of the same type of aluminum that is used to construct the DMS enclosure, should be submitted to the ENGINEER for approval. The enclosure should be designed and constructed to resist torsion twist and warp, present a clean and neat appearance, and protect the equipment within from moisture, dust and corrosion. The interior surfaces of the enclosure should be painted with a dull black enamel to reduce internal reflection.

Lifting eyes or the equivalent shall be provided for moving and mounting signs. DMS housings shall be designed such that the DMS can be shipped and temporarily stored,

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without damage or undue stress, prior to installation on the overhead support structure. The DMS shall be shipped with a temporary wood support frame that will permit the storage of the DMS in a vertical position without damage to the sign housing. The DMS shall be furnished with all required hardware for attachment on overhead sign structures. The attachment devices will be of a type where the sign face can be adjusted +/- 3 degrees vertically and horizontally once installed. The Contractor can propose an alternate method of sign face tilt to be approved by the ENGINEER.

The requisite dimensions will determine the height and length of the sign enclosure. The sign will consist of three (3) lines of copy, with 21 18-inch high characters per line and a minimum of one-half the letter height distance between lines. The border will be a minimum of 12 inches on all sides of the active display area.

The minimum height of the interior, from the top of the walkway to the lowest framing member or other obstruction shall be 72 inches. The minimum distance from the interior rear wall of the DMS housing to the closest display component shall be 36 inches. This free space shall be maintained across the entire interior of the sign housing. Structural members shall be designed and positioned so as to not be an obstruction to free movement by maintenance technicians throughout the 72-inch height of the housing interior. A level walkway shall be installed in the bottom of the DMS housing for maintenance personnel to walk. This walkway shall be a minimum of 24" wide and shall run the entire length of the sign. The walkway's top surface shall be non-slip and free of obstructions that would present a tripping hazard to maintenance personnel.

All DMS equipment, components, modular assemblies, and other materials located in the DMS housing shall be removable, transportable, and capable of being installed by a single technician. Structural members and components thereof are not included in this requirement. The DMS housing shall be constructed so that all maintenance and repair is performed from within the DMS housing, except for the sign face, necessary external repairs, and any miscellaneous devices required to be installed on the outside of the housing.

Housings shall have interior, non-corrosive, metal cage support frames to mount the display clusters. The cage support frames shall be designed to withstand and minimize vibration effects to the display and/or electronics.

Doors shall be installed on one or both sides of the housing and shall open to the outside and to the rear of the DMS housing. DMS housing doors shall be watertight/dust-proof doors with minimum doorway opening dimensions of six (6) feet high by two (2) feet wide. Doors shall be provided with continuous stainless steel hinges. A four (4) inch kick plate shall be provided at the base of both DMS door openings above the internal walkway. DMS housing doors shall have a stop to retain the door open at the full position. The DMS housing door shall be furnished with a door lock that is keyed. All locks shall be keyed alike, and the CONTRACTOR will furnish the ENGINEER with five (5) keys per DMS. The latching/locking mechanism shall include a handle on the interior of the housing so that a person with no key or tools could not become trapped inside the housing. A metal pocket will be provided on the inside of the door of sufficient size to hold documents relating to the sign, such as wiring diagrams, instructions and specifications. One of the door openings will require removable rails on the inside of the housing. Rails shall be installed horizontally and spaced vertically every 12 inches to a height of 48 inches above the internal walkway. When installed the side rails will not interfere with the operation of interior handle on the door. The rail size shall be as required to conform to OSHA and all other applicable regulations. Rails shall be attached to the sign housing with stainless steel hardware and designed for removal with simple hand tools. The Department will advise the CONTRACTOR prior to installation on which end the rails will be required.

Fans or other forced air devices of sufficient size to circulate air through the interior of the enclosure for maintenance personnel shall be provided. Fans shall be provided to maintain operating temperature for all equipment in the sign. A minimum of two

exhaust fans shall be provided to ventilate the enclosure. A separate set of fans shall be used to provide airflow over the LED's and the entire sign face. The CONTRACTOR shall provide copies of applicable regulations dealing with personnel ventilation systems and proof of compliance with each requirement. An adjustable timer that will deactivate fans after the set time has expired shall control the maintenance personnel ventilation system. The timer shall be adjustable up to at least four (4) hours and shall be located just inside the DMS housing door, within easy reach for a maintenance technician, and without having to enter the DMS housing.

The DMS housing shall include 15 AMP, 120 VAC duplex electrical outlets, with ground fault circuit interrupters, for use by maintenance personnel. A minimum of two (2) duplex outlets, one at each end of the enclosure, shall be located within the DMS enclosure. Additional duplex electrical outlets may be required so that there is a maximum of 12-feet between outlets.

The walk-in housing shall contain enough internal fluorescent lighting to provide maintenance personnel with a minimum of 240 watts of evenly distributed lighting. Ballast shall be rated for operation in zero degree temperatures. There shall be a protected cover over the lamps. Two (2) three-way switches shall control the interior lighting system and shall be located just inside the DMS enclosure, at both ends, within easy reach from outside the enclosure through each door opening.

Signs shall contain a minimum of three (3) thermostatically controlled fans and electric heating elements (if required by manufacture) to prevent condensation on the inside of the display windows. Electric heating elements are needed if required by the manufacture to prevent condensation. Adjustable thermostat on/off parameters for the control of the fans and electric heaters for the display window shall be adjustable from the local or central computers. Fans shall be designed to provide the cubic feet per minute (CFM) required to properly remove condensation on the inside of the display window. Cooling fans shall be located behind the display modules and shall blow air directly on the back of the LED pixels. Fans shall be designed to properly cool the enclosure and display modules. Heaters shall operate from a 240-volt, 60 Hz, single-phase AC power. Fans shall operate from 120-volt, 60-Hz, single-phase AC power. Vents for fan intake and exhaust shall be weatherproof in design. Filters shall be sized so that standard "off-the-shelf" filters will fit. Filters shall be installed and removed from inside the walk-in enclosure.

### i. Local Controller

Each sign installation shall include an associated controller installed in a groundmounted cabinet on a concrete base at the location shown on the plans. The local controller shall be operated by the Departments existing integrated software package (PALquide). The successful CONTRACTOR must include integration of the signs with the above mentioned integrated software package (PALquide) The controller shall be orientated so that a technician working at the controller can easily see the message displayed on the DMS. DMS sign cabinet shall be installed as shown on plans or on upright of structure, face cabinet as directed by ITS Field Operations Manager. The DMS local controller will include all necessary cabling, conduit, terminal blocks to connect the DMS to the local controller, and devices required for Ethernet network connection and dial-up phone connections to a central controller. Each DMS local controller will have its own unique address for communications. The CONTRACTOR supplied controller cabinet assembly shall be a LedStar Model CAS36A03R11 or ACAS74A01R10 or approved equal. The 332 or 336 cabinets shall meet the Furnish and Install 332 Cabinet Specifications for this project. The controller cabinet will include (but not be limited to) the following:

- Power supply and distribution with back panel.
- Power line surge protection devices, UPS system and local disconnect.
- Communication surge protection devices.

- Microprocessor-based controller, menu driven, with software integrated with SCDOT's software.
- Lamp driver and control system (unless integral to the DMS).
- Communication interface with modem and serial port for dial-up operation to the central controller.
- Serial port for laptop computer connection with a three (3) foot connector cord to allow operation of the laptop outside the cabinet.
- Local control panel with remote/local control switch.
- Adjustable shelves as required for components.
- Interior lighting and duplex receptacle.
- Interior ventilation.
- All interconnect harnesses, connectors, and terminal blocks.
- All necessary installation and mounting hardware.
- Communications from the local controller to the sign shall be over single mode or multimode fiber optic cable. The fiber cable and transceivers shall meet the specifications for those components spelled out in their respective specifications in this contract. The single mode fiber optic transceivers shall be Optelecom 9245 or equal.

The DMS controller and associated equipment will be housed in a NEMA 3R cabinet made of 5052-H32 sheet aluminum at least 1/8" thick. All seams will be welded with continuous solid welds. The cabinet shall be sized to house all equipment plus 20% vacant space for future equipment requirements. The cabinet shall be a Caltrans specified 332 cabinet shell (see Install 332 cabinet specifications).

# k. Central Controller

Deleted for this project.

### Sign Structures

The CONTRACTOR is responsible for the design, manufacture and construction of each sign structure needed for the DMS. Each structure that spans a cross both directions of road way shall be designed to handle one sign in each direction. Catwalks for bridge structures, cantilever, and any other shall extend from sign to vertical up right. Each structure shall be made part of the bid item for the DMS. Reference is made to SCDOT's Signing Specifications. The CONTRACTOR must perform all cross-sections as outlined in the Signing Specifications. Overhead structures which are fabricated incorrectly and do not fit the specific location shall be replaced by the CONTRACTOR at no additional cost to the Department. Alterations that involve cutting or welding or any procedure which will damage the factory-applied protective finish of the posts will not be allowed after the structures are fabricated.

Submission of required design information and design drawings:

It is essential that the signing CONTRACTOR make all required design submissions within 90 days following award of this contract, except as follows:

Section 9.103 of Signing Specifications is amended to also require that design drawings for Overhead Sign Structures and the details of footings be submitted within 90 (ninety) calendar days following award of the contract.

Section 9.104 of the Department's SPECIFICATIONS FOR SIGNING EXPRESSWAYS AND FREEWAYS is revised to require that the independent registered Professional Engineer who checks the designs for the overhead structures and footings be licensed by the State of South Carolina.

## 2. Overhead Sign Structure Design

Section 9.101 of the Signing Specification is amended to require stiffener plates between the base plate of all cantilever structures and the upright. The plates should be equally spaced about the base plate between the anchor bolt holes. All structures shall have at least six (6) anchor bolts per base plate. Also, the CONTRACTOR shall provide direct bolted connections of the sign to the structure sign hangers at the top and bottom of the signs. This shall be provided at all four corners of the sign. The top hole on each hanger shall be slotted to provide for adjustment.

Soil borings are not provided for the locations of the new Overhead Structures. The CONTRACTOR will be responsible for obtaining subsurface investigation data at the locations of the overhead structures shown in the plans for the purpose of overhead structure footing design. Special Note: Footings shall be designed using a maximum allowable toe pressure of 2000 pounds per square foot.

## a. System Maintenance

The CONTRACTOR will be responsible for all routine or preventive maintenance, repair (up to and including replacement) of defective parts, and any operating costs of the DMS System until final acceptance of the project. Any repair or replacement will be performed within twenty-four (24) hours of discovery or notification of a non-working piece of equipment or part.

The ENGINEER will have the option of placing individual DMS Systems in operation before the complete system is operational. This will not constitute acceptance of the individual DMS System nor relieve the CONTRACTOR of maintenance or operating costs for the individual DMS System.

#### b. Warranties

The CONTRACTOR will warrant all equipment, devices, and components provided for this project from defects in workmanship for a period of five (5) years from the final acceptance date.

## c. Training

The CONTRACTOR will be required to furnish training for the operation and maintenance of the equipment installed on this contract. The training will consist of classroom and "hands-on" training. Training will be furnished as part of the DMS bid item with no additional cost to the Department.

The classroom training will consist of 16 hours of classroom instruction for the operators of the system and will include written instruction in the form of a notebook of operating procedures for all functions of the DMS System. This includes, but is not limited to, the operations required when polling signs for diagnostics, adding messages, changing a message, paging or flashing messages on the DMS, and diagnostics of the local controller.

The maintenance training will consist of a notebook of all preventive maintenance requirements for all equipment, devices, and components of the DMS System. In addition, the CONTRACTOR will furnish personnel to work with SCDOT maintainers of the DMS System during the construction period for any sign systems placed in operation, and for a period of six (6) months from the date of final acceptance. This person will be required to report to the central location of the SCDOT maintenance personnel daily and accompany the SCDOT maintenance person as he performs routine preventive maintenance and emergency repairs, offering instructions and assistance. The CONTRACTOR person will also be on call twenty-four (24) hours a day, seven (7) days a week, for emergencies. This person is expected to be located within thirty (30) minutes of the project for emergency calls.

### d. DMS Spare Parts

As part of the contract bid for DMS, at the time of final acceptance of the project, the CONTRACTOR shall furnish to the SCDOT the following for use as spare parts. These parts will be new.

Four (4) spare Pixel Four (4) spare Driver Card

Two (2) spare sign controllers Two (2) spare power units

Two (2) spare Ground Controller with Ethernet 10/100 port One (1) ITS Cabinet for DMS includes Controller Two (2) Optelecom 9245DT and 9245DR Sets(single mode)

Four (4) Temperature Sensor Four (4) Photo Sensor

### e. Controller Functions

The local controller shall respond to the following basic command types. The commands can come from the central controller or the laptop controller in the field. The DMS controller shall be NTCIP compliant. Remote RS232 port shall be provided in the DMS housing to access the local port of the DMS controller. All Controllers shall be Ethernet IP compatible without any additional upgrades or firmware.

- 1) Blank sign
- 2) Display message
- 3) Report status of DMS displays
- 4) Execute sign test
- 5) Reset

The local controller will automatically report the following by initiating a phone call to the central computer. If the central computer's line is busy, the sign controller will keep trying until it gets through.

- 1) Loss of AC power to the DMS.
- 2) Restoration of AC power to the DMS.
- Loss of communication to the DMS.
- 4) The inability of the DMS to respond to a command from the local controller or the local controller cannot respond to a command from the central or laptop controller.
- The failure of any pixel or module to operate when required and the identification of which pixel or module has failed.
- 6) The local controller software will be programmed to produce a report once every twenty-four (24) hours, by time of day and duration, of the messages displayed on the DMS, any pixel outage, any power outages, and any errors experienced by the DMS. This report can be automatic or manual, at the discretion of the operator.

The local controller will, when polled from the central or laptop, respond to the following:

- Message being displayed
- 2) Temperature inside the sign housing
- 3) Status of all components
- 4) Any error messages

Catalog cuts are required.

## **BB. FURNISH AND INSTALL WOOD POLES**

Description - This work consists of furnishing and installing CCA treated wood poles for electric services or in this project for overhead fiber installation, of the types and sizes shown on

the Plans, in accordance with these Specifications, and in close conformity with the lines shown on the Plans, or as established by the ENGINEER. Each wood pole installation shall include all related overhead and underground hardware, and back guy assemblies.

### 1. Materials

Materials used shall meet the following requirements.

#### a. Wood Pole

### 1) Wood

Each pole shall be Southern Yellow Pine that is cut, stored, seasoned, and manufactured in accordance with specification ANSI 05, 1-19-79. Prohibited defects include: red heart, shakes in the tops of poles, short crooks, double-sweep, splits or through-checks, nails & spikes, and excessive knots. Scars shall not be deeper than 2.5 cm (1 inch), nor longer than one (1) meter (3 feet). Poles shall not have excessive butt-swell, nor more than one twist per pole length. Sweep in two planes is prohibited.

### 2) Straightness

All poles shall be straight to the extent that a line drawn from the center of the butt end, to the center of the tip end shall lie within the middle two-thirds of the body of the pole at all points. Poles shall also be free from short crooks, in which the surface deviation from straightness in any 1.5 meters (5 feet) of length, exceeds 38 mm (1.5 inches) at any location, as determined by a straight edge.

#### 3) Treatment

Each pole shall be prepared and pressure-treated in accordance with American Wood Preservers Association (AWPA) Standards C1, C3, C4, and M1. Treatment shall be "salt treated", CCA- chromated copper arsenate, and shall conform to AWPA Standard P5. The retention of the treatment shall be tested in accordance with AWPA Standard M2. The minimum penetration shall be 7.6 cm (3 inches), or 90 percent of the sap-wood. The retention shall be at least 9.6 kgs per cubic meter (0.60 POUNDS PER CUBIC FOOT), as determined by AWPA Standards.

## 4) Size

Pole shall be CLASS II 35 feet or 40 feet CLASS V 25 feet (for service feed only) as stated on the Plans.

#### 5) Brand

Each pole shall have a "brand" 3.6 meters (12 feet) above the butt-end, showing the Manufacturer. Plant-location with month and year of treatment, "Southern Pine CCA 0.60 (9.8)", and the Pole Class and Length. A Metal Tag showing Pole Length and Class shall be fixed to the butt-end; and the Length and Class shall be stamped on the top-end.

### 6) Inspection

Each pole shall have the "Brand Mark" of an inspection-company that has been approved by the Department.

## b. Back-Guy Anchor

Wood Poles require the installation of one-or-more back-guy cable assemblies, as needed. (See BACK-GUY.) The installation shall be made in accordance with the Installation Details, or the Standards.

### c. Ground Wire

Each pole shall be grounded in accordance with the Installation Details or the Standards. A No. 6 AWG, solid, bare-copper ground wire (ASTM B2) shall run the length of wooden poles, and extend 15 cm (6 inches) above the top end.

### d. Ground Rod

The Ground Rod shall be copper-clad, conforming to RUS 13, having a minimum size of 1.6 cm diameter by 2.4 meters in length (5/8 inch by (8 feet). A ground rod clamp shall also be used (heavy duty bronze or brass).

#### 2. Construction

### a. Location

The general location of each pole is shown on the Plans. The CONTRACTOR shall determine the final location of the pole, which shall be approved by the ENGINEER. Consideration shall be given to the property lines, underground utilities, and overhead clearances (including the guy anchor assembly).

### b. Hole

A hole shall be drilled two meters (6 feet) deep, or as shown on the Plans. The diameter shall be larger than the pole by approximately 10 cm (4 inches) all around. The hole shall be of uniform diameter, and cleanly augured.

### c. Sidewalk

When the pole is installed in a side walk, then the hole shall be cleanly cut 15 cm (6 inches) larger than the pole on all sides. After installation of the pole, and back filling the hole, then expansion joint material shall be placed around the pole, and tacked in place. Conduit running to the pole shall be installed at this time, in the cut. Concrete shall be poured around the pole to a depth of 10 cm (4 inches) and neatly troweled level. This work shall be considered incidental to pole installation, unless a pay item has been established for concrete patching or side walk.

### d. Installation

Poles shall be vertical, except at corners, where they shall be raked away from the strain, 5 to 10 cm (2 to 4 inches) per 3 meter (10 feet) length. Back guy assemblies shall be installed in line with the strain of each span wire. After installing, the hole shall be backfilled with clean earth or sand (no rocks or debris), placed in 30 cm (1 foot) layers; each layer moistened and compacted. Excess earth shall be removed from the site. (A 5 cm (2 inch) mound around the pole base is acceptable.)

## e. Utility Poles

Where poles are to be used for joint-use utilities, they shall be installed in accordance with all local codes, and with the requirements of the Utility Company. Cross Arms shall be provided if required by the Utility Company.

## f. Grounding

Each pole shall have a No. 6 bare solid copper ground wire running the entire length. The ground wire shall be securely attached and bonded while the wood pole is laying on the ground. It shall extend 15 cm (6 inches) above the top end, have a 60 cm (2 foot) coil (slack) at the top end, and extend down to the bottom, and have another 60 cm (2 foot) coil on the bottom end. The ground wire (and the coils) shall be attached using galvanized 30 mm (1-1/2 inch) wire staples, on 60 cm (2 foot) centers above 3 meters (14 feet), and on 30 cm (1 foot) centers below 3 meters (14 feet). (The spacing change will be at 2.4 meters (8 feet) above grade.)

### g. Ground Rod

One wood pole at each intersection shall have a ground rod. Usually this would be the pole having the electrical service from the Power Company. The ground rod shall be

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driven vertically into the earth, until it extends about 5 cm (2 inches) above local grade. Then a separate No. 6 AWG bare, stranded copper wire shall be used to bond the electrical service and the overhead cable (and pole ground wire) system to the ground rod, using a grounding clamp.

### h. Back Guying

Wood poles used to support service wire could require back guying, especially at turns. (See back-guy). It is the responsibility of the CONTRACTOR to install sufficient numbers of back guy assemblies, to insure the stability of wood pole installations. This may include: double-guying; extra-large anchors; or Re- guying Utility Company poles. The CONTRACTOR shall inform the ENGINEER when additional back guy assemblies are required. When the back guy is installed in a side walk, then the procedures of paragraph 2.3 above shall be followed.

## 3. Acceptance

Acceptance of each wood pole shall include checking for the pressure-treatment inspection company Brand Mark, plus visual inspection by the ENGINEER. The visual inspection shall be made of the pole, overhead cables, grounding, and back guy assembly. The complete installation shall be structurally sound, and the final pole placement shall be vertical, or raked as specified. Poles not meeting this inspection, shall be replaced by the CONTRACTOR, without further cost to the project.

Catalog cuts are required.

### CC. FURNISH AND INSTALL STEEL CABLE

Description – This work shall consist of furnishing and installing splice-free lengths of Steel Cable with cable supports, installing back guys, etc., at locations shown on the Plans or as established by the ENGINEER.

# 1. Materials

Materials shall meet requirements listed below:

#### a. Fabrication

Steel cable shall be fabricated of seven (7) steel wires, Class A double galvanized in accordance with ASTM A-475, and twisted into a single concentric strand to conform with the following schedule:

Diameter mm	(inches)	) Strand Size (AWG)	Tensile	Tensile Strength		
			Newtons	(pounds)		
6.35	(1/4)	14	14,011	(3,150)		
9.53	(3/8)	11	30,913	(6,950)		

# b. Usage

## 1) Span Wire

All steel cable used as span wire shall be 9.53 mm (3/8 inch) in diameter, unless otherwise noted on the Plans.

## 2) Messenger Wire

All steel cable used as messenger shall be 6.35 mm (1/4 inch) in diameter, unless otherwise noted on the Plans.

### 3) Tether Wire

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All steel cable used as tether wire shall be 6.35 mm (1/4 inch) in diameter, unless otherwise noted on the Plans.

## 4) Back Guy

All steel cable used for back guying shall be 9.53 mm (3/8 inch) in diameter, unless noted otherwise on the Plans.

## c. Cable Supports

### 1) Aluminum tie-wrap

Shall be Flat Aluminum Armor Tape, 1.2 mm (0.05") Thick X 7.6 mm (0.30") Wide, typically furnished in 4.5 kg (10 pound) coils.

Where specifically required, support rings (also called "cable rings", "messenger rings") shall be galvanized in accordance with ASTM A-153, and the design approved by the ENGINEER, and shall be 3 to 8 cm (2 to 3 inches) in diameter (to contain the electrical cables), and sized to specifically match the steel cable.

#### d. Miscellaneous Hardware

- All hardware and fittings shall be of the type shown on the Standards or the Construction and Installations Details.
- All hardware and fittings shall be made of galvanized steel or non-corrosive metal.
   The tensile strength of all hardware shall be equal-to or greater-than the steel cable installed.
- 3) All oval eye-bolts used to connect the automatic compression dead-end clamps to wooden poles, shall be 1.9 cm (3/4 inch) diameter. S-hooks shall be the same diameter as the cable. Fiberglass insulators shall be fabricated from epoxy-resin impregnated fiberglass strands, and have a tensile strength fifty (50%) percent greater than the steel cable.

### 2. Certification

The Bidder shall provide a Certification from the Manufacturer or Vendor, that the steel cable has been tested to meet or exceed the required tensile strength.

#### 3. Construction Methods

### a. Span Wire

# 1) General

All span wire shall be installed as shown the Standards, or on the Construction and Installation Details. Note that different methods and materials are required for wood poles and steel poles.

- a) Before erecting the span wire, the CONTRACTOR shall determine the length of cable required to span the distance indicated on the Plans. Sufficient additional length shall be allowed to compensate for sag, pole connections, and adjustments, to make the whole assembly consistent with the Design Details, or the Standards. No mid-span splices shall be permitted.
- b) The CONTRACTOR shall set the span wire so that the height of the installed signal heads, including all hardware, shall conform to the clearances shown on the Design Details or the Standards, or as directed by the ENGINEER.
- c) The span wire shall not be permanently "tied-off" until all signal heads, signs, and cables are in place.
- d) The CONTRACTOR shall not erect any span wire which lays on, or is likely to rub a Utility Company's cable. If a span wire, as erected, is within 15 cm (6 inches) of any other cable, wire, or structure, it shall be protected with plastic wire-guards.

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e) When required by the Utility Company, or by the applicable electrical code, strain- type fiberglass insulators shall be installed.

## 2) Cables from steel poles

Steel Poles are essentially electrical conductors.

- a) A roller type pole clamp shall be used, attached at the proper height.
- b) The free-end of the cable shall be secured with a 15 cm (6 inch) galvanized steel clamp, with 16 mm (5/8 inch) galvanized bolts. The clamp shall be placed approximately 30 cm (1 feet) from the pole. Cable-grips are not permitted.
- c) The ends of the cable shall be covered with "servisleeves" to prevent unraveling.
- d) The sag shall be three (3%), to five (5%) percent, fully loaded.

## 3) Cables from wooden poles

Wooden poles are essentially electrical insulators, and thus require extensive grounding and bonding procedures, as shown on the Construction and Installation Details, and the Standards.

- a) The sag shall be typically five (5%) percent, fully loaded.
- b) The height of attachment shall be sufficient to provide the required roadclearance, including sag.
- c) Shall be installed in accordance with the requirements of the Utility Company.
- May require the installation of a back guy assembly as required in furnish and install back guy.
- e) Shall be electrically bonded.

## b. Messenger Wire

- 1) Where messenger wire is attached to traffic signal poles, it shall be installed in the same manner as specified for span wire, but with relatively little sag.
- 2) Where messenger wire is attached to utility poles, it shall be installed in accordance with the utility company's specifications.

## c. Tether Wire

Where steel cable is specified to tether signal heads and/or traffic signs, it shall be installed as indicated on the Construction Details, or Standards. Generally, galvanized S-hooks should be used at the pole ends to permit "break-away" action.

## d. Back Guy

See Furnish and Install Wood Poles. See Furnish and Install Back Guy. See the Construction and Installation Details.

## e. Cable Supports

- Cable supports shall be used to support electrical cables from span wire and messenger wire. Cable Supports shall be spaced at 25 cm (10 inch) intervals.
- 2) When aluminum tie-wraps are used, they shall be installed by wrapping 3-full turns tightly around the bundle formed by the steel cable and all electrical cables, then cutting off from the tape coil.

# **DD. FURNISH AND INSTALL BACK GUYS**

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Description – This work consists of furnishing and installing back-guy cable assemblies to secure wood poles, at locations shown on the Plans, and in accordance with these Specifications, and the Installation Details and Standards.

Responsibility – It is the responsibility of the CONTRACTOR to assure that the number and size of back-guy assemblies is fully sufficient to anchor every wood pole, corner messenger cable pole, and Utility Company pole (where required).

### 1. Materials

Materials used shall meet the following requirements:

## a. Assembly

From the top-down, a back-guy assembly shall consist of: eye-type thru-bolt, guy-hook, strandvise, jumper-bonding clamp, the steel cable (10 mm (3/8-inch) guy-cable stranded), another strandvise, and a Screw-type guy anchor.

### b. Rust Proof

All parts shall be as shown on the Installation Details or the Standards. All hardware shall be hot-dip galvanized in accordance with ASTM Standard A-153.

#### c. Parts List

Acceptable parts are listed below:

- Guy anchors One piece screw type guy-anchors, shall conform to EEI-TD-2, 25 mm (1 in.) diameter, 2.4 meters (8 feet) long, thimble eye type. (Joslyn No. J-6550-WCA or approved equal)
- 2) Guy guards shall be Joslyn J5518 or approved equal yellow plastic (PVC) sunlight resistant, 2.4 meters (8 ft.) long.
- Spool insulators shall be a Joslyn J101 or approved equal.
- 4) Neutral spool bracket (Clevises) shall be a Joslyn J251 or approved equal.
- Machine bolts shall be Joslyn J8812 through J8818 or J8912 through J8918 or approved equal.
- 6) Lock washers shall be Joslyn J139 or J140 or approved equal.
- 7) Reliable Universal Strandvise (or approved equal) shall be used for guy and messenger cable dead-ends.
- 8) Oval bolts shall be Joslyn J9412 through J9418 or J9512 through J9518 or approved equal.
- 9) Oval eye nuts shall be Joslyn J1092 or J1093 or approved equal.
- 10) Square washers shall be Joslyn J1074 or J1078 or approved equal.
- 11) Curved square washers shall be Joslyn J6822 or J133 or approved equal.
- 12) Cable see Furnish & Install Steel Cable; using the 10 mm (3/8 in) diameter cable size
- 13) Cable clamps: 3-bolt clamps shall conform to EEI-TDJ-23, (100 mm (4 in.) and 150 mm (6 in.) sizes)
- 14) Nuts shall be Joslyn J8563 or J8564-1 or approved equal.
- 15) Side-walk bridge-over shall be Joslyn J1502 and J1501 with galvanized ridged conduit between or approved equal.
- 16) Lag bolts shall be Joslyn J8652-1/2HH or J8754P or approved equal.
- 17) Guy attachments (hooks) shall be Joslyn P134AXW or approved equal.

18) Bonding clamps shall be Joslyn J8300 or approved equal.

### 2. Construction

- a. A Back-guy assembly shall be installed:
  - 1) Where shown on the plans;
  - 2) In conjunction with installation of steel cable as span wire;
  - 3) In conjunction with the installation of a wooden pole;
  - 4) Where required by the Utility Company to "dress" pole to which signal equipment is attached; or, at corner/turning wood poles that are used for messenger cable runs.
- b. The installation of the wood pole, back-guy assembly, and the span wire, shall have the construction staged for the safety of the motorist, pedestrian, and ITS construction worker.
- c. See wood pole.
- d. The span wire, service wire, and back-guy assembly shall be stretched, adjusted, and then adjusted to produce the specified amount of span wire sag, the proper clearance, and still create a nearly vertical wood pole.
- e. The CONTRACTOR shall assure that the back-guy assembly is sufficiently strong to handle the pull of all span wires. This shall include consideration of the earth/soil type into which the ground anchor is buried. The CONTRACTOR shall furnish extralarge anchors and/or multiple-anchor assemblies if needed. Special anchors shall be used for solid rock.
- f. Where a pedestrian sidewalk is adjacent to a wood pole, the CONTRACTOR shall furnish as an incidental item, a sidewalk "bridge-over" assembly.
- g. The compass angle of the back-guy shall be reasonably in line with the strain of the overhead cable: that is, in line with each span wire. Thus most signal poles should have two (2) back-guys, installed at right angles to each other. The use of a single diagonal back-guy is generally unacceptable.
- h. The back-guy shall be installed (wherever possible) to provide as a minimum: rise=2 / run=1 (i.e. 2/1). For example, if the back-guy is attached at 8 meters (26 feet), the anchor should be at a minimum of 4 meters (13 feet) from the pole. This corresponds to an angle with the earth of about 60 degrees.
- i. All work shall be performed within the public Right of Way, and particular care shall be taken to assure that the back-guy does not extend into private property.
- j. The back-guy shall be installed where it will not interfere with traffic, giving particular attention to private driveways. Where damage is likely (say, edge of driveway), then a steel guy guard shall be installed to protect the cable. When shown on the Plans, a concrete tire/wheel stop (curb) shall be placed at the base of the back-guy, anchored/pinned with 400 mm (2 ft.) pieces of reinforcement bar.
- k. No splices shall be allowed in the steel cable.

## 3. Inspection

The ENGINEER shall inspect each installation of wood pole, span wire, and back-guy, for proper clearance, dress, and tension. At the direction of the ENGINEER, the CONTRACTOR shall re-install or replace improper installations, without further compensation.

Catalog cuts are required.

### EE. REMOVAL SALVAGE AND DISPOSAL OF EQUIPMENT AND MATERIALS

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Description – This item consists of the Removal and Salvage, or the Removal and Disposal of equipment and materials, during the construction of this project. Construction includes new installations, and the modification, or removal of existing ITS devices. It shall be disposed of, as stated below:

# 1. General

# a. Removal and Salvage

These items are to be carefully removed from the job site, salvaged, and returned to the Department. The items of major equipment to be salvaged are listed on the Plans. The CONTRACTOR shall deliver, (and obtain a receipt for), the salvaged equipment, to: \*\*SCDOT Intelligent Transportation Systems Maintenance Facility\*\* in Columbia, SC Contact the ITS Field Operations Manager at (803)-737-0394 for deliveries.

# b. Disposal

Material not to be salvaged, shall be removed from the job site, become the property of the CONTRACTOR; and should be properly disposed of by the CONTRACTOR, at an approved land fill (or material reclamation yard). Any materials designated as hazardous waste shall be disposed in accordance with regulations enforced by the SC Department of Health and Environmental Control (DHEC), Bureau of Solid and Hazardous Waste; (803)-734-5000 for information.

# c. Inspection

Removal and disposal quantities will not be measured as pay items, but shall be included in the price bid for Removal, Salvage, and Disposal. Final acceptance and Final Payment will be withheld, if the CONTRACTOR has not removed unneeded equipment from the job site, and if the CONTRACTOR cannot present receipts from the Shop showing that the salvaged equipment has been delivered to the Department as specified.

#### d. Holes

Every hole caused by removing old equipment shall be filled the same day. It shall be back- filled, compacted, and reseeded/sodded, to the satisfaction of the ENGINEER. Holes in pavement shall be cleanly side-trimmed, then brought to grade and finished with the same paving material as the adjacent pavement. Sidewalk "squares" shall be completely replaced (complete square), using forms and expansion material.

# 2. Specific Items

#### a. Controllers and Cabinets

Controllers and Cabinets to be removed by the Department. CONTRACTOR to be responsible for the foundations of ground-mounted cabinets and shall be removed completely or cleared to 0.3 meters (1 ft.) below ground.

#### b. Cameras

Cameras units to be removed by the Department. and salvaged shall be carefully dismounted, keeping as much of the

#### c. Wood Poles

Wood poles that are not utilized in the new ITS system, and are not required by other utilities, shall be removed and disposed of. Back guys, grounding systems, and miscellaneous hardware shall be disposed of.

# d. Concrete Poles

Concrete poles shall be removed and disposed of by CONTRACTOR.

#### e. Miscellaneous Equipment

Minor equipment shall be removed from the site and discarded. This includes steel cable, electrical cable, fiber optic cable, concrete pads, and

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spliceboxes/pullboxes/handboxes Underground conduit and detector loops not utilized, shall be abandoned in place.

# f. DMS and Structures

The DMS shall be carefully removed and turned in to the Department. Care shall be taken to insure the DMS sign is not damaged during removal or delivery to the ITS Field Operations Office. The structure shall be removed and disposed of by the CONTRACTOR.

#### FF. INSTALL DEPARTMENT SUPPLIED EQUIPMENT

Description - This work shall consist of installing equipment that will be supplied by the department, at the locations designated on the Plans, in accordance with the appropriate Specification, and the applicable Design Detail or Standard.

# 1. Materials

The Plans and Bid or Proposal Forms, state specifically which material will be supplied by the Department. All other materials shall be assumed to be furnished by the CONTRACTOR. The CONTRACTOR should refer to the appropriate "Furnish and Install..." Specification to determine what other materials will be required to complete the installation. Those materials must be required to complete the installation. Those materials must then meet the physical Specifications stated. The CONTRACTOR shall also supply all miscellaneous materials that will result in a complete and acceptable project.

#### 2. Certification

The CONTRACTOR shall provide certification that any material they supply for this item will meet the Specifications.

#### 3. Guarantee

For any materials they supply, the CONTRACTOR shall furnish the Department with all warranties offered by the manufacturer as normal trade practice.

# 4. Construction Methods

- a. General All workmanship and construction methods shall be in accordance with the appropriate Specification and in agreement with the applicable Design Detail or Standards.
- b. Example As an example, take the Bid item "INSTALL CONTROLLER BASE-MOUNTED CABINET-- EACH". The CONTRACTOR shall then refer to the Specification titled "INSTALL CONTROLLERS AND CABINET". They shall then furnish any other necessary materials, and shall complete the work needed to result in a finished installation. The CONTRACTOR shall also refer to the Plans, and to the Design Details and Standards.
- c. The CONTRACTOR is responsible for picking up the equipment to be supplied, at the ITS Field Operations Office located in Columbia SC or appropriate DOT Depot or Shop, and for providing any loading equipment and trailers required. Receiving and pick up of Department furnished items shall be scheduled 48 hours in advance with the ITS Field Operations Manager located in Columbia SC. The Specified Units shall be that stated in the "Install..." specification.

# GG. SCDOT Intelligent Transportation Systems Specifications for the Replacement or New Installation of Traffic Counting, or Classification Systems General Description

This work shall be performed by the SCDOT Intelligent Transportation Systems (ITS) Staff and the on-call ITS Maintenance/Installation CONTRACTOR. All equipment and labor will be provided by the ITS Field Operations Unit. Contact the ITS Field Operations Manager located at 1408 Shop Rd. Columbia, SC 29201, Phone: (803) 737-1163 for cost estimates, scheduling, reclaiming, reinstallations, maintenance and installations

# (53) DIVISION 600: MAINTENANCE AND CONTROL OF TRAFFIC:

# A. Construction (Sub-section 601.4)

1. Sub-section 601.4.2 Construction Vehicles (paragraph 2) -

When working within the rights-of-way of access-controlled roadways such as Interstate highways, the Contractor's vehicles may only change direction of travel at interchanges. These vehicles are prohibited from crossing the roadway from right side to the median or vice versa. Use a flagger to control the Contractor's vehicles when these vehicles attempt to enter the roadway from a closed lane or the median area. Ensure the flagger does not stop roadway traffic, cause roadway traffic to change lanes, or affect roadway traffic in any manner. The Contractor's vehicles may not disrupt the normal flow of roadway traffic or enter the travel lane of the roadway until a sufficient gap is present.

The Contractor shall have flaggers available to control all construction vehicles entering or crossing the travel lanes of secondary and primary routes. The RCE shall determine the necessity of these flaggers for control of these construction vehicles. The RCE shall consider sight distance, vertical and horizontal curves of the roadway, prevailing speeds of roadway traffic, frequency of construction vehicles entering or crossing the roadway and other site conditions that may impact the safety of the workers and motorists when determining the necessity of these flaggers. Ensure these flaggers do not stop roadway traffic, cause roadway traffic to change lanes or affect roadway traffic in any manner. The Contractor's vehicles may not disrupt the normal flow of roadway traffic or enter the travel lane of the roadway until a sufficient gap is present.

When working within the rights-of-way of access-controlled roadways with posted regulatory speed limits of 55 MPH or greater and average daily traffic volumes {ADT} of 10,000 vehicles per day or greater, i.e. Interstate highways, all construction and work vehicles possessing any one or more of the vehicular characteristics listed below are only permitted to enter and exit a right or left shoulder work area during the presence of active lane closures unless otherwise directed by the RCE. These vehicles are not permitted to enter or exit these work areas without the presence of active lane closures unless otherwise directed by the RCE. Shoulder closures are unacceptable and insufficient methods for control of traffic at ingress / egress areas for these vehicles. The restrictive vehicular characteristics include the following:

- Over six (6) tires
- Tandem rear axles
- A base curb weight greater than 8000 lbs.
- A gross vehicular weight greater than 12000 lbs. unless performing duties as a shadow vehicle while supporting a truck mounted attenuator
- A trailer in tow except under the following conditions:
  - Trailers transporting traffic control devices (including but not limited to standard and 42" oversized traffic cones, portable plastic drums, signs, portable sign supports, uchannel and square steel tube sign posts) relative to the installation of lane closures, shoulder closures or other traffic control operations approved by the RCE
  - Trailer mounted traffic control devices (including but not limited to advance warning arrow panels, changeable message signs, temporary traffic signals, highway advisory radios, work zone intelligent transportation systems and trailer towed truck mounted attenuators)
- 2. Sub-section 601.4.2 Construction Vehicles Auxiliary Warning Lights for Vehicles and Equipment

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Supplement all construction and/or construction-related vehicles and equipment that operate in a stationary or mobile work zone within or adjacent to a roadway within the highway rights-of-way with AMBER or YELLOW colored high intensity rotating or strobe type flashing auxiliary warning light devices. Utilize, install, operate and maintain a single or multiple lighting devices as necessary to provide visibility to approaching motorists.

All auxiliary warning light models shall meet *Society of Automotive Engineers* (SAE) Class I standards and SAE Standard J575 relative to *Tests for Motor Vehicle Lighting Devices and Components* and these specifications.

The amber/yellow color of the dome/lens of an auxiliary warning light device shall meet SAE Standard J578 for amber/yellow color specifications.

Auxiliary warning lights with parabolic reflectors that rotate shall rotate around a halogen lamp at a rate to produce approximately 175 flashes per minute. The parabolic reflector shall produce a minimum 80,000 candle power and a minimum 54,000 candela through an SAE Standard J846 approved amber dome.

Equip strobe type flashing auxiliary warning light devices with photosensitive circuit controls to adjust the lighting intensity in response to changes in ambient light conditions such as from day to night. These lights shall have a double-flash capability rated at approximately 80 double flashes per minute and produce a minimum 24 joules of flash energy at the highest power level setting.

Acceptable auxiliary warning light models shall provide sufficient light output to be clearly recognizable at a minimum distance of 1750 feet.

Mount all auxiliary warning light devices intended to function as the auxiliary warning light system or as an element thereof on vehicles and equipment at locations no less than 3 feet above the ground and in conspicuous locations to provide visibility to approaching motorists.

Auxiliary warning light devices and/or models that mount in the locations of the standard vehicle lighting system are unacceptable as the specified auxiliary warning light system due to restrictive simultaneous visibility capabilities from multiple sight angles. However, auxiliary warning light devices that mount in the standard vehicle lighting system locations are acceptable as supplements to the specified lighting devices mounted in locations that do meet the minimum height requirements and provide simultaneous visibility capabilities from multiple sight angles.

Standard vehicle hazard warning lights are only permitted as supplements to the specified auxiliary warning light devices.

# B. GENERAL REQUIREMENTS FOR PROVIDING AND MAINTAINING TRAFFIC CONTROL DEVICES IN THE WORK ZONE (SECTION 602) –

1. Sub-section 602.4 Construction (paragraph 8) -

Mount flat sheet signs straight and level and with the face of the signs perpendicular to the surface of the roadway. This requirement applies to flat sheet signs whether they are portable or have the embedded supports. Mount advance construction signs 2 feet from the edge of a paved shoulder or the face of a curb, or if no paved shoulder exists, 6 feet to 12 feet from the edge of an adjacent travel lane to the nearest edge of the signs. The mounting height of single signs mounted on ground embedded sign supports is no less than 7 feet or no greater than 8 feet from the bottom edge of the sign to the grade elevation of the near edge of the adjacent travel lane or sidewalk when a sidewalk is present. Any secondary sign on the same assembly has a minimum mounting height of 6 feet from the ground to the bottom edge of the secondary sign. Ensure that signs mounted on portable sign supports, including advance construction signs, regulatory signs, warning signs, etc., have a minimum mounting height of

5 feet from the ground to the bottom edge of the sign. Provide special sign mounting assemblies, when necessary, in areas of double-layered guardrail, concrete median barrier, or bridge parapet walls.

# C. CATEGORY I TRAFFIC CONTROL DEVICES (SECTION 603) -

1. Sub-section 603.2.2 Oversized Traffic Cones (paragraph 6) -

Reflectorize each oversized traffic cone with 4 retroreflective bands: 2 orange and 2 white retroreflective bands. Alternate the orange and white retroreflective bands, with the top band always being orange. Make each retroreflective band not less than 6 inches wide. Utilize Type III – Microprismatic retroreflective sheeting for retroreflectorization on all projects let to contract after May 1, 2010 unless otherwise specified. Separate each retroreflective band with not more than a 2-inch non-reflectorized area. Do not splice the retroreflective sheeting to create the 6-inch retroreflective bands. Apply the retroreflective sheeting directly to the cone surface. Do not apply the retroreflective sheeting over a pre-existing layer of retroreflective sheeting.

2. Sub-section 603.2.3 Portable Plastic Drums (paragraph 3) -

Reflectorize each drum with Type III – Microprismatic retroreflective sheeting: 2 orange and 2 white retroreflective bands, 6 inches wide on all projects let to contract after May 1, 2010 unless otherwise specified. Alternate the orange and white retroreflective bands with the top band always being orange. Ensure that any non-reflectorized area between the orange and white retroreflective bands does not exceed 2 inches. Do not splice the retroreflective sheeting to create the 6-inch retroreflective bands. Apply the retroreflective sheeting directly to the drum surface. Do not apply the retroreflective sheeting over a pre-existing layer of retroreflective sheeting.

# D. CATEGORY II TRAFFIC CONTROL DEVICES (SECTION 604) -

1. Sub-section 604.2.1 Type I and Type II Barricades (paragraph 3) -

Reflectorize these barricades with Type VIII or IX Prismatic retroreflective sheeting on all projects let to contract after May 1, 2012 unless otherwise specified. Ensure that the retroreflective sheeting has alternate orange and white stripes sloping downward at a 45-degree angle in the direction of passing traffic. The stripes shall be 6 inches wide.

2. Sub-section 604.2.2 Type III Barricades (paragraph 3) -

Reflectorize these barricades with Type VIII or IX Prismatic retroreflective sheeting on all projects let to contract after May 1, 2012 unless otherwise specified. Ensure that the retroreflective sheeting has alternate orange and white stripes sloping downward at a 45-degree angle. Apply the sloping orange and white stripes in accordance with the requirements of the Plans, SCDOT Standard Drawings and the MUTCD. The stripes shall be 6 inches wide.

# E. TEMPORARY CONCRETE BARRIER (SUB-SECTION 605.2.3.2) -

1. Sub-section 605.2.3.2 Temporary Concrete Barrier (paragraph 6) -

Previously used temporary concrete barrier walls are subject to inspection and approval by the RCE before use. Ensure that previously used temporary concrete barrier walls are in good condition. Defects to a temporary concrete barrier wall that may disqualify a section of wall for use include gouges, cracks, chipped, or spalled areas. A defect that exposes reinforcing steel warrants immediate disqualification. A disqualification grade type defect shall consist of measurements in excess of 1 inch, entirely or partially within the boundaries of the end connection areas and the drainage slot areas as illustrated in the "Standard Drawings for Road Construction", and/or in excess of 4 inches for all areas beyond the end connection areas. To warrant disqualification, these measurements shall exceed the specified dimensions in all

three directions, width, height, and depth. A defect that exceeds the specified dimensions in only one or two of the three directions does not warrant disqualification.

Temporary concrete barrier walls with defects less than 6 inches in all three directions, width, height, and depth that do not expose reinforcing steel may be repaired in accordance with the following requirements. Repair is prohibited on temporary concrete barrier walls with defects 6 inches or greater in all three directions, width, height, and depth.

For repair of temporary concrete barrier walls with defects less than 6 inches in all three directions, width, height, and depth that do not expose reinforcing steel, repair the defect with a premanufactured patching material specifically fabricated for patching structural concrete. The strength of the patch must meet or exceed the design strength of the class 3000 concrete of the temporary concrete barrier wall. Perform the repair procedures in accordance with all requirements and instructions from the manufacturer of the patch material. Use a bonding compound between the patch material and the concrete unless specifically stated by the manufacturer that a bonding compound is not required. If the manufacturer states that application of a bonding compound is optional, SCDOT requires application of a bonding compound compatible with the patch material. If cracking occurs within the patched area, remove the patch material completely and repeat the repair process. The contractor shall submit documentation stating all repairs have been conducted in accordance with these requirements prior to installing any temporary concrete barrier walls with repairs. Utilization of temporary concrete barrier walls with repairs shall require approval by the RCE prior to installation.

The Contractor shall submit certification documents for the patch material utilized for repairs to the Engineer prior to placing temporary concrete barrier walls that have been repaired on the project site.

# \*\*\* (Effective on all projects let to contract after January 1, 2017)\*\*\*

2. Sub-section 605.2.3.2 Temporary Concrete Barrier (paragraph 5) -

In regard to projects let to contract after January 1, 2017, ALL NCHRP Report 350 compliant temporary concrete barrier walls placed on a project site SHALL comply with the requirements for the recessed approval stamp as directed by the SCDOT Standard Drawings. Those NCHRP Report 350 compliant temporary concrete barrier walls with the original recessed approval stamp that reads "SCDOT 350" will continue to be acceptable on projects let to contract after January 1, 2017. However, those temporary concrete barriers with the "SCDOT 350" identification plate attached to the side of the barrier walls with mechanical anchors previously grandfathered will no longer be acceptable on projects let to contract after January 1, 2017.

# F. Construction Signs (Sub-section 605.4.1.1) -

\*\*\* (Effective on all projects let to contract after January 1, 2016) \*\*\*

On all projects relative to interstate highways let to contract after January 1, 2016, all signs attached to portable sign supports on and/or adjacent to interstate highways shall be rigid. Fabricate each of these rigid signs from an approved aluminum laminate composite rigid sign substrate approved by the Department. Utilization of signs fabricated from roll-up fabric substrates attached to portable sign supports installed on and/or adjacent to interstate highways will no longer be acceptable on projects let to contract after January 1, 2016.

ONLY those portable sign supports specified and approved for support of rigid signs fabricated from approved aluminum laminated composite rigid sign substrates and included on the Approved Products List for Traffic Control Devices in Work Zones, latest edition, are acceptable. To facilitate location of acceptable portable sign supports, the listing of portable sign supports is now separated into two (2) sections; "Portable Sign Supports for Use with

Roll-Up Signs ONLY" and "Portable Sign Supports for Use with Roll-Up Sign Substrates and Rigid Sign Substrates".

The trade names of the approved aluminum laminate composite rigid sign substrates are "Acopan", "Alpolic", "Dibond" and "Reynolite". These rigid sign substrates are restricted to thicknesses no greater than 2 millimeters.

Rigid signs fabricated from standard aluminum sign blanks or any other rigid material other than Acopan, Alpolic, Dibond or Reynolite are PROHIBITED for attachment to portable sign supports. However, rigid signs fabricated from standard 0.080 and 0.100 inches thick aluminum sign blanks will continue to be acceptable for mounting on ground mounted sign supports.

Signs fabricated from roll-up fabric substrates approved by the Department will continue to be acceptable for use on and/or adjacent to secondary and primary roadways unless otherwise directed by the Department.

The minimum mounting height of signs mounted on these portable sign supports shall continue to be 5 feet from the ground to the bottom edge of the sign except where a minimum 7 foot mounting height is required in accordance with the standard specifications, the standard drawings, these special provisions and the MUTCD, latest edition.

# G. TRUCK-MOUNTED ATTENUATOR (SUB-SECTION 605.4.2.2) -

1. Sub-section 605.2.2.2.3.3 Color (paragraph 1) -

Use industrial grade enamel paint for cover of the metal aspects of the unit. Provide and attach supplemental striping to the rear face of the unit with a minimum Type III high intensity retroreflective sheeting unless otherwise directed by the Department. Utilize an alternating 4 to 8 inch black and 4 to 8 inch yellow 45-degree striping pattern that forms an inverted "V" at the center of the unit that slopes down and to the sides of the unit in both directions from the center.

2. Sub-section 605.4.2.2 Truck-Mounted Attenuators (paragraph 6) -

A direct truck mounted truck mounted attenuator is mounted and attached to brackets or similar devices connected to the frame of a truck with a minimum gross vehicular weight (GVW) of 15,000 pounds (actual weight) unless otherwise directed. A trailer towed truck mounted attenuator is towed from behind and attached via a standard pintle hook / hitch to the frame of a truck with a minimum gross vehicular weight (GVW) of 10,000 pounds (actual weight) unless otherwise directed.

Each truck utilized with a truck mounted attenuator shall comply with the manufacturer's requirements to ensure proper operation of the attenuator. The minimum gross vehicular weight (GVW) (actual weight) for each truck shall comply with these specifications unless otherwise directed within the "Remarks" column of the *Approved Products List For Traffic Control Devices in Work Zones* in regard to specific requirements for the device in question.

If the addition of supplemental weight to the vehicle as ballast is necessary, contain the material within a structure constructed of steel. Construct this steel structure to have a minimum of four sides and a bottom to contain the ballast material in its entirety. A top is optional. Bolt this structure to the frame of the truck. Utilize a sufficient number of fasteners for attachment of the steel structure to the frame of the truck to ensure the structure will not part from the frame of the truck during an impact upon the attached truck mounted attenuator. Utilize either dry loose sand or steel reinforced concrete for ballast material within the steel structure to achieve the necessary weight. The ballast material shall remain contained within the confines of the steel structure in its entirety and shall not protrude from the steel structure in any manner.

# H. Trailer-Mounted Changeable Message Signs (Sub-section 606.3.2) -

1. Sub-section 606.3.2.7 Controller (paragraphs 1-4) -

The controller shall be an electronic unit housed in a weatherproof, rust resistant box with a keyed lock and a light for night operation. Provide the unit with a jack that allows direct communications between the on-board controller and a compatible personal computer. The unit shall have a LCD display screen that allows the operator to review messages prior to displaying the message on the sign.

The controller shall have the capability to store 199 factory preprogrammed messages and up to 199 additional messages created by the user in a manner that does not require a battery to recall the messages. Also, the controller shall allow the operator the capability to program the system to display multiple messages in sequence.

Provide the controller with a selector switch to allow the operator to control the brightness or intensity level of the light source of the sign panel. The selector switch shall include "bright," "dim" and "automatic" modes; inclusion of additional modes is permissible. When the selector switch is in the "automatic" mode, a photosensitive circuit shall control the brightness or intensity level of the light source in response to changes in ambient light such as from day to night and other various sources of ambient light.

Equip each sign with remote communications capabilities, such as utilization of cellular telephone or internet browser technology, to allow the operator to revise or modify the message selection from the office or other remote location. Also, provide protection to prohibit unauthorized access to the controller, (i.e. password protection).

2. Sub-section 606.5 Measurement (paragraph 2) -

Trailer-mounted changeable message signs are included in the lump sum item for Traffic Control in accordance with **Subsections 107.12** and **601.5** of the "2007 Standard Specifications for Highway Construction". No separate measurement will be made for trailer-mounted changeable message signs unless the contract includes a specific pay item for trailer-mounted changeable message signs.

The Contractor shall provide, install, operate, and maintain the trailer-mounted changeable message sign per traffic control set-up as directed by the Plans, the "Standard Drawings for Road Construction", these Special Provisions, the Specifications, and the Engineer.

3. Sub-section 606.6 Payment (paragraph 2) -

In addition to **Subsections 107.12** and **601.6**, the payment for Traffic Control is full compensation for providing, installing, removing, relocating, operating, and maintaining trailer-mounted advance warning arrow panels and trailer-mounted changeable message signs as specified or directed and includes providing the units' primary power source; repairing or replacing damaged or malfunctioning units within the specified time; providing traffic control necessary for installing, operating, and maintaining the units; and all other materials, labor, hardware, equipment, tools, supplies, transportation, incidentals, and any miscellaneous items necessary to fulfill the requirements of the pay item in accordance with the Plans, the Specifications, and other items of the Contract.

4. Sub-section 606.6 Payment (paragraph 3) -

Disregard this paragraph unless the Contract includes a specific pay item for trailer-mounted changeable message signs.

# I. TEMPORARY PAVEMENT MARKINGS (SUB-SECTION 609.4.1) -

1. Sub-section 609.4.1.1.1 Application Requirements General (in addition to paragraph 3) -

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On two-lane two-way roadways, apply and place temporary or permanent pavement markings, as specified hereupon, prior to the end of each day's work or shift or reopening a closed travel lane to traffic. These pavement markings shall include 4-inch wide solid lines on edge lines and solid center lines and 4-inch wide by 10 feet long broken lines with a 30-foot gap for broken center lines and lane lines unless otherwise specified. The center line pavement markings shall be either double yellow solid lines, yellow broken lines or an appropriate combination of a yellow solid line and yellow broken lines for passing / no passing zones. Placement of a singular yellow solid line for a center line pavement marking is unacceptable. The edge line pavement markings shall be a white solid line.

On multilane primary and secondary roadways, apply and place temporary or permanent pavement markings, as specified hereupon, to the travel lanes prior to reopening a closed travel lane to traffic. These pavement markings shall include 4-inch wide solid lines, utilized for edge lines and solid center lines, and 4-inch wide by 10 feet long broken lines with a 30-foot gap, utilized for lane lines and turn lanes, unless otherwise specified. The center line pavement markings shall be either double yellow solid lines or an appropriate combination of a yellow solid line and 4-inch wide by 10 feet long yellow broken lines for two-way left turn median areas. The right edge line pavement markings shall be a white solid line and the left edge line shall be a yellow solid line except in areas where the travel lanes separate to create a gore type situation and then the color schemes shall comply with SCDOT application practices for gore areas. The lane lines between travel lanes and turn lanes shall be 4-inch wide by 10 feet long white broken lines with a 30-foot gap.

However, on two-lane two-way and multilane primary and secondary roadways, application of a 4-inch wide solid line utilized for an edge line adjacent to an earth shoulder, white or yellow, may be delayed up to 72 hours after eradication of the original line when the length of eradicated line at a single location is no longer than 250 feet. In the event of multiple locations along the same line, each location must be separated from the adjacent location by no less than 250 feet with a cumulative total distance of eradicated line of no more than 1300 feet within any continuous 1 (one) mile length of roadway measured from a selected location. If the length of eradicated line exceeds 250 feet at any single location, the distance interval between multiple adjacent locations is less than 250 feet or a cumulative total distance of multiple locations of eradicated line exceeds 1300 feet within any continuous 1 (one) mile length of roadway measured from a selected location, replace the eradicated line(s) prior to reopening the adjacent travel lane to traffic.

On interstate roadways, apply and place temporary or permanent pavement markings, as specified hereupon, to the travel lanes prior to reopening a closed travel lane to traffic. These pavement markings shall include 6-inch wide solid lines, utilized for edge lines, and 6-inch wide by 10 feet long white broken lines with a 30-foot gap, utilized for lane lines between travel lanes and auxiliary lanes, unless otherwise specified. The right edge line pavement markings shall be a white solid line and the left edge line shall be a yellow solid line except in areas where the travel lanes separate to create a gore type situation and then the color schemes shall comply with SCDOT application practices for gore areas.

On all roadways, apply and place white stop bars and white triangle yield bars in all locations where previous stop bars and triangle yield bars have been eradicated by the work. Apply and place white stop bars and white triangle yield bars at intersections controlled by stop and yield signs within 72 hours of the eradication of the original pavement marking. Apply and place white stop bars at signalized intersections controlled by traffic control signals and at railroad crossings prior to reopening a closed travel lane to traffic.

Within the limits of existing turn lanes on all roadways, apply and place white arrows in all locations where previous arrows have been eradicated by the work unless otherwise directed by the RCE. Apply and place white arrows within 72 hours of the eradication of the original pavement markings. However, in regard to newly constructed turn lanes, apply and place white arrows the within turn lanes as directed by the RCE.

Within the limits of existing lane-drop sites on all roadways, apply and place white arrows in all locations where previous arrows have been eradicated by the work prior to the end of each day's work or shift or reopening the closed travel lane to traffic. In regard to newly constructed lane-drop sites, apply and place white arrows within the travel lane to be terminated prior to opening the travel lane to traffic and as directed by the RCE.

2. Sub-section 609.4.1.1.1 Application Requirements General (Revision to paragraph 8) -

On two-lane, two-way roadways, passing zones may be eliminated within the work zone through application of 4-inch double yellow centerline pavement markings if determined feasible and directed to do so by the Plans and/or the RCE. Apply no passing zone markings as specified by the Plans, the Specifications, the *MUTCD* and the RCE.

# J. FLAGGING OPERATIONS (SUB-SECTION 610.4.1) -

1. Sub-section 610.4.1.1 Flagging Operations (paragraph 1) -

Use a flagging operation to control the flow of traffic when two opposing directions of traffic must share a common travel lane. A flagging operation may be necessary during a lane closure on a two-lane two-way roadway, an intermittent ramp closure or an intermittent encroachment of equipment onto a portion of the roadway. Utilize flagging operations to direct traffic around work activities and maintain continuous traffic flow at reduced speeds when determined to be appropriate by the RCE. As stated above, flagging operations shall direct traffic around the work activities and maintain continuous traffic flow; therefore, stopped traffic shall not be required to stop for time durations greater than those listed below unless otherwise directed by the RCE. Begin measurement of the time interval immediately upon the moment the Flagger rotates the Stop/Slow paddle to display the "Stop" condition to the approaching motorists.

LENGTH OF CLOSURE	MAXIMUM TIME DURATION FOR STOPPED TRAFFIC
1 MILE or LESS	5 Minutes
1 to 2 MILES	7 ½ Minutes

If the work activities require traffic to be stopped for periods greater than 5 to 7  $\frac{1}{2}$  minutes as stated above, consider alternate work methods, conducting work activities during times of lowest traffic volumes such as during the hours of darkness or complete road closure with detour installation.

# K. PAVING AND RESURFACING (SUB-SECTION 611.4.1) -

1. Sub-section 611.4.1.2 Requirements (paragraph 8) -

Whenever travel lanes with acceptable grade elevation differences are open to traffic, provide "Uneven Lanes" signs (W8-11-48) or "Uneven Pavement" signs (W8-11A-48). Reflectorize these signs with a fluorescent orange colored prismatic retroreflective sheeting unless otherwise specified. Install these signs adjacent to roadways with uneven pavement surfaces between travel lanes or between travel lanes and the adjacent paved shoulders. Install these signs at intervals no greater than 2600 feet.

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# (54) SECTION 601: PENALTY FOR VIOLATING LANE CLOSURE RESTRICTIONS:

The Contractor is advised that the Lane Closure Restrictions outlined in the Traffic Design Criteria will be strictly enforced. Should lane closures remain in place or not be completely removed by the time specified in the Traffic Design Criteria, a penalty will be assessed at the rate of \$2,500.00 (Twenty-Five Hundred Dollars) for each 1/4 hour interval (or any portion thereof) for each lane closed. Should lane closures remain in place or not be completely removed for a period of longer than one hour beyond the time specified by the Traffic Design Criteria the penalty will increase to \$5,000.00 (Five-Thousand Dollars) for each 1/4 hour interval (or any portion thereof) for each lane closed. The penalty also applies to any ramp closures specified in the Traffic Design Criteria.

# (55) SECTION 605: PERMANENT CONSTRUCTION SIGNS:

Utility locations must be performed prior to the placement of Permanent Construction Signs. State Law requires that the location of each sign be marked with a white line in the roadway or a stake in the shoulder. The locator company will mark 25 feet on either side of the location. The responsibility for marking the sign locations prior to the contractor calling PUPS for utility locate lies with the party responsible for lines and grades on the project. If Construction Lines and Grades is a pay item, then the Prime Contractor is responsible for marking the sign location. If this is not included, it is the Department's responsibility to mark the locations.

Prior to marking the sign location, care must be taken when marking the signs to ensure that there are no obstructions or other mitigating factors that will cause the sign to be moved outside of the 50 foot utility window. Any costs associated with staking out the sign locations are considered incidental to the cost of Permanent Construction Signs.

Requests for utility locates must be specific and isolated to the sign locations if no ground disturbing activities are occurring outside of the sign placement.

# (56) SECTION 610: WORK ZONE TRAFFIC CONTROL PROCEDURES:

The first sentence of Section 610.3 of the 2007 Standard Specifications is hereby revised to:

"Ensure that background color of personal protective apparel is either fluorescent Yellow-Green or fluorescent Orange-Red, and meets ANSI Standard 107-2004 National Standard for High Visibility Apparel Class 2 (or Class 3 as necessary) Performance Criteria, or latest edition."

Note #12 of Standard Drawing 610-005-00 is hereby revised to:

"During nighttime flagging operations, flaggers shall wear a Safety Vest and Safety Pants meeting ANSI Standard 107-2004 National Standard for High Visibility Apparel Class 3 Performance Criteria, or Latest Edition, and a Hardhat. The color of the apparel background material shall be either fluorescent Yellow-Green or fluorescent Orange-Red."

# (57) SECTION 653: RETROREFLECTIVE SIGN POST PANELS:

Section 653 is hereby modified as follows:

#### A. 653.2 MATERIALS

Add the following paragraph:

Use retroreflective sign post panels constructed of a nonmetallic composite or 3mm aluminum composite material approved by the SCDOT covered with a 3-inch wide type III sheeting. Use sheeting that meets the requirements of Section 651.2.3. Use approved panels included on the Approved Products List For Traffic Control Devices in Work Zones.

# **B.** 653.4.2 ERECTION

Add the following paragraph:

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Mount the panel for the full length of the post from the sign to within 6 inches above the edge of the roadway. Mount panel only on post specified in the plans or special provisions. Secure the panel to the post with a minimum of 3 5/16-inch bolts and a lock washer and flat washer between post and nut, or tamper-resistant and rust-resistant screws. Use bolts, washers and nuts meeting the requirements of section 651.2.2. Provide the sheeting in the color that matches the background color of the sign except that the color for the "Yield" and "Do Not Enter" signs shall be red. Install panels to both posts, if there are two posts supporting the sign.

#### C. 653.5 MEASUREMENT

Replace with the following:

#### 653.5 Measurement

The quantity for the pay item U-Section Post for Sign Support – (2 or 3)P, U-Section Post for Sign Bracing –2P or retroreflective sign post panel is the length of U-section post used for sign support or bracing or panel and is measured to the nearest 1/100 of a linear foot (LF) of the required post or panel, complete and accepted.

# **D.** 653.6 PAYMENT

Replace with the following:

# 653.6 Payment

Payment for the accepted quantity for U-Section Post for Sign Support – (2or 3)P, U-Section Post for Sign Bracing –2P or Retroreflective Sign Post Panel, measured in accordance with Subsection 653.5, is determined using the contract unit bid price for the applicable pay item, and the payment includes all direct and indirect cost and expenses necessary to complete the work.

Payment is full compensation for fabricating and erecting U-section posts or braces or panels as specified or directed and includes providing mounting hardware; removing and disposing of existing signs supports, braces, and mounting hardware removed or replaced; replacing or relocating supports or braces shown on the Plans or directed by the RCE; and all other materials, labor, equipment, tools, supplies, transportation, and incidentals necessary to fulfill the requirements of the pay item in accordance with the Plans, the Specifications, and other terms of the Contract.

Pay items under this section include the following:

Item No.	Pay Item	
6531205	U-SECTION POST FOR SIGN SUPPORTS – 2P	LF
6531210	U-SECTION POST FOR SIGN SUPPORTS – 3P	LF
6531215	U-SECTION POST FOR SIGN BRACING – 2P	LF
6531500	REFLECTIVE SIGN POST PANELS	LF

# (58) DIVISION 700: ANTI-GRAFFITI COATING:

October 4, 2019

#### A. GENERAL

The anti-graffiti coating system shall be applied to locations as described in Exhibit 4 and in accordance with this Specification. Anti-graffiti coatings intended for use under this Specification shall be of a composition capable of preventing the adhesion of and facilitating the removal of acrylic, polyurethane, and alkyd spray paint. Use a clear, non-sacrificial anti-graffiti coating for all applications.

#### **B. MATERIALS**

All anti-graffiti coatings must possess the physical and handling characteristics that are compatible with the requirements of this Specification. Anti-graffiti coating shall be manufactured by one of

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the approved manufacturers listed on the most recent Qualified Product List 7, titled "Qualified Spray-On/Brush-On Surface Coatings for Concrete Finish". Contractor shall ensure that the antigraffiti coating is compatible with the selected applied Finish Coating, if applicable.

Anti-graffiti coatings shall contain less than 5.0 lb/gal volatile organic compounds (VOC) as defined by 40 CFR Part 59, Subpart D. The manufacturer shall supply the following additional information:

- Technical data sheet that includes installation instructions and graffiti removal instructions by pressure washing with water.
- 2. Certification that non-sacrificial anti-graffiti coating shall not blister, crack, check, chalk, delaminate, or exhibit a color change of more than 8 dE94 (or dE76) CIELAB units for a period of one year after installation.

Additionally, submit a certification that the coating meets the following laboratory performance requirements:

Additional Laboratory Performance and Tests		
Test	Method	Limits
Graffiti Resistance	ASTM D 6578; Use identified marking materials; initial and re-cleanability; and after exposure initial and re-cleanability	Cleanability Level 8, 9, or 10.
Fluid Resistance	ASTM D 1308; Paint thinner, gasoline	No blistering, discoloration, softening, or adhesion loss

# C. APPLICATION

Apply an anti-graffiti coating or coating system in accordance with manufacturer's product data sheet and as specified herein, when the ambient temperature is between 40° and 90°F, and the surface temperature is between 50° and 85°F and rising.

Ensure all concrete has cured a minimum of 30 days before applying anti-graffiti coating. Do not apply coating when precipitation is expected within 12 hours of the completion of application or the relative humidity exceeds that specified by the manufacturer.

# D. PROTECTION OF ADJACENT SURFACES

Consider wind direction, velocity and geographic location as having a major impact on all cleaning and anti-graffiti coating operations. Use all necessary precautions to prevent cleaning and anti-graffiti coating materials from being dispersed outside the work site. If conditions are such that material is dispersed to areas where vehicles or other property may be damaged, suspend operations until conditions improve and work can continue without affecting adjacent property.

Protect all surfaces not intended to be coated, which are adjacent to, or in close proximity to the surfaces to be coated, during the application of anti-graffiti coating. Clean surfaces that are to be coated, as per the manufacturer's product data sheet.

# **E. SURFACE PREPERATION**

Prior to applying any anti-graffiti coatings, prepare all surfaces to be coated in accordance with ASTM D 4261 or ASTM D 4258 and the manufacturer's product data sheet. When the anti-graffiti coating or coating system is to be applied over an existing coating, apply a test patch (minimum area of 4 square feet) in accordance with this Specification. Allow the test patch to cure a minimum of 7 days without any defects. No time extension will be granted as a result of this test requirement.

#### F. BASE COAT

Apply the base coat, if part of the system, as specified by the manufacturer. Unless otherwise specified by the manufacturer, ensure the cured base coat has a minimum dry film thickness of 4.0-8.0 mils.

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#### G. FINISH COAT

When applicable, ensure the base coat surface is clean and cured to a dry hard state according to the manufacturer's instructions before applying the finish coat. Mix finish coat and apply in accordance with the manufacturer's instructions. Ensure the cured finish coat of the two coat system has a minimum dry film thickness of 2.5-5.0 mils. Apply as many coats as necessary to provide a finish coat which is a uniform continuous film over the entire surface, free of pinholes, runs, sags, or any other deficiencies. Finish coat shall be considered "non-sacrificial".

# H. CORRECTION OF DEFICIENCIES

Remove all applied anti-graffiti coatings identified by the Engineer as damaged, defective, or otherwise not meeting these Specifications, in accordance with the manufacturer's recommendations. Prepare the surface and reapply the coating in accordance with the manufacturer's recommendations and as specified herein, at no additional cost to the Department.

# I. REMOVAL OF GRAFFITI BEFORE ACCEPTANCE

Remove all graffiti from areas receiving anti-graffiti coating, at no additional cost to the Department. Ensure all federal, state, and local environmental regulations are met when removing graffiti. Removal shall be in accordance with manufacturer's recommendations.

# (59) SECTION 700: NOISE BARRIER WALLS:

July 26, 2018

Design, furnish, and construct noise barrier walls in accordance with the requirements of Exhibit 4 and this Specification.

#### A. GENERAL

Secure joints and connections in such a manner as to be structurally sufficient with no visible openings for sound transmission and as to not be a secondary source of sound transmission due to vibration.

Conform top of walls to the elevation shown and construct walls to conform to the horizontal alignments, corners and offsets shown in the plans. Provide all drainage related items in order to control the buildup of moisture from storm water runoff. Follow the design requirements for the type, gradation, and method of placement of backfill required. Exercise due caution in placing backfill at noise barrier wall foundation so as to maintain proper wall alignment.

#### **B.** Precast Concrete Panels

Precast concrete panels shall conform to the following requirements:

- Cast all precast panels in a precasting facility approved by the Materials and Research Engineer.
- 2. Prior to construction of complete noise barrier, provide a full scale sample representative of the panels to be used, and showing the architectural finish pattern on at least the median height of the noise barrier for approval by the RCE. Coordinate with the RCE if the sample panels will be reviewed on site or at the precast facility. After approval, Sample panels may be used in the permanent structure as long as they are fabricated with the same structural details as the permanent noise barrier.
- 3. Fabricate wall panels using a fractured fin finish (Standard Drawing 701-950-01) on the interstate side and a raked finish on the back side. On the interstate side of the top panel, provide a broom finish on the top two feet of the panel to provide the appearance of a concrete coping. Fabricate posts using smooth or brushed finish.
- 4. Acceptability of the panels will be determined from the compressive strength of cylinders made and cured in the same manner as the panels, and by inspection during the manufacturing

process. The manufacturer of the panels shall furnish such facilities and assistance as may be required to carry out the sampling and daily testing in an expeditious and satisfactory manner.

- 5. Cast panels on a steel surface with steel side forms prepared so that there is no damage to panel finish. Do no strip forms until a minimum concrete strength of 2400 psi is attained. Vertical forms are required to provide the surface relief specified on each side of the panel.
- 6. Place concrete in each panel without interruption, and consolidate by the use of vibrators supplemented by hand tamping and rodding so as to force the concrete into the corners of the forms and eliminate stone pockets, cleavage planes, and air bubbles.
- 7. Repair minor honeycombing and voids within 24 hours of the removal of forms.
- 8. Cure the panels as specified in SCDOT Standard Specification Subsection 702.4.4 for a sufficient length of time so that the concrete will develop the specified compressive strength. Do not use a curing period less than 72 hours under normal summer temperature conditions. In colder weather extend the curing period, as directed by the RCE, to provide equivalent curing. Protect the curing panels from freezing and evaporation from the time the concrete is placed until curing is complete. As an alternate to the wet cure method, steam cure the panels as specified in Section 704.
- On each panel, include the date cast and the Inspector's approval stamp. Acceptance by the Inspector at the precast yard will not preclude rejection at the erection point if any damage or defects are discovered.
- 10. Erect the panels in accordance with plan details and dimensions.
- 11. After erection is complete and before final acceptance of the project, clean the noise barrier walls to remove any dirt or stain in an environmentally safe procedure.
- 12. Panels will be subject to rejection due to failure to meet any of the requirements specified above. In addition, any of the following defects will be cause for rejection:
  - f. Defects that indicate imperfect mixing and casting.
  - g. Honeycomb or open texture.
  - h. Exposure of the reinforcement.
  - i. Failure to meet the specified concrete compressive strength at 28 days.
- For items damaged during shipment or installation, repair/replace procedure shall be approved by the RCE.
- 14. Handle and ship panels in as close to vertical position as possible as directed by the manufacturer to prevent damage to the finish.

# C. TEST WALL

Erect a portion of the wall as directed by the RCE (not less than 50 feet in length) which will be used for testing and acceptance. The RCE will use this portion of the wall to determine if the Contractor's methods and equipment are sufficient to produce a noise barrier wall that meets the requirements of the contract documents including sound reduction performance, appearance, and texture. The Contractor may revise his methods and equipment as necessary in order to satisfactorily meet all contract requirements. If this portion of wall does not meet the requirements of the contract documents, remove and dispose of any rejected portions at no expense to the Department.

#### D. TOLERANCES

Limit vertical deviation from plumb for walls and posts to: ½ inch for wall heights less than 10 feet; 1 inch for wall heights 10 feet to 20 feet; and 1½ inches for wall heights greater than 20 feet.

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Limit horizontal tolerance for walls to prevent panels from slipping out of the post joints.

Set posts within ½ inch of their intended location. For noise barrier walls that are built on top of earth berms, construct the berms of earthwork fill material and compacted to ninety-five percent (95%) of the maximum density as determined by AASHTO T 99.

#### (60) SECTION 701: SAND LIGHTWEIGHT CONCRETE:

Use sand lightweight concrete, where specified in the plans, complying with the requirements of this Special Provision.

Sand lightweight concrete is composed of portland cement, fine aggregate, lightweight coarse aggregate, water, and admixtures. Provide sand lightweight concrete that complies with the applicable requirements of Section 701 of the Standard Specifications and the additional requirements herein.

At least 35 days prior to the proposed use, submit for approval a mix design from a testing laboratory accredited by the AASHTO Accreditation Program. Provide a mix that obtains a 28-day design compressive strength equal to or greater than 4000 psi and satisfies the following design criteria:

TEST	TEST METHOD	REQUIREMENT
Max. Unit Weight, plastic, lbs/ft3	AASHTO T 121	120
Max. Unit Weight, dry, lbs/ft3	ASTM C567 using equilibrium (air dried) unit weight	115
Min. Relative Dynamic Modulus, (percent)	AASHTO T 161 Procedure A	80

When submitting the mix design, include the source of the aggregates, cement, and admixtures and the gradation, specific gravity, and fineness modulus (fine aggregate only) of the aggregates. Submit test results showing the mix design conforms to the criteria, including the 28 day compressive strength of a minimum of six cylinders. Provide a mix design that produces an average compressive strength sufficient to ensure that a minimum strength of 4000 psi is achieved in the field.

Produce an additional mix in accordance with AASHTO M 195 to determine the drying shrinkage. The maximum drying shrinkage for this mix is 0.07%.

For lightweight coarse aggregate, use expanded shale or slate that meets the requirements of AASHTO M 195. Provide lightweight coarse aggregate that meets the gradation table below.

GRADATION OF LIGHTWEIGHT CONCRETE AGGREGATE		
Sieve Size	Passing Square Opening Sieves (Percent by Weight)	
1"	100	
3/4"	90-100	
3/8"	10-50	
No. 4	0-15	

Determine the soundness in accordance with AASHTO T 104. Loss of more than 10% of the lightweight aggregate in five cycles of the accelerated soundness test using sodium sulfate is not permitted.

Ensure the lightweight aggregate will have a wear of not more than 40% when tested in accordance with AASHTO T 96.

Ensure that lightweight aggregate has an absorbed moisture content equal to the 24 hours absorption as determined by AASHTO T 84 or T 85 when it is proportioned and incorporated into the mix. Consult

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with the lightweight aggregate supplier regarding minimum absorption required for proper performance of aggregate in concrete mixtures.

Have a representative from the manufacturer of the lightweight aggregate attend and participate in the Pre-pour Conference and also provide technical assistance in the production of the lightweight concrete at the batch plant and/or site for the first day of lightweight concrete mixing and placement operations.

Do not use AASHTO T 152 to determine the air content. Determine air content in accordance with AASHTO T 196.

Determine the plastic density (unit weight) of lightweight concrete in accordance with AASHTO T 121. Perform density tests for acceptance of lightweight concrete after final corrections for entrained air and slump have been made. When a density test is made and the results of the test exceed the specified maximum, perform a check test immediately from the same load of concrete. If the average of the 2 test results exceeds the specified maximum density, the load is rejected.

The quantity for Sand Lightweight Concrete is the volume of specified concrete within the neat lines of the structure as shown on the Plans or as revised by the RCE and is measured by the cubic yard (CY) of concrete, complete, and accepted. Deductions are made for the volume of embedded items, except for reinforcing steel; however, no deduction is made for edge chamfers of ¾ inch or smaller.

# (61) SECTION 701: NON-CONFORMING CONCRETE:

For purposes of applying the reduced payment and below strength provisions of Subsection 701.2.12.4 of the Standard Specifications, a unit price of \$885 dollars per cubic yard will be used for normal weight concrete and a unit price of \$900 dollars per cubic yard will be used for sand lightweight concrete.

# (62) SECTION 702: MASS CONCRETE PLACEMENT:

Delete Subsection 702.4.3.5 of the Standard Specifications in its entirety and replace it with the following:

Use procedures for mass concrete placement for a pour that has dimensions of 5 feet or greater in 3 different directions. In the case of a circular cross-section, a mass concrete placement is defined as a pour that has a diameter of 6 feet or greater and a length of 5 feet or greater. Mass concrete requirements do not apply to Foundation Seals (Class 4000S).

For all mass concrete pours, do not allow the maximum temperature during curing to exceed the temperatures listed below:

- For concrete mixes where the total cementitious materials consist of at least 25% Class F fly ash, 35% Class C fly ash, or 35% water granulated blast furnace slag, the maximum temperature during curing shall not exceed 180°F.
- For all other concrete mixes, the maximum temperature during curing shall not exceed 160°F.

For all mass concrete pours, do not allow the mix temperature to exceed 80°F measured at discharge into the forms or shaft. With exception of drilled shafts, maintain a temperature differential of 35°F or less between the interior and exterior of all mass pour elements during curing. Temperature differential management is not required for drilled shafts.

Before placing mass concrete, submit to the BCE for review and acceptance a Mass Concrete Placement Plan containing, but not limited to, the following:

 Analysis of the anticipated thermal developments within mass pour placements using the proposed materials and casting methods,

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- Temperature Control Plan outlining specific measures to control the maximum temperature and differential within the limits noted above, and
- Details of the proposed monitoring system. Include proposed monitoring interval not to temperature measurements taken hourly.

Submit for review by the OMR all special concrete mix designs, which are part of the *Temperature Control Plan*. Do not use High-early-strength (ASTM C150 Type III or ASTM C1157 HE) cement in mass concrete.

Provide temperature monitoring devices to ensure the requirements of this specification are met. Provide the RCE with a copy of each set of readings as they are taken and a temperature chart for each mass pour element showing temperature readings vs. time.

For drilled shafts, temperature monitoring will require the placement of devices at the location of maximum heat. As the insulating properties of air, water (if present), and soil strata adjacent to the shafts will vary with depth, capturing the location of maximum heat is expected to require measurement at multiple depths. Coordinate the placement of temperature monitoring devices with shaft reinforcing and CSL access tubes provided in accordance with Section 727 of the Standard Specifications. Do not provide additional access tubes around the perimeter of the reinforcing cage that will reduce reinforcing clearances. Do not use monitoring equipment cast into shafts that will interfere with CSL testing. Continue monitoring temperatures in drilled shafts for a minimum of 36 hours after the maximum temperature is measured.

For all other mass concrete elements, record temperature development between the location of maximum heat and exterior of the element at points accepted by the BCE and closely monitor the mass pour maximum temperature and temperature differential. Generally, use one monitoring point in the center of the largest mass of concrete and a second point approximately 2 inches inside the face nearest to the first monitoring point. Continue monitoring temperature until the interior temperature is within 35°F of the lowest ambient temperature or a maximum of two weeks.

If the monitoring indicates that the proposed measures are not controlling the concrete temperatures as specified herein, provide to the BCE an engineering assessment of the short and long-term impacts associated with the non-conformance. All costs associated with inspection, testing, and evaluation of the non-conformance are the sole responsibility of the contractor. Additionally, make the necessary revisions to the Temperature Control Plan and submit the revised plan for review.

The Contractor assumes all risks connected with placing a mass pour of concrete. BCE review of the Contractor's Mass Concrete Placement Plan will in no way relieve the Contractor of the responsibility for obtaining satisfactory results. Should any mass concrete placed under this specification prove unsatisfactory, make the necessary repairs or remove and replace the material at no expense to the Department.

Provide the control of temperatures in mass concrete pours in addition to any other requirements found on the Plans and/or in the Special Provisions that apply to the work in question. Include all costs associated with temperature controls for mass concrete placement in the unit cost of the concrete.

# (63) SECTION 711: PILE AND DRIVING EQUIPMENT DATA FORM:

Pile and Driving Equipment Data Form is located in the Standard Forms on the SCDOT Design-Build website at http://www.scdot.org/business/design-build.aspx.

# (64) SECTION 711: GALVANIZED STEEL H PILING AND SWAY BRACES:

March 16, 1999

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#### A. GENERAL

This Supplemental Specification covers the cleaning, hot dip shop galvanizing, field cleaning and field repair of galvanizing for new Steel H Bearing Piling and Steel Sway Bracing where required and detailed in the plans.

#### **B. SURFACE PREPARATION**

The coating applicator shall pre-clean the material to be galvanized in accordance with accepted methods to produce an acceptable surface for hot dip galvanizing.

#### C. SHOP GALVANIZING

Hot dip galvanizing of iron and structural steel shapes shall be produced utilizing lead free technology. Steel H Bearing Piling and Steel Sway Braces shall be hot dip galvanized in accordance with the latest ASTM A 123 Specification to provide a uniform minimum coating thickness of 3.5 mils (89 µm). Shop repair of coatings not meeting the above minimum thickness requirements will not be allowed.

Galvanizing practices and procedures shall protect against possible embrittlement of the steel as described in ASTM A143.

Inspection and testing of hot dip galvanized coatings shall be done under the requirements of ASTM A 123.

The coating applicator shall have available for inspection a quality assurance manual and shall submit an original and two copies of the coating applicator's notarized Certificate of Compliance that the hot dip galvanized coating meets or exceeds the specified requirements of ASTM A 123 as modified by this Specification.

Galvanized members shall be stored, protected, handled and loaded in accordance with industry standards to protect the coating.

# D. SHOP INSPECTION

Inspection of galvanizing practices and procedures will be performed by the Department's Research and Materials Laboratory. As soon as the project has been awarded, the Contractor shall notify the Research and Materials Laboratory at (803) 737-6698, P. O. Box 191, Columbia, South Carolina 29202. The Contractor shall provide the name and address of the coating applicator so that the inspection arrangements can be made.

#### E. FIELD REPAIR OF GALVANIZING

Field repair of galvanized coatings may be used to repair damaged areas, weld areas at pile splices, weld areas at sway braces to piles or other areas of coating damage. All field repairs shall be made in accordance with ASTM A 780. The Engineer shall be the sole judge of damaged areas that require field repair of the galvanized coating.

When galvanized members are to be field welded the Contractor shall clean the area at the weld location for a distance sufficient to provide an area free of coating for the weld metal to be deposited. The Contractor's cleaning method shall be pre-approved by the Engineer and cleaned areas shall be inspected and approved prior to field welding.

# F. METHOD OF MEASUREMENT

The galvanizing of Steel H Bearing Piling and Sway Braces will not be measured for payment. All cost for galvanizing shall be included in the price bid for the item galvanized.

# G. BASIS OF PAYMENT

All costs for labor, materials, equipment, tools and other incidentals required to galvanize the Steel H Bearing Piling and Sway Braces shall be included in the price bid for those items. No separate payment will be made for galvanizing.

# (65) SECTION 712: DRILLED SHAFT FORMS:

Drilled Shaft Forms are included on the Construction Extranet.

# (66) SECTION 713: MECHANICALLY STABILIZED EARTH (MSE) WALLS:

Delete Subsection 7.8.3 of the Supplemental Technical Specification SC-M-713 Mechanically Stabilized Earth (MSE) Walls and replace it with the following:

Compact and densify stone backfill material with a minimum of 4 passes with a smooth heavy roller (approximately 15 tons). Compaction testing will not be required for stone backfill materials meeting the requirements of Table 3. Do not use sheepsfoot or grid-type rollers for compacting backfill within he reinforced backfill. Stone backfill meeting the requirements of Table 2 shall be compacted in accordance with Subsection 7.8.2.

# (67) SECTION 714: PERMANENT PIPE CULVERTS:

SC-M-714 is modified to allow AASHTO classifications A-2-4 soils for bedding/backfill material. AASHTO classifications A-2-5 soils are not allowed for bedding/backfill material.

# (68) SECTION 714: SMOOTH WALL PIPE:

#### A. REFERENCE

SCDOT Supplemental Technical Specification SC-M-714

#### **B.** DESCRIPTION

When bid items for smooth wall pipe are listed in the EBS file and/or proposal, the SCDOT will allow the use of reinforced concrete pipe, spiral ribbed aluminum pipe or high density polyethylene pipe in accordance with the specifications found in SC-M-714 (latest edition), the Standard Drawings, and this Special Provision. The plans may indicate reinforced concrete pipe only and are hereby superseded by this Special Provision.

# C. MATERIALS

Smooth wall pipe is either Reinforced Concrete Pipe (RCP: 714-205-XX), Spiral Ribbed Aluminum Pipe (SRAP: 714-605-XX), or High Density Polyethylene pipe (HDPE: 714-705-XX) as described in SCDOT Supplemental Technical Specification SC-M-714 and in the SCDOT Standard Drawings. Use smooth wall pipe culvert from manufacturers listed on Qualified Product Lists 30, 68, or 69. No value engineering application is required in order to use alternate pipe.

For the following counties: Berkeley, Beaufort, Charleston, Colleton, Dorchester, Georgetown, Horry, and Jasper, provide pipe joints meeting AASHTO M 315 for RCP or passing the 13 psi pressure test as indicated on the QPL for SRAP or HDPE. Take care to properly lubricate and equalize pipe gaskets as indicated in the **SCDOT Standard Drawings** and **SC-M-714** to prevent gaskets from "rolling" during installation. For all other counties, provide pipe joints meeting AASHTO M 198, M 315, or passing the minimum 10 psi pressure test unless specific pipe joints are indicated in the plans or special provisions.

No other pipe type will be accepted as an alternate.

# D. CONSTRUCTION REQUIREMENTS

Use only pipe that conforms to the minimum and maximum fill height limitations indicated on the appropriate standard drawing. Unless indicated otherwise in the plans, determine pipe fill height based on the following formula:

Fill Height = Elevation (top of curb or max grade above pipe) - Elevation (pipe crown)

For all locations where new pipe is being attached to an existing system, use one of the following options:

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- 1. Any existing pipe may be extended using any acceptable alternate pipe type by using a drainage structure at the interface between the different pipe types. The drainage structure\* may consist of standard junction boxes, manholes, catch basins, drop inlets, or circular drainage structures detailed on SCDOT Standard Drawings. For larger diameter pipe, custom drainage structures may be required. Field cut existing pipe to remove damaged joint (if applicable) and install new drainage structure at the field cut interface. Always fully clean existing pipe and pipe joints before installing joint sealant or gaskets and attaching new pipe.
- 2. For locations where existing pipe properties cannot be directly matched, use a custom designed interface\* (concrete collar, proprietary mastic wrap, custom coupling band, etc.) appropriate to interface the existing pipe to the new pipe of the same type. Submit interface drawings and design for review by the Engineer of Record and the Design Standards Engineer. Always fully clean existing pipe and pipe joints before installing joint sealant or gaskets and attaching new pipe. Replace existing pipe that has joint damage before connecting new pipe to the system.
- 3. Any existing pipe may be extended using new pipe with the same joint profile and wall properties of the existing pipe. Always fully clean existing pipe and pipe joints before installing joint sealant or gaskets and attaching new pipe. Verify\* the following parameters before ordering new pipe:
  - a. For RCP to RCP, confirm wall thickness, joint profile shape, and compatibility with existing manufacturer's pipe. Replace existing pipe that has joint damage before connecting new pipe to the system.
  - b. For SRAP to SRAP, replace existing pipe that has joint damage before connecting new pipe to the system.
  - c. For HDPE to HDPE, confirm the manufacturer of the existing pipe and the joint compatibility with the new pipe. Provide a new gasket when connecting to existing spigot end of HDPE pipe. Replace existing pipe that has joint damage before connecting new pipe to the system.
  - d. For CAAP to CAAP, confirm the type and size of end corrugations of the pipe. When existing pipe has full helical corrugations, provide new connecting pipe with one end fully helical and fully helical coupling band. When end corrugation size does not match the corrugation size shown on SCDOT Standard Drawings, provide a drainage structure (described above) at the interface. Replace existing pipe that has joint damage before connecting new pipe to the system. Do not install CAAP as smooth wall pipe; however, use these requirements when plans specify installing new CAAP.

The **RCE** will verify that connections between existing pipe and new installed pipe have been handled with one of the options listed above. Repair or replace all existing to new joint interfaces that do not meet the requirements above at no additional cost to **SCDOT**.

In all installations, provide the RCE with a complete pipe table indicating the following: Plan Pay Item, Plan Pipe Description, Plan Quantity, Installed Pipe (diameter, type, class/gage), Installed Quantity, and description of interface used to join new pipe to existing pipe for each occurrence.

In cases where 2 or more different pipe types are installed, provide a copy of the proposed installation layout on the drainage/plan sheets to the RCE indicating which pipe is installed at each location.

# E. MEASUREMENT

Measure smooth wall pipe in accordance with methods specified in SC-M-714 for the pipe material installed.

\*No measurement will be made for drainage structure, designed interface, or field verification performed at each interface between existing pipe and new pipe unless drainage structure/interface is specified in the plans.

# F. PAYMENT

Payment will be made for smooth wall pipe regardless of the type of material installed. Payment for smooth wall pipe is as specified in SC-M-714 for the pipe material installed.

\*Include all costs for work related to connecting new pipe to existing pipe in the unit bid price of the new pipe. This connection work includes: drainage structure at the interface, custom designed interface, field verification of existing pipe and compatibility with new pipe, new gaskets, new joint sealant, new coupling bands, removal, and disposal of damaged sections of existing pipe.

ITEM NO.	DESCRIPTION	UNIT
7143XXX	X" SMOOTH WALL PIPE	LF
7143XXX	X"x X" SMOOTH WALL PIPE CUL.TEE	EA
714XXXX	X" x X" SMOOTH WALL PIPE CUL.WYE	EA
7144XXX	X" SMOOTH WALL PIPE X DEG BEND	EA
7144XXX	SMOOTH WALL PIPE INCR X" TO X"	EA

# (69) SECTION 714: PIPE END TREATMENTS (2/5/2010):

# A. REFERENCE

SCDOT Supplemental Technical Specification SC-M-714

# **B.** DESCRIPTION

For exposed pipe culvert ends, provide an end treatment in accordance with this special provision.

#### C. MATERIALS

Rigid pipe culvert is Reinforced Concrete Pipe (RCP: 714-205-00). Flexible pipe culvert is either Spiral Ribbed Aluminum Pipe (SRAP: 714-610-00), High Density Polyethylene pipe (HDPE: 714-705-00), or Corrugated Aluminum Alloy Pipe (CAAP: 714-605-00).

Use minimum Class B riprap for pipe up to 84" diameter. Use minimum Class C riprap for pipe 84" diameter or larger.

Use minimum Class 4000 concrete (4000P for precast).

Use ASTM A-706 grade 60, low-alloy steel deformed rebar.

Use minimum AASHTO M-196 Alclad 3004-H32 alloy aluminum.

Use Type M Mortar Grout unless specified otherwise.

# D. CONSTRUCTION REQUIREMENTS

Use one of the following end treatments as specified in the plans or special provisions:

For all exposed crossline pipe ends, when an end

treatment is not specified in the plans, use **Pipe Riprap Protection** (804-3xx-xx). For flexible pipe larger than 24" diameter, install pipe straight headwall, pipe end structure, flared end section, or wingwall section in addition to riprap. For all exposed driveway pipe ends where no end treatment

is specified in the plans, use **Pipe Riprap Protection** (804-3xx-xx) unless directed otherwise by the engineer.



Use **Beveling of Pipe End** (719-610-00) when specified in the plans or special provisions. Beveled ends may only be used on flexible pipe up to 24" diameter and on rigid pipe up to 60" diameter. When beveling of pipe ends is specified on flexible pipe larger than 24" diameter, install pipe straight headwall, pipe end structure, flared end section, or wingwall section. Use factory fabricated beveled ends for all pipe types unless approved by the Engineer.



Use **Pipe Straight Headwall** (719-605-00) when specified in the plans or special provisions. Use straight headwall only in locations where pipe exposed end does not face the direction of traffic.



Use **Pipe End Structure** (719-615-00) when specified in the plans or special provisions. Use pipe end structure in locations where pipe exposed end faces the direction of traffic. Pipe end structures may be used in other locations if approved by the RCE.



Use **Pipe Flared End Section** when specified in the plans or special provisions.





Use Pipe Wingwall

Section when specified in the plans or special provisions.

Completely seal interface between pipe and end treatment with grout. If bricks or shims are used to place pipe, take care to remove all air pockets and voids when grouting.

For systems not designed in the SCDOT Standard Drawings, provide shop drawings, installation procedure and design calculations for review by RCE. Design must include provision to control erosion around the structure and prevent the separation of the end treatment from the pipe system. Design must provide for a proper seal at all construction joints including the interface between the pipe and the structure. Design must be self-supporting and not induce any additional loads on the pipe. Submit designs for consideration as new standard drawings to the Design Standards Engineer at the address listed in the SCDOT Standard Drawings book.

#### E. MEASUREMENT

Measure pipe in accordance with SC-M-714

Measure end treatments in accordance with Standard Specifications, Standard Drawings, or Special Provisions

# F. PAYMENT

Beveling of pipe ends will be in addition to the standard pipe pay item. Payment for the item Beveling of Pipe Ends includes all labor required to factory (or field, if approved) fabricate a bevel on one end of pipe.

Pipe culvert and end treatments, measured as provided in **SC-M-714 Subsection x.4**, are paid for at the contract unit price for the respective items, which price and payment is compensation for furnishing all material, labor, equipment, tools including hauling and placing all pipe sections and materials, excavation of the entire standard trench, bedding, and pipe backfill as described in the measurement section (both structural and embankment backfill in this region), removal of existing pipe to be replaced, constructing pipe joints, removal of old end treatments, cleaning out pipe, disposal of surplus materials, all visual inspection, and all incidentals necessary to complete the work.

Add the following paragraph to SC-M-714 subsections x.5:

Payment for riprap and geotextile for erosion control under riprap as measured in subsection *x*.4 includes all direct and indirect costs and expenses necessary to complete the work.

# (70) SECTION 714: TRENCHLESS PIPE INSTALLATION:

#### A. DESCRIPTION

This work consists of installing a reinforced concrete carrier pipe inside a steel casing pipe in the locations designated in the plans or as specified by the Engineer. This procedure enables the installation of reinforced concrete pipes underground without the use of open-cut excavation.

The Contractor is responsible for the design, adequacy, and methodology of the pipe jacking installation. The methods and details shown on the Drawings and specified herein are intended to indicate the minimum acceptable standard of quality required for pipe installation.

#### B. MATERIALS

# 1. Casing Pipe

The Contractor shall install a steel pipe into place to serve as the casing pipe. The Contractor is responsible for the structural design of the casing pipe based site conditions, installation methodology, and performance requirements. Ensure that the casing pipe has a  $\frac{1}{2}$  inch minimum wall thickness. Do not use the steel casing pipe as the carrier pipe.

# 2. Carrier Pipe

Ensure the carrier pipe is a reinforced concrete pipe conforming to the current version of Supplemental Technical Specification SC-M-714. Ensure the carrier pipe meets the minimum requirements of a Class V reinforced concrete pipe and is of the size specified in the plans.

#### 3. Grout

Provide an excavatable flowable fill grout mixture with sufficient water added to produce a flowable mixture that can be delivered at a sufficient pressure to fill any voids outside the casing pipe and to fill in the annular spaces between the casing and the carrier pipes. Furnish and operate suitable equipment for any required grouting operations depending on the condition of the application.

# 4. Lubrication Material

An accepted lubricant may be used during the pipe jacking installation to lower the friction developed on the surface of the pipe. Submit the lubricating systems and materials to the Engineer for review and acceptance before use. Ensure the lubricant is intended for use in this application.

# 5. Automated Spoil Transportation System

Provide an automated spoil transportation system capable of any adjustments required to maintain face stability for the particular soil condition to be encountered on a project. Ensure the system continuously monitors and balances the ground water pressure. If the soil has excessive ground water, submit an earth pressure balance system for review by the Engineer before use.

# C. SUBMITTALS

Submit Shop Drawings, Temporary Shoring Plans, Material Certifications, Design Calculations, and other information as specified for all materials in this Section in accordance with the

requirement for Submittals in these specifications. Ensure Shop Drawings also include complete erection, installation, and adjustment instructions and recommendations. Ensure all submittals requiring structural design are signed and sealed by a Professional Engineer registered to practice engineering in the State of South Carolina.

Allow a minimum of 20 working days for the review of the submittal by the Engineer. Obtain acceptance of the submittal prior to ordering pipe materials and the start of any excavation or jacking operations. Additional review time may be needed for installation methods not covered in this special provision. Submit the following items for review and acceptance by the Engineer:

- 1. Manufacturers' data sheets and specifications describing in detail the jacking system to be used and similar projects on which this system has been successfully used.
- 2. Maximum anticipated jacking loads and supporting calculations signed and sealed by an engineer registered in the State of South Carolina.
- 3. Calculations for the design of the casing pipe signed and sealed by an engineer registered in the State of South Carolina.
- Certification by the manufacturer that the pipe materials conform to the requirements of the Specifications and Plans.
- 5. Casing pipe dimensions to accommodate the carrier pipe size indicated on the plans.
- 6. Shaft dimensions, locations, surface construction, profile, depth, and method of excavation.
- 7. Description of method(s) to control and dispose of ground water, spoil, temporary shoring, and other materials encountered in the maintenance and construction of pits and shafts.
- 8. Layout and design of all shoring, bracing, and thrust block systems, including calculations, signed and sealed by an engineer registered in the State of South Carolina.
- 9. Description of flowable fill grouting methods, manufacturer's data, mix designs, and specifications for grouting equipment.
- 10. A description of the grade and alignment control system used for the tunneling equipment.
- 11. Description of lubrication system and materials to be employed during installation of the reinforced concrete pipe.
- 12. Layout plans and descriptions of the construction sequence.
- 13. A detailed plan for monitoring ground surface movement (settlement or heave) due to the tunneling operation. Ensure the plan addresses the method and frequency of survey measurements.
- 14. Contingency plans for acceptance for the following potential conditions: damage to pipeline structural integrity; misalignment; and ground surface movement.
- 15. Method of support and guidance of the carrier pipe.

# D. CONSTRUCTION

# 1. Excavations

Ensure all excavations and pits are well sheeted and braced as necessary for safe and adequate access for workmen, inspections, and materials and are of a size suitable to equipment and material handling requirements. Delineate the perimeter of all pits with orange flagging, fencing or other safety devices to notify nearby workers and construction vehicles of the hazardous work area.

Ensure all of the Contractor's plans, specifications, and design computations for pit shoring are signed and sealed by a Professional Engineer registered in the State of South Carolina. Ensure all pits required for the installation of the pipe are located within SCDOT right-of-way

and are completely isolated from the roadway traffic with precast concrete barriers installed when necessary in accordance with the Standard Drawings.

#### Dewatering

Perform all dewatering as required for the completion of the work. Submit all proposed procedures for dewatering to the Engineer for review prior to any earthwork operations. Dispose of all water removed by dewatering operations in accordance with applicable South Carolina Department of Health and Environmental Control regulations.

Ensure the dewatering system is of sufficient size and capacity as required to control groundwater or seepage to permit proper excavation and tunneling operations. Drawdown groundwater to at least the bottom of excavations at all times in order to maintain a dry and undisturbed condition.

Ensure control, by acceptable means, of all water regardless of source. Ensure the entire periphery of the excavation areas are ditched and diked to prevent water from entering the excavation where applicable. The Contractor is fully responsible for disposal of the water and providing all necessary means at no additional expense to the Owner. The Contractor is solely responsible for proper design, installation, operation, maintenance, and failure of any component of the system.

The Contractor is responsible for and will repair without cost to the Owner, any damage to work in place and the excavation, including damage to the bottom due to heave and including removal of material and pumping out of the excavated area. The Contractor is also responsible for damages to any other area or structure caused by his failure to maintain and operate the dewatering system proposed and installed.

Take all the steps necessary to become familiarized with the surface and subsurface site conditions. Obtain the data that is required to analyze the water and soil environment at the site to assure that the materials used for the dewatering systems will not erode, deteriorate, or clog to the extent that the dewatering systems will not perform properly during the period of dewatering.

If, in the course of construction, it may be necessary to block a ditch, pipe or other drainage facility, install temporary pipes, ditches or other drainage facilities to maintain adequate drainage, as accepted by the Engineer. Upon completion of the work, remove the temporary facilities and restore the permanent facilities.

# 3. Surface Settlement Monitoring

Before beginning the jacking operations, establish a settlement monitoring system that has been accepted by the Engineer. Measure the ground movement of all structures, roadways, parking lots, and any other areas of concern within 25 feet on both sides of all tunneling pipelines at a maximum spacing of 10 feet along the pipeline route, or as required by the Engineer.

If any settlement or construction damage occurs to pavements, structures, facilities, appurtenances and/or lands, discontinue jacking and submit a revised installation plan for review and acceptance prior to resuming work. Restoration to original conditions or better shall be undertaken and completed as directed by, and to the satisfaction of, the Engineer at the Contractor's expense.

# 4. Casing Pipe Installation

The Contractor is allowed to use any one of the following excavation methods for the pipe jacking operation:

- a. Full Face Tunnel Boring Machine (TBM)
- b. Open Hand Shield
- c. Earth Pressure Balance Machine (EPBM)
- d. Backacter Shield
- e. Cutter Boom Shield
- f. Air Pressure Shield
- g. Microtunneling

**Note:** The cutter boom shield method is only applicable in dry ground conditions. The air pressure shield method is only applicable in wet ground conditions. The Contractor is not allowed to use a slurry-boring machine for excavation.

Ensure the excavation method used for installing the jacked pipe is of such size and capacity that it will allow tunneling to proceed in a safe and expeditious manner. Ensure the installation of the casing pipe and the tunnel excavation is done as rapidly as possible to prevent voids, cave-ins, or settlement, and avoid damage to any nearby structures.

Install a steel pipe, serving as the casing pipe, with jacks of sufficient capacity to shove the pipe through the resisting material into position true to required line and grade. Utilize an automated spoil transportation system to ensure the excavation rate matches the rate of spoil removal thereby maintaining settlement or heave within tolerances specified in the contract documents. Continuously monitor and control the pressure of delivery of any lubrication materials to prevent pipe buckling or ground heave. Ensure the lubrication material is used in accordance with the manufacturers' specifications. Check the vertical and horizontal alignment of the casing pipe by survey instrument at least once during each four feet of advance, or as directed by the Engineer.

Delays between jacking operations may result in soil settling around the jacked pipe, thus making it difficult and sometimes impossible to resume movement. If conditions arise making it impossible to further jack the pipes without damage, construct the balance of the pipe installation with methods accepted by the Engineer.

When jacking is complete, pressure-inject the accepted flowable fill grout mixture into any annular space created around the casing pipe in excess of 3/4 inch. Ensure the pressure-injected grout completely fills the voids outside the limits of the excavation. Ensure grouting operation does not damage adjacent utilities or other properties. Inject the grout at a pressure that does not distort or imperil any portion of the work or existing installations or structures.

#### 5. Cradle Installation

Following the completion of the casing pipe installation, furnish pipe cradles, spiders, or guides within the casing for the purposes of guiding and supporting the installation of the carrier pipe. Indicate the methods of support and guidance in the submittal to the Engineer. Ensure the method proposed provides adequate support of the pipe throughout the installation and ensures that the carrier pipe is installed at the line and grade indicated in the Plans.

# 6. Carrier Pipe Installation

Install the reinforced concrete carrier pipe inside the casing pipe with adequately designed and spaced pipe alignment guides. Bell up the carrier pipe outside of the casing and push the carrier pipe through the casing. Protect the concrete pipe from damage during delivery, staging and installation. Fill the annular space created between the casing and the carrier pipe with the accepted flowable fill grout mixture.

# (71) SECTION 719: CAST IN PLACE CONCRETE PIPE COLLAR:

#### A. DESCRIPTION

A cast in place concrete pipe collar is used to provide a permanent connection between two pipe culverts of the same diameter but different joint profiles. Pipe collars can be used between two pipe of the same material or different material. Use only pipe that conforms to SC-M-714, Permanent Pipe Culverts.

#### B. MATERIAL

Use minimum class 4000 concrete.

Use reinforcement steel conforming to ASTM A706 Grade 60.

See SCDOT Standard Drawings or Project Plans for other material requirements and design details.

#### C. CONSTRUCTION REQUIREMENTS - GENERAL

Follow SCDOT Standard Drawings 719-705-xx for minimum dimensions and details. Use geotextile wrap on joint to minimize concrete intrusion into the joint during the forming and curing process.

# D. MEASUREMENT

Measure concrete pipe collars by each location where pipe diameter of different joint profiles are to be connected. Include in measurement all materials and work to complete the pipe collar as shown in the Standard Drawings or plans.

#### E. PAYMENT

Payment will be made for each location.

ITEM NO.	DESCRIPTION	
7197051	CONCRETE COLLAR FOR UP TO 12" PIPE	EA
7197052	CONCRETE COLLAR FOR UP TO 24" PIPE	EA
7197053	CONCRETE COLLAR FOR UP TO 36" PIPE	EA
7197054	CONCRETE COLLAR FOR UP TO 48" PIPE	EA
7197055	CONCRETE COLLAR FOR UP TO 60" PIPE	EA
7197056	CONCRETE COLLAR FOR UP TO 72" PIPE	EA

# (72) SECTION 724: ELASTOMERIC BEARINGS:

724.4.4 Installation. Paragraph 4 was revised as follows:

Exercise caution where field weld or shop weld is made while elastomeric bearing pad is in contact with the metal. Do not expose the elastomer or elastomer bond to instantaneous temperatures greater than 400°F or any temperature limit set by the fabricator whichever is lower. Any damage to the elastomeric bearing due to welding is cause for rejection. Monitor temperature by use of heat crayons.

# (73) SECTION 727: CROSSHOLE SONIC LOGGING OF DRILLED SHAFT FOUNDATIONS:

Crosshole Sonic Logging (CSL) Testing is required for all drilled shafts. SCDOT shall be responsible for all CSL Testing.

# (74) SECTION 805: TL3 TYPE T TANGENT END TERMINALS:

Qualified Product List 49 provides minimum length of continuous w-beam from the impact head for each proprietary Test Level 3 product. All radius, kinks, and transition sections must occur outside of the pay limits of the Leading End Treatments shown on SCDOT Standard Drawings for MASH MT3 and PREMASH Type T TL3 devices. Use only PREMASH devices in locations where existing guardrail installations are retained or adjusted. Where the design requires immediate transition from

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w-beam to thrie-beam at the end of the tangent end treatment pay limits, provide adequate space and guardrail shoulder break in advance of the impact head to conform or exceed the geometry shown on the corresponding standard drawings. Alternate PREMASH guardrail shoulder break (Standard Drawing 805-605-11 detail 2) may only be considered in locations where upgrading to standard guardrail shoulder break geometry does not fit within SCDOT Right-of-way.

#### (75) SECTION 805: NON-MOW STRIP UNDER GUARDRAIL:

May 7, 2018

Section 805 is expanded as follows:

#### A. GENERAL

Provide non-mow strip under guardrail as shown in the plans, in accordance with plan details, standard drawings 805-525-01 & 805-525-02, and these special provisions. Non-mow strips under guardrail shall only be placed where shown in the plans, specified in the RFP or as directed by the Engineer.

# **B.** Construction

Place non-mow strips under guardrail where indicated on the plans, specified in the RFP or as directed by the Engineer. Refer to details provided in this special provision and standard drawings for typical limits of non-mow strip and requirements for leave out areas around guardrail posts.

Provide non-mow strip between the edge of pavement and the face of the guardrail when that distance is less than 20 feet.

Extend non-mow strip under guardrail to bridge end at locations where concrete approach slabs are used.

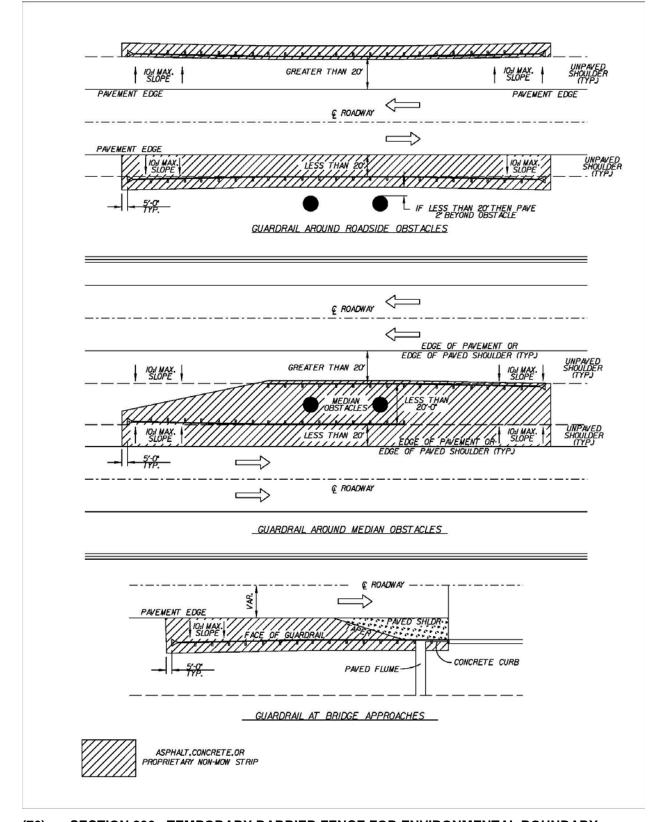
When at least one opening between parallel lines of guardrail is less than 20 feet wide, provide non-mow strip the entire area between the lines of guardrail.

When openings between parallel lines of guardrail are more than 20 feet wide, but obstructions such as bridge columns reduce the access between the guardrail and the obstruction to less than 20 feet and/or the distance between any two obstructions is less than 20 feet then provide non-mow strip for the area with any single point of access less than 20 feet wide.

When areas around obstructions have non-mow strips, no area should remain uncovered that will sustain plant life.

The top of non-mow strips shall be constructed to be flush with surrounding earth shoulders, slopes and finished pavement grade.

Damage to non-mow strips during subsequent construction, especially during driving of guardrail posts, should be minimized. Any damaged non-mow strip must be restored to its original line and grade to the satisfaction of the Engineer.



# (76) SECTION 806: TEMPORARY BARRIER FENCE FOR ENVIRONMENTAL BOUNDARY:

See attached Supplemental Specification dated May 1, 2013.

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The Contractor is hereby advised that all Jurisdictional Waters (i.e. streams & wetlands) that are adjacent to or within the construction limits shall be protected with a double row of Silt Fence or other means of double perimeter control as approved by RCE.

# (77) SECTION 809: RIGHT OF WAY PLAT:

#### A. DESCRIPTION

The contractor by the "Substantial Work Complete" date shall prepare a right of way plat signed and sealed by a Professional Land Surveyor (PLS) licensed to practice in the state of South Carolina. The right of way plat shall be in accordance with the requirements of Section 49-460-A "General Property Survey" as outlined in the South Carolina "Standards of Practice Manual" for land surveyors. A copy of the plat will be recorded, by the contractor, in the Register Mesne Conveyance (RMC) office of the county or counties in which the project resides. The contractor will provide one copy of the plat on a full sized plan sheet(s) (22" X 36") and submit to the resident construction engineer to be included in the as-built plans.

#### B. MATERIALS: REBAR CAP R/W MARKER

Materials used shall comply with those listed on SCDOT Standard Drawing No. 809-105-00.

#### C. CONSTRUCTION REQUIREMENT

The PLS shall set right of way markers along all new right of way lines as well as along any present right of way being retained by the Department at intervals listed on the SCDOT Standard Drawings. Right of way markers shall not be placed at points common to side property lines and/or corners. In the event that the plan reflects a break in the right of way along a side property line the right of way marker will not be set without the side property line being retraced and established by way of survey. The PLS shall prepare a plat documenting the location of all Right of Way Markers set and reflecting the as-built station and offset from the plan alignment. The plat shall show the entire project corridor as an enclosed strip or parcel of land to include the mainline and all side roads as defined on the project plan.

#### D. MEASUREMENT AND BASIS OF PAYMENT

The item Right of Way Plat is paid on a lump sum (LS) basis; and therefore, there is no specific measurement for this item. The unit price bid for Property Right of Way Plat shall include all costs for labor, materials, equipment, services of a PLS and any related fees or costs associated with producing a plat, recording the plat at the RMC office, and all required copies. Each marker placed in accordance with the Standard Drawings complete and accepted will be measured and paid at the unit price bid.

Bid Item Number	Description	Unit
8091010	RIGHT OF WAY MARKER (REBAR AND CAP)	EA
8091000	RIGHT OF WAY MARKER (REINFORCED CONCRETE)	EA
8091050	RIGHT OF WAY PLAT	LS

# (78) SECTION 815: ANIONIC POLYACRYLAMIDE FOR EROSION CONTROL:

# A. DESCRIPTION

This work consists of applying a product containing anionic polyacrylamide to disturbed land areas as a means of controlling erosion. The work also consists of the use of solid form anionic polyacrylamide as a means of sediment control.

# B. MATERIALS

The product to be used is to be specific to the area to be treated. Product selection and application rate is to be determined by a testing laboratory acceptable to SCDOT. Preliminary site-specific assessment (soil and water testing) by a qualified manufacturer must be conducted to select media, additives, application rate, application method and maintenance procedure tailored to site-specific soil characteristics, topography, hydrology, and the type of erosion targeted. A copy of the test results is to be provided to the Engineer.

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Anionic polyacrylamide, in pure form, shall have less than or equal to 0.05% acrylamide monomer by weight, as established by the Food and Drug Administration and the Environmental Protection Agency. The maximum application rate of polyacrylamide, in pure form, shall not exceed 200 pounds/acre/year, or 10 pounds/acre per single application event.

The polyacrylamide shall have a charge density of 10% to 55%, by weight. The polyacrylamide shall have a molecular weight of 6 to 24 Mg/mole.

The polyacrylamide and polyacrylamide mixtures shall be noncombustible.

Cationic forms of polyacrylamide are not allowed for use due to their high level of toxicity.

Polyacrylamide shall be non-toxic. A toxicity report is required to be submitted to the Engineer.

#### C. CONSTRUCTION REQUIREMENTS

Liquid and powder forms of polyacrylamide are to be either applied directly to the exposed soil surface or applied as a tackifier with temporary seeding to prevent detachment of soil particles during the establishment of vegetation.

In the solid form, the polymer is to be placed directly into the storm water runoff to enhance eroded particle settlement in a trapping device.

Polyacrylamide shall be mixed and/or applied in accordance with all Occupational Safety and Health Administration (OSHA) Material Safety Data Sheet (MSDS) requirements and the manufacturer's recommendations for the specified use conforming to all federal, state and local laws, rules and regulations. The Contractor is responsible for obtaining all required permits.

Emulsion batches shall be mixed following recommendations of a testing laboratory that determines the proper product and rate to meet site requirements.

Additives such as fertilizers, solubility promoters, or inhibitors, etc. to polyacrylamide shall be nontoxic.

Care is to be taken when using polyacrylamide adjacent to natural water bodies.

# D. METHOD OF MEASUREMENT

The application of polyacrylamide for erosion control will be measured by the surface area treated at the recommended rate of application. Quantities are to be computed to the nearest MSY (Thousand Square Yards). Solid form anionic polyacrylamide is to be measured by weight in pounds, in place and accepted. The Contractor is required to provide, to the Engineer, invoices for all polyacrylamide products used on the project.

#### **E.** BASIS OF PAYMENT

The accepted quantity of "Anionic Polyacrylamide For Erosion Control" will be paid at the contract unit price, which price and payment shall be full compensation for all materials, labor, tools equipment, and incidentals necessary to complete the work herein described in a workmanlike and acceptable manner. Solid form anionic polyacrylamide is to be paid for by the pound. Bid Item Numbers and Descriptions are as follows:

<b>Bid Item Number</b>	Description	Unit
8152020	ANIONIC POLYACRYLAMIDE FOR EROSION CONTROL	MSY
8152025	SOLID FORM ANIONIC POLYACRYLAMIDE	LBS

# (79) SECTION 815: EROSION CONTROL MEASURES:

In addition to the erosion control measures specified in the Plans, Standard Specifications, Supplemental Technical Specifications and the Special Provisions, the CONTRACTOR is advised that

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all land disturbing activities (clearing and grubbing, excavation, borrow and fill) are subject to the requirements set forth in the following permits and regulations:

- A. South Carolina Code of Regulations 63-380, Standard Plan for Erosion, Sediment, and Stormwater Runoff Control.
- B. Erosion and Sediment Reduction Act of 1983 (Title 48, Chapter 18 of the South Carolina Code of Laws of 1983, as amended). Section 70 of this code authorized the South Carolina Department of Health and Environmental Control (SCDHEC) to administer this regulation with respect to lands under the jurisdiction of the South Carolina Department of Transportation.
- C. National Pollutant Discharge Elimination System (NPDES) General Permit Number SCR160000, effective January 1, 2013: The Environmental Protection Agency, in accordance with the Federal Clean Water Act, has granted to the South Carolina Department of Health and Environmental Control (SCDHEC) the authority to administer the Federal NPDES permit program in the State of South Carolina.

In accordance with the NPDES General Permit, the Contractor must sign a Contractor Certification. The Contractor shall refer to the Construction Extranet for the certification form. By signing this form, the Contractor acknowledges that upon award and execution of the Contract, he/she accepts/ understands the terms and conditions of the *Storm Water Pollution Prevention Plan (SWPPP)* as required by the NPDES General Permit and may be legally accountable to SCDHEC for compliance with the terms and conditions of the *SWPPP*. In addition, the Contractor certifies that the NPDES certification statement status is made part of all its subcontracts.

The Contractor will complete and forward an updated SCDOT approved *Notice of Intent (NOI)* to the SCDOT Construction office to submit to SCDHEC. If the Coastal Zone Consistency (CZC) permit has not been approved it shall be forwarded by the Contractor to SCDOT to submit to SCDHEC as part of *NOI* package. If SCDHEC does not send a letter within 10 business days of receipt of the *NOI*, authorizing coverage, denying coverage, or advising that a review of the *CECP* will take place, coverage will be automatically granted.

Prepare and submit a *Contractor's Erosion Control Plan (CECP)* to the RCE before the preconstruction conference. Ensure that the plan meets the requirements of the NPDES General Permit. The plan will be reviewed and approved by the Department before commencing any land disturbing activities.

At the pre-construction conference, with contactors performing land-disturbing activities present, the *CECP* will be explained and discussed so that the Contractor is made aware of their responsibilities in the *CECP*.

Once approved, fully implement the *CECP*. Coordinate the prompt installation of erosion control devices with construction activities to maintain compliance with the above regulations and NPDES General Permit.

Conduct an Erosion and Sediment Control Inspection by an appointed Certified Erosion Prevention and Sediment Control Inspector (CEPSCI) from the Contractor and the Department at least every 7-calendar days. Both parties will acknowledge participation in the inspection by signing the inspection report and include their inspector's CEPSCI number on the report. Correct deficiencies noted during these inspections within the assigned priority period. If deficiencies are not corrected within this timeframe, the RCE will stop all work (except erosion and sediment control measures) until the deficiencies are corrected.

Give special attention to critical areas within the project limits (i.e., running streams, water bodies, wetlands, etc.). In these areas, the RCE may direct the Contractor to undertake immediate corrective action, but in no case allow these deficiencies to remain unresolved more than 7 days or 48 hours in accordance with their assigned priority after being identified during the Erosion and Sediment Control Inspection.

Closely follow the grading operations with the seeding operations. Shape and prepare the slopes for seeding as the grading progresses. Unless the RCE grants prior written approval, limit the amount of surface area exposed by land disturbing activities to 750,000 square feet. Commence seeding operations within 7 days following completion of construction activities within an area.

Initiate stabilization measures within 7 days for an area where construction activities will be temporarily or permanently ceased for 14 days or longer.

Coordinate the installation of all other permanent erosion control items with the grading and seeding operations. These items include, but are not limited to, asphalt gutter and riprap. Construct gutter work before or promptly after the seeding is performed. Place riprap at the ends of pipe immediately after the pipe is laid and promptly install riprap ditch checks after ditch work has been performed.

Within existing right of way, clean and repair existing concrete paved ditches that will be retained. Within existing right of way, clean and repair existing asphalt paved ditches that are to be retained and overlay with 200 lbs/sy HMA Surface Course Type C or D. Stabilize new ditches in accordance with the SCDOT Requirements for Hydraulic Design Studies (May 26, 2009), the SCDOT Water Quality Design Manual (December 2014) and as needed for erosion control utilizing SCDHEC Best Management Practices (BMP's).

Failure to adequately comply with the provisions as detailed above or any other required erosion control measures will result in stoppage of all contract operations (except erosion and sediment control measures) until corrective action has been taken. Additional sanctions may be invoked by the SCDHEC in accordance with their authority.

Keep the following documents at the RCE's office from the start of construction until the site is finally stabilized:

- A. Copy of the CECP,
- B. Copies of Contractor Certification statements,
- C. Copy of the permit,
- D. Letter from DHEC authorizing permit coverage if provided by SCDHEC, and
- E. A marked-up set of site plans.

When uniform perennial vegetation achieves a cover density of 70%, submit a *Notice of Termination* (*NOT*) to SCDHEC to terminate coverage. Include a signed statement with the *NOT* certifying that all work on the site has been completed in accordance with the *SWPPP* and the NPDES General Permit for all sites one acre or greater.

Fines assessed on the Department by SCDHEC as the result of the CONTRACTOR's non-compliance or violation of said permit provisions will be paid by the Department and will subsequently be deducted from any monies due or that may become due to the CONTRACTOR. In case no monies are due or available, the fines incurred will be charged against the CONTRACTOR's Surety.

FHWA-1273 -- Revised May 1, 2012

# REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

- General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

#### **ATTACHMENTS**

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

#### I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

- 2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
- 3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the

contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

#### II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27) and

orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

- a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.
- b. The contractor will accept as its operating policy the following statement:
  - "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."
- 2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.
- 3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
- a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
- b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
- c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.
- d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
- e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.
- 4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

- a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.
- b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.
- c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.
- **5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
- a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
- b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
- c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
- d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

# 6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees.

Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).
- c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.
- **7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:
- a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.
- b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
- c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.
- d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.
- 8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.
- 9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability

- in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.
- a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.
- b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.
- 10. Assurance Required by 49 CFR 26.13(b):
- a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.
- b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.
- 11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.
- a. The records kept by the contractor shall document the following:
- (1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;
  - (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and
  - (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;
- b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on <a href="Form FHWA-1391">Form FHWA-1391</a>. The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

# **III. NONSEGREGATED FACILITIES**

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

#### IV. Davis-Bacon and Related Act Provisions

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

# 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph

- 1.b. of this section) and the Davis-Bacon poster (WH–1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.
- b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
  - (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
  - (ii) The classification is utilized in the area by the construction industry; and
  - (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

- c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

### 2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

# 3. Payrolls and basic records

- a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals.

- Instead the payrolls shall only need to include an individually identifying number for each employee ( e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at http://www.dol.gov/esa/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency...
- (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
  - (i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
  - (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
  - (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH–347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.
- (4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

### 4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
- d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not

be greater than permitted by the terms of the particular program.

- **5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
- **6. Subcontracts.** The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- **7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- **8.** Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
- 9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.
- 10. Certification of eligibility.
- a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- c. The penalty for making false statements is prescribed in the U.S. Criminal Code. 18 U.S.C. 1001.

# V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the

basic rate of pay for all hours worked in excess of forty hours in such workweek.

- 2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.
- 3. Withholding for unpaid wages and liquidated damages. The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.
- **4. Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

### VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

- a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:
- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
  - (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

requirements.

- 2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
- 3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.
- 4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.
- 5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

# **VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

- 2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).
- 3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

# VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts. Form FHWA-1022 shall be posted on each Federalaid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

# 18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any

statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

# IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

- 1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
- 2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

# X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

### 1. Instructions for Certification – First Tier Participants:

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.
- d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a

participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

- f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services Administration.
- i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

- a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:
- (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
- (2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification: and
- (4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

# 2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction

- with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services Administration.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

\* \* \* \*

# Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

\* \* \* \* :

# XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

- 1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
- a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- 3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

# ATTACHMENT A - EMPLOYMENT AND MATERIALS PREFERENCE FOR APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS ROAD CONTRACTS

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

- 1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as onsite work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:
- a. To the extent that qualified persons regularly residing in the area are not available.
- b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.
- c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.
- 2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.
- 3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.
- 4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.
- 5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

# STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS

### NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246)

- The Offeror's or Bidders attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.
- The goals and timetables for minority and female participation expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area are as follows:

### Goals for Women Apply Nationwide

#### GOALS AND TIMETABLES Timetable Goals(percent) From Apr. 1, 1976 until March 31, 1979-----3.1 From Apr. 1, 1979 until March 31, 1980-----5.1 From Apr. 1, 1980 until March 31, 1981-----6.9 Goals for Minority Participation South Carolina SMSA Counties: 16.0 Greenville, Pickens, Spartanburg Non-SMSA Counties:.... 17.8 Abbeville, Anderson, Cherokee, Greenwood, Laurens, Oconee, Union SMSA Counties:.... 23.4Lexington, Richland Non-SMSA Counties.... 32.0 Calhoun, Clarendon, Fairfield, Kershaw, Lee, Newberry, Orangeburg, Saluda, Sumter Non-SMSA Counties..... 33.0 Chesterfield, Darlington, Dillon, Florence, Horry, Marion, Marlboro, Georgetown, Williamsburg SMSA Counties: 30.0 Berkeley, Charleston, Dorchester Non-SMSA Counties..... 30.7 Colleton Non-SMSA Counties..... 29.8 Beaufort, Hampton, Jasper Non-SMSA Counties..... 15.7 Chester Lancaster York Non-SMSA Counties..... 32.8 Barnwell, Edgefield, McCormick, Allendale, Bamberg SMSA Counties: 27.2

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical areas where the work is actually performed. With regard to this second area, the Contractor is

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also subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 Shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a) and its efforts to meet the goals established for the geographical area where the contract resulting from this solicitation is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees of trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

- 3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor, employer identification number, estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the contract is to be performed.
- 4. As used in this Notice and in the contract resulting from this solicitation, the "covered area" is (insert description of the geographical areas where the contract is to be performed giving the state, county, and city, if any). The "covered area is the SMSA County or Counties or Non-SMSA County or Counties in which the contract work is performed.

# STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246)

- 1. As used in these specifications:
  - a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;
  - "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
  - "Employer identification number" means the Federal Social Security number used on the Employers Quarterly Federal Tax Return, U. S. Treasury Department Form 941.
  - d. "Minority" includes:
    - Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
    - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin regardless of race);
    - (iii) Asian or Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
    - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

- 2. Whenever the Contractor, or any Subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
- 3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U. S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
- The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in which it has employees in each construction trade in which it has employees in the covered area. Covered construction contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notices form and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.
- 5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 6. In order for the non-working training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U. S. Department of Labor.
- 7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
  - a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to

- maintain such a working environment, with specific attention to minority of female individuals working at such sites or in such facilities.
- b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available and maintain a record of the organization's responses.
- c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may taken.
- d. Provide immediate written notification to the Director when union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet his obligations.
- e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.
- f. Disseminate the Contractor's EEO policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g. Review at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with onsite supervisory personnel such as Superintendents, General Foremen, etc., prior to the initialization of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall sent written notification to organizations such as the above, describing

- the openings, screening procedures and tests to be used in the selection process.
- j. Encourage present minority and female employees to recruit other minority persons and women and where reasonable, provide after school, summer, and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.
- Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m. Ensure that all seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- n. Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- p. Conduct a review, at least annually of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a contractor association joint contractor-union, contractorcommunity, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- 9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).
- The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 11. The Contractor shall not enter into any Subcontract with any person or firm debarred from the Government contracts pursuant to the executive Order 11246.
- 12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and the Equal Opportunity Clause, including suspensions, termination and cancellation of the existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended. and its implementing regulations, by the Office if the Federal

- Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of the specifications and Executive Order 11246, as amended.
- 13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4-8.
- 14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, constriction trade, union affiliation if any employee identification number when assigned, social security number, race, sex status(e.g., Mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and location at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that the existing records satisfy this requirement, contractors shall not be required to maintain separate records.
- 15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents(e.g. those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

# **GENERAL DECISION NUMBER SC20200037**

"General Decision Number: SC20200037 01/03/2020

Superseded General Decision Number: SC20190037

State: South Carolina

Construction Type: Highway

Counties: Anderson, Greenville, Laurens, Pickens, Spartanburg

and York Counties in South Carolina.

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.80 for calendar year 2020 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.80 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2020. If this contract is covered by the EO and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must pay workers in that classification at least the wage rate determined through the conformance process set forth in 29 CFR 5.5(a)(1)(ii) (or the EO minimum wage rate, if it is higher than the conformed wage rate). The EO minimum

wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60). Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts. Modification Number Publication Date 01/03/2020 SUSC2011-035 09/15/2011 Rates Fringes CARPENTER (Form Work Only).....\$ 14.44 CEMENT MASON/CONCRETE FINISHER...\$ 12.64 IRONWORKER, REINFORCING.....\$ 15.02 LABORER Asphalt Includes Asphalt D: Sı

Aspirate includes Aspirate	
Distributor, Shoveler, and	
Spreader	
Anderson, Greenville,	
Laurens, Pickens,	
Spartanburg\$	11.54
York\$	11.62
Common or General	
Anderson\$	9.71
Greenville, Pickens\$	9.87
Laurens\$	8.89
Spartanburg\$	10.05
York\$	9.63

Luteman....\$ 10.76

	Mason tender-	
	Cement/Concrete\$ 10	0.40
	Pipelayer\$ 13	3.98
	Traffic Control-Cone Setter.\$ 11	1.75
	Traffic Control-Flagger	
	Anderson, Spartanburg,	
	York\$ 10	0.13
	Greenville, Laurens,	
	Pickens\$ 10	0.62
POWER	R EQUIPMENT OPERATOR:	
	Backhoe/Excavator/Trackhoe	
	Greenville, Laurens,	
	Pickens\$ 13	3.82
	Spartanburg, York\$ 13	3.92
	Bulldozer\$ 12	2.95
	Crane\$ 19	9.73
	Grader/Blade	
	Anderson, Spartanburg,	
	York\$ 13	3.13
	Greenville, Laurens,	
	Pickens\$ 12	2.62
	Hydroseeder\$ 11	1.00
	Loader (Front End)\$ 16	6.80
	Mechanic\$ 17	7.75
	Milling Machine\$ 11	1.84
	Paver	
	Anderson, Spartanburg,	
	York\$ 12	2.93
	Greenville, Laurens,	
	Pickens\$ 13	3.61
	Roller	
	Anderson, Spartanburg,	
	York\$ 12	2.11
	Greenville\$ 12	2.59

Laurens, Pickens\$ 12.16		
Scraper\$ 12.71		
Screed\$ 13.09		
Tractor\$ 13.28		
TRUCK DRIVER		
Dump Truck		
Anderson, Spartanburg,		
York\$ 12.75		
Greenville\$ 13.17		
Laurens, Pickens\$ 12.70		
Lowboy Truck		
Anderson, Spartanburg,		
York\$ 13.48		
Greenville, Laurens,		
Pickens\$ 13.36		
WELDERS - Receive rate prescribed for craft performing		
operation to which welding is incidental.		
Note: Executive Order (EO) 13706, Establishing Paid Sick Leave		
for Federal Contractors applies to all contracts subject to the		
Davis-Bacon Act for which the contract is awarded (and any		
solicitation was issued) on or after January 1, 2017. If this		
contract is covered by the EO, the contractor must provide		
employees with 1 hour of paid sick leave for every 30 hours		
they work, up to 56 hours of paid sick leave each year.		

Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons

resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the

most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in

the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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# WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division

U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

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# EXHIBIT 6 ENVIRONMENTAL DESIGN CRITERIA

# 1. GENERAL COMMITMENTS

The CONTRACTOR shall avoid impacts to the environment to the most practicable extent. In cases where impacts cannot be avoided, the CONTRACTOR shall minimize impacts to the environment to the most practicable extent. As a minimum the CONTRACTOR shall include the following in the Project:

- The CONTRACTOR is responsible for the preparation, revision, acquisition, and adherence to conditions of any permits or modifications as required by federal, state, local laws or regulations.
- The CONTRACTOR is responsible for any modifications or revisions to the approved environmental document.
- The CONTRACTOR shall provide an Environmental Compliance Plan for the Project. The plan shall be submitted to SCDOT for approval prior to any construction activity. The plan shall identify specific measures that the CONTRACTOR will implement to assure compliance with all environmental documents, permits, and other environmental commitments. The plan shall also designate specific personnel charged with carrying out monitoring and compliance activities included in the Environmental Compliance Plan.
- The CONTRACTOR shall stake out and delineate the jurisdictional areas using temporary barrier fence (refer to Supplemental Specification) in accordance with the approved permit issued by the USACE.
- Fines assessed by any agencies to SCDOT, as the result of the CONTRACTOR's non-compliance or violation of said permit provisions shall be paid by the Department and subsequently deducted from the CONTRACTOR's monthly pay estimate.
- The CONTRACTOR shall coordinate all permitting through SCDOT's Environmental Services Office.
- CONTRACTOR shall provide a summary report documenting how all commitments that fall within his responsibility have been satisfied.

# 2. ENVIRONMENTAL DOCUMENT COMMITMENTS

The CONTRACTOR shall comply with all Environmental Commitments related to the Project. The Agreement, Article X, includes details related to Environmental Compliance. The Environmental Assessment/FONSI Document and other environmental information are provided in Attachment B. The following list of Environmental Commitments and instructions serves as a guideline for the relationship between SCDOT and the CONTRACTOR as it pertains to fulfilling the Environmental Commitments for the Project.

1. All commitments and requirements of the USACE's decision document, that are relevant to the interchange, will be followed.

CONTRACTOR shall comply with this commitment.

2. If avoidance of hazardous materials is not a viable alternative and soils that appear to be contaminated are encountered during construction, the South Carolina Department of Health and Environmental Control (SCDHEC) shall be informed. Hazardous materials

# EXHIBIT 6 – ENVIRONMENTAL DESIGN CRITERIA

shall be tested and removed and/or treated in accordance with the United States Environmental Protection Agency and SCDHEC requirements, if necessary.

The CONTRACTOR shall comply with this commitment.

3. The CONTRACTOR shall minimize possible water quality impacts through implementation of construction BMPs, reflecting policies contained in 23 CFR 650B and SCDOT's Supplemental Specifications on Seeding and Erosion Control Measures (latest edition). Other measures including seeding, silt fences, sediment basins, etc. as appropriate shall be implemented during construction to minimize impacts to Water Quality.

CONTRACTOR shall comply with this commitment. The SCDHEC Construction General Permit (CGP) shall be acquired in the name of SCDOT and all coordination shall be conducted through SCDOT.

4. Stormwater control measures, both during construction and post-construction, are required for SCDOT projects with land disturbance and/or constructed in the vicinity of 303(d), TMDL, ORW, and other sensitive waters in accordance with the SCDOT's MS4 Permit. The selected CONTRACTOR would be required to minimize potential stormwater impacts through implementation of construction best management practices, reflecting policies contained in 23 CFR 650B and SCDOT's Supplemental Specifications on Seeding and Erosion Control Measures (latest edition).

The CONTRACTOR shall comply with this commitment.

5. The CONTRACTOR and subcontractors must notify their workers to watch for the presence of any prehistoric or historic remains, including but not limited to arrowheads, pottery, ceramics, flakes, bones, graves, gravestones, or brick concentrations during the construction phase of the project. If any such remains are encountered, the RCE will be immediately notified and all work in the vicinity of the discovered materials and site work shall cease until the SCDOT Archaeologist directs otherwise.

The CONTRACTOR shall comply with this commitment.

6. SCDOT will inform local planning officials of future, generalized noise levels expected to occur in the project vicinity after FHWA has made a final decision on the Environmental document.

SCDOT shall comply with this commitment.

7. The federal Migratory Bird Treaty Act, 16 USC § 703-711, states that it is unlawful to pursue, hunt, take, capture or kill; attempt to take, capture or kill; possess, offer to or sell, barter, purchase, deliver or cause to be shipped, exported, imported, transported, carried or received any migratory bird, part, nest, egg or product, manufactured or not.

# EXHIBIT 6 – ENVIRONMENTAL DESIGN CRITERIA

SCDOT will comply with the Migratory Bird Treaty Act of 1918 in regard to the avoidance of taking of individual migratory birds and the destruction of their active nests. The CONTRACTOR will notify the Resident Construction Engineer (RCE) at least four (4) weeks prior to construction/demolition/maintenance of bridges and box culverts. The RCE will coordinate with SCDOT Environmental Services Office (ESO), Compliance Division, to determine if there are any active birds using the structure. SCDOT will be responsible for the removal/management of any active bird nests. After this coordination, it will be determined when construction/demolition/maintenance can begin. If a nest is observed that was not discovered after construction/demolition/maintenance has begun, the CONTRACTOR will cease work and immediately notify the RCE, who will notify the ESO Compliance Division. The ESO Compliance Division will determine the next course of action.

The use of any deterrents by the CONTRACTOR designed to prevent birds from nesting, shall be approved by the RCE with coordination from the ESO Compliance Division. The cost for any CONTRACTOR provided deterrents will be provided at no additional cost to SCDOT.

The CONTRACTOR shall comply with this commitment. The CONTRACTOR is advised that this commitment applies to existing, temporary, and new structures.

8. The SCDOT will acquire all new right-of-way and process any relocations in compliance with the Uniform Relocation Assistance and Real Property Acquisition policies Ace of 1970, as amended (42 U.S. C. 4601 et seq.). The purpose of these regulations is to ensure that owners of real property to be acquired for Federal and federally-assisted projects are treated fairly and consistently, to encourage and expedite acquisition by agreements with such owner, to minimize litigation and relieve congestion in the courts, and to promote public confidence in Federal and federally-assisted land acquisition programs.

The SCDOT shall comply with this commitment. The CONTRACTOR will be responsible for acquiring additional right of way outside of or different than what is acquired by SCDOT. The CONTRACTOR will have to comply with all applicable laws regarding right of way acquisition.

9. The CONTRACTOR will follow the guidance contained in Engineering Directive Memorandum (Number 23), dated March 10, 2009, regarding SCDOT procedures to be followed in order to ensure compliance with S.C. Code of 72-400, Standards for Stormwater Management and Sediment Reduction. SCDHEC may require additional water quality and stormwater measures during and after construction, which will be determined during the 404/401 permitting process.

The CONTRACTOR shall comply with this commitment.

10. State and local regulations regarding dust control and other air quality emission reduction controls will be followed. Current state best management practices (BMPs), will be followed during the construction of the project. These include covering earth-moving

# EXHIBIT 6 - ENVIRONMENTAL DESIGN CRITERIA

trucks to keep dust levels down, watering haul roads, and refraining from open burning, except as may be permitted by local regulations.

The CONTRACTOR shall comply with this commitment. BMPs provided above are for example purposes only. The clause "except as may be permitted by local regulations" only applies to refraining from open burning.

11. The CONTRACTOR, with oversight from SCDOT, will be responsible for preparing a written re-evaluation of the approved environmental document and updating required environmental studies. The CONTRACTOR will be responsible for submitting the re-evaluation to the SCDOT and the SCDOT will be responsible for submitting to FHWA for approval. The SCDOT/CONTRACTOR will provide FHWA with information necessary for approval.

The CONTRACTOR shall comply with this commitment. The CONTRACTOR shall be responsible for compliance with FHWA guidance for preparing a written re-evaluation of the environmental document. The re-evaluation shall be submitted by SCDOT and all coordination shall be conducted through SCDOT. The CONTRACTOR shall ensure the document sufficiently addresses all changes or variances from the approved EA and fully documents additional information relevant to selection of the preferred alternative; including but not limited to updated natural resource studies, rights of way acquisition, and impacts to human and natural environment.

# 3. PERMIT CONDITIONS

The CONTRACTOR shall comply with all Permit Conditions related to the Project. The Agreement, Article IX, includes details related to Permitting. The USACE issued 404 permit (SAC-2019-00924) and other environmental information are provided in Attachment B. The following list of Permit Conditions and instructions serves as a guideline for the relationship between SCDOT and the CONTRACTOR as it pertains to fulfilling the Permit Conditions for the Project.

1. Based on preliminary design, the U.S. Army Corps of Engineers (USACE) issued a Department of the Army Section 404 Permit (SAC-2019-00924). If required, the CONTRACTOR, with cooperation of SC Department of Commerce and its representative, will be responsible for preparing and submitting a permit modification application to USACE. In addition, mitigation associated with the permit modification may be required for impacts to waters of the U.S. The CONTRACTOR, will be responsible for providing suitable mitigation associated with permit modifications for the project in consultation with the USACE and other resource/regulatory agencies.

The CONTRACTOR shall comply with this commitment. The CONTRACTOR shall be responsible for permit modification acquisition, obtaining all mitigation, and compliance. The SCDOT will not provide any mitigation credits. The USACE permit modification shall be coordinated through SC Department of Commerce.

# EXHIBIT 6 – ENVIRONMENTAL DESIGN CRITERIA

2. The permittee agrees to provide all CONTRACTORs associated with construction of the authorized activity a copy of the permit and drawings. A copy of the permit must be available at the construction site at all times.

CONTRACTOR shall comply with this condition.

- 3. The permittee shall submit a signed compliance certification to the Corps within 60 days following completion of the authorized work and any required mitigation. The certification will include:
  - A copy of this permit
  - A statement that the authorized work was done in accordance with the Corps authorization, including any general or specific conditions
  - A statement that any required mitigation was completed in accordance with the permit conditions.
  - The signature of the permittee certifying the completion of the work and mitigation.
  - As-built drawings which indicate all dimensions of the authorized structure. These drawings must be prepared by a registered land surveyor.

The CONTRACTOR shall comply with this condition. As-built drawings are okay to be signed off by registered PE's but shall contain necessary survey information to confirm permitted impacts comply with approved permit drawings.

4. That the permittee agrees to utilize best management practices during construction and perform the work as proposed. The permittee must implement practices that will minimize erosion and migration of sediments on and off the project site during and after construction. These practices should include the use of appropriate grading and sloping techniques, mulches, silt fences, or other devices capable of preventing erosion, migration of sediments and bank failure. All disturbed land surfaces and sloped areas affected by the project must be stabilized.

The CONTRACTOR shall comply with this condition.

5. All necessary steps must be taken to prevent oil, tar, trash, debris, and other pollutants from entering the adjacent waters or wetlands.

The CONTRACTOR shall comply with this condition.

6. Land disturbing activities must avoid encroachment into any wetland areas outside the permitted impact area.

The CONTRACTOR shall comply with this condition.

7. Upon completion of construction activities, all disturbed areas, which are not paved, must be permanently stabilized with a vegetative cover. This may include sprigging trees, shrubs, vines or ground cover.

The CONTRACTOR shall comply with this condition.

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